Cover Sheet (Post-Quantum Cryptography Standardization Process)

Name of proposed cryptosystem:

MQDSS

Principal submitter:

☑ Digital Security Group, Radboud University, Toernooiveld 212, 6525 EC Nijmegen,

The Netherlands

Auxiliary submitters:

Ming-Shing Chen Andreas Hülsing Joost Rijneveld Peter Schwabe

Inventors of the cryptosystem:

Ming-Shing Chen, Harunaga Hiwatari, Andreas Hülsing, Joost Rijneveld, Koichi Sakumoto, Simona Samardjiska, Peter Schwabe and Taizo Shirai;

(also based on a large collection of previous work, most importantly by A. Fiat and A.

Shamir, D. Pointcheval and J. Stern)

Owners of the cryptosystem:

The inventors

signed:

Simona Samardjiska

Alternative point of contact:

. Conspyces

Peter Schwabe
Radboud University

₱ peter@cryptojedi.org

↑ +31 243 653 456

☑ Digital Security Group, Radboud University, Toernooiveld 212, 6525 EC Nijmegen,

The Netherlands

I, Simona Samardjiska, of Radboud University, Toernooiveld 212, 6525 EC, Nijmegen, The Netherlands, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as <u>MQDSS</u>, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

- ☐ I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as MODSS; OR (check one or both of the following):
 - to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as MODSS, may be covered by the following U.S. and/or foreign patents:
 JP5,736,816; US8,522,033; US8,959,355; and CN ZL201110145023.8;
 - I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: none.

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

owner(s), as appropriate.

Signed:
Title: Dr. Simona Samardjiska, PostDoc
Date:
Place: 27. 11. 2017

Nijmegen, the Netherlands

I, Joost Rijneveld, of Radboud University, Toernooiveld 212, 6525 EC, Nijmegen, The Netherlands, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as <u>MQDSS</u>, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed: Soost Rijneveld Grands

Title: PhD Student

Date: 27-11-2017

Place: Nijmegen, The Netherlands

I, <u>Ming-Shing Chen</u>, of <u>N415,IIS,AS,No.128,2nd Sec.,Academic Rd.,Nangang Dist., Taipei City, Taiwan</u>, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as <u>MQDSS</u>, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed: Ming-Shing Chen
Title: Research Assistant
Date: 22th Nov., 2017
Place: Taipei, Taiwan

I, Andreas Thomas Hülsing, of Eindhoven University of Technology, De Rondom 70, 5612 AP Eindhoven, The Netherlands, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as <u>MQDSS</u>, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed: A. H.

Title: Dr. Andreas Thomas Hulsing, Postdoc

Date: Nov. 23, 2017

Place: Eindhoven, The Netherlands

I, Peter Schwabe, of Radboud University, Toernooiveld 212, 6525 EC, Nijmegen, The Netherlands, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as <u>MQDSS</u>, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

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I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

Signed:
Title: Dr. Peli Schwibe, Assistant Prokeser
Date: 29 Nov. 2017
Place: Nijmegen, The Nethelands

2.D.2 Statement by Patent (and Patent Application) Owner(s)

If there are any patents (or patent applications) identified by the submitter, including those held by the submitter, the following statement must be signed by each and every owner, or each owner's authorized representative, of each patent and patent application identified.

- I, Tomonori Okuwaki, of 1-7-1 Konan, Minato-ku, Tokyo Japan 108-0075, am the owner or authorized representative of the owner (Sony Corporation) of the following patent(s) and/or patent application(s): JP5,736,816; US8,522,033; US8,959,355 and CN ZL201110145023.8, and do hereby commit and agree to grant to any interested party on a worldwide basis, if the cryptosystem known as MODSS is selected for standardization, in consideration of its evaluation and selection by NIST, a non-exclusive license for the purpose of implementing the standard (check one):
 - without compensation and under reasonable terms and conditions that are demonstrably free of any unfair discrimination, OR
 - ☑ under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

I further do hereby commit and agree to license such party on the same basis with respect to any other patent application or patent hereafter granted to me, or owned or controlled by me, that is or may be necessary for the purpose of implementing the standard.

I further do hereby commit and agree that I will include, in any documents transferring ownership of each patent and patent application, provisions to ensure that the commitments and assurances made by me are binding on the transferee and any future transferee.

I further do hereby commit and agree that these commitments and assurances are intended by me to be binding on successors-in-interest of each patent and patent application, regardless of whether such provisions are included in the relevant transfer documents.

I further do hereby grant to the U.S. Government, during the public review and the evaluation process, and during the lifetime of the standard, a nonexclusive, nontransferrable, irrevocable, paid-up worldwide license solely for the purpose of modifying my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability) for incorporation into the standard.

Signed:

Signed: — WW COWOW Title: Senior General Manager, Intellectual Property Division

Date: November 21, 2017 Place: Tokyo, Japan

2.D.3 Statement by Reference/Optimized Implementations' Owner(s)

I, Joost Rijneveld, of Radboud University, Toernooiveld 212, 6525 EC, Nijmegen, The Netherlands, am the owner or authorized representative of the owner (print full name, if different than the signer) of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: Jost Rijneveld

Title: PhD Stadent Corpula

Date: 21-11-2017

Place: Nijnegen, The Netherlands

2.D.3 Statement by Reference/Optimized Implementations' Owner(s)

The following must also be included:

I, <u>Ming-Shing Chen</u>, <u>N415,IIS,AS,No.128,2nd Sec., Academic Rd., Nangang Dist., Taipei City.</u>
<u>Taiwan</u>, am the owner or authorized representative of the owner (print full name, if different than the signer) of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Research Assistant

Date: 22th Nov., 2017 Place: Taipei Taiwan