

# HIPAA Security and Privacy

May 2013



## Our 2013 Strategy is Driven by Business Cybersecurity Needs



### Vision

#### *Advance Cybersecurity*

A secure cyber infrastructure that inspires technological innovation and fosters economic growth



### Mission

#### *Accelerate Adoption of Secure Technologies*

Collaborate with innovators to provide real-world cybersecurity capabilities that address business needs



### Goal 1

#### *Provide Practical Cybersecurity*

Help people secure their data and digital infrastructure by equipping them with practical ways to implement cost-effective, repeatable, and scalable cybersecurity solutions



### Goal 2

#### *Increase Rate of Adoption*

Enable companies to rapidly adopt commercially available cybersecurity technologies by reducing their total cost of ownership



### Goal 3

#### *Accelerate Effective Innovation*

Empower innovators to creatively address businesses' most pressing cybersecurity challenges in a state-of-the-art collaborative environment

## NIST CSD

The NCCoE is part of the NIST Information Technology Laboratory and operates in close collaboration with the Computer Security Division. As a part of the NIST family, the center has access to a foundation of prodigious expertise, resources, relationships and experience.

## Partnerships

The NCCoE is motivated by results. Established in 2012 through a partnership between NIST, the State of Maryland and Montgomery County, the NCCoE is dedicated to furthering innovation through the rapid identification, integration and adoption of practical cybersecurity solutions.

## CSD Thought Leadership

- Cryptography
- Identity Management
- Key Management
- Risk Management
- Secure Virtualization
- Software Assurance
- Security Automation
- Security for Cloud and Mobility
- Trusted Roots of Hardware
- Vulnerability Management
- Secure Networking
- Usability and Security

## Core partners

- Intel
- Splunk
- Symantec
- HP
- HyTrust
- Cisco
- Microsoft
- Venafi
- McAfee
- RSA
- Vanguard

## Contributions from our partners

NCEP Companies will have a persistent presence at the center that includes:

- **Technology** – the building blocks (software, hardware, tools, services) necessary to create example integrated “builds” to address industry’s cybersecurity challenges;
- **Personnel** – engineers who will work in the NCCoE side-by-side with engineers from other companies, NIST, and other federal agencies to integrate their technologies into the composed solution



## Define + Articulate

Describe the Business Problem

Well defined business problem and project description broadly and refine them through specific use cases



## Organize + Engage

Partner with Innovators

Collaborate with partners from industry, government, academia, and the IT community



## Implement + Test

Build a Usable Solution

Practical, usable, repeatable, and secure solution that addresses the business problem



## Transfer + Learn

Help People Adopt a Solution

Set of all material necessary to implement and easily adopt the secure solution

# Engagement and Business Model

Describe + Articulate Business Problem		Organize + Engage Partners and Collaborators		Implement + Test Solution Build		Transfer + Learn Solution Adoption	
Action	Outputs	Action	Outputs	Action	Outputs	Action	Outputs
<b>Describe/ID Business Problem</b>	<ul style="list-style-type: none"> <li>Draft Problem Statement</li> <li>Draft Project Description</li> <li>Draft Use Cases</li> </ul>	<b>Publish Project/Use Cases and Solicit Responses</b>	<ul style="list-style-type: none"> <li>Process for Participation</li> <li>Letter of Interest</li> <li>Business Processes</li> <li>Priority Responses</li> </ul>	<b>Build Solution</b>	<ul style="list-style-type: none"> <li>Use Case Validation</li> <li>Technical Architecture</li> <li>Building Block Interfaces</li> <li>Integration Source Code</li> </ul>	<b>Collect Solution Documents</b>	<ul style="list-style-type: none"> <li>Problem + Use Case</li> <li>Architecture</li> <li>Reqs + Specs</li> <li>Source Code</li> <li>Test Environment</li> <li>Lab notebooks and decision memos</li> </ul>
<b>Create Market Research</b>	<ul style="list-style-type: none"> <li>Stakeholder List</li> <li>Threat Landscape</li> <li>Industry Standards and Guidelines</li> <li>Regulatory Requirements</li> <li>Preliminary Metrics</li> </ul>	<b>Select Partners and Collaborators</b>	<ul style="list-style-type: none"> <li>Stakeholder Analysis</li> <li>Feasibility and Interoperability Analysis</li> <li>Initial List of Collaborators</li> </ul>	<b>Test Solution</b>	<ul style="list-style-type: none"> <li>Test Harness</li> <li>Security Interoperability</li> <li>Security Standards Conformance</li> <li>Final Metrics</li> </ul>	<b>Tech Transfer</b>	<ul style="list-style-type: none"> <li>Demonstrations</li> <li>Interactive Media</li> <li>User Guides</li> <li>Templates</li> <li>Blueprints</li> <li>Toolkits</li> <li>How Tos</li> </ul>
<b>Vet Project &amp; Use Case Descriptions</b>	<ul style="list-style-type: none"> <li>Final Problem Statement and Project Description</li> <li>Use Case requirements</li> <li>Product Category(s) and security requirements</li> </ul>	<b>Sign CRADA</b>	<ul style="list-style-type: none"> <li>Signed CRADAs</li> <li>Execution Plan with Roles and Responsibilities</li> </ul>	<b>Identify Solution Gaps</b>	<ul style="list-style-type: none"> <li>Requirement Gaps</li> <li>Technology Gaps</li> <li>Standards and Guidelines Gaps</li> <li>Mitigation Plan</li> <li>ROI Business Case</li> </ul>	<b>Document Lessons Learned and Archive</b>	<ul style="list-style-type: none"> <li>Project Archive</li> <li>Internal and External Lessons Learned</li> <li>Recommended Practices</li> <li>Lessons + Practices</li> </ul>
<b>Outcome</b>	<b>Outcome</b>		<b>Outcome</b>		<b>Outcome</b>		
Well defined business problem and project description broadly and refine them through specific use cases	Collaborate with partners from industry, government, academia, and the IT community to design one (or more) solutions		Practical, usable, repeatable, and secure solution that addresses the business problem		Set of all material necessary to implement and easily adopt the secure solution		

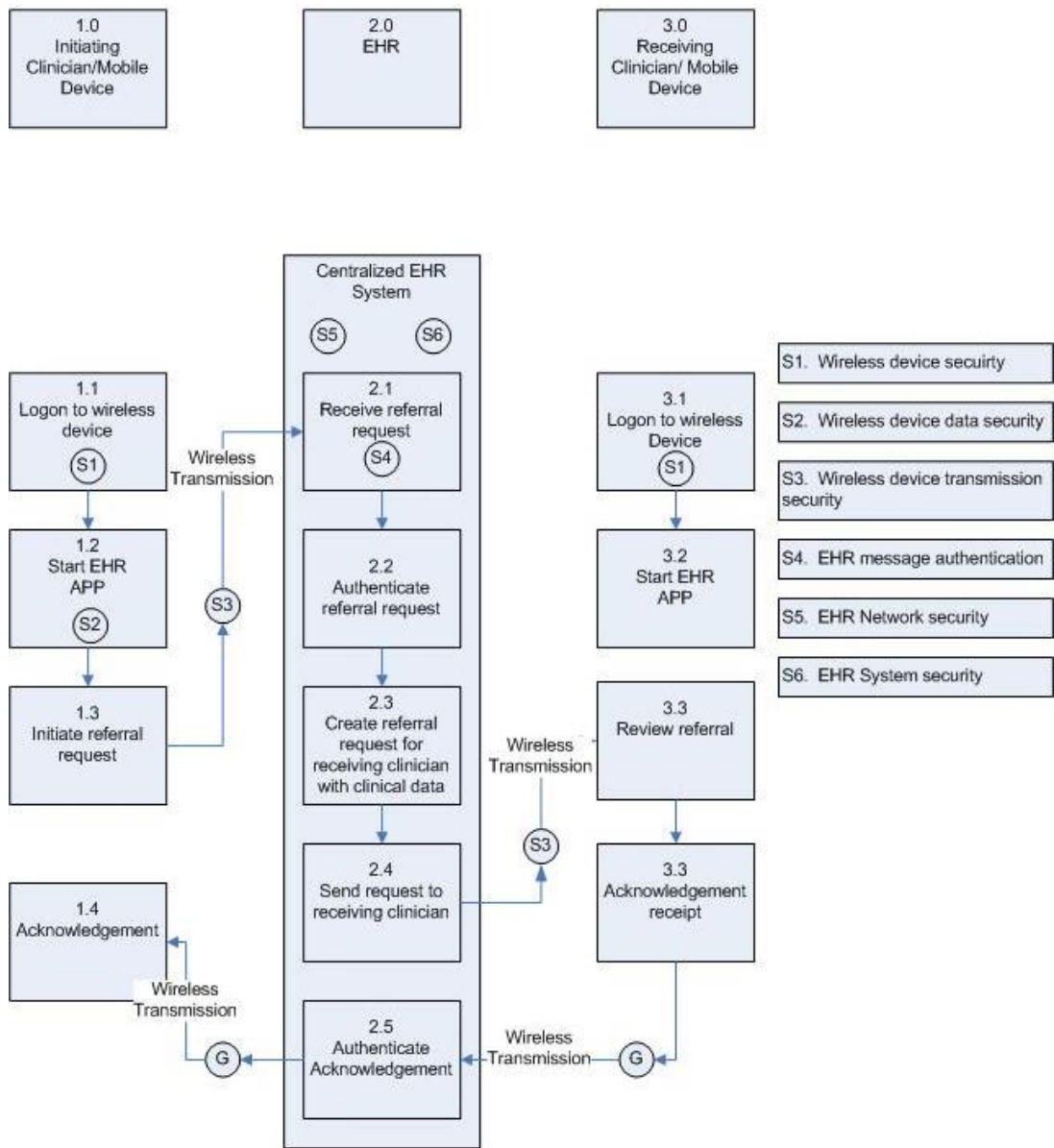
# HIT Project – Mobile Device Use Case

- Physician uses a mobile device to collect patient data and send a referral to another physician
- Application sends patient data to a server running a certified EHR application
- Server routes the referral and patient data to the referred physician
- Referred physician uses mobile device to receive the referral

- Vendor conference held on 4/17
- CRADAs to be sent to vendors for legal review

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# HIT Project – Mobile Device Use Case





## CRADA Statement of Work

<b>Objective</b>	Collaborate on design and implementation of a build to secure a mobile device communicating to a back end electronic health record system
<b>Need</b>	Security platform to enable health care providers to exchange e-health information
<b>Approach</b>	Work as build teams to develop security
<b>Build Teams</b>	Build teams based on the solution architecture or the type of product
<b>Contribution</b>	Assistance in establishing the necessary interface functionality, connection and set-up capabilities and procedures, demonstration harnesses, environmental and safety conditions for use, integrated platform user instructions, and demonstration plans and scripts necessary to demonstrate the desired capabilities; and train NIST personnel as necessary, to operate its product in capability demonstrations to the healthcare community
<b>NIST</b>	Providing IT infrastructure, laboratory facilities, office facilities and staff support to component composition, security platform documentation, and demonstration activities.
<b>Output</b>	Publish a description of the security platform and its performance characteristics sufficient to permit organizations to duplicate the build -- develop and deploy identical security platforms (descriptions are public information)

## Technical Requirements

- Safeguards required by the HIPPA security rule and HITECH
- Mobile device security
- Supporting infrastructure security services

## HIPPA Security Rule & HITECH Security Characteristics

- Access Control
  - Unique User Identification
  - Emergency Access Procedure
  - Automatic Logoff
  - Encryption and Decryption
- Audit Controls
- Integrity
  - Mechanism to Authenticate Electronic PHI
- Person or Entity Authentication
- Transmission Security
  - Integrity Controls
  - Encryption