Statement by Each Submitter

I, Daniel J. Bernstein, *of* CS, 851 S. Morgan (M/C 152), Chicago, IL 60607-7053, *do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* Bonsai Binary Goppa Codes/Classic McEliece *is my own original work, or if submitted jointly with others, is the original work of the joint submitters. I further declare that (check one):*

☒ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* Bonsai Binary Goppa Codes/Classic McEliece. *OR (check one or both of the following):*

- ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* _____ *may be covered by the following U.S. and/or foreign patents:* _____
- ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations:* _____

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: D. J. Bernstein

Title: Research Professor

Date: 14 March 2018

Place: Eindhoven

2.D.1 Statement by Each Submitter

I, Edoardo Persichetti, of Florida Atlantic University, Department of Mathematical Sciences, 777 Glades Rd, Boca Raton 33431 FL, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Classic McEliece, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

☑ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Classic McEliece;* ***OR*** *(check one or both of the following):*

- ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*
- ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

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consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3 of the Call For Proposals, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed:

Title: Assistant Professor
Date: 4/16/18
Place: Boca Raton, FL

Statement by Each Submitter

I, Tung Chou, of Graduate School of Engineering, Osaka University, 1-1 Yamadaoka, 565-0871 Osaka Prefecture, Japan, *do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* **Boring Binary Goppa Codes/Classic McEliece**, *is my own original work, or if submitted jointly with others, is the original work of the joint submitters. I further declare that (check one):*

☒ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* **Boring Binary Goppa Codes/Classic McEliece** *OR (check one or both of the following):*

- ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* _____ *may be covered by the following U.S. and/or foreign patents:* _____
- ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations:* _____

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

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Signed: 周 統

Title: Assistant Professor

Date: 22 March, 2018

Place: Osaka, Japan

Statement by Each Submitter

I, TANJA LANGE, *of* TU/e, POSTBUS 513, 5600MB EINDHOVEN, NL, *do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* **Boring Binary Goppa Codes/Classic McEliece** *, is my own original work, or if submitted jointly with others, is the original work of the joint submitters. I further declare that (check one):*

☒ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* **Boring Binary Goppa Codes/Classic McEliece** *OR (check one or both of the following):*

- ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as* _____ *may be covered by the following U.S. and/or foreign patents:* _____
- ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations:* _____

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment.

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: Tanja Lange

Title: PROFESSOR

Date: 14 MAR 2018

Place: EINDHOVEN

2.D.1 Statement by Each Submitter

*I, _____ **Ingo von Maurich** _____, of _____**(no affiliation), Sachsenweg 28A, 22455 Hamburg, Germany**_____, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ **Boring Binary Goppa Codes**____, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

I further declare that (check one):

☒ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____**Boring Binary Goppa Codes**____; **OR** (check one or both of the following):*

- ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as _____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*
- ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

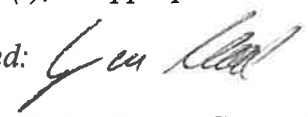
I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from

consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3 of the Call For Proposals, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: 

Title: Boring Binary Goppa Codes
Date: November 29, 2017
Place: Moscow, Russia

2.D.1 Statement by Each Submitter

*I, **RAFAEL MISOCZKI**, of **Intel Corporation, 2111 NE 25th Avenue, Hillsboro, Oregon, 97124, USA,** do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes**, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

I further declare that (check one):

- ✓ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes**; OR (check one or both of the following):*
  - ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*
  - ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances

made under Sections 2.D.1, 2.D.2 and 2.D.3, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: Rafael Misoczki
Title: Dr.
Date: Nov. 28, 2017
Place: Hillsboro, Oregon, USA

2.D.1 Statement by Each Submitter

*I, **Ruben Niederhagen**, of **Fraunhofer Institute for Secure Information Technology, Rheinstraße 75, 64295 Darmstadt, Germany**, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes**, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

I further declare that (check one):

☑ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes**; **OR** (check one or both of the following):*

- ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*
- ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

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Signed: 
Title:
Date: November 27, 2017
Place: Darmstadt

2.D.1 Statement by Each Submitter

I, Edoardo Persichetti, of Florida Atlantic University, Department of Mathematical Sciences, 777 Glades Rd, Boca Raton 33431 FL , do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Boring Binary Goppa Codes, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

- ☑ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Boring Binary Goppa Codes;* ***OR*** *(check one or both of the following):*

    - ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*
    - ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

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consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3 of the Call For Proposals, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed:

Title: Assistant Professor
Date: 11/27/17
Place: Boca Raton

2.D.1 Statement by Each Submitter

*I, _____ **Christiane Peters** _____, **IBM, Bourgetlaan 42, B-1130 Brussels, Belgium**, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ **Classic McEliece**____, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*
I further declare that (check one):

- [X] *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as _**Classic McEliece**____; **OR** (check one or both of the following):*
  - [ ] *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*
  - [ ] *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

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the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: ***Christiane Peters***
Title: ***Dr.***
Date: ***21-Jan-2020***
Place: ***Leuven, Belgium***

Statement by Each Submitter

I, Peter Schwabe, of Radboud University, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes/Classic McEliece**, is my own original work, or if submitted jointly with others, is the original work of the joint submitters. I further declare that (check one):

- ☑ I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes/Classic McEliece** OR (check one or both of the following):
  - ☐ to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as _____ may be covered by the following U.S. and/or foreign patents: _____
  - ☐ I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

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I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3, below, for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

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Signed: 

Title: Assistant Professor

Date: April 6, 2018

Place: Fort Lauderdale, USA

2.D.1 Statement by Each Submitter

*I, **Nicolas Sendrier**, of **Inria de Paris, 2 rue Simone Iff, CS 42112, 75589 Paris Cedex 12, France**, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes**, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

I further declare that (check one):

- *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as **Boring Binary Goppa Codes**;*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

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I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

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Signed: 
Title:
Date: November 28, 2017
Place: Paris, France

2.D.1 Statement by Each Submitter

*I, _____ **Jakub Szefer** _____, of _____**Department of Electrical Engineering, Yale University, 10 Hillhouse Ave., New Haven, CT 06510, USA**_____ , do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ **Boring Binary Goppa Codes**____, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

I further declare that (check one):

✓ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ **Boring Binary Goppa Codes** ____; **OR** (check one or both of the following):*

- *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*
- *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3 of the Call For Proposals, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: Jakub Szefer

Title: Assistant Professor

Date: Nov. 29, 2017

Place: Darmstadt, Germany

2.D.1 Statement by Each Submitter

*I, _____ **Wen Wang** _____, of _____**School of Engineering and Applied Science, Yale University, 10 Hillhouse Avenue, New Haven, CT 06511, USA**_____ , do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ **Boring Binary Goppa Codes**____, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.*

I further declare that (check one):

- *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ **Boring Binary Goppa Codes**____; **OR** (check one or both of the following):*

  - *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;*

  - *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

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I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove

my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3 of the Call For Proposals, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: Wen Wang
Title: Boring Binary Goppa Codes
Date: Nov. 27th, 2017
Place: TU Darmstadt, Germany

Statement by Reference/Optimized Implementations' Owner(s)

The following must also be included:

I, Tung Chou, Graduate School of Engineering, Osaka University, 1-1 Yamadaoka, 565-0871 Osaka Prefecture, Japan, *am the owner or authorized representative of* the owner Tung Chou *of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.*

Signed: 周 揚

Title: Assistant Professor

Date: 22 March, 2018

Place: Osaka, Japan

Statement by Reference/Optimized Implementations' Owner(s)

The following must also be included:

I, Daniel J. Bernstein, CS, 851 S. Morgan (M/C 152), Chicago, IL 60607-7053, *am the owner or authorized representative of the owner* Daniel J. Bernstein *of the submitted reference implementation and optimized implementations and hèreby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.*

Signed: D. J. Bernstein

Title: Research Professor

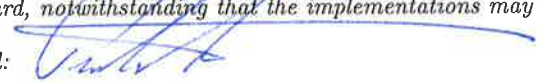
Date: 14 March 2018

Place: Eindhoven

Statement by Reference/Optimized Implementations' Owner(s)

The following must also be included:

I, Peter Schwabe, Radboud University, *am the owner* ~~*or authorized representative of the owner*~~ _____ *of the submitted reference implementation and optimized implementations and hereby grant the U.S. Government and any interested party the right to reproduce, prepare derivative works based upon, distribute copies of, and display such implementations for the purposes of the post-quantum algorithm public review and evaluation process, and implementation if the corresponding cryptosystem is selected for standardization and as a standard, notwithstanding that the implementations may be copyrighted or copyrightable.*

Signed: 

Title: Assistant Professor

Date: April 6, 2018

Place: Fort Lauderdale, USA

2.D.1 Statement by Each Submitter

I, Varun Maram, of ETH Zürich, CNB E 106, Universitätstrasse 6, 8092 Zürich, Switzerland, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Classic McEliece, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

- ☑ *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Classic McEliece;* ***OR*** *(check one or both of the following):*
  - ☐ *to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Classic McEliece, may be covered by the following U.S. and/or foreign patents: "none";*
  - ☐ *I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: "none".*

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

I acknowledge that NIST will announce any selected cryptosystem(s) and proceed to publish the draft standards for public comment

I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

I acknowledge that, during the post-quantum algorithm evaluation process, NIST may remove my cryptosystem from consideration for standardization. If my cryptosystem (or the derived cryptosystem) is removed from consideration for standardization or withdrawn from consideration by all submitter(s) and owner(s), I understand that rights granted and assurances made under Sections 2.D.1, 2.D.2 and 2.D.3 of the Call For Proposals, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and

owner(s), as appropriate.

Signed: Vasu...
Title: Mr.
Date: September 1, 2020
Place: Zürich, Switzerland

2.D.1 Statement by Each Submitter

I, Jan Gilcher, of ETH Zürich, CNB E 102.2, Universitätstrasse 6, 8092 Zürich, Switzerland, do hereby declare that the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Classic McEliece, is my own original work, or if submitted jointly with others, is the original work of the joint submitters.

I further declare that (check one):

X *I do not hold and do not intend to hold any patent or patent application with a claim which may cover the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as Classic McEliece;* ***OR*** *(check one or both of the following):*

to the best of my knowledge, the practice of the cryptosystem, reference implementation, or optimized implementations that I have submitted, known as ____ (print name of cryptosystem)____, may be covered by the following U.S. and/or foreign patents: _____ (describe and enumerate or state "none" if applicable)_____ ;
I do hereby declare that, to the best of my knowledge, the following pending U.S. and/or foreign patent applications may cover the practice of my submitted cryptosystem, reference implementation or optimized implementations: _____ (describe and enumerate or state "none" if applicable) _____.

I do hereby acknowledge and agree that my submitted cryptosystem will be provided to the public for review and will be evaluated by NIST, and that it might not be selected for standardization by NIST. I further acknowledge that I will not receive financial or other compensation from the U.S. Government for my submission. I certify that, to the best of my knowledge, I have fully disclosed all patents and patent applications which may cover my cryptosystem, reference implementation or optimized implementations. I also acknowledge and agree that the U.S. Government may, during the public review and the evaluation process, and, if my submitted cryptosystem is selected for standardization, during the lifetime of the standard, modify my submitted cryptosystem's specifications (e.g., to protect against a newly discovered vulnerability).

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I do hereby agree to provide the statements required by Sections 2.D.2 and 2.D.3 in the Call For Proposals for any patent or patent application identified to cover the practice of my cryptosystem, reference implementation or optimized implementations and the right to use such implementations for the purposes of the public review and evaluation process.

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made under Sections 2.D.1, 2.D.2 and 2.D.3 of the Call For Proposals, including use rights of the reference and optimized implementations, may be withdrawn by the submitter(s) and owner(s), as appropriate.

Signed: 
Title:
Date: 01.09.2020
Place: Zürich