

Security Reductions of the SHA-3 Candidates

On the Indifferentiability of the Grøstl Hash Function

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NIST's Security Requirements

- Hash function must provide message digests of 224, 256, 384 and 512 bits

(i) At least one variant must support HMAC and randomized hashing

For all n -bit digest values, the hash function must provide

- (ii) preimage resistance
 - (iii) second preimage resistance
 - (iv) collision resistance
 - (v) resistance to the length-extension attack
- (vi) For any $m \leq n$, the hash function specified by taking a fixed subset of m bits of the function's output is required to satisfy properties (ii)-(v) with n replaced by m

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- (iv) collision resistance

NIST's Security Requirements

- Hash function must provide message digests of 256 and 512 bits

For all n -bit digest values, the hash function must provide

- (ii) preimage resistance
- (iii) second preimage resistance
- (iv) collision resistance

(vii) Additionally, we analyze the indistinguishability

Security in the Ideal Model

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Assumption: design is built on one or more **ideal** underlying primitives

pre/sec/col security

Advantage of an adversary (with query access to these primitives) in finding preimages, second preimages or collisions

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Indifferentiability (indiff)

Advantage of a distinguisher to differentiate \mathcal{H} from a RO

Indifferentiability bound implies security bounds for pre/sec/col/...

Security in the Standard Model

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Generic collision security of \mathcal{H} (gcol)

Advantage of an *efficient* adversary in finding collisions for \mathcal{H}

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Strengthened Merkle-Damgård

- Strengthened Merkle-Damgård *preserves* collision resistance: collisions for the hash function imply collisions for the compression function
- Extension: *all* SHA-3 candidates with a suffix-free padding preserve collision resistance






Security Comparison

	padding	
	sf	pf
BLAKE	✓	✓
BMW	✓	✗
CubeHash	✗	✗
ECHO	✓	✓
Fugue	✓	✗
Grøstl	✓	✗
Hamsi	✓	✗
JH	✓	✗
Keccak	✗	✗
Luffa	✗	✗
Shabal	✓	✓
SHAvite-3	✓	✓
SIMD	✓	✗
Skein	✓	✓

Security Comparison

	padding	
	sf	pf
BLAKE	✓	✓
BMW	✓	✗
CubeHash	✗	✗
ECHO	✓	✓
Fugue	✓	✗
Grøstl	✓	✗
Hamsi	✓	✗
JH	✓	✗
Keccak	✗	✗
Luffa	✗	✗
Shabal	✓	✓
SHAvite-3	✓	✓
SIMD	✓	✗
Skein	✓	✓






Explanation of the Table:

-  Optimal security upper bound
-  Non-optimal security upper bound
-  Efficient attack known
-  No non-trivial security bound known
-  Design is similar to a secure design, but no non-trivial security bound known

Security Comparison

	padding	
	sf	pf
BLAKE	✓	✓
BMW	✓	✗
CubeHash	✗	✗
ECHO	✓	✓
Fugue	✓	✗
Grøstl	✓	✗
Hamsi	✓	✗
JH	✓	✗
Keccak	✗	✗
Luffa	✗	✗
Shabal	✓	✓
SHAvite-3	✓	✓
SIMD	✓	✗
Skein	✓	✓

Explanation of the Table:

-  Optimal security upper bound
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-  Efficient attack known
-  No non-trivial security bound known
-  Design is similar to a secure design, but no non-trivial security bound known

Bounds and underlying assumptions are summarized in the paper!

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
BMW	✓	✗			
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
BMW	✓	✗			
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Collisions and preimages in constant time; sponge-like designs)

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
BMW	✓	✗			
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
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 Insecure
 No bound
 ? Similarity

(PGV compression function [BRS02])

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
BMW	✓	✗			
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Generalized PGV compression function [Sta09])

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓	?		?
BMW	✓	✗	?		?
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Similar to the generalized PGV compression function)

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓	?		?
BMW	✓	✗	?		?
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
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 ? Similarity

(Security of compression function of Grøstl and Shabal proven differently)

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓	?		?
BMW	✓	✗	?		?
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

General remarks:

- Insecure compression function \Rightarrow ideality cannot be assumed
- Non-optimal bounds for f **do not** imply insecurity of \mathcal{H}

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H}
	sf	pf	pre	sec	col	indiff
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				
Grøstl	✓	✗				
Hamsi	✓	✗				
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				
Skein	✓	✓				

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Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H}
	sf	pf	pre	sec	col	indiff
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				
Grøstl	✓	✗				
Hamsi	✓	✗				
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				
Skein	✓	✓				

Optimal
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(Hash function design is proven indifferentiable [CDMP05])

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H}
	sf	pf	pre	sec	col	indiff
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	?
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				
Grøstl	✓	✗				
Hamsi	✓	✗				?
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				?
Skein	✓	✓				

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Hash function similar to a design proven indifferentiable in [CDMP05])

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H}
	sf	pf	pre	sec	col	indiff
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	?
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				?
Grøstl	✓	✗				
Hamsi	✓	✗				?
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				?
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				?
Skein	✓	✓				

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Hash function proven indifferentiable separately)

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H}
	sf	pf	pre	sec	col	indiff
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	?
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				?
Grøstl	✓	✗				
Hamsi	✓	✗				?
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				?
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				?
Skein	✓	✓				

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

General remarks:

- Nine designs are proven indifferentiable
- Yellow boxes only: the bounds are not optimal

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓						
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
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Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓						
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Preimage resistance derived from indistinguishability)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓						
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Preimage resistance of Shabal proven differently)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓						
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Second preimage resistance derived from indistinguishability)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓						?
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(HAIFA designs are second preimage resistant)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓						?
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

General remarks:

- MD design does *not* preserve (second) preimage resistance
- Possible direction for proofs: graph based approach

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓						?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
 Insecure
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 ? Similarity

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓						?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Collision resistance preservation for all designs with sf padding)

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓						?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Collision resistance in the ideal model due to preservation)

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓						?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Collision resistance derived from indifferntiability)

Security Comparison: Collision Resistance of \mathcal{H}

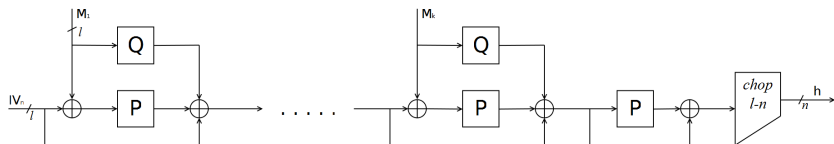
	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓						?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
Non-optimal
Insecure
No bound
? Similarity

General remarks:

- For 10 candidates, optimal collision resistance
- For others, graph based approach may be fruitful

Indifferentiability of Grøstl



- Grøstl: based on two independent random l -bit permutations P, Q
- State size l larger than output size n : $l \geq 2n$
- Grøstl behaves like a random oracle up to $2^{n/2}$ queries
- Implies optimal collision resistance bound $\Theta(q^2/2^n)$

Conclusions

- Classification of the provable security results of the SHA-3 candidates
 - Preimage, second preimage and collision resistance
 - Indifferentiability
- Classification is based on security results in the ideal model
- We extended the standard proof of Merkle-Damgård collision resistance to cover *all* candidates with a suffix-free padding
- We proved indifferentiability of Grøstl

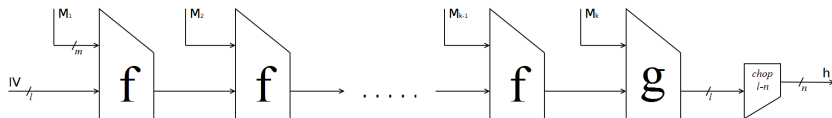
Conclusions

- Classification of the provable security results of the SHA-3 candidates
 - Preimage, second preimage and collision resistance
 - Indifferentiability
- Classification is based on security results in the ideal model
- We extended the standard proof of Merkle-Damgård collision resistance to cover *all* candidates with a suffix-free padding
- We proved indifferentiability of Grøstl
- Several observations and open problems
 - Most of the designs satisfy collision resistance and indifferentiability
 - Few results known on (second) preimage resistance

Q u e s t i o n s ?

SUPPORTING SLIDES!!!

Hash function Design



- All SHA-3 candidates follow this 'generalized Merkle-Damgård design', where the final transformation (FT) and chopping are optional
- Generalized MD with suffix-free padding preserves collision-resistance
 - Collisions for this design imply collisions for f or $\text{chop}_{l-n} \circ g$