

# NewHope

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# Recap 1

- NewHope is a suite of lattice-based key encapsulation mechanisms (KEM)
  - **NewHope-CPA-KEM**: Passively secure KEM (CPA = chosen plaintext attacks)
  - **NewHope-CCA-KEM**: Semantically secure KEM with respect to adaptive chosen ciphertext attacks (CCA)
- Security based on conjectured quantum hardness of Ring-Learning with Errors (RLWE)
- Uses threshold encoding to deal with decryption errors like NewHope-Simple (eprint 2016/1157)

# Recap 2

- Three parameters  $(n,q,k)$ : Fixed prime  $q=12289$  and  $k=8$  for binomial noise distribution
  - With  $n=512$  (very conservative estimated) known quantum hardness of 101-bits (Level 1):  $\sim 1$  Kbyte for pk/ciphertext
  - With  $n=1024$  (very conservatively estimated) known quantum hardness of 233-bits (Level 5):  $\sim 2$  Kbyte for pk/ciphertext
- Thus four instantiations  $(\{CPA,CCA\} \times \{512,1024\})$ 
  - NewHope512-CPA-KEM, NewHope1024-CPA-KEM, NewHope512-CCA-KEM, NewHope1024-CCA-KEM
- Implementations on ARM, Intel/AMD, MIPS64, FPGA are fast

# Round 2 updates

- No change to parameters or implementation
  - Round 1 and 2 SW is compatible
- Some smaller fixes in specification
  - Fixed typos in definition of dRLWE and Theorem 4.4 identified by Bernstein
  - Updated variable naming and pari/gp script for NTT constant generation
  - Fixed typo in Algorithm 12 (return  $h$  instead of  $r$ )
  - NTT parameter  $\gamma$  does not match reference implementation in NewHope512. Update of document to not touch implementation. No security impact.

# Performance

Scheme	Type	Gen	Enc	Dec
newhope1024cca	clean	1 460 167	2 264 773	2 410 906
kyber1024	clean	1 891 737	2 254 703	2 407 858
r5nd-5kemcca-5d	m4	1 228 319	1 782 156	2 332 469
firesaber	ref	3 815 672	4 745 405	5 402 295

- Results from eprint 2019/844 on Cortex-M4
  - NewHope implementation performs well in comparison to other schemes
  - Too many small differences to put them on one slide (security, sizes, trust, level of optimization)
- NewHope works well in (reconfigurable) hardware (e.g., FPGA) but also no major difference to other RLWE-based schemes
- NewHope is fast in SW (no major updates till round 1)

# Review and next steps

- Review of tradeoffs made in Round 1/2
  - Other lattice-based schemes in round 2 do things differently (reconciliation, generation of secrets, parameters, security estimation, RLWR vs. RLWE)
  - We still see NewHope aiming at being “conservative” in terms of security and allowing a “simple” implementation
  - No major issues or attacks have been identified
  - Implementation and parameter sets are stable
- Next steps
  - Further optimizations of the implementation (help is always welcome) or exploration of tradeoffs
  - Protection of NewHope against fault and side-channel attacks
    - CCA transforms complicates things

# Thank you for your attention!

Any questions?

## NewHope

For more information visit

<https://newhopecrypto.org/>

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# Backup



# Pros and Cons

- Advantages of NewHope
  - High performance: As shown by implementations
  - Simplicity and ease of implementation: Few changes between variants
  - Memory efficiency: In place computations due to NTT
  - Conservative design: Considerable security margin in our analysis (233-bit security does not mean we know a 233-bit complexity attack)
  - Implementation security: Some works already available as proof of concept (e.g., topics like constant time or side channels)
- Disadvantages of NewHope
  - Small noise distribution: For correctness we use  $k=8$  which is not needed for ephemeral key exchange
  - Ring-LWE: More structure than LWE
  - Limited Parametrization: Either  $n=512$  (level 1) or  $n=1024$  (level 5) but no  $n=768$
  - Restrictions due to usage of the NTT: NTT is part of the definition

# Summary of Design Rationale

- Common to all NewHope variants
  - Use easy to sample centered binomial distribution instead of discrete Gaussian for error and secret of RLWE
  - No constants/against all authority/no all-for-the-price-of-one attacks – the polynomials  $a$  is freshly generated from a seed using a XOF
  - Conservative parameters that enable fast implementation of the Number Theoretic Transform (NTT)
  - Usage of the NTT in the definition of the scheme
- Our submission to the NIST process
  - We do not use reconciliation but modified threshold encoding
  - We move away from ephemeral key exchange (NewHope-Usenix) to a CPA-KEM and CCA-KEM approach using Targhi-Unruh transformation
  - We officially “support” the  $n=512$  parameter set and set  $k=8$  to achieve quasi error free decryption

# Numbers

Parameter Set	NEWHOPE512	NEWHOPE1024
Dimension $n$	512	1024
Modulus $q$	12289	12289
Noise parameter $k$	8	8
NTT parameter $\gamma$	10968	7
Decryption error probability	$2^{-213}$	$2^{-216}$
Claimed post-quantum bit-security	101	233
NIST Security Strength Category	1	5

Parameter Set	$ pk $	$ sk $	$ ciphertext $
NEWHOPE512-CPA-KEM	928	869	1088
NEWHOPE1024-CPA-KEM	1824	1792	2176
NEWHOPE512-CCA-KEM	928	1888	1120
NEWHOPE1024-CCA-KEM	1824	3680	2208