

Délégation Centre Limousin Poitou-Charentes

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02 38 25 52 00 02 38 69 70 31

Statement by Patent Owner

- I, Marion BLIN, interim Regional Delegate of CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE, 3 rue Michel Ange, 75794 PARIS cedex 16 FRANCE, am the authorized representative of the owner of the following patent(s) and/or patent application(s):
 - French Priority Patent: Procédé cryptographique de communication d'une information confidentielle, FR 10/51190, February 18th, 2010, and its validated extensions in France, in Germany, in Swiss, in United Kingdom, United States, and in Japan,

and do hereby commit and agree to grant to any interested party on a worldwide basis, if the cryptosystem known as OUROBOROS R is selected for standardization, in consideration of its evaluation and selection by NIST, a non-exclusive license for the purpose of implementing the standard (check one):

 $\sqrt{}$ without compensation and under reasonable terms and conditions that are demon- strably free of any unfair discrimination, OR

under reasonable terms and conditions that are demonstrably free of any unfair dis- crimination.

I further do hereby commit and agree to license such party on the same basis with respect to any other patent application or patent hereafter granted to me, or owned or controlled by me, that is or may be necessary for the purpose of implementing the standard.

I further do hereby commit and agree that I will include, in any documents transferring ownership of each patent and patent application, provisions to ensure that the commitments and assurances made by me are binding on the transferee and any future transferee.

I further do hereby commit and agree that these commitments and assurances are in- tended by me to be binding on successors-in-interest of each patent and patent application, regardless of whether such provisions are included in the relevant transfer documents.

I further do hereby grant to the U.S. Government, during the public review and the evaluation process, and during the lifetime of the standard, a nonexclusive, nontransferrable, irrevocable, paid-up worldwide license solely for the purpose of modifying my submitted

cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability) for incorporation into the standard.

Signed:

Pour le (la) Président(e) du CNRS et par délégation, La Déleguée Régionale par intérim Marion BLIN

Title: Regional Delegate

Date: 20.11. 2017

Place: Orléans, France

I, Carlos AGUIL AR MELCHOR of ENSEETHT, 2 rue Charles Camichel, 31000 Toulouse am the owner of the following patents and/or patent applications: "Cryptographic method for FRANCE communicating confidential information" US9094189 B2, and "Procédé cryptographique de communication d'une information confidentielle" FR 10/51190, and do hereby commit and garee to grant to any interested party on a worldwide basis, if the cryptosystem known as, where the contract of the cryptosystem known as, is selected for standardization, in consideration of its evaluation and selection by NIST, a nonexclusive license for the purpose of implementing the standard (check one): without compensation and under reasonable terms and conditions that are demonstrably free of any unfair discrimination, **OR** under reasonable terms and conditions that are demonstrably free of any unfair discrimination. I further do hereby commit and agree to license such party on the same basis with respect to any other patent application or patent hereafter granted to me, or owned or controlled by me, that is or may be necessary for the purpose of implementing the standard.

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Signed:

Title: Associated Professor Date: Nov 2, 2017 Place: Toulouse, FRANCE

I, Carlos AGUILAR MELCHOR of ENSEEIHT, 2 mes Choules Camichel, 31000

optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Associate Professor

Place: Toulouse, FRANCE

I, Nicolas Aragon, of University of Limoges, 123 avenue Albert Thomas, 87060 Limoges Cedex, FRANCE, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

☑ I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R; OR (check one or both of the following):
□ to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as (print name of cryptosystem), may be covered by the following U.S. and/or foreign patents: (describe and enumerate or state "none" if applicable);
☐ I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: (describe and enumerate or state "none" if applicable)

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I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

Signed: Nicolas Aragon

Title: Ph. D. Student

Date: April the 3rd, 2018 Place: Limoges

I, Nicolas Aragon, of University of Limoges, 123 avenue Albert Thomas, 87060 Limoges Cedex, FRANCE, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: Nicolas ARAGON

Title: Ph. D. Student

Date: April the 3rd, 2018

Place: Limoges

I, Slim Bettaieb, of Worldline, Zone Industrielle A, rue de la Pointe, 59113 Seclin, FRANCE, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed: Slim Bettaieb

Title: Research Engineer, Ph.D. Date: April the 3rd, 2018 Place: Seclin

I, Slim Bettaieb, of Worldline, Zone Industrielle A, rue de la Pointe, 59113 Seclin, FRANCE, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: Slim BETTAIEB

Title: Research Engineer, Ph.D.

Date: April the 3rd, 2018

Place: Seclin

I, Loïc Bidoux, of Worldline, Zone Industrielle A, rue de la Pointe, 59113 Seclin, FRANCE, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

☑ I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R; OR (check one or both of the following):
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Signed: Loïc Bidoux

Title: Research Engineer, Ph.D. Date: April the 3rd, 2018 Place: Seclin

I, Loïc Thierry Bidoux, of Worldline, Zone Industrielle A, rue de la Pointe, 59113 Seclin, FRANCE, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: Loïc BIDOUX

Title: Research Engineer, Ph.D.

Date: April the 3rd, 2018

Place: Seclin

I, Olivier Blazy of University of Cimoges, 123 Av. Albert Thomas, 87,000 limoges do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I France have submitted, known as OUROBOROS-R, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.
I further declare that (check one):
I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as $OVROBOROS ROS ROS ROS ROS ROS ROS ROS ROS ROS$
to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as (print name of cryptosystem), may be covered by the following U.S. and/or foreign patents: (describe and enumerate or state "none" if applicable);
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I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.
Signed: alinier Blasy Title: Arrivant Prof Date: November 28,2017 Place: Limoges, France

I, Olivier Blazer, University of Cinoges, 123 Av. Albert Thomas, 87000 limoges, France , am the owner of the submitted reference implementation ONROBOROS-R

optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: Olivier Blazy Title: Allitant Prof Date: November 28, 2017 Place: Linges, France

I, Jean-Christophe Deneuville, of INSA-CVL, 88 boulevard Lahitolle, 18000 Bourges, FRANCE, and University of Limoges, 123 avenue Albert Thomas, 87060 Limoges Cedex, FRANCE, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

☑ I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R; OR (check one or both of the following):
□ to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as (print name of cryptosystem), may be covered by the following U.S. and/or foreign patents: (describe and enumerate or state "none" if applicable);
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Signed: Jean-Christophe Deneuville

Title: Ph.D. post-doc Date: April the 3rd, 2018 Place: Bourges

I, Jean-Christophe Deneuville, of INSA-CVL Bourges, 88 boulevard Lahitolle, 18000 Bourges, FRANCE, and University of Limoges, 123 avenue Albert Thomas, 87060 Limoges Cedex, FRANCE, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: Jean-Christophe DENEUVILLE

Title: Ph. D. post-doc Date: April the 3rd, 2018

Place: Bourges

I, Philips Misor of University of lines, 12 w. A. Thomas Tros Lives, France do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Outstones - R, is my own original work, or if submitted jointly with
others, is the original work of the joint submitters.
I further declare that (check one):
\square I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ; OR (check one or both of the following):
to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as (print name of OURANAS cryptosystem), may be covered by the following U.S. and/or foreign patents: (describe and enumerate or state "none" if applicable); US GOGLISS BZ , FR LOISISO
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including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: P-CATBOLT
Title: ITS.
Date: 28 NOV. 2017
Place: Lineys

I, Philipe Apool 15, unversity of livings, 123 as. A. Thomas, 87000 livings, France am the owner of the following patents and/or patent applications: "Cryptographic method for communicating confidential information" US9094189 B2, and "Procédé cryptographique de communication d'une information confidentielle" FR 10/51190, and do hereby commit and agree to grant to any interested party on a worldwide basis, if the cryptosystem known as, who be a first of the cryptosystem known as, who be a first of the cryptosystem known as is selected for standardization, in consideration of its evaluation and selection by NIST, a nonexclusive license for the purpose of implementing the standard (check one):

without compensation and under reasonable terms and conditions that are demonstrably free of any unfair discrimination. **OR**

under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

I further do hereby commit and agree to license such party on the same basis with respect to any other patent application or patent hereafter granted to me, or owned or controlled by me, that is or may be necessary for the purpose of implementing the standard.

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I further do hereby grant to the U.S. Government, during the public review and the evaluation process, and during the lifetime of the standard, a nonexclusive, nontransferrable, irrevocable, paid-up worldwide license solely for the purpose of modifying my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability) for incorporation into the standard.

Signed: 1-MDORIT IIII

Title: Professor
Date: Nounce 28 2017
Place: Limoys

I, Philipse GARDAT, University of himses, 125 av. A. Thomas & 7000 lines, france

, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: 1-GABORA PULL
Title: Prepara
Date: 12 Dobling 2017
Place:
Limogs

I, Adrien Hauteville, of University of Limoges, 123 avenue Albert Thomas, 87060 Limoges Cedex, FRANCE, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as OUROBOROS-R, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed: Adrien Hauteville

Title: Ph.D. post-doc Date: April the 3rd, 2018 Place: Limoges

I, Adrien HAUTEVILLE, of University of Limoges, 123 avenue Albert Thomas, 87060 Limoges Cedex, FRANCE, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed: Adrien HAUTEVILLE

Title: Ph. D. post-doc Date: April the 3rd, 2018

Place: Limoges

Cours de la Libération I, Gilles Zemor of IMB, University of Bordeoux 351466 33400 Talence do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I FRANCE have submitted, known as OUROBOROS-R, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.
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1, Gilles Zémon , I MB, University of Bordeaux, 351 Cours de la liberation 33400 Talence France, am the owner of the submitted reference implementation OVROBOROS-R optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable. Zems

Signed: Title: Professor Date: November 2, 2017 Place: Bordoava, France