

# McNie

A code-based public key encryption scheme

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# Advantage

- The McEliece cryptosystem and its variants are well known code-based public key cryptosystems:

$$\mathbf{c} = \mathbf{m}G + \mathbf{e},$$

where  $\mathbf{m}$  is a message,  $G$  is a generator matrix for a code which can correct errors  $\mathbf{e}$ , and  $\mathbf{c}$  is a ciphertext.

- However, McEliece cryptosystems with many algebraic codes with good structures have been broken due to their structures except for Goppa codes.
- Our McNie is a new code-based public key cryptosystem which is less vulnerable against currently known structural attacks.
- We can use Hamming weight or rank weight in general.

# McNie- Key generation

- Consider Hamming weight or rank weight.

- **Secret key:**  $(H, P, S, \Phi_H)$

$H$ : a parity check matrix for an  $[n, k]$  code  $C$  over  $\mathbb{F}_{q^m}$

$P$ : an  $n \times n$  permutation matrix

$S$ : an  $(n - k) \times (n - k)$  invertible matrix over  $\mathbb{F}_{q^m}$

$\Phi_H$ : an efficient decoding algorithm for  $C$  which corrects errors of weight up to  $r$

- **Public key:**  $(G', F)$

$G'$ : Generator matrix for a **random**  $[n, l]$  code over  $\mathbb{F}_{q^m}$

$$F = G'P^{-1}H^T S$$

# McNie- Encryption

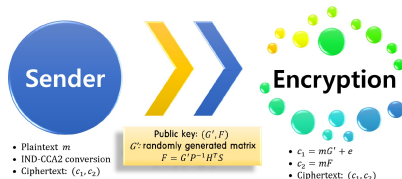
Message:  $\mathbf{m} \in \mathbb{F}_{q^m}^l$

- Randomly generate  $\mathbf{e} \in \mathbb{F}_{q^m}^n$  of weight  $r$

- $Enc(\mathbf{m}) = (\mathbf{c}_1, \mathbf{c}_2)$

$$\mathbf{c}_1 = \mathbf{m}G' + \mathbf{e}$$

$$\mathbf{c}_2 = \mathbf{m}F = \mathbf{m}G'P^{-1}H^T S$$



# McNie- Decryption

Received vector:  $\mathbf{c} = (\mathbf{c}_1, \mathbf{c}_2)$

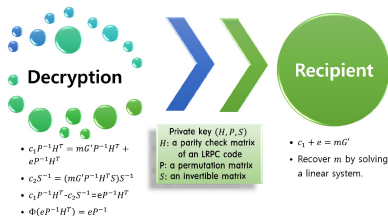
- Compute

$$\begin{aligned}\mathbf{s}' &= \mathbf{c}_1 P^{-1} H^T - \mathbf{c}_2 S^{-1} \\ &= (\mathbf{m}G' + \mathbf{e})P^{-1} H^T \\ &\quad - (\mathbf{m}G' P^{-1} H^T S)S^{-1} \\ &= \mathbf{e}P^{-1} H^T \\ \mathbf{e}' &= \Phi_H(\mathbf{s}') = \mathbf{e}P^{-1} \\ \mathbf{e} &= \mathbf{e}'P\end{aligned}$$

- Solve the system

$$\mathbf{m}G' = \mathbf{c}_1 - \mathbf{e}$$

to recover  $\mathbf{m}$ .



# Apply McNie to rank metric codes

Let  $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$  be a basis for  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ .

$$c = (c_1, \dots, c_n) \in \mathbb{F}_{q^m}^n \Leftrightarrow \bar{c} = \begin{bmatrix} c_{11} & \cdots & c_{1n} \\ \vdots & \ddots & \vdots \\ c_{m1} & \cdots & c_{mn} \end{bmatrix}, \quad c_j = \sum_{i=1}^m c_{ij} \alpha_i$$

- **rank weight:**  $w_R(c) = \text{Rank}(\bar{c})$
- **rank distance:**  $d_R(c, c') = \text{Rank}(\bar{c} - \bar{c}')$

A *rank metric code* is an  $[n, k]$  code over  $\mathbb{F}_{q^m}$  equipped with the rank metric.

A family of rank metric codes used in McNie:

A **Low Rank Parity Check (LRPC)** code of rank  $d$  is an  $[n, k]$  code over  $\mathbb{F}_{q^m}$  that has for its parity check matrix an  $(n - k) \times n$  matrix  $H = (h_{ij})$  such that the sub-vector space of  $\mathbb{F}_{q^m}$  generated by its coefficients  $h_{ij}$  has dimension at most  $d$ .

# Using 3-quasi-cyclic LRPC codes

We use circulant matrices and construct quasi-cyclic LRPC codes over  $\mathbb{F}_{q^m}$  in order to reduce key size.

Let  $n$  be a multiple of 3 and block size  $blk = \frac{n}{3}$ .

- Generate  $\mathbf{h}_1, \mathbf{h}_2, \mathbf{h}_3 \in \mathbb{F}_{q^m}^{blk}$  s.t.  $\dim \text{Supp}(\mathbf{h}_1, \mathbf{h}_2, \mathbf{h}_3) = d$
- Generate  $\mathbf{g}_1, \mathbf{g}_2 \in \mathbb{F}_{q^m}^{blk}$ .
- Let  $H_i, G_j$  be circulant matrices whose first row are  $\mathbf{h}_i$  and  $\mathbf{g}_j$ , resp.
- Let  $H = [ H_1 \quad H_2 \quad H_3 ]$ ,  $G' = \begin{bmatrix} I_{blk} & 0 & G_1 \\ 0 & I_{blk} & G_2 \end{bmatrix}$
- Take  $P = I_n$  and  $S = (H_1^T + G_1 H_3^T)^{-1}$  which is also a circulant matrix.
- $F = G' P^{-1} H^T S$  has the following form :

$$F = \begin{bmatrix} I_{\frac{n}{3}} \\ F' \end{bmatrix},$$

where  $F' = (H_2^T + G_2 H_3^T)(H_1 + H_3 G_1^T)^{-1}$ .



# Using 4-quasi-cyclic LRPC codes

Let  $n$  be divisible by 4 and block size  $blk = \frac{n}{4}$ .

• Generate  $\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_8 \in \mathbb{F}_{q^m}^{blk}$  s.t.  $\dim \text{Supp}(\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_8) = d$ .

• Generate vectors  $\mathbf{g}_1, \mathbf{g}_2, \mathbf{g}_3 \in \mathbb{F}_{q^m}^{blk}$ .

• Let  $H = \begin{bmatrix} H_1 & H_2 & H_3 & H_4 \\ H_5 & H_6 & H_7 & H_8 \end{bmatrix}$ ,  $G' = \begin{bmatrix} I_{blk} & 0 & 0 & G_1 \\ 0 & I_{blk} & 0 & G_2 \\ 0 & 0 & I_{blk} & G_3 \end{bmatrix}$

• Take  $P = I_n$  and  $\bar{S} = \begin{bmatrix} S_1 & S_2 \\ S_3 & S_4 \end{bmatrix}$ , where  $S_1, S_2, S_3, S_4$  are  $blk \times blk$  circulant matrices.

•  $\bar{F} = G'P^{-1}H^T\bar{S} = \begin{bmatrix} F_1 & F_2 \\ F_3 & F_4 \\ F_5 & F_6 \end{bmatrix}$

• Reduce  $\bar{F}$  in column echelon form  $F = \bar{F}E = \begin{bmatrix} I_{blk} & 0 \\ 0 & I_{blk} \\ F' & F'' \end{bmatrix}$ , where

$$E = \begin{bmatrix} E_1 & E_2 \\ E_3 & E_4 \end{bmatrix} = \begin{bmatrix} (F_2^{-1}F_1 - F_4^{-1}F_3)^{-1}F_2^{-1} & (F_4^{-1}F_3 - F_2^{-1}F_1)^{-1}F_4^{-1} \\ -F_4^{-1}F_3E_1 & -F_2^{-1}F_1E_2 \end{bmatrix}.$$

# IND-CCA2 Conversion

## Notations

- $Prep(\mathbf{m})$  : Preprocessing to a message  $\mathbf{m}$ , such as data-padding, etc. Its inverse is  $Prep^{-1}()$ .
- $Hash(\mathbf{x})$  : One-way hash function of an arbitrary length binary string  $x$  to a fixed length binary string.
- $Conv(\bar{\mathbf{z}})$  : Bijective function which converts a vector  $\bar{\mathbf{z}}$  over  $\mathbb{F}_{q^m}$  into the corresponding error vector  $\mathbf{z}$  of length  $n$  with a constant rank weight  $r$ . Its inverse is  $Conv^{-1}()$ .
- $Gen(\mathbf{x})$  : Generator of a cryptographically secure pseudo random sequences of arbitrary length from a fixed length seed  $x$ .
- $Msb_{x_1}(x_2)$  : The left  $x_1$  bits of  $x_2$ .
- $Lsb_{x_1}(x_2)$  : The right  $x_1$  bits of  $x_2$ .
- $Const$  : Predetermined constant used in public.
- $Rand$  : Random source which generates a truly random (or computationally indistinguishable pseudo random) sequence.
- $\mathcal{E}^{McNie}(x, z)$  : Encryption of  $x$  using McNie PKC with an error vector  $z$ .
- $\mathcal{D}^{McNie}(x)$  : Decryption of  $x$  using McNie PKC.

# IND-CCA2 Conversion based on Kobara-Imai generic CCA-2 conversion [4]

Encryption of $m$ :	Decryption of $c$ :
$\mathbf{r} := \text{Rand}$	$y_5 := \text{Msb}_{n-l}(\mathbf{c})$
$\bar{\mathbf{m}} := \text{Prep}(\mathbf{m})$	$z := \text{Lsb}_{2n}(\mathbf{c})$
$y_1 := \text{Gen}(\mathbf{r}) \oplus$ $(\bar{\mathbf{m}} \parallel \text{Const})$	$\mathbf{c}_1 := \text{Msb}_n(z)$
$y_2 := \mathbf{r} \oplus \text{Hash}(y_1)$	$\mathbf{c}_2 := \text{Lsb}_n(z)$
$(y_5 \parallel y_4 \parallel y_3) := (y_2 \parallel y_1)$	$y_3 := \mathcal{D}^{\text{McNie}}(\mathbf{c}_1 \parallel \mathbf{c}_2)$
$\mathbf{e} := \text{Conv}(y_4)$	$\mathbf{e} := y_3 \mathbf{G}' \oplus \mathbf{c}_1$
$(\mathbf{c}_1 \parallel \mathbf{c}_2) := \mathcal{E}^{\text{McNie}}(y_3, \mathbf{e})$	$y_4 := \text{Conv}^{-1}(\mathbf{e})$
$\mathbf{c} := (y_5 \parallel \mathbf{c}_1 \parallel \mathbf{c}_2)$	$(y_2 \parallel y_1) := (y_5 \parallel y_4 \parallel y_3)$
return $\mathbf{c}$	$\mathbf{r} := y_2 \oplus \text{Hash}(y_1)$
	$\bar{\mathbf{m}} \parallel \text{Const}' := \text{Gen}(\mathbf{r}) \oplus y_1$
	if $\text{Const}' = \text{Const}$
	return $\text{Prep}^{-1}(\bar{\mathbf{m}})$
	Otherwise
	reject $\mathbf{c}$

# Suggested parameters

Parameter	$n$	$k$	$l$	$blk$	$d$	$r$	$m$	$q$	Category
encrypt/3Q_128_1	93	62	62	31	3	5	37	2	1
encrypt/3Q_128_2	105	70	70	35	3	5	37	2	1
encrypt/3Q_192_1	111	74	74	37	3	7	41	2	3
encrypt/3Q_192_2	123	82	82	41	3	7	41	2	3
encrypt/3Q_256_1	111	74	74	37	3	7	59	2	5
encrypt/3Q_256_2	141	94	94	47	3	9	47	2	5

**Table:** Suggested parameters using 3-quasi-cyclic LRPC codes

Parameter	$n$	$k$	$l$	$blk$	$d$	$r$	$m$	$q$	Category
encrypt/4Q_128_1	60	30	45	15	3	5	37	2	1
encrypt/4Q_128_2	72	36	54	18	3	5	37	2	1
encrypt/4Q_192_1	76	38	57	19	3	7	41	2	3
encrypt/4Q_192_2	84	42	63	21	3	7	41	2	3
encrypt/4Q_256_1	76	38	57	19	3	7	53	2	5
encrypt/4Q_256_2	88	44	66	22	3	8	47	2	5

**Table:** Suggested parameters using 4-quasi-cyclic LRPC codes

# Key sizes for suggested parameters

Parameter	Decryption		Public Key Size (bytes)	Private Key Size (bytes)	Message Size (bytes)	Ciphertext Size (bytes)
	failure 1	failure 2				
encrypt/3Q_128_1	-17	-34	431	194	314	579
encrypt/3Q_128_2	-20	-34	486	218	358	653
encrypt/3Q_192_1	-17	-26	569	247	454	764
encrypt/3Q_192_2	-20	-26	631	274	505	846
encrypt/3Q_256_1	-17	-62	819	337	636	1097
encrypt/3Q_256_2	-20	-22	829	348	699	1110

**Table:** Key sizes for the suggested parameters for McNie using 3-QC-LRPC codes

Parameter	Decryption		Public Key Size (bytes)	Private Key Size (bytes)	Message Size (bytes)	Ciphertext Size (bytes)
	failure 1	failure 2				
encrypt/4Q_128_1	-16	-34	347	340	215	422
encrypt/4Q_128_2	-21	-34	417	401	264	505
encrypt/4Q_192_1	-18	-26	487	465	336	590
encrypt/4Q_192_2	-21	-26	539	512	373	651
encrypt/4Q_256_1	-18	-50	630	584	432	761
encrypt/4Q_256_2	-20	-30	647	601	461	781

**Table:** Key sizes for the suggested parameters for McNie using 4-QC-LRPC codes

# McNie vs other cryptosystems

Security Level	McNie		DC-LRPC	DC-MDPC	QD-Goppa	Goppa
	3-quasi	4-quasi	[3]	[5]	[6]	[2]
128	<b>3441</b>	<b>2775</b>	2809	9857	32768	1537536
192	<b>4551</b>	<b>3895</b>	-	-	45056	4185415
256	<b>6549</b>	<b>5035</b>	-	32771	65536	7667855

Table: Key-size (bits) comparison with other code-based cryptosystems

Security Level	McNie		NTRU	RSA	ECC	ECC AWC
	3-quasi	4-quasi				
128	<b>3441</b>	<b>2775</b>	4939	3072	256	277280
192	<b>4551</b>	<b>3895</b>	6523	7680	384	936618
256	<b>6549</b>	<b>5035</b>	8173	15360	512	1595434

Table: Comparison of key sizes (bits)

# Recent attack on McNie based on 3,4-QC LRPC codes by P. Gaborit

- Let  $\mathbf{m} = (m_1, m_2, \dots, m_l)$
- From  $\mathbf{c}_2 = \mathbf{m}F$ , we obtain  $n - k$  linear relations of the  $m_i$ 's. Hence, all the  $m_i$ 's can be expressed in terms of some fixed  $l - (n - k)$  coordinates.
- We can rewrite  $c_1$  as

$$c_1 = \mathbf{m}'G'' + \mathbf{e}$$

where  $G''$  is of dimension  $l - (n - k)$ .

- So we attack a code of dimension  $l - (n - k)$  instead of a code of dimension  $l$ .

# Improvement on generic attacks on RSD(Rank Syndrome Decoding) by Aragon, Gaborit, Hauteville, Tillich [1]

The attack is an adaptation of the ISD attack to RSD.

This improvement uses the  $\mathbb{F}_{q^m}$ -linearity of the code.

The main idea is to consider the code  $C' = C + \mathbb{F}_{q^m}\mathbf{e}$ . The problem is then reduced to finding a weight  $r$  codeword in  $C'$ .

Instead of looking for the support  $E$  of the error  $\mathbf{e}$ , we can look for a multiple  $\alpha E$ ,  $\alpha \in \mathbb{F}_{q^m}^*$ , of the support. This attack has complexity

$$\mathcal{O}(n - k)^3 m^3 q^{r \frac{(k+1)m}{n} - m}.$$



# Updated parameters for 3,4-QC LRPC codes

$n$	$l$	$k$	$d$	$r$	$m$	$q$	failure	Key Size (bytes)	security
120	80	80	3	8	53	2	-23	795	128
138	92	92	3	10	67	2	-25	1156	192
156	104	104	3	12	71	2	-27	1385	256

Table: New suggested parameters for McNie using 3-quasi-cyclic LRPC code

$n$	$l$	$k$	$d$	$r$	$m$	$q$	failure	Key Size (bytes)	security
92	46	69	3	10	59	2	-36	849	128
112	56	84	3	13	67	2	-38	1173	192
128	64	96	3	16	73	2	-36	1460	256

Table: New suggested parameters for McNie using 4-quasi-cyclic LRPC code

# Some parameters for McNie using Gabidulin codes

$n$	$l$	$k$	$q$	$m$	$r$	Key size (bits)	Security
42	36	26	2	42	8	87696	128
48	44	30	2	48	9	139392	192
55	50	33	2	55	11	211750	256

H. Rashwan, E. M. Gabidulin and B. Honary

Security of the GPT cryptosystem

**Table III.** Comparison of the three public-key cryptosystems.

PKC	Parameters	Public Key size (bits)	Complexity	Security
McEliece	Binary, $n = 1024$ , $K = 534$ , $t = 50$ .	$2^{19}$	$> 2^{64}$	Insecure Bernstein Attack
McEliece Modified 1	Binary, $n = 1632$ , $K = 1269$ , $t = 33$ .	$2^{19}$	$> 2^{64}$	Secure
McEliece Modified 2	Binary, $n = 2960$ , $K = 2288$ , $t = 56$ .	$2^{21}$	$> 2^{64}$	Secure
McEliece Modified 3	Binary, $n = 6624$ , $K = 5129$ , $t = 115$ .	$2^{23}$	$> 2^{64}$	Secure
Niederreiter	q-array, $n = 128$ , $d = 64$ , $r = 63$ .	32,000	$> 2^{21}$	Insecure
Niederreiter Modified 1	q-array, $n = 128$ , $d = 64$ , $r = 63$ .	32,000	$> 2^{75}$	Secure
GPT Modified	$q = 2^{20}$ , $n = 20$ , $d = 9$ , $k = 12$ , $t_1 = 1$ .	4800	$> 2^{46}$	Insecure Gibson Attack
GPT Modified 1	$q = 2^{20}$ , $n = 20$ , $d = 9$ , $k = 12$ , $t_1 = 2$ .	4800	$> 2^{86}$	Insecure Gibson Attack
GPT Modified 2	$q = 2^{20}$ , $n = 20$ , $d = 11$ , $k = 10$ , $t_1 = 3$ .	4800	$> 2^{86}$	Insecure Gibson Attack
GPT Modified 3	$q = 2^{29}$ , $n = 29$ , $d = 15$ , $k = 15$ , $t = 7$ , $t_1 = 4$ , $s = 1$ .	19 Kbits	$> 2^{160}$	Insecure Overbeck's Attack
GPT Modified 4	$q = 2^{32}$ , $n = 32$ , $d = 17$ , $k = 16$ , $t = 8$ , $t_1 = 4$ , $p = 3$ .	13 Kbits	$> 2^{164}$	Insecure Overbeck's Attack
GPT Reducible Rank codes	$q = 2^{20}$ , $N = 20$ , $n = 40$ , $k = 24$ , $t = 4$ , $t_1 = 3$ , $m = 10$ , $r = 2$ .	10 Kbits	$> 2^{70}$	Insecure Overbeck's Attack
Instrumental approach	$q = 2^{20}$ , $n = 20$ , $d = 11$ , $k = 10$ , $t = 5$ , $t_1 = 4$ .	4 Kbits	$> 2^{80}$	Secure against all known Attack

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THANK YOU  
VERY MUCH!