# Boolean Functions with Multiplicative <br> Complexity 3 and 4 

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## Motivation

## General Circuit Complexity Problem

Given a basis of Boolean gates, construct a circuit that computes a function that is optimal w.r.t. to some criteria.

Multiplicative Complexity (MC) of $f$, denoted $C_{\wedge}(f)$, is the minimum number of AND gates that is sufficient to evaluate $f$ over the basis (AND, XOR, NOT).

- Relevant for side channel resistance, secure multi-party computation, cryptanalysis etc.


## Some Properties of Multiplicative Complexity

- MC of a randomly selected $n$-variable Boolean function is at least $2^{n / 2}-\mathcal{O}(n)$ with high probability [BPP00].
- MC of a function with degree $d$ is at least $d-1$ (degree bound).
- MC is affine invariant.
- Boolean functions $f, g \in B_{n}$ are affine equivalent if there exists a transformation of the form $f(x)=g(A x+a)+b \cdot x+c$, where $A \in G L(n, 2) ; a, b \in \mathbb{F}_{2}^{n}$, and $c \in \mathbb{F}_{2}$.
- The set of affine equivalent functions constitute an equivalence class denoted by $[f]$, where $f$ is an arbitrary function from the class.
- Affine equivalent Boolean functions have the same MC.


## Enumeration by number of variables

MC distribution is known for up to 6 -variables:

- $C_{\wedge}(f) \leq n-1$ for $f \in B_{n}, n \leq 5$ [TP14],
- $C_{\wedge}(f) \leq 6$ for $f \in B_{6}$ [CTP18].

The method is infeasible for $n \geq 7$, due to the large number of affine equivalence classes and topologies.

## Enumeration by multiplicative complexity

Exhaustively construct all Boolean topologies with 1,2, 3, ... AND gates, and evaluate the topologies until a function from $[f]$ is generated.

- Topology: Abstraction of a Boolean circuit that shows the relations between AND gates


Topology


## Boolean functions with MC 1 and 2

## Boolean functions with MC 1 [FP02]

- Functions with MC 1 are affine equivalent to $x_{1} x_{2}$.
- The number of $n$-variable Boolean functions with MC 1 is $2\binom{2^{n}}{3}$.


## Boolean functions with MC 2 [FTT17]

- Functions with MC 2 are affine equivalent to one of the functions from the set $\left\{x_{1} x_{2} x_{3}, x_{1} x_{2} x_{3}+x_{1} x_{4}, x_{1} x_{2}+x_{3} x_{4}\right\}$.
- The number of $n$-variable Boolean functions with MC 2 is

$$
2^{n}\left(2^{n}-1\right)\left(2^{n}-2\right)\left(2^{n}-4\right)\left(\frac{2}{21}+\frac{2^{n}-8}{12}+\frac{2^{n}-8}{360}\right) .
$$

## Boolean functions with MC 3 and 4

This work: Find exhaustive list of equivalence classes with MC 3 and 4 .

## Approach

Step 1. Construct Boolean circuits (topologies) with 3 and 4 AND gates.
Step 2. Evaluate the circuits to generate Boolean functions.
Step 3. Identify distinct affine equivalence classes with MC 3 and 4 .

## Constructing Topologies [CTP18]

Topologies with 1 AND gate


Topologies with 2 AND gates

 and


Topologies with 3 AND gates


Number of topologies with 4 AND gates is 84 .

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## Evaluating Topologies to Generate Boolean Functions

- A topology with $k$ AND gates can be supplied $2 k$ linear function inputs $X=\left(L_{1}, \ldots, L_{2 k}\right)$.
- Any affine transformation of the inputs



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- A topology with $k$ AND gates can be supplied $2 k$ linear function inputs $X=\left(L_{1}, \ldots, L_{2 k}\right)$.
- Any affine transformation of the inputs
 $A(X)=\left(A\left(L_{1}\right), \ldots, A\left(L_{2 k}\right)\right)$ will produce a function from the same equivalence class. Hence, the inputs that are affine transformations of each other need not be considered.


Warning: One topology can correspond to multiple equivalence classes of functions.

## Dimension of a Boolean function

The following functions are affine equivalent:

$$
\begin{aligned}
& x_{1} x_{2} \\
& x_{1} x_{3}+x_{1} x_{4}+x_{2} x_{3}+x_{2} x_{4}
\end{aligned}
$$

Affine transformations can eliminate variables.
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Definition. Let $L_{f}$ be the number of input variables that appear in the algebraic normal form (ANF) of a Boolean function $f$. The dimension of $f$ is the smallest number of variables that appear in the ANF among the functions that are affine equivalent to $f$ :

$$
\operatorname{dim}(f)=\min _{g \in[f]} L_{g}
$$

## Autocorrelation, Linear Structures, and Dimension

The autocorrelation of a Boolean function $f$ at $\alpha \in \mathbb{F}_{2}^{n}$ is

$$
R_{f}(\alpha)=\sum_{x \in \mathbb{F}_{2}^{n}}(-1)^{f(x)+f(x+\alpha)}
$$

The autocorrelation spectrum of $f$ is the vector $\left[R_{f}(0), \ldots, R_{f}\left(2^{n}-1\right)\right]$.
$\alpha \in \mathbb{F}_{2}^{n}$ is a linear structure ${ }^{1}$ of $f$ if $f(x)+f(x+\alpha)$ is constant.
The linearity dimension of $f$ is equal to

$$
d_{l}(f)=\log _{2} \#\left\{\left|R_{f}(\alpha)\right|=2^{n}, \alpha \in \mathbb{F}_{2}^{n}\right\} .
$$

Observation: the dimension of an $n$-variable Boolean function is:

$$
\operatorname{dim}(f)=n-d_{l}(f) .
$$

${ }^{1}$ X. Lai, Additive and Linear Structures of Cryptographic Functions, FSE'94.

## A New MC Lower Bound based on Dimension

## Theorem

For $f \in B_{n}, C_{\wedge}(f) \geq\lceil\operatorname{dim}(f) / 2\rceil$.

## Sketch of the proof.

1. Let $C_{\wedge}(f)=k$, consider a circuit implementing $f$ with $k$ AND gates.
2. The topology with $k$ AND gates has $2 k$ linear function inputs.
3. The rank of $2 k$ linear functions can be at most $2 k$.
4. Any set of $2 k$ linear functions on $n>2 k$ variables can be affine transformed to functions having at most $2 k$ variables.
5. Therefore, $\operatorname{dim}(f) \leq 2 k$, which implies $C_{\wedge}(f) \geq\lceil\operatorname{dim}(f) / 2\rceil$.

Example. Let $f=\Sigma_{4}^{8}=x_{1} x_{2} x_{3} x_{4}+\ldots+x_{5} x_{6} x_{7} x_{8}$. According to the degree bound, $C_{\wedge}(f) \geq 3$. By dimension bound, $C_{\wedge}(f) \geq 8 / 2=4$.

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## Affine Equivalence Classes with MC 3

Dimension 4:

| $x_{1} x_{2} x_{3} x_{4}$ |
| :--- |
| $x_{1} x_{2}+x_{1} x_{2} x_{3} x_{4}$ |
| $x_{2} x_{3}+x_{1} x_{4}+x_{1} x_{2} x_{3} x_{4}$ |

## Dimension 5:

| $x_{3} x_{4}+x_{1} x_{5}+x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ | $x_{3} x_{4}+x_{1} x_{3} x_{4}+x_{1} x_{2} x_{5}$ |
| :--- | :--- |
| $x_{2} x_{4}+x_{1} x_{5}+x_{1} x_{2} x_{3}$ | $x_{4} x_{5}+x_{1} x_{2} x_{3}$ |
| $x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ | $x_{1} x_{3} x_{4}+x_{1} x_{2} x_{5}$ |
| $x_{2} x_{3} x_{5}+x_{1} x_{4} x_{5}+x_{1} x_{2} x_{3} x_{4}$ | $x_{3} x_{5}+x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ |
| $x_{1} x_{3}+x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ | $x_{3} x_{4}+x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ |
| $x_{1} x_{5}+x_{1} x_{2} x_{3} x_{4}$ | $x_{2} x_{3}+x_{1} x_{5}+x_{1} x_{2} x_{3} x_{4}$ |
| $x_{2} x_{3}+x_{2} x_{3} x_{5}+x_{1} x_{4} x_{5}+x_{1} x_{2} x_{3} x_{4}$ | $x_{1} x_{5}+x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ |

Dimension 6:

| $x_{3} x_{4}+x_{2} x_{5}+x_{1} x_{6}$ | $x_{1} x_{6}+x_{1} x_{3} x_{4}+x_{1} x_{2} x_{5}$ |
| :--- | :--- |
| $x_{3} x_{4}+x_{1} x_{6}+x_{1} x_{3} x_{4}+x_{1} x_{2} x_{5}$ | $x_{4} x_{5}+x_{1} x_{6}+x_{1} x_{2} x_{3}$ |
| $x_{1} x_{6}+x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ | $x_{5} x_{6}+x_{3} x_{4} x_{5}+x_{1} x_{2} x_{6}+x_{1} x_{2} x_{3} x_{4}$ |
| $x_{3} x_{4}+x_{1} x_{6}+x_{1} x_{2} x_{5}+x_{1} x_{2} x_{3} x_{4}$ |  |

## Number of Boolean functions with MC 3

The number of $n$-variable Boolean functions with MC 3 is

$$
2^{n-4} \prod_{i=0}^{3} \frac{2^{n}-2^{i}}{2^{4}-2^{i}} s_{4}+2^{n-5} \prod_{i=0}^{4} \frac{2^{n}-2^{i}}{2^{5}-2^{i}} s_{5}+2^{n-4} \prod_{i=0}^{5} \frac{2^{n}-2^{i}}{2^{6}-2^{i}} s_{6},
$$

where

$$
\begin{array}{ll}
s_{4} & =32768 \\
s_{5} & =1576479744 \\
s_{6} & =183894007808
\end{array}
$$

## Affine Equivalence Classes with MC 4

After evaluating 84 topologies with 4 AND gates, we obtained

- 26 classes with dimension 5 ,
- 888 classes with dimension 6 ,
- 321 classes with dimension 7,
- 42 classes with dimension 8 .

Complete list is available at:
https://github.com/usnistgov/Circuits/tree/master/data/mc_dim

## Conclusion

- Provided a new lower bound for the MC of Boolean functions based on their dimension.
- Identified all equivalence classes with MC 3 (24 classes) and MC 4 (1277 classes).
- Ongoing. The identification of classes with MC 5 is still in progress.

| MC | dimension |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | Total |
| 1 | 1 |  |  |  |  |  |  |  |  |  |  | 1 |
| 2 |  | 1 | 2 |  |  |  |  |  |  |  |  | 3 |
| 3 |  |  | 3 | 14 | 7 |  |  |  |  |  |  | 24 |
| 4 |  |  |  | 26 | 888 | 321 | 42 |  |  |  |  | 1277 |
| 5 |  |  |  |  | 148483 | * | * | * | 575 |  |  | * |
| 6 |  |  |  |  | 931 | * | * | * | * | * | * | * |

Table 1: The Distribution of Classes w.r.t MC and Dimension.

## More Information

NIST Circuit Complexity Project Webpage:
https://csrc.nist.gov/Projects/Circuit-Complexity
GitHubLink:
https://github.com/usnistgov/Circuits/
Contact email:
circuit_complexity@nist.gov

## References

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## Computing the Autocorrelation Spectrum

## Wiener-Khintchine Theorem

The autocorrelation spectrum and the Walsh spectrum of a Boolean function are related in the following way:

$$
\left[R_{f}(0), \ldots, R_{f}\left(2^{n}-1\right)\right]=\frac{1}{2^{n}}\left[W_{f}^{2}(0), \ldots, W_{f}^{2}\left(2^{n}-1\right)\right] H_{n}
$$

where $H_{n}$ is the Sylvester-Hadamard matrix of order $2^{n}$.
Computing the autocorrelation spectrum of $f \in \mathcal{B}_{n}$ can be carried out as follows:

1. Compute the Walsh spectrum of $f$ using Fast Walsh Transform.
2. Take the squares of Walsh spectrum entries.
3. Apply another Fast Walsh Transform to the resulting sequence.
4. Divide each entry by $2^{n}$.

The complexity of computing the autocorrelation spectrum is $\mathcal{O}\left(n 2^{n}\right)$

## Autocorrelation-ANF Relationship

Any Boolean function can be expressed in the form

$$
f(x)=x_{i} g_{1}(x)+g_{2}(x)
$$

where the functions $g_{1}(x)$ and $g_{2}(x)$ do not depend on $x_{i}$. Let $\alpha_{i} \in \mathbb{F}_{2}^{n}=e_{i}$, i.e., $w_{H}\left(\alpha_{i}\right)=1$. Then,

$$
\begin{aligned}
R_{f}\left(\alpha_{i}\right) & =f(x)+f\left(x+\alpha_{i}\right) \\
& =\left[x_{i} g_{1}(x)+g_{2}(x)\right]+\left[\left(x_{i}+1\right) g_{1}(x)+g_{2}(x)\right] \\
& =g_{1}(x)
\end{aligned}
$$

If $\left|R_{f}\left(\alpha_{i}\right)\right|=2^{n}$ implies $g_{1}(x)$ is constant. Also,

- If $g_{1}(x)=0$ then $x_{i}$ does not appear in the ANF.
- If $g_{1}(x)=1$ then $f(x)=x_{i}+g_{2}(x)$, i.e., $x_{i}$ appears as a linear term.
- Conclusion. $f$ is either independent of $x_{i}$ or can be transformed to a function that is independent of $x_{i}$.

