Efficient Key Recovery for all HFE Signature Variants

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Multivariate Cryptography

Public Key: System of multivariate quadratic polynomials

$$p^{(1)}(x_1, \dots, x_n) = \sum_{i=1}^n \sum_{j=i}^n p_{ij}^{(1)} \cdot x_i x_j + \sum_{i=1}^n p_i^{(1)} \cdot x_i + p_0^{(1)}$$

$$p^{(2)}(x_1, \dots, x_n) = \sum_{i=1}^n \sum_{j=i}^n p_{ij}^{(2)} \cdot x_i x_j + \sum_{i=1}^n p_i^{(2)} \cdot x_i + p_0^{(2)}$$

$$\vdots$$

$$p^{(m)}(x_1, \dots, x_n) = \sum_{i=1}^n \sum_{j=i}^n p_{ij}^{(m)} \cdot x_i x_j + \sum_{i=1}^n p_i^{(m)} \cdot x_i + p_0^{(m)}$$

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Security based on the

Problem MQ: Given *m* multivariate quadratic polynomials $p^{(1)}(\mathbf{x}), \ldots, p^{(m)}(\mathbf{x})$, find a vector $\bar{\mathbf{x}} = (\bar{x}_1, \ldots, \bar{x}_n)$ such that $p^{(1)}(\bar{\mathbf{x}}) = \ldots = p^{(m)}(\bar{\mathbf{x}}) = 0$.

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• isomorphism $\Phi : \mathbb{F}_q^n \to \mathbb{F}_{q^n}$

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- isomorphism $\Phi : \mathbb{F}_q^n \to \mathbb{F}_{q^n}$
- Easily invertible quadratic map $\mathcal{F} : \mathbb{F}_{q^n} \to \mathbb{F}_{q^n}$ $\Rightarrow \bar{\mathcal{F}} = \Phi^{-1} \circ \mathcal{F} \circ \Phi : \mathbb{F}_q^n \to \mathbb{F}_q^n$ is quadratic

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BigField Signature Schemes



Signature Verification

BigField Signature Schemes



Signature Verification

Signature Generation: Given: message $m \in \{0,1\}^*$, private key $\mathcal{T}, \mathcal{F}, \mathcal{S}$ compute recursively $\mathbf{w} = \mathcal{H}(m)$, $\mathbf{x} = \mathcal{T}^{-1}(\mathbf{w})$, $X = \Phi(\mathbf{x})$, $Y = \mathcal{F}^{-1}(X)$, $\mathbf{y} = \Phi^{-1}(Y)$ and $\mathbf{z} = \mathcal{S}^{-1}(\mathbf{y})$

BigField Signature Schemes



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HFEv^- - Key Generation

• BigField + Minus Modification + Vinegar Variation

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- central map $\mathcal{F}: \mathbb{F}_q^{\nu} \times \mathbb{F}_{q^n} \to \mathbb{F}_{q^n}$,

$$\mathcal{F}(X) = \sum_{0 \le i \le j}^{q^i + q^j \le D} \alpha_{ij} X^{q^i + q^j} + \sum_{i=0}^{q^i \le D} \beta_i(\mathbf{v}_1, \dots, \mathbf{v}_{\mathbf{v}}) \cdot X^{q^i} + \gamma(\mathbf{v}_1, \dots, \mathbf{v}_{\mathbf{v}})$$

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- \bullet Private key: $\mathcal{T},\ \mathcal{F},\ \mathcal{S}$

Given: message $m \in \{0,1\}^{\star}$, private key $\mathcal{T}, \mathcal{F}, \mathcal{S}$

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- Solution Choose random values for the vinegar variables v_1, \ldots, v_v

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Solve $\mathcal{F}_{v_1,...,v_v}(Y) = X$ over \mathbb{F}_{q^n} via Berlekamps algorithm

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Signature: $\mathbf{z} \in \mathbb{F}_q^{n+\nu}$.

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Given: signature $\mathbf{z} \in \mathbb{F}_q^{n+\nu}$, document $m \in \{0,1\}^{\star}$

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- Compute $\mathbf{w}' = \mathcal{P}(\mathbf{z}) \in \mathbb{F}_q^{n-a}$
- Accept the signature $\mathbf{z} \Leftrightarrow \mathbf{w}' = \mathbf{w}$.

• direct attack (signature forgery) degree of regularity is bounded by

$$\begin{cases} \frac{(q-1)(d+v+a-1)}{2} + 2 & \text{if } q \text{ is even and } d+a \text{ is odd,} \\ \frac{(q-1)(d+v+a)}{2} + 2 & \text{otherwise.} \end{cases} (d = \lfloor \log_q D \rfloor)$$

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$$\mathcal{O}\left(\binom{n+d+a+v+1}{d+a+v+1}^{\omega}\right),$$

Our Result

We propose a MinRank style attack against all HFE signature variants. The complexity of our attack is

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Preliminaries

• We use the matrix representation of the HFE central map, i.e.

$$\mathcal{F}(X, x_1, \dots, x_{\nu}) = (X, X^q, \dots, X^{q^{n-1}}, x_1, \dots, x_{\nu}) F^{*0}(X, X^q, \dots, X^{q^{n-1}}, x_1, \dots, x_{\nu})^t \text{ with}$$

$$F^{*0} = \begin{pmatrix} \alpha_{00} & \alpha_{01} & \cdots & \alpha_{0,n-1} & \gamma_{00} & \gamma_{01} & \cdots & \gamma_{0,\nu-1} \\ \alpha_{10} & \alpha_{11} & \cdots & \alpha_{1,n-1} & \gamma_{10} & \gamma_{11} & \cdots & \gamma_{1,\nu-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ \alpha_{n-1,0} & \alpha_{n-1,1} & \cdots & \alpha_{n-1,n-1} & \gamma_{n-1,0} & \gamma_{n-1,1} & \cdots & \gamma_{n-1,\nu-1} \\ \beta_{00} & \beta_{01} & \cdots & \beta_{0,n-1} & \delta_{00} & \delta_{01} & \cdots & \delta_{0,\nu-1} \\ \beta_{10} & \beta_{11} & \cdots & \beta_{1,n-1} & \delta_{10} & \delta_{11} & \cdots & \delta_{1,\nu-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ \beta_{\nu-1,0} & \beta_{\nu-1,1} & \cdots & \beta_{\nu-1,n-1} & \delta_{\nu-1,0} & \delta_{\nu-1,1} & \cdots & \delta_{\nu-1,\nu-1} \end{pmatrix}$$

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$$\Rightarrow \text{ We get}$$

$$\mathcal{F}^{q^{k}}(X, x_{1}, \dots, x_{v}) = (X, X^{q}, \dots, X^{q^{n-1}}, x_{1}, \dots, x_{v}) F^{*k}(X, X^{q}, \dots, X^{q^{n-1}}, x_{1,v}, x_{v})^{t}, y_{0}$$

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• We use a morphism $\Phi: \mathbb{F}_{q^n} \to \mathbb{F}_q^n$ given by the matrix

$$M = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ \theta & \theta^{q} & \cdots & \theta^{q^{n-1}} \\ \vdots & \vdots & \ddots & \vdots \\ \theta^{n-1} & (\theta^{n-1})^{q} & \cdots & (\theta^{n-1})^{q^{n-1}} \end{pmatrix},$$

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• We get $\Phi(V) = (V, V^q, \dots, V^{q^{n-1}}) \cdot M^{-1} =: (v_1, \dots, v_n)$ and $\Phi^{-1}(v_1, \dots, v_n) = \text{first component of } (v_1, \dots, v_n) \cdot M$

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- In order to cover the Vinegar variables, we define

$$\widetilde{M} = \left(\begin{array}{cc} M & 0\\ 0 & I_{\nu} \end{array}\right)$$

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$$(v_1, v_2, \cdots, v_n, x_1, \cdots, x_v) \cdot \widetilde{M} = (V, V^q, \cdots, V^{q^{n-1}}, x_1, \cdots, x_v),$$

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• Let S and T be the matrices representing the linear parts of S and T. From $\mathcal{F} = (X, X^{q}, \cdots, X^{q^{n-1}}, x_{1}, \cdots, x_{v}) F^{*0} (X, X^{q}, \cdots, X^{q^{n-1}}, x_{1}, \cdots, x_{v})^{t},$ we find

$$\left(\widetilde{M}^{-1} S^{-1} P_0(S^{-1})^t (\widetilde{M}^{-1})^t, \cdots, \widetilde{M}^{-1} S^{-1} P_{n-a-1}(S^{-1})^t (\widetilde{M}^{-1})^t \right)$$

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• Denoting $U = \widetilde{M}^{-1}S^{-1}$ and $W = M^{-1}T$ yields $(UP_0U^t, \cdots, UP_{n-a-1}U^t) = (F^{*0}, \cdots, F^{*n-1})W_{n-a-1} = 0$

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$$(UP_0U^t,\cdots,UP_{n-a-1}U^t)=(F^{*0},\cdots,F^{*n-1})W_{\text{COD}}$$

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07.06.2021 12/22



• Let \mathbf{a}_i be the first row of the matrix $F^{\star i}$ (i = 1, ..., n-1)

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Recovering S

- Let \mathbf{a}_i be the first row of the matrix $F^{\star i}$ (i = 1, ..., n-1)
- We can show

Lemma

The rank of the matrix
$$Q = W^t \cdot \begin{pmatrix} \mathbf{a}_0 \\ \vdots \\ \mathbf{a}_{n-1} \end{pmatrix}$$
 is at most $d = \lceil \log_q(D) \rceil$.

In particular, we have

$$\begin{array}{c} \mathbf{a}_{0} \\ \mathbf{a}_{1} \\ \cdots \\ \mathbf{a}_{n-1} \end{array} \right) = \left(\begin{array}{c} A_{1} \\ 0 \\ A_{2} \end{array} \right)$$

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Key Recovery for HFE Signatures

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Recovering S(2)

• From the Lemma we directly follow

Theorem

Let $\mathbf{u} = (u_0, u_1, \dots, u_{n+\nu-1})$ be the first row of U and $\mathbf{b}_i = (u_0, u_1, \dots, u_{n+\nu-1})P_i$, ($i = 0, 1, \dots, n-a$). Define $Z \in \mathcal{M}_{(n-a)\times(n+\nu)}(\mathbb{F}_{q^n})$ as the matrix whose row vectors are the \mathbf{b}_i . Then the rank of Z is at most d.

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• Furthermore we get

Lemma

Let A be an $m \times n$ matrix over \mathbb{F}_q and $B = [b_{ij}] = M^{-1}A$. Then we have

$$b_{ij} = b_{i-1,j}^q$$
, for all i, j , with $0 \le i < n, 0 \le j < m$.

i.e. the matrix B is determined by its first row.

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- The remaining rows of U can be chosen at random such that U is invertible

Recovering S - The Algorithm

Input: HFEv- parameters (q, n, v, D, a), matrices (P_0, \dots, P_{n-a-1}) , matrix \overline{M} **Output:** Equivalent linear transformation S.

- 1: Set $\mathbf{b}_i = (1, u_1, \cdots, u_{n+\nu-1})P_i$, $0 \le i < n-a$, where $(u_1, \cdots, u_{n+\nu-1})$ are unknowns.
- 2: Construct a matrix Z whose row vectors are \mathbf{b}_i , $0 \le i < n a$.
- 3: Solve a MinRank problem for Z to find the unknowns $u_1, \cdots u_{n+\nu-1}$.

4: Set
$$U = \begin{pmatrix} 1 & u_1 & \cdots & u_{n+\nu-1} \\ 1 & u_1^q & \cdots & u_{n+\nu-1}^q \\ \vdots & \vdots & \ddots & \vdots \\ 1 & u_1^{q^{n-1}} & \cdots & u_{n+\nu-1}^{q^{n-1}} \\ r_{00} & r_{01} & \cdots & r_{0,n+\nu-1} \\ \vdots & \vdots & \ddots & \vdots \\ r_{\nu-1,0} & r_{\nu-1,1} & \cdots & r_{\nu-1,n+\nu-1} \end{pmatrix}$$
,
5: Compute $S' = (\widetilde{M}U)^{-1}$.
6: **return** S' .

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Recovering ${\mathcal F}$ and ${\mathcal T}$

We can show

Lemma

As soon as U is known, we can recover F^{*0} by solving a determined linear system with n - a - 1 variables, $(d + a) \cdot (n + v)$ additional linear equations in at most d + v variables, and $\binom{v+1}{2}$ univariate polynomial equations of degree q^d .

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- We can use $F^{\star 0}$ to compute all $F^{\star i}$ $(i = 1, \dots, n-1)$
- Furthermore we get

Lemma

As soon as the matrices $F^{*j}(0 \le j < n)$ are known, T can be recovered by solving a system of n - a linear equations in n variables.

Complexity of the Attack

• Most costly Step: Solution of the MinRank problem (target rank d)

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- Most costly Step: Solution of the MinRank problem (target rank d)
- Two Possibilities
- Minors Modelling: Degree of Regularity in F_4 : d + 1

$$\mathcal{O}\left(\begin{pmatrix} n+v+d+1\\ d+1 \end{pmatrix}^{\omega}
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Complexity of the Attack

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$$\mathcal{O}\left(\binom{n+v+d+1}{d+1}^{\omega} \right),$$

• Support Minors Modelling

we don't have a unique solution of the MinRank Problem \Rightarrow We solve the system by F_4 Experiments \Rightarrow degree of regularity 3

$$\mathcal{O}\left((n+v)^2\binom{2d+2}{d}+(n+v)\binom{2d+2}{d}^2\right)^{\omega}$$

NIST			required	our attack using	
security		parameters	security	minors	support minors
category		(q, n, v, D, a)	level	modeling	modeling
I	GeMSS128	(2,174,12,513,12)		139	118
	BlueGeMSS128	(2,175,14,129,13)	143	119	99
	RedGeMSS128	(2,177,15,17,15)		86	72
11	GeMSS192	(2,265,20,513,22)		154	120
	BlueGeMSS192	(2,265,23,129,22)	207	132	101
	RedGeMSS192	(2,266,25,17,23)		95	75
	GeMSS256	(2,354,33,513,30)		166	121
	BlueGeMSS256	(2,358,32,129,34)	272	141	103
	RedGeMSS256	(2,358,35,17,34)		101	76

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• The proposed parameters for GeMMS don't reach the required security levels.

Image: A image: A

- **1** The proposed parameters for GeMMS don't reach the required security levels.
- Speeding up the signature generation process of GeMSS by decreasing D while increasing a and v is not possible.
 - \Rightarrow Modifications as in BlueGeMSS and RedGeMSS are not possible

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So For high levels of security, we need very high values of D
 e.g. NIST security level III: d ≥ 20 or D ≥ 2¹⁹ + 1 = 524.289
 ⇒ Drastical slow down of the signature generation process

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So For high levels of security, we need very high values of D
 e.g. NIST security level III: d ≥ 20 or D ≥ 2¹⁹ + 1 = 524.289
 ⇒ Drastical slow down of the signature generation process

The Techniques used in GeMSS don't suffice to create a HFE based signature scheme which is both efficient and reaches high levels of security

Conclusion

We proposed a new MinRank type attack against HFE signature variants. The complexity is

$$\mathcal{O}\left(\begin{pmatrix} n+d+v+1 \\ d+1 \end{pmatrix}^{\omega}
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- exponential in d
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Consequences

- We can't speed up HFEv⁻ by decreasing d while increasing a and v
- For high levels of security we need a large d

 \Rightarrow Can we build an HFE based signature scheme which is both efficient and offers a high level of security?

Thank you for your Attention

Find our paper at https://eprint.iacr.org/2020/1424.pdf

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Key Recovery for HFE Signatures

 Image: bold with the second second