

Délégation Contre Limousin Porton-Charentes

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3£ avenue de la Recherche Scientifique CS 10065 45071 Orléans Cedex 2

02 38 25 52 00

Statement by Patent Owner

- I, Ludovic HAMON, Regional Delegate of CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE, 3 rue Michel Ange, 75794 PARIS cedex 16 FRANCE, am the authorized representative of the owner of the following patent(s) and/or patent application(s):
 - French Priority Patent: Procédé cryptographique de communication d'une information confidentielle, FR 10/51190, February 18th, 2010, and its validated extensions in France, in Germany, in Swiss, in United Kingdom, United States, and in Japan,

and do hereby commit and agree to grant to any interested party on a worldwide basis, if the cryptosystem known as ROLLO-III (previously known as OUROBOROS-R) is selected for standardization, in consideration of its evaluation and selection by NIST, a non-exclusive license for the purpose of implementing the standard (check one):

 $\sqrt{}$ without compensation and under reasonable terms and conditions that are demonstrably free of any unfair discrimination, OR

 $\hfill \Box$ under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

I further do hereby commit and agree to license such party on the same basis with respect to any other patent application or patent hereafter granted to me, or owned or controlled by me, that is or may be necessary for the purpose of implementing the standard.

I further do hereby commit and agree that I will include, in any documents transferring ownership of each patent and patent application, provisions to ensure that the commitments and assurances made by me are binding on the transferee and any future transferee.

I further do hereby commit and agree that these commitments and assurances are in-tended by me to be binding on successors-in-interest of each patent and patent application, regardless of whether such provisions are included in the relevant transfer documents.

I further do hereby grant to the U.S. Government, during the public review and the evaluation process, and during the lifetime of the standard, a nonexclusive, nontransferrable, irrevocable, paid-up worldwide license solely for the purpose of modifying my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability) for incorporation into the standard.

Signed:

Le Délégué Régional

Ludovic HAMON

Title: Regional Delegate

Date: 26 A001 2019

Place: Orléans, France



Délégation Centre Limousin Poitou-Charentes 3E avenue de la Recherche Scientifique 45071 ORLEANS Cedex 2 I, Jean-Pierre TILLICH, of INRIA Rocquencourt, B.P. 105, 78153 Le Chesnay Cedex, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that:

- ☑ I do not hold and do not intend to hold any patent or patent application with a claim which
 may cover the cryptosystem, reference implementation, or optimized implementations that
 I have submitted, known as Rollo; OR:
 - □ to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, may be covered by the following U.S. and/or foreign patents: US9094189 B2 and FR 10/51190;
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I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed:

Title: Professor Date: July 16, 2019

Place: B.P. 105, 78153 Le Chesnay Cedex, France

I, Jean-Pierre TILLICH, of INRIA Rocquencourt, B.P. 105, 78153 Le Chesnay Cedex, France, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Professor Date: July 16, 2019

Place: B.P. 105, 78153 Le Chesnay Cedex, France

I, Olivier RUATTA, of XLIM, 123 av. Albert Thomas, 87060 Limoges Cedex, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed:

Title: Professor Date: July 16, 2019

I, Nicolas ARAGON, of XLIM, 123 av. Albert Thomas, 87060 Limoges Cedex, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed:

Title: Ph. D. Student Date: July 16, 2019

Aro

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Signed:

Title: Ph. D. Student Date: July 16, 2019

I, Magali BARDET, of LITIS, av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROUVRAY Cedex, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that:

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Signed:

Title: Associate Professor Date: July 16, 2019

Place: av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROU-

VRAY Cedex, France

I, Magali BARDET, of LITIS, av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROUVRAY Cedex, France, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Associate Professor

Date: July 16, 2019

Place: av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROU-

VRAY Cedex, France

I, Adrien HAUTEVILLE, of INRIA Saclay, École Polytechnique, 91128 Palaiseau Cedex, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that:

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Signed:

Title: Post-doc researcher

Date: July 16, 2019

Place: École Polytechnique, 91128 Palaiseau Cedex, France

I, Adrien HAUTEVILLE, of INRIA Saclay, École Polytechnique, 91128 Palaiseau Cedex, France, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Post-doc researcher

Date: July 17, 2019

Place: École Polytechnique, 91128 Palaiseau Cedex, France

I, Loïc BIDOUX, of Worldline, Zone Industrielle A, rue de la Pointe, 59113 Seclin, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed:

Title: Research Engineer, PhD

Date: July 16, 2019

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Signed:

Title: Research Engineer, PhD

Date: July 16, 2019

I, Slim BETTAIEB, of Worldline, Zone Industrielle A, rue de la Pointe, 59113 Seclin, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Title: Research Engineer, PhD

Date: July 16, 2019

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Signed:

Title: Research Engineer, PhD

Date: July 16, 2019

I, Jean-Christophe DENEUVILLE, of École Nationale de l'Aviation Civile, 7 Avenue Edouard Belin, 31400 Toulouse, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

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Signed:

Title: Associate Professor

Date: July 16, 2019

Place: 7 Avenue Edouard Belin, 31400 Toulouse, France

I, Olivier BLAZY, of XLIM, 123 av. Albert Thomas, 87060 Limoges Cedex, France, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

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 - ★ to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo may be covered by the following U.S. and/or foreign patents: US9094189 B2 and FR 10/51190;
 - □ I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: US9094189 B2 and FR 10/51190.

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed:

Title: Professor
Date: July 16, 2019

- I, Philippe GABORIT, of XLIM, 123 av. Albert Thomas, 87060 Limoges Cedex, France, am the owner of the following patents: "Cryptographic method for communicating confidential information" US9094189 B2, and "Procédé cryptographique de communication d'une information confidentielle", and do hereby commit and agree to grant to any interested party on a worldwide basis, if the cryptosystem known as Rollo is selected for standardization, in consideration of its evaluation and selection by NIST, a non-exclusive license for the purpose of implementing the standard:
 - without compensation and under reasonable terms and conditions that are demonstrably free of any unfair discrimination, **OR**
 - □ under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

I further do hereby commit and agree to license such party on the same basis with respect to any other patent application or patent hereafter granted to me, or owned or controlled by me, that is or may be necessary for the purpose of implementing the standard.

I further do hereby commit and agree that I will include, in any documents transferring ownership of each patent and patent application, provisions to ensure that the commitments and assurances made by me are binding on the transferee and any future transferee.

I further do hereby commit and agree that these commitments and assurances are intended by me to be binding on successors-in-interest of each patent and patent application, regardless of whether such provisions are included in the relevant transfer documents.

I further do hereby grant to the U.S. Government, during the public review and the evaluation process, and during the lifetime of the standard, a nonexclusive, nontransferable, irrevocable, paid-up worldwide license solely for the purpose of modifying my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability) for incorporation into the standard.

Signed:

Title: Professor Date: July 16, 2019

I, Philippe GABORIT, of XLIM, 123 av. Albert Thomas, 87060 Limoges Cedex, France, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Professor
Date: July 16, 2019

I, Carlos AGUILAR MELCHOR, of ISAE-SUPAÉRO, 10 Avenue Edouard Belin, 31400 Toulouse, FRANCE, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that:

- □ I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo; **OR**:
 - position to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, may be covered by the following U.S. and/or foreign patents: US9094189 B2 and FR 10/51190;
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Signed:

Title: Professor Date: July 16, 2019

Place: 10 Avenue Edouard Belin, 31400 Toulouse, FRANCE

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 - under reasonable terms and conditions that are demonstrably free of any unfair discrimination.

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I further do hereby commit and agree that these commitments and assurances are intended by me to be binding on successors-in-interest of each patent and patent application, regardless of whether such provisions are included in the relevant transfer documents.

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Signed:

Title: Professor

Date: July 16, 2019

Place: 10 Avenue Edouard Belin, 31400 Toulouse, FRANCE

I, Carlos AGUILAR MELCHOR, of ISAE-SUPAÉRO, 10 Avenue Edouard Belin, 31400 Toulouse, FRANCE, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Professor Date: July 16, 2019

Place: 10 Avenue Edouard Belin, 31400 Toulouse, FRANCE

I, Gilles ZÉMOR, of Institut de Mathématiques de Bordeaux, 351, cours de la Liberation, F-33405 Talence Cedex, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that:

- ☑ I do not hold and do not intend to hold any patent or patent application with a claim which
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 I have submitted, known as Rollo; OR:
 - □ to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, may be covered by the following U.S. and/or foreign patents: US9094189 B2 and FR 10/51190;
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Signed:

Title: Professor
Date: July 16, 2019

Place: 351, cours de la Liberation, F-33405 Talence Cedex, France

I, Gilles ZÉMOR, of Institut de Mathématiques de Bordeaux, 351, cours de la Liberation, F-33405 Talence Cedex, France, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Professor Date: July 16, 2019

Place: 351, cours de la Liberation, F-33405 Talence Cedex, France

I, Ayoub OTMANI, of LITIS, av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROUVRAY Cedex, France, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Rollo, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that:

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Signed:

Title: Professor Date: July 16, 2019

Place: av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROU-VRAY Cedex, France I, Ayoub OTMANI, of LITIS, av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROUVRAY Cedex, France, am the owner of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.

Signed:

Title: Professor Date: July 16, 2019

Place: av. de l'Université, Technopôle du Madrillet, F-76801 SAINT ETIENNE DU ROU-

VRAY Cedex, France