## A New Attack on the LUOV Schemes

## Jintai Ding, Zheng Zhang, Joshua Deaton, Kurt Schmidt, Vishakha FNU

University of Cincinnati
jintai.ding@gmail.com

The 2nd NIST PQC workshop, Aug. 23, 2019

## Overview

(1) General Construction of MPKC signature scheme
(2) Oil Vinegar Signature Scheme
(3) The Idea of the Attack
4. Toy Example
(5) Attack Complexity on LUOV

6 Why SDA is not a Threat to UOV or Rainbow
(7) Conclusion

## Multivariate Signature schemes

- Public key: $\mathcal{P}\left(x_{1}, \cdots, x_{n}\right)=\left(p_{1}\left(x_{1}, \cdots, x_{n}\right), \cdots, p_{m}\left(x_{1}, \cdots, x_{n}\right)\right)$. Here $p_{i}$ are multivariate polynomials over a finite field.
- Private key A way to compute $\mathcal{P}^{-1}$.
- Signing a hash of a document:

$$
\left(x_{1}, \cdots, x_{n}\right) \in \mathcal{P}^{-1}\left(y_{1}, \cdots, y_{m}\right)
$$

- Verifying:

$$
\left(y_{1}, \cdots, y_{m}\right) \stackrel{?}{=} \mathcal{P}\left(x_{1}, \cdots, x_{n}\right)
$$

## Theoretical Foundation

- Direct attack is to solve the set of equations:

$$
G(M)=G\left(x_{1}, \ldots, x_{n}\right)=\left(y_{1}^{\prime}, \ldots, y_{m}^{\prime}\right)
$$

## Theoretical Foundation

- Direct attack is to solve the set of equations:

$$
G(M)=G\left(x_{1}, \ldots, x_{n}\right)=\left(y_{1}^{\prime}, \ldots, y_{m}^{\prime}\right)
$$

-     - Solving a set of $n$ randomly chosen equations (nonlinear) with $n$ variables is NP-hard, though this does not necessarily ensure the security of the systems.


## Quadratic Constructions

- 1) Efficiency considerations lead to mainly quadratic constructions.

$$
G_{l}\left(x_{1}, . . x_{n}\right)=\sum_{i, j} \alpha_{l i j} x_{i} x_{j}+\sum_{i} \beta_{l i} x_{i}+\gamma_{l}
$$

- 2) Mathematical structure consideration: Any set of high degree polynomial equations can be reduced to a set of quadratic equations.

$$
x_{1} x_{2} x_{3}=5
$$

is equivalent to

$$
\begin{aligned}
x_{1} x_{2}-y & =0 \\
y x_{3} & =5
\end{aligned}
$$

## The view from the history of Mathematics(Diffie in Paris)

- RSA - Number Theory - 18th century mathematics
- ECC - Theory of Elliptic Curves - 19th century mathematics
- Multivariate Public key cryptosystem - Algebraic Geometry - 20th century mathematics
Algebraic Geometry - Theory of Polynomial Rings


## Oil Vinegar Signature Scheme

- Introduced by J. Patarin, 1997
- Inspired by linearization attack to Matsumoto-Imai cryptosystem
- $\mathcal{P}=\mathcal{F} \circ \mathcal{T}$.
$\mathcal{F}$ : nonlinear, easy to compute $\mathcal{F}^{-1}$.
$\mathcal{T}$ : invertible linear, to hide the structure of $\mathcal{F}$.


## Oil Vinegar Signature Scheme

- $\mathcal{F}=\left(f_{1}\left(x_{1}, \cdots, x_{0}, x_{1}^{\prime}, \cdots, x_{v}^{\prime}\right), \cdots, f_{o}\left(x_{1}, \cdots, x_{0}, x_{1}^{\prime}, \cdots, x_{v}^{\prime}\right)\right)$.
- $f_{k}=\sum a_{i, j, k} x_{i} x_{j}^{\prime}+\sum b_{i, j, k} x_{i}^{\prime} x_{j}^{\prime}+\sum c_{i, k} x_{i}+\sum d_{i, k} x_{i}^{\prime}+e_{k}$
- Oil variables: $x_{1}, \cdots, x_{0}$

Vinegar variables: $x_{1}^{\prime}, \cdots, x_{v}^{\prime}$.

- Public Key: $\mathcal{P}=\mathcal{F} \circ \mathcal{T}$. Private Key: $\mathcal{T}$.


## How to find $\mathcal{F}^{-1}$

- Fix values for vinegar variables $x_{1}^{\prime}, \cdots, x_{v}^{\prime}$.
- $f_{k}=\sum a_{i, j, k} x_{i} x_{j}^{\prime}+\sum b_{i, j, k} x_{i}^{\prime} x_{j}^{\prime}+\sum c_{i, k} x_{i}+\sum d_{i, k} x_{i}^{\prime}+e_{k}$
- $\mathcal{F}$ : Linear system in oil variables $x_{1}, \cdots, x_{0}$.


## Broken Parameters

- $v=0$

Defeated by Kipnis and Shamir using invariant subspace (1998).

- $v<0$
by guessing some variables will be most likely turn into a OV system where $v=0$
- $v \gg 0$

Finding a solution is generally easy

## Usable Parameters

- $v=20,30$

Direct attack does not work - the complexity is the same as if solving a random system!

- Beyond a direct attack, there is the reconciliation attack which uses the structure of OV systems. Looks for equivalent maps of a special form. Complexity becomes that of solving a system of $o$ quadratic equations in $v$ variables.
- Less efficient

Signature is at least twice the size of the document

## Modifications

- Rainbow, J. Ding, D. Schmidt (2005) Multilayer version of UOV.
Reduces number of variables in the public key smaller key sizes smaller signatures
- Rainbow is a NIST round 2 candidate.
- Newly Designed by Ward Beullens, Bart Preneel, Alan Szepieniec, and Frederik Vercauteren from imec-COSIC KU Leuven in 2017.
- A modification of the original unbalanced oilvinegar scheme
- Coefficients of the public key are from $\mathbb{F}_{2}$
- Shorten the size of the public key.


## LUOV

Let $\mathbb{F}_{2^{r}}$ be the extension of $\mathbb{F}_{2}$ of degree $r, v>o$ and $n=v+o$.

- Central map: $\mathcal{F}: \mathbb{F}_{2^{r}}^{n} \rightarrow \mathbb{F}_{2^{r}}^{o}$
- $f_{k}(\mathbf{x})=\sum_{i=1}^{v} \sum_{j=i}^{n} \alpha_{i, j, k} x_{i} x_{j}+\sum_{i=1}^{n} \beta_{i, k} x_{i}+\gamma_{k}$.
where $\alpha_{i, j, k}, \beta_{i, j, k}, \gamma_{k}$ are from $\mathbb{F}_{2}$.
- Choose $\mathcal{T}$ :

$$
\left[\begin{array}{cc}
\mathbf{1}_{V} & \mathbf{T} \\
\mathbf{0} & \mathbf{1}_{0}
\end{array}\right]
$$

where $\mathbf{T}$ is a $v \times o$ matrix whose entries are also from the small field $\mathbb{F}_{2}$

## Representation of Finite Fields

- Base field: $\mathbb{F}_{2}$,
- Extension field: $\mathbb{F}_{2^{r}}$
- Small subfield: $\mathbb{F}_{2^{d}}$, where $d \mid r$.
- $\mathbb{F}_{2^{r}} \cong \mathbb{F}_{2^{d}}[t] / f(t)$, where $f(t)$ is an irreducible polynomial of degree $r / d$.
- Elements in $\mathbb{F}_{2^{r}}$ can be represented by $\sum_{i=0}^{r / d-1} a_{i} t^{i}$, where $a_{i}$ are from $\mathbb{F}_{2^{d}}$.


## The Differential

Differential:

$$
\mathbf{x}^{\prime}+\overline{\mathbf{x}} \in \mathbb{F}_{2^{r}}^{n}
$$

where we randomly fix $\mathbf{x}^{\prime} \in \mathbb{F}_{2^{r}}^{n}$ and we let $\overline{\mathbf{x}} \in \mathbb{F}_{2^{d}}^{n}$ vary.

## Probability of Successful Attack

Given: $\mathbf{y}=\left(y_{1}, \cdots, y_{0}\right) \in \mathbb{F}_{2^{r}}^{o}$ and choose an arbitrary $\mathbf{x}^{\prime} \in \mathbb{F}_{2^{r}}^{n}$. Question: Does there exist a reasonable small integer $d$ such that there will also exist a $\overline{\mathbf{x}} \in \mathbb{F}_{2^{d}}^{n} \subset \mathbb{F}_{2^{r}}^{n}$ where $P\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right)=\mathbf{y}$ ?

## The attack principle

## The attack principle



## Probability of Successful Attack

- Given $\mathbf{y} \in \mathbb{F}_{2^{r}}^{0}$
- Choose $x^{\prime} \in \mathbb{F}_{2^{d}}^{n}$.
- $\mathcal{P}^{\prime}: \mathbb{F}_{2^{d}}^{n} \rightarrow \mathbb{F}_{2^{r}}^{0}$ given by $\mathcal{P}^{\prime}(\overline{\mathbf{x}})=\mathcal{P}\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right)$
- Assume that $\mathcal{P}^{\prime}$ acts as a random map from $\mathbb{F}_{2^{d}}^{n} \rightarrow \mathbb{F}_{2^{r}}^{0}$.


## Probability of Successful Attack

- $\left|\mathbb{F}_{2^{d}}^{n}\right|=2^{d \cdot n}$
- $\left|\mathbb{F}_{2^{r}}^{O}\right|=2^{r \cdot o}$
- The probability that $\mathcal{P}^{\prime}(\overline{\mathbf{x}}) \neq \mathbf{y}$ is $1-\frac{1}{2^{r \cdot 0}}$.


## Probability of Successful Attack

- The outputs of $\mathcal{P}^{\prime}$ are independent
- Exhausting every element of $\mathbb{F}_{2^{d}}^{n}$
- Estimated our desired probability as

$$
\left(1-\frac{1}{2^{r \cdot o}}\right)^{2^{d \cdot n}}=\left(\left(1-\frac{1}{2^{r \cdot o}}\right)^{2^{r \cdot o}}\right)^{2^{(d \cdot n)-(r \cdot o)}} \approx e^{-2^{(d \cdot n)-(r \cdot o)}}
$$

because $\lim _{n \rightarrow \infty}\left(1-\frac{1}{n}\right)^{n}=e^{-1}$.

## Estimated Probabilities for the LUOV Parameters Submitted

| Security Level | r | $\mathbf{0}$ | $\mathbf{v}$ | $\mathbf{n}$ | $\mathbf{d}$ | Probability of Failure |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| II | 8 | 58 | 237 | 295 | 2 | $\exp \left(-2^{126}\right)$ |
| IV | 8 | 82 | 323 | 405 | 2 | $\exp \left(-2^{154}\right)$ |
| V | 8 | 107 | 371 | 478 | 2 | $\exp \left(-2^{100}\right)$ |

Table: Estimated Probabilities of Failure for Parameters Designed to Minimize the Size of the Signature

| Security Level | $\mathbf{r}$ | $\mathbf{0}$ | $\mathbf{V}$ | $\mathbf{n}$ | $\mathbf{d}$ | Probability of Failure |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| II | 48 | 43 | 222 | 265 | 8 | $\exp \left(-2^{56}\right)$ |
| IV | 64 | 61 | 302 | 363 | 16 | $\exp \left(-2^{1904}\right)$ |
| V | 80 | 76 | 363 | 439 | 16 | $\exp \left(-2^{944}\right)$ |

Table: Estimated Probabilities of Failure for Parameters Designed to Minimize the Size of the Signature and Public Key

## The Form of $P\left(x^{\prime}+\bar{x}\right)$ I

- $k$ th component of $\mathcal{P}\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right)$

$$
\tilde{f}_{k}\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right)=\sum_{i=1}^{n} \sum_{j=i}^{n} \alpha_{i, j, k}\left(x_{i}^{\prime}+\bar{x}_{i}\right)\left(x_{j}^{\prime}+\bar{x}_{j}\right)+\sum_{i=1}^{n} \beta_{i, k}\left(x_{i}^{\prime}+\bar{x}_{i}\right)+\gamma_{k}=y_{k}
$$

Where $\alpha_{i, j, k}, \beta_{i, k}, \gamma_{k} \in \mathbb{F}_{2}$ and $x_{i}^{\prime} \in \mathbb{F}_{2 r}$.

## The Form of $P\left(x^{\prime}+\bar{x}\right)$ II

$$
\begin{aligned}
\tilde{f}_{k}\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right)= & \sum_{i=1}^{n} \sum_{j=i}^{n} \alpha_{i, j, k}\left(x_{i}^{\prime} x_{j}^{\prime}+x_{i}^{\prime} \bar{x}_{i}+x_{j}^{\prime} \bar{x}_{j}\right)+\sum_{i=1}^{n} \beta_{i, k}\left(x_{i}^{\prime}+\bar{x}_{i}\right)+\gamma_{k} \\
& +\sum_{i=1}^{v} \sum_{j=i}^{n} \alpha_{i, j, k} \bar{x}_{i} \bar{x}_{j} \\
= & y_{k}
\end{aligned}
$$

The quadratic terms have coefficients $\alpha_{i, j, k}$, which can only be 0 or 1 .

## The Form of $P\left(x^{\prime}+\bar{x}\right)$ III

- We view these over $\mathbb{F}_{2^{d}}[t] / f(t)$
- So if $\frac{r}{d}=s, x_{i}^{\prime}=a_{s-1} t^{s-1}+\cdots+a_{0}$.
- Regroup the above equations of $\tilde{f}_{k}=y_{k}$ in terms of the powers of $t$.
- This means that the coefficient of $t^{i}, i=1 \cdots, s-1$ is a linear polynomial of the $\bar{x}_{i}$.


## $P\left(x^{\prime}+\bar{x}\right)$

We have that

$$
\tilde{f}_{k}\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right)=\sum_{i=1}^{s-1} g_{i, k}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right) t^{i}+Q_{k}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right)=y_{k}=\sum_{i=0}^{s-1} w_{i, k} t^{i}
$$

for some $w_{i, k} \in \mathbb{F}_{2^{d}}$, some linear polynomials $g_{i, k}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right) \in \mathbb{F}_{2^{d}}\left[\bar{x}_{1}, \cdots, \bar{x}_{n}\right]$, and some quadratic polynomial $Q_{k}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right) \in \mathbb{F}_{2^{d}}\left[\bar{x}_{1}, \cdots, \bar{x}_{n}\right]$

## How We Use This

- Each $\tilde{f}_{k}$ has $s-1$ linear equations $g_{i, k}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right)=w_{i, k}$, one for each power of $t$.
- $(s-1)$ o linear equations with $n$ variables.
- This can be represented by $\mathbf{A x}=\mathbf{y}$.
- Our desired $\overline{\mathbf{x}}$ is in the solution space.


## How we use this

- Each $\tilde{f}_{k}$ will have an additional quadratic polynomial equation $Q_{k}$ which must also be satisfied.
$Q_{k}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right)=w_{0, k}$
- Each of these equations is over the small field $\mathbb{F}_{2^{d}}$.


## Solution Space

- As the $(s-1)$ o linear equations to solve with $n$ variables and these linear polynomials are essentially random and thus likely linearly independent, we have a solution space around the size of $n-\operatorname{rank}(A)=n-(s-1) o$.
- We just need one an element from here that also satisfies the quadratic polynomials.


## Algorithms

- If we have more variables than equations, we use the method of Thomae and Wolf: "Solving underdetermined systems of multivariate quadratic equations revisited".
- System of o equations, $n-(s-1) o$ variables reduced to System of $m$ equations $m$ variables
$m=0-\left\lfloor\frac{n-(s-1) o}{o}\right\rfloor$.


## Algorithms

- Guess for a certain number of the variables.
- Use algorithm XL with Wiedemann.


## Degree of Regularity

- Use Theorem 2 from "Theoretical Analysis of XL over Small Fields" by Bo-yin Yang et al.
- For a system of $m$ equations with $n$ variables over $\mathbb{F}_{q}$, the degree of regularity is
$\left.D_{\text {reg }}=\min \left\{D:\left[t^{D}\right]\left((1-t)^{-n-1}\left(1-t^{q}\right)^{n}\left(1-t^{2}\right)^{m}\left(1-t^{2 q}\right)^{-m}\right)\right) \leq 0\right\}$
[u]p denotes the coefficient of term in the expansion of $p$.
E.g. $\left[x^{2}\right](1+x)^{4}=6$.


## Complexity

- Use Proposition 3.4 from "Analysis of QUAD" Bo-yin Yang et al.
- Expected running time of $X L$ is roughly: $C_{X L} \sim 3 T^{2} \tau$
- $T=\binom{n+D_{\text {reg }}}{D_{\text {reg }}}$
- $\tau$ is number of terms in an equation.


## Toy Example I

We will give a small toy example with the following parameters: $o=2, v=8, n=10, r=8, d=2$.
Here we will represent $\mathbb{F}_{2^{2}}$ by the elements $\left\{0,1, w_{1}, w_{2}\right\}$. We note that

$$
\mathbb{F}_{2^{8}} \cong \mathbb{F}_{2^{2}}[t] / f(t)
$$

where $f(t)=t^{4}+t^{2}+w_{1} t+1$.

## Toy Example II

Consider the LUOV public key $\mathcal{P}: \mathbb{F}_{2^{8}}^{n} \rightarrow \mathbb{F}_{2^{8}}^{0}$ which for simplicity sake will be homogeneous of degree two:

$$
\begin{aligned}
\tilde{f}_{1}(\mathbf{x})= & x_{1} x_{4}+x_{1} x_{5}+x_{1} x_{6}+x_{1} x_{7}+x_{1} x_{8}+x_{1} x_{9}+x_{2} x_{4}+x_{2} x_{6}+x_{2} x_{9} \\
& +x_{3}^{2}+x_{3} x_{6}+x_{3} x_{7}+x_{3} x_{10}+x_{4}^{2}+x_{4} x_{7}+x_{4} x_{8}+x_{4} x_{9}+x_{4} x_{10} \\
& +x_{5} x_{6}+x_{6} x_{10}+x_{7}^{2}+x_{7} x_{8}+x_{7} x_{9}+x_{8} x_{9}+x_{8} x_{10}+x_{9}^{2}+x_{9} x_{10} \\
\tilde{f}_{2}(\mathbf{x})= & x_{1} x_{3}+x_{1} x_{4}+x_{1} x_{5}+x_{1} x_{9}+x_{2} x_{3}+x_{2} x_{6}+x_{2} x_{7}+x_{2} x_{9}+x_{3}^{2}+x_{3} x_{4} \\
& +x_{3} x_{5}+x_{3} x_{6}+x_{3} x_{7}+x_{3} x_{9}+x_{4}^{2}+x_{4} x_{5}+x_{4} x_{6}+x_{4} x_{7}+x_{4} x_{10} \\
& +x_{5}^{2}+x_{5} x_{6}+x_{5} x_{7}+x_{5} x_{8}+x_{5} x_{10}+x_{6} x_{7}+x_{7} x_{9}+x_{9} x_{10}+x_{10}^{2}
\end{aligned}
$$

## Toy Example III

We will attempt to find a signature for the message:

$$
\mathbf{y}=\left[\begin{array}{c}
w_{1} t^{3}+w_{2} t^{2}+w_{2} t \\
w_{2} t^{3}+w_{2} t^{2}+t
\end{array}\right]
$$

First we randomly select our $\mathbf{x}^{\prime}$ as

$$
\mathbf{x}^{\prime}=\left[\begin{array}{c}
t^{3}+w_{2} t \\
w_{1} t^{3}+w_{2} t^{2}+w_{2} t \\
t^{3}+t+1 \\
w_{2} t^{2}+w_{1} \\
t^{3}+t^{2}+1 \\
w_{2} t^{3}+t^{2}+w_{2} t+w_{2} \\
w_{1} t^{3}+w_{2} t+w \\
w_{1} t^{2}+w_{2} t+1 \\
t^{3}+w_{2} t+w_{1} \\
w_{2} t+w_{2}
\end{array}\right]
$$

## Toy Example IV

Next we compute $\mathcal{P}\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right)=$

$$
\begin{aligned}
& {\left[\left(\bar{x}_{1}+w_{1} \bar{x}_{2}+\bar{x}_{3}+w_{1} \bar{x}_{5}+w_{2} \bar{x}_{6}+\bar{x}_{7}+w_{1} \bar{x}_{8}+\bar{x}_{9}+w_{2} \bar{x}_{10}\right) t^{3}\right.} \\
& \quad+\left(\bar{x}_{1}+w_{1} \bar{x}_{2}+\bar{x}_{3}+\bar{x}_{4}+\bar{x}_{5}+w_{1} \bar{x}_{6}+\bar{x}_{7}+w_{2} \bar{x}_{8}+w_{1} \bar{x}_{9}\right) t^{2} \\
& \quad+\left(w_{2} \bar{x}_{3}+w_{1} \bar{x}_{6}+w_{1} \bar{x}_{7}+w_{2} \bar{x}_{9}+w_{1} \bar{x}_{10}\right) t \\
& \quad+Q_{1}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right) \\
& \left(\bar{x}_{1}+\bar{x}_{2}+w_{1} \bar{x}_{3}+\bar{x}_{5}+\bar{x}_{8}\right) t^{3} \\
& \quad+\left(w_{1} \bar{x}_{1}+\bar{x}_{2}+\bar{x}_{6}+\bar{x}_{8}+w_{2} \bar{x}_{9}+w_{1} \bar{x}_{10}\right) t^{2} \\
& \quad+\left(w_{1} \bar{x}_{1}+w_{1} \bar{x}_{2}+w_{2} \bar{x}_{3}+\bar{x}_{4}+w_{1} \bar{x}_{5}+\bar{x}_{6}+w_{1} \bar{x}_{7}+\bar{x}_{9}+w_{2} \bar{x}_{10}\right) t \\
& \left.\quad+Q_{2}\left(\bar{x}_{1}, \cdots, \bar{x}_{n}\right)\right]
\end{aligned}
$$

## Toy Example V

The linear part forms the matrix equation:

$$
\left[\begin{array}{cccccccccc}
1 & w_{1} & 1 & 0 & w_{1} & w_{2} & 1 & w_{1} & 1 & w_{2} \\
1 & w_{1} & 1 & 1 & 1 & w_{1} & 1 & w_{2} & w_{1} & 0 \\
0 & 0 & w_{2} & 0 & 0 & w_{1} & w_{1} & 0 & w_{2} & w_{1} \\
1 & 1 & w_{1} & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\
w_{1} & 1 & 0 & 0 & 0 & 1 & 0 & 1 & w_{2} & w_{1} \\
w_{1} & w_{1} & w_{2} & 1 & w_{1} & 1 & w_{1} & 0 & 1 & w_{2}
\end{array}\right]\left[\begin{array}{c}
\bar{x}_{1} \\
\bar{x}_{2} \\
\bar{x}_{3} \\
\bar{x}_{4} \\
\bar{x}_{5} \\
\bar{x}_{6} \\
\bar{x}_{7} \\
\bar{x}_{8} \\
\bar{x}_{9} \\
\bar{x}_{10}
\end{array}\right]=\left[\begin{array}{c}
w_{1} \\
w_{2} \\
w_{2} \\
w_{2} \\
w_{2} \\
1
\end{array}\right]
$$

## Toy Example VI

Since the solution space is small (dim 4), by quick search we find signature

$$
\sigma=\left[\begin{array}{c}
t^{3}+w_{2} t+1 \\
w_{1} t^{3}+w_{2} t^{2}+w_{2} t+w_{1} \\
t^{3}+t+w_{2} \\
w_{2} t^{2} \\
t^{3}+t^{2}+1 \\
w_{2} t^{3}+t^{2}+w_{2} t+1 \\
w_{1} t^{3}+w_{2} t+w_{1} \\
w_{1} t^{2}+w_{2} t+1 \\
t^{3}+w_{2} t+1 \\
w_{2} t
\end{array}\right]
$$

## Some Experimental Results

- In order to make sure that finding a signature like above was not a fluke, we ran an experiment of creating a public key with parameters $r=8, o=5, v=20, n=25, d=2$. Generating 10,000 random documents, we were able to find using the method from the toy example a signature for every document.
- And in order to show that we achieve the expected ( $s-1$ ) o equations, we ran an experiment for the given parameters for level II security $r=8, o=58, v=237, n=295$. We were successful.


## Computing Attack's Complexity

- In the following slides we will compute the complexity of SDA against the various parameters of LUOV.
- We will also give the NIST complexity requirement for classical attacks (not quantum).
- We will show the number of equation and variables before applying the method of Thomae and Wolf, and those after applying the method.
- Then the number of variables guessed in the XL algorithm as well as the degree of regularity.


## Level II Parameter Choice

NIST Classical Security Complexity Requirement $2^{146}$

- $r=8, o=58, v=237, n=295$

Claimed Classical Security $2^{146}$

| Finite <br> Field | Original <br> eq $\times$ var | New <br> eq $\times$ var | Variables <br> Guessed | Degree of <br> Regularity |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbb{F}_{2^{2}}$ | $58 \times 121$ | $56 \times 56$ | 24 | 7 |

- Complexity of Attack: $2^{107}$
- $r=48, o=43, v=222, n=265$

Claimed Classical Security $2^{147}$

| Finite <br> Field | Original <br> eq $\times$ var | New <br> eq $\times$ var | Variables <br> Guessed | Degree of <br> Regularity |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbb{F}_{2^{8}}$ | $43 \times 50$ | $42 \times 42$ | 3 | 19 |

- Complexity of Attack: $2^{135}$


## Level IV Parameter Choice

NIST Classical Security Complexity Requirement $2^{210}$

- $r=8, o=82, v=323, n=405$

Claimed Classical Security $2^{212}$

| Finite <br> Field | Original <br> eq $\times$ var | New <br> eq $\times$ var | Variables <br> Guessed | Degree of <br> Regularity |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbb{F}_{2^{2}}$ | $82 \times 159$ | $81 \times 81$ | 37 | 8 |

- Complexity of Attack: $2^{144.5}$
- $r=64, o=61, v=302, n=363$

Claimed Classical Security $2^{214}$

| Finite <br> Field | Original <br> eq $\times$ var | New <br> eq $\times$ var | Variables <br> Guessed | Degree of <br> Regularity |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbb{F}_{2^{16}}$ | $61 \times 180$ | $59 \times 59$ | 2 | 31 |

- Complexity of Attack: $2^{202}$


## Level V Parameter Choice

NIST Classical Security Complexity Requirement $2^{272}$

- $r=8, o=107, v=371, n=478$

Claimed Classical Security $2^{273}$

| Finite <br> Field | Original <br> eq $\times$ var | New <br> eq $\times$ var | Variables <br> Guessed | Degree of <br> Regularity |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbb{F}_{2^{2}}$ | $107 \times 157$ | $106 \times 106$ | 51 | 9 |

- Complexity of Attack: $2^{184}$
- $r=80, o=76, v=363, n=439$

Claimed Classical Security $2^{273}$

| Finite <br> Field | Original <br> eq $\times$ var | New <br> eq $\times$ var | Variables <br> Guessed | Degree of <br> Regularity |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbb{F}_{2^{16}}$ | $76 \times 131$ | $75 \times 75$ | 2 | 38 |

- Complexity of Attack: $2^{244}$


## Summarizing

- All LUOV schemes fail to meet the security level requirements.
- Level II schemes do not satisfy Level I requirement.
- The largest gap of security estimate is 89 bits.


## Inapplicable on UOV

- UOV Public Key: $\mathcal{P}: \mathbb{F}_{2^{r}}^{n} \rightarrow \mathbb{F}_{2^{r}}^{o}$
- kth component of $\mathcal{P}$ :

$$
\bar{f}_{k}(\mathbf{x})=\sum_{i=1}^{v} \sum_{j=i}^{n} \alpha_{i, j, k} x_{i} x_{j}+\sum_{i=1}^{n} \beta_{i, k} x_{i}+\gamma_{k}
$$

- $\alpha_{i, j, k}, \beta_{i, k}$ and $\gamma_{k}$ are randomly chosen from $\mathbb{F}_{2^{r}}$


## Inapplicable on UOV

- Differential: $\mathbf{x}^{\prime}+\overline{\mathbf{x}}$ with $\mathbf{x}^{\prime} \in \mathbb{F}_{2^{r}}$ and $\overline{\mathbf{x}} \in \mathbb{F}_{2^{d}}$
- kth component of $\mathcal{P}$

$$
\begin{aligned}
\bar{f}_{k}\left(\mathbf{x}^{\prime}+\overline{\mathbf{x}}\right) & =\sum_{i=1}^{n} \sum_{j=i}^{n} \alpha_{i, j, k}\left(x_{i}^{\prime}+\bar{x}_{i}\right)\left(x_{j}^{\prime}+\bar{x}_{j}\right)+\sum_{i=1}^{n} \beta_{i, k}\left(x_{i}^{\prime}++\bar{x}_{i}\right)+\gamma_{k} \\
& =\sum_{i=1}^{n} \sum_{j=i}^{n} \alpha_{i, j, k}\left(x_{i}^{\prime} x_{j}^{\prime}+x_{i}^{\prime} \bar{x}_{i}+x_{j}^{\prime} \bar{x}_{j}\right)+\sum_{i=1}^{n} \beta_{i, k}\left(x_{i}^{\prime}+\bar{x}_{i}\right)+\gamma_{k} \\
& +\sum_{i=1}^{n} \sum_{j=i}^{n} \alpha_{i, j, k} \bar{x}_{i} \bar{x}_{j}=y_{k}
\end{aligned}
$$

## Inapplicable on UOV

- $\alpha_{i, j, k}, \beta_{i, k}$ and $\gamma_{k}$ can also be represented by a polynomial in $\mathbb{F}_{2^{d}}[t] / f(t)$
- multiplication from $\alpha_{i, j, k}, \beta_{i, k}$ and $\gamma_{k}$ in $\bar{f}_{k}$ will mix the degrees of the polynomial expression of $\bar{x}_{i}$ 's in $\mathbb{F}_{2^{d}}[t] / f(t)$
- Comparing the coefficients of all degrees of $t$ is useless.


## Conclusion

We have seen that though LUOV is an interesting development of UOV, its newness hides its flaws. In particular

- There is a near certainty that the differential attack can be successful with a small enough subfield $\mathbb{F}_{2^{d}}$
- That this gives us many linear equations over this small subfield which can be used to solve for a signature
- The complexity of doing such is lower ( sometime MUCH LOWER) than the NIST security levels for each proposed category.
- We are developing new interesting and promising attacks using different subset.


## The End

## Thanks and Any Questions?

## Supported by Taft Fund, NIST and NSF

