Boolean Functions with Multiplicative Complexity 3 and 4

Çağdaş Çalık, Meltem Sönmez Turan, **René Peralta** National Instutite of Standards and Technology (Gaithersburg MD, USA)

Boolean Functions and Applications, Florence, Italy 2019

General Circuit Complexity Problem

Given a basis of Boolean gates, construct a circuit that computes a function that is optimal w.r.t. to some criteria.

Multiplicative Complexity (MC) of f, denoted $C_{\wedge}(f)$, is the minimum number of AND gates that is sufficient to evaluate f over the basis (AND, XOR, NOT).

• Relevant for side channel resistance, secure multi-party computation, cryptanalysis etc.

- MC of a randomly selected *n*-variable Boolean function is at least $2^{n/2} O(n)$ with high probability [BPP00].
- MC of a function with degree d is at least d 1 (degree bound).
- MC is affine invariant.
 - Boolean functions f, g ∈ B_n are affine equivalent if there exists a transformation of the form f(x) = g(Ax + a) + b ⋅ x + c, where A ∈ GL(n,2); a, b ∈ ℝ₂ⁿ, and c ∈ ℝ₂.
 - The set of affine equivalent functions constitute an equivalence class denoted by [f], where f is an arbitrary function from the class.
 - Affine equivalent Boolean functions have the same MC.

MC distribution is known for up to 6-variables:

• $C_{\wedge}(f) \leq n-1$ for $f \in B_n$, $n \leq 5$ [TP14],

•
$$C_{\wedge}(f) \leq 6$$
 for $f \in B_6$ [CTP18].

The method is infeasible for $n \ge 7$, due to the large number of affine equivalence classes and topologies.

Exhaustively construct all Boolean topologies with 1,2, 3, ... AND gates, and evaluate the topologies until a function from [f] is generated.

• Topology: Abstraction of a Boolean circuit that shows the relations between AND gates



Topology

Boolean functions with MC 1 [FP02]

- Functions with MC 1 are affine equivalent to x_1x_2 .
- The number of *n*-variable Boolean functions with MC 1 is $2\binom{2^n}{3}$.

Boolean functions with MC 2 [FTT17]

- Functions with MC 2 are affine equivalent to one of the functions from the set {x₁x₂x₃, x₁x₂x₃ + x₁x₄, x₁x₂ + x₃x₄}.
- The number of *n*-variable Boolean functions with MC 2 is

$$2^{n}(2^{n}-1)(2^{n}-2)(2^{n}-4)\left(\frac{2}{21}+\frac{2^{n}-8}{12}+\frac{2^{n}-8}{360}\right).$$

This work: Find exhaustive list of equivalence classes with MC 3 and 4.

Approach

- Step 1. Construct Boolean circuits (topologies) with 3 and 4 AND gates.
- Step 2. Evaluate the circuits to generate Boolean functions.
- Step 3. Identify distinct affine equivalence classes with MC 3 and 4.

Constructing Topologies [CTP18]

Topologies with 1 AND gate



Topologies with 2 AND gates





Topologies with 3 AND gates



Number of topologies with 4 AND gates is 84.

- This work: Find exhaustive list of equivalence classes with MC 3 and 4. Approach
- **Step 1.** Construct Boolean circuits (topologies) with 3 and 4 AND gates.
- Step 2. Evaluate the circuits to generate Boolean functions.
- Step 3. Identify distinct affine equivalence classes with MC 3 and 4.

Evaluating Topologies to Generate Boolean Functions

- A topology with k AND gates can be supplied 2k linear function inputs $X = (L_1, \dots, L_{2k})$.
- Any affine transformation of the inputs
 A(X) = (A(L₁),..., A(L_{2k})) will produce a function from the same equivalence class.
 Hence, the inputs that are affine transformations of each other need not be considered.



Evaluating Topologies to Generate Boolean Functions

- A topology with k AND gates can be supplied 2k linear function inputs $X = (L_1, \ldots, L_{2k})$.
- Any affine transformation of the inputs
 A(X) = (A(L₁),..., A(L_{2k})) will produce a function from the same equivalence class.
 Hence, the inputs that are affine transformations of each other need not be considered.



Warning: One topology can correspond to multiple equivalence classes of functions.

Dimension of a Boolean function

The following functions are affine equivalent:

 $x_1 x_2$

 $x_1x_3 + x_1x_4 + x_2x_3 + x_2x_4$

Affine transformations can eliminate variables.

It is easier to work on smaller number of variables.

The following functions are affine equivalent:

 $x_1 x_2$ $x_1 x_3 + x_1 x_4 + x_2 x_3 + x_2 x_4$

Affine transformations can eliminate variables.

It is easier to work on smaller number of variables.

Definition. Let L_f be the number of input variables that appear in the *algebraic normal form* (ANF) of a Boolean function f. The dimension of f is the smallest number of variables that appear in the ANF among the functions that are affine equivalent to f:

 $dim(f) = \min_{g \in [f]} L_g.$

Autocorrelation, Linear Structures, and Dimension

The autocorrelation of a Boolean function f at $\alpha \in \mathbb{F}_2^n$ is

$$R_f(\alpha) = \sum_{x \in \mathbb{F}_2^n} (-1)^{f(x) + f(x+\alpha)}.$$

The autocorrelation spectrum of f is the vector $[R_f(0), \ldots, R_f(2^n - 1)]$. $\alpha \in \mathbb{F}_2^n$ is a linear structure¹ of f if $f(x) + f(x + \alpha)$ is constant. The linearity dimension of f is equal to

$$d_l(f) = \log_2 \#\{|R_f(\alpha)| = 2^n, \alpha \in \mathbb{F}_2^n\}.$$

Observation: the dimension of an *n*-variable Boolean function is:

$$dim(f) = n - d_l(f).$$

¹X. Lai, Additive and Linear Structures of Cryptographic Functions, FSE'94.

Theorem For $f \in B_n$, $C_{\wedge}(f) \ge \lceil \dim(f)/2 \rceil$.

Sketch of the proof.

- 1. Let $C_{\wedge}(f) = k$, consider a circuit implementing f with k AND gates.
- 2. The topology with k AND gates has 2k linear function inputs.
- 3. The rank of 2k linear functions can be at most 2k.
- Any set of 2k linear functions on n > 2k variables can be affine transformed to functions having at most 2k variables.
- 5. Therefore, $dim(f) \leq 2k$, which implies $C_{\wedge}(f) \geq \lceil dim(f)/2 \rceil$.

Example. Let $f = \Sigma_4^8 = x_1 x_2 x_3 x_4 + \ldots + x_5 x_6 x_7 x_8$. According to the degree bound, $C_{\wedge}(f) \ge 3$. By dimension bound, $C_{\wedge}(f) \ge 8/2 = 4$.

- This work: Find exhaustive list of equivalence classes with MC 3 and 4. Approach
- **Step 1.** Construct Boolean circuits (topologies) with 3 and 4 AND gates.
- Step 2. Evaluate the circuits to generate Boolean functions.
- Step 3. Identify distinct affine equivalence classes with MC 3 and 4.

Affine Equivalence Classes with MC 3

Dimension 4:

 $x_1 x_2 x_3 x_4$

 $x_1x_2 + x_1x_2x_3x_4$

 $x_2x_3 + x_1x_4 + x_1x_2x_3x_4$

Dimension 5:

$x_3x_4 + x_1x_5 + x_1x_2x_5 + x_1x_2x_3x_4$	$x_3x_4 + x_1x_3x_4 + x_1x_2x_5$
$x_2x_4 + x_1x_5 + x_1x_2x_3$	$x_4x_5 + x_1x_2x_3$
$x_1x_2x_5 + x_1x_2x_3x_4$	$x_1x_3x_4 + x_1x_2x_5$
$x_2x_3x_5 + x_1x_4x_5 + x_1x_2x_3x_4$	$x_3x_5 + x_1x_2x_5 + x_1x_2x_3x_4$
$x_1x_3 + x_1x_2x_5 + x_1x_2x_3x_4$	$x_3x_4 + x_1x_2x_5 + x_1x_2x_3x_4$
$x_1x_5 + x_1x_2x_3x_4$	$x_2x_3 + x_1x_5 + x_1x_2x_3x_4$
$x_2x_3 + x_2x_3x_5 + x_1x_4x_5 + x_1x_2x_3x_4$	$x_1x_5 + x_1x_2x_5 + x_1x_2x_3x_4$

Dimension 6:

$x_3x_4 + x_2x_5 + x_1x_6$	$x_1x_6 + x_1x_3x_4 + x_1x_2x_5$
$x_3x_4 + x_1x_6 + x_1x_3x_4 + x_1x_2x_5$	$x_4x_5 + x_1x_6 + x_1x_2x_3$
$x_1x_6 + x_1x_2x_5 + x_1x_2x_3x_4$	$x_5x_6 + x_3x_4x_5 + x_1x_2x_6 + x_1x_2x_3x_4$
$x_3x_4 + x_1x_6 + x_1x_2x_5 + x_1x_2x_3x_4$	

The number of *n*-variable Boolean functions with MC 3 is

$$2^{n-4}\prod_{i=0}^{3}\frac{2^{n}-2^{i}}{2^{4}-2^{i}}\mathbf{s}_{4}+2^{n-5}\prod_{i=0}^{4}\frac{2^{n}-2^{i}}{2^{5}-2^{i}}\mathbf{s}_{5}+2^{n-4}\prod_{i=0}^{5}\frac{2^{n}-2^{i}}{2^{6}-2^{i}}\mathbf{s}_{6},$$

where

$$s_4 = 32768,$$

 $s_5 = 1576479744,$
 $s_6 = 183894007808.$

After evaluating 84 topologies with 4 AND gates, we obtained

- 26 classes with dimension 5,
- 888 classes with dimension 6,
- 321 classes with dimension 7,
- 42 classes with dimension 8.

Complete list is available at:

https://github.com/usnistgov/Circuits/tree/master/data/mc_dim

Conclusion

- Provided a new lower bound for the MC of Boolean functions based on their dimension.
- Identified all equivalence classes with MC 3 (24 classes) and MC 4 (1277 classes).
- Ongoing. The identification of classes with MC 5 is still in progress.

MC	dimension											
	2	3	4	5	6	7	8	9	10	11	12	Total
1	1											1
2		1	2									3
3			3	14	7							24
4				26	888	321	42					1277
5					148483	*	*	*	575			*
6					931	*	*	*	*	*	*	*

 Table 1: The Distribution of Classes w.r.t MC and Dimension.

NIST Circuit Complexity Project Webpage:

https://csrc.nist.gov/Projects/Circuit-Complexity

GitHubLink:

https://github.com/usnistgov/Circuits/

Contact email:

circuit_complexity@nist.gov

References

[BPP00] J. Boyar, R. Peralta, and D. Pochuev, "On the multiplicative complexity of Boolean functions over the basis ($\land, \oplus, 1$), Theoretical Computer Science, vol. 235, no. 1, pp. 43 – 57, 2000.

[CTP18] Ç. Çalık, M. Sönmez Turan, R. Peralta, The Multiplicative Complexity of 6-variable Boolean Functions, Cryptography and Communications 2018.

[FP02] M. J. Fischer and R. Peralta. Counting Predicates of Conjunctive Complexity One. Yale Technical Report 1222, February 2002.

[FTT17] M. G. Find, D. Smith-Tone, M. Sönmez Turan, The Number of Boolean Functions with Multiplicative Complexity 2, International Journal of Information and Coding Theory, 2017.

[Lai94] X. Lai, Additive and Linear Structures of Cryptographic Functions, FSE 1994, LNCS 1008, Springer-Verlag, pp. 75–85, 1994.

[Nyb92] K. Nyberg, On the Construction of Highly Nonlinear Permutations, Eurocrypt'92.

[TP14] M. Sönmez Turan and R. Peralta. The Multiplicative Complexity of Boolean functions on Four and Five Variables. LightSec 2014, Turkey.

Wiener-Khintchine Theorem

The autocorrelation spectrum and the Walsh spectrum of a Boolean function are related in the following way:

$$[R_f(0),\ldots,R_f(2^n-1)] = \frac{1}{2^n} [W_f^2(0),\ldots,W_f^2(2^n-1)]H_n,$$

where H_n is the Sylvester-Hadamard matrix of order 2^n .

Computing the autocorrelation spectrum of $f \in B_n$ can be carried out as follows:

- 1. Compute the Walsh spectrum of f using Fast Walsh Transform.
- 2. Take the squares of Walsh spectrum entries.
- 3. Apply another Fast Walsh Transform to the resulting sequence.
- 4. Divide each entry by 2^n .

The complexity of computing the autocorrelation spectrum is $\mathcal{O}(n2^n)$

Autocorrelation-ANF Relationship

Any Boolean function can be expressed in the form

$$f(x) = x_i g_1(x) + g_2(x),$$

where the functions $g_1(x)$ and $g_2(x)$ do not depend on x_i . Let $\alpha_i \in \mathbb{F}_2^n = e_i$, i.e., $w_H(\alpha_i) = 1$. Then,

$$R_f(\alpha_i) = f(x) + f(x + \alpha_i)$$

= $[x_i g_1(x) + g_2(x)] + [(x_i + 1)g_1(x) + g_2(x)]$
= $g_1(x)$

If $|R_f(\alpha_i)| = 2^n$ implies $g_1(x)$ is constant. Also,

- If $g_1(x) = 0$ then x_i does not appear in the ANF.
- If $g_1(x) = 1$ then $f(x) = x_i + g_2(x)$, i.e., x_i appears as a linear term.
- Conclusion. *f* is either independent of *x_i* or can be transformed to a function that is independent of *x_i*.