

Microsoft Windows FIPS 140 Validation

Microsoft Windows 10 (Creators Update)

Microsoft Windows 10 Mobile (Creators Update)

Non-Proprietary

Security Policy Document

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Version History

Version	Date	Summary of changes
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1.01	February 12, 2018	Updates for CMVP
1.02	March 28, 2018	Updates for build 10.0.15063.728 (3SUB)
1.03	May 24, 2018	Bounded Modules added, certs added
1.04	June 25, 2019	Updates in response to comments

TABLE OF CONTENTS

<u>SECUR</u>	ITY POLICY DOCUMENT	<u>1</u>
<u>VERSIO</u>	N HISTORY	3
<u>1</u>	INTRODUCTION	8
1.1	LIST OF CRYPTOGRAPHIC MODULE BINARY EXECUTABLES	9
1.2	Version Info	
1.3	VALIDATED PLATFORMS	9
1.4	CONFIGURE WINDOWS TO USE FIPS-APPROVED CRYPTOGRAPHIC ALGORITHMS	10
<u>2</u>	CRYPTOGRAPHIC MODULE SPECIFICATION	11
2.1	CRYPTOGRAPHIC BOUNDARY	11
2.2	FIPS 140-2 APPROVED ALGORITHMS	11
2.3	NON-APPROVED ALGORITHMS	12
2.4	FIPS 140-2 APPROVED ALGORITHMS FROM BOUNDED MODULES	14
2.5	CRYPTOGRAPHIC BYPASS	14
2.6	HARDWARE COMPONENTS OF THE CRYPTOGRAPHIC MODULE	14
<u>3</u>	CRYPTOGRAPHIC MODULE PORTS AND INTERFACES	15
3.1	CNG PRIMITIVE FUNCTIONS	16
3.1.1	ALGORITHM PROVIDERS AND PROPERTIES	17
3.1.1.1	BCryptOpenAlgorithmProvider	17
3.1.1.2	BCryptCloseAlgorithmProvider	17
3.1.1.3	BCryptSetProperty	17
3.1.1.4	BCryptGetProperty	17
3.1.1.5	BCryptFreeBuffer	
3.1.2	RANDOM NUMBER GENERATION	18
3.1.2.1	BCryptGenRandom	18
3.1.2.2	SystemPrng	18
3.1.2.3	EntropyRegisterSource	19
3.1.2.4	EntropyUnregisterSource	19
3.1.2.5	EntropyProvideData	19
3.1.2.6	EntropyPoolTriggerReseedForlum	19
3.1.3	Key and Key-Pair Generation	19
3.1.3.1	BCryptGenerateSymmetricKey	19

1.3.3 BCryptFinalizeKeyPair	20 20 20
1.3.5 BCryptDestroyKey	20 20 20
	20 20
A VENTAND OUTDUT	20
4 KEY ENTRY AND OUTPUT	
.1 BCryptlmportKey	
.2 BCryptImportKeyPair	21
3 BCryptExportKey	21
ENCRYPTION AND DECRYPTION	21
1 BCryptEncrypt	21
2 BCryptDecrypt	22
HASHING AND MESSAGE AUTHENTICATION	22
1 BCryptCreateHash	22
2 BCryptHashData	22
3 BCryptDuplicateHash	23
4 BCryptFinishHash	23
5 BCryptDestroyHash	23
6 BCryptHash	23
7 BCryptCreateMultiHash	23
BCryptProcessMultiOperations	24
SIGNING AND VERIFICATION	24
BCryptSignHash	24
BCryptVerifySignature	25
SECRET AGREEMENT AND KEY DERIVATION	25
1 BCryptSecretAgreement	25
BCryptDeriveKey	25
B BCryptDestroySecret	26
BCryptKeyDerivation	26
BCryptDeriveKeyPBKDF2	26
CRYPTOGRAPHIC TRANSITIONS	27
CONTROL INPUT INTERFACE	27
STATUS OUTPUT INTERFACE	27
Data Output Interface	27
Data Input Interface	27
Non-Security Relevant Configuration Interfaces	27
ROLES, SERVICES AND AUTHENTICATION	29
Roles	29
SERVICES	29
Mapping of Services. Algorithms, and Critical Security Parameters	20

4.2.2	Mapping of Services, Export Functions, and Invocations	31
4.2.3	Non-Approved Services	32
4.3	AUTHENTICATION	33
<u>5</u>	FINITE STATE MODEL	33
5.1	SPECIFICATION	33
<u>6</u>	OPERATIONAL ENVIRONMENT	34
6.1	SINGLE OPERATOR	34
6.2	CRYPTOGRAPHIC ISOLATION	34
6.3	Integrity Chain of Trust	34
<u>7</u>	CRYPTOGRAPHIC KEY MANAGEMENT	36
7.1	Access Control Policy	37
7.2	KEY MATERIAL	37
7.3	KEY GENERATION	38
7.4	KEY ESTABLISHMENT	38
7.4.1	NIST SP 800-132 PASSWORD BASED KEY DERIVATION FUNCTION (PBKDF)	39
7.4.2	NIST SP 800-38F AES KEY WRAPPING	39
7.5	KEY ENTRY AND OUTPUT	39
7.6	KEY STORAGE	40
7.7	KEY ARCHIVAL	40
7.8	KEY ZEROIZATION	40
<u>8</u>	SELF-TESTS	40
8.1	Power-On Self-Tests	40
8.2	CONDITIONAL SELF-TESTS	41
<u>9</u>	DESIGN ASSURANCE	41
<u>10</u>	MITIGATION OF OTHER ATTACKS	42
<u>11</u>	SECURITY LEVELS	43
12	ADDITIONAL DETAILS	43

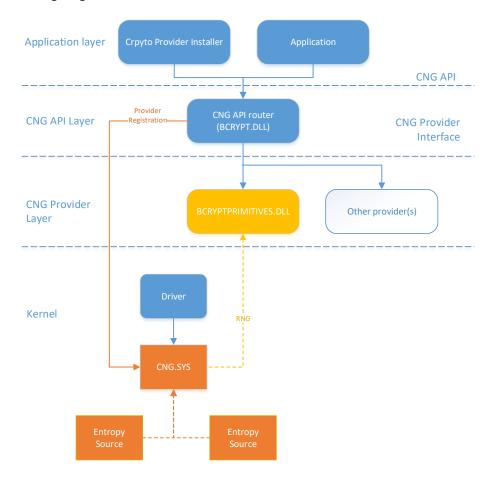
<u>13</u>	APPENDIX A – HOW TO VERIFY WINDOWS VERSIONS AND DIGITAL SIGNATURES	44
13.1	How to Verify Windows Versions	44
13.2	HOW TO VERIFY WINDOWS DIGITAL SIGNATURES	44
<u>14</u>	APPENDIX B – REFERENCES	45

1 Introduction

Microsoft Kernel Mode Cryptographic Primitives Library is a kernel-mode cryptographic module that provides cryptographic services through the Microsoft CNG (Cryptography, Next Generation) API to Windows 10 kernel components.

Kernel Mode Cryptographic Primitives Library also provides cryptographic provider registration and configuration services to both user and kernel mode components. See Non-Security Relevant Configuration Interfaces for more information.

The relationship between Kernel Mode Cryptographic Primitives Library and other components is shown in the following diagram:



1.1 List of Cryptographic Module Binary Executables

The Kernel Mode Cryptographic Primitives Library consists of the following binaries and validated versions:

- CNG.SYS
 - 0 10.0.15063.728

1.2 Version Info

10.0.15063.728 for Windows 10 OEs

1.3 Validated Platforms

The Windows editions covered by this validation are:

- Windows 10 Home Edition (32-bit version)
- Windows 10 Pro Edition (64-bit version)
- Windows 10 Enterprise Edition (64-bit version)
- Windows 10 Education Edition (64-bit version)
- Windows 10-S Edition (64-bit version)
- Windows 10 Mobile
- Microsoft Surface Hub

The Cryptographic Primitives Library components listed in Section 1.1 were validated using the combination of computers and Windows operating system editions specified in the table below.

All the computers for Windows 10 listed in the table below are all 64-bit Intel architecture and implement the AES-NI instruction set but not the SHA Extensions. The exceptions are:

- Dell Inspiron 660s Intel Core i3 without AES-NI and SHA Extensions
- HP Slimline Desktop Intel Pentium with AES-NI and SHA Extensions

Windows 10 Mobile runs on the ARM architecture, which does not implement AES-Ni instructions or SHA extensions:

- Microsoft Lumia 950 Qualcomm Snapdragon 808 (A57, A53)
- Microsoft Lumia 950 XL Qualcomm Snapdragon 810 (A57, A53)
- Microsoft Lumia 650 Qualcomm Snapdragon 212 (A7)
- HP Elite x3 Qualcomm Snapdragon 820 (Kryo)

Computer	Windows 10 Home	Windows 10 Pro	Windows 10 Enterprise	Windows 10 Education	Surface Hub	Windows 10 S	Windows 10 Mobile
Microsoft Surface Laptop – Intel Core i5		٧	٧			٧	

Microsoft Surface Pro – Intel Core m3		٧	٧	٧		
Microsoft Surface Book – Intel Core i7			٧			
Microsoft Surface Pro 4 – Intel Core i5			٧			
Microsoft Surface Pro 3 – Intel Core i7		٧				
Microsoft Surface 3 – Intel Atom x7			٧			
Microsoft Surface 3 with LTE – Intel		٧				
Atom x7			,			
Microsoft Surface Studio – Intel Core i7			٧			
Microsoft Surface Hub – Intel Core i5					٧	
Windows Server 2016 Hyper-V ¹ – Intel Core i5		٧				
Microsoft Lumia 950 – Qualcomm						٧
Snapdragon 808 (A57, A53)						
Microsoft Lumia 950 XL – Qualcomm						٧
Snapdragon 810 (A57, A53)						
Microsoft Lumia 650 – Qualcomm						V
Snapdragon 212 (A7)						
Dell Latitude 5285 – Intel Core i5		٧				
Dell Inspiron 660s – Intel Core i3	٧					
Dell Precision Tower 5810MT – Intel Xeon		٧				
Dell PowerEdge R630 – Intel Xeon		٧				
		V				V
HP Elite X3 – Qualcomm Snapdragon 820 (Kryo)						V
HP Compaq Pro 6305 – AMD A4		٧				
HP Pro x2 612 G2 Detachable PC with			٧			
LTE – Intel Core i7						
HP Slimline Desktop – Intel Pentium		٧				
Panasonic Toughbook – Intel Core i5		٧				
J	1	1	1	1		

1.4 Configure Windows to use FIPS-Approved Cryptographic Algorithms

Use the FIPS Local/Group Security Policy setting or a Mobile Device Management (MDM) to enable FIPS-Approved mode for Kernel Mode Cryptographic Primitives Library. For all Window versions listed in the table of validated platforms (except Windows 10 Mobile), use the FIPS Local/Group Security Policy. For Windows 10 Mobile, use Mobile Device Management (MDM).

The Windows operating system provides a group (or local) security policy setting, "System cryptography: Use FIPS compliant algorithms for encryption, hashing, and signing".

Consult the MDM documentation for information on how to enable FIPS-Approved mode. The Policy <u>CSP - Cryptography</u> includes the setting **AllowFipsAlgorithmPolicy**.

¹ Host OS: Windows Server 2016, hardware platform: Surface Pro 4.

Changes to the Approved mode security policy setting do not take effect until the computer has been rebooted.

2 Cryptographic Module Specification

Kernel Mode Cryptographic Primitives Library is a multi-chip standalone module that operates in FIPS-Approved mode during normal operation of the computer and Windows operating system and when Windows is configured to use FIPS-approved cryptographic algorithms as described in Configure Windows to use FIPS-Approved Cryptographic Algorithms.

In addition to configuring Windows to use FIPS-Approved Cryptographic Algorithms, third-party applications and drivers installed on the Windows platform must not use any of the <u>non-approved algorithms</u> implemented by this module. Windows will not operate in an Approved mode when the operators chooses to use a non-Approved algorithm or service

The following configurations and modes of operation will cause Kernel Mode Cryptographic Primitives Library to operate in a non-approved mode of operation:

- Boot Windows in Debug mode
- Boot Windows with Driver Signing disabled

2.1 Cryptographic Boundary

The software cryptographic boundary for Kernel Mode Cryptographic Primitives Library is defined as the binary CNG.SYS.

2.2 FIPS 140-2 Approved Algorithms

Kernel Mode Cryptographic Primitives Library implements the following FIPS-140-2 Approved algorithms:²

- FIPS 180-4 SHS SHA-1, SHA-256, SHA-384, and SHA-512 (Cert. # 4253)
- FIPS 198-1 SHA-1, SHA-256, SHA-384, SHA-512 HMAC (Cert. # 3499)
- NIST SP 800-67r1 Triple-DES (2 key legacy-use decryption³ and 3 key encryption/decryption) in ECB, CBC, CFB8 and CFB64 modes (Cert. # 2677)
- FIPS 197 AES-128, AES-192, and AES-256 in ECB, CBC, CFB8, CFB128, and CTR modes (Cert. # 5300)
- NIST SP 800-38B and SP 800-38C AES-128, AES-192, and AES-256 in CCM and CMAC modes (Cert. # 5300)
- NIST SP 800-38D AES-128, AES-192, and AES-256 GCM decryption and GMAC (Cert. # 5300)
- NIST SP 800-38E XTS-AES XTS-128 and XTS-256 (Cert. # 5300)⁴

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² This module may not use some of the capabilities described in each CAVP certificate.

³ Two-key Triple-DES Decryption is only allowed for Legacy-usage (as per SP 800-131A). The use of two-key Triple-DES Encryption is disallowed. The caller is responsible for using the key for up to 2²⁰ encryptions for IETF protocols and 2¹⁶ encryptions for any other use.

⁴ AES XTS must be used only to protect data at rest and the caller needs to ensure that the length of data encrypted does not exceed 2²⁰ AES blocks.

- FIPS 186-4 RSA (RSASSA-PKCS1-v1_5 and RSASSA-PSS) digital signature generation and verification with 2048 and 3072 moduli; supporting SHA-1⁵, SHA-256, SHA-384, and SHA-512 (Certs. # 2836)
- FIPS 186-4 RSA key-pair generation with 2048 and 3072 moduli (Cert. # 2836)
- FIPS 186-4 ECDSA key pair generation and verification, signature generation and verification with the following NIST curves: P-256, P-384, P-521 (Cert. # 1386)
- FIPS 186-4 DSA PQG generation and verification, signature generation and verification (Cert. # 1373)⁶.
- KAS SP 800-56A Diffie-Hellman Key Agreement; Finite Field Cryptography (FFC) with parameter FB (p=2048, q=224) and FC (p=2048, q=256); key establishment methodology provides 112 bits of encryption strength (Cert. # 173)
- KAS SP 800-56A EC Diffie-Hellman Key Agreement; Elliptic Curve Cryptography (ECC) with parameter EC (P-256 w/ SHA-256), ED (P-384 w/ SHA-384), and EE (P-521 w/ SHA-512); key establishment methodology provides between 128 and 256-bits of encryption strength (Cert. # 173)
- NIST SP 800-56B RSADP mod 2048 (Cert. # 1765)
- NIST SP 800-90A AES-256 counter mode DRBG (Cert. # 2037)
- NIST SP 800-108 Key Derivation Function (KDF) CMAC-AES (128, 192, 256), HMAC (SHA1, SHA-256, SHA-384, SHA-512) (Cert. # 187)
- NIST SP 800-132 KDF (also known as PBKDF) with HMAC (SHA-1, SHA-256, SHA-384, SHA-512) as the pseudo-random function (vendor affirmed)
- NIST SP 800-38F AES Key Wrapping (128, 192, and 256) (Cert. # 5317)
- NIST SP 800-135 IKEv1 and IKEv2 KDF primitives (Cert. # 1764)⁷
- NIST SP 800-135 TLS primitive (Cert. # 1764)8
- NIST SP 800-133 Cryptographic Key Generation (vendor affirmed)

2.3 Non-Approved Algorithms

Kernel Mode Cryptographic Primitives Library implements the following non-approved algorithms:

- A non-determinic random number generator (NDRNG) that is a not a FIPS Approved algorithm but is allowed by FIPS 140. The NDRNG provides entropy input to the DRBG.
- SHA-1 hash, which is disallowed for use in digital signature generation. It can be used for legacy digital signature verification. Its use is Acceptable for non-digital signature generation applications.
- RSA 1024-bits for digital signature generation, which is disallowed.
- NIST SP 800-56A Key Agreement using Finite Field Cryptography (FFC) with parameter FA (p=1024, q=160). The key establishment methodology provides 80 bits of encryption strength instead of the Approved 112 bits of encryption strength listed above (disallowed in FIPS mode)

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⁵ SHA-1 is only acceptable for legacy signature verification.

⁶ The DSA functions of signature generation/verification are not supported by this module. DSA functions are not provided as a service, but parts of the DSA algorithm are required as a prerequisite to the KAS FFC implementation contained in this module, which is why DSA is listed here

⁷ This cryptographic module supports the IKEv1 and IKEv2 protocols with SP 800-135 rev 1 KDF primitives, however, the protocols have not been reviewed or tested by the NIST CAVP and CMVP.

⁸ This cryptographic module supports the TLS protocol with SP 800-135 rev 1 KDF primitive, however, the protocol has not been reviewed or tested by the NIST CAVP and CMVP.

- If HMAC-SHA1 is used, key sizes less than 112 bits (14 bytes) are not allowed for usage in HMAC generation, as per SP 800-131A.
- MD5 and HMAC-MD5 allowed for TLS and EAP-TLS
- RC2, RC4, MD2, MD4 (disallowed in FIPS mode)
- 2-Key Triple-DES Encryption, which is disallowed for usage altogether as of the end of 2015.
- DES in ECB, CBC, CFB8 and CFB64 modes (disallowed in FIPS mode)
- Legacy CAPI KDF (proprietary; disallowed in FIPS mode)
- RSA encrypt/decrypt (disallowed in FIPS mode)
- IEEE 1619-2007 XTS-AES, XTS-128 and XTS-256 (disallowed in FIPS mode)
- NIST SP 800-38D AES-128, AES-192, and AES-256 GCM encryption (disallowed in FIPS mode)
- ECDH with the following curves that are allowed in FIPS mode as per FIPS 140-2 IG A.2

Curve	Security Strength (bits)	Allowed in FIPS mode
Curve25519	128	Yes
brainpoolP160r1	80	No
brainpoolP192r1	96	No
brainpoolP192t1	96	No
brainpoolP224r1	112	Yes
brainpoolP224t1	112	Yes
brainpoolP256r1	128	Yes
brainpoolP256t1	128	Yes
brainpoolP320r1	160	Yes
brainpoolP320t1	160	Yes
brainpoolP384r1	192	Yes
brainpoolP384t1	192	Yes
brainpoolP512r1	256	Yes
brainpoolP512t1	256	Yes
ec192wapi	96	No
nistP192	96	No
nistP224	112	Yes
numsP256t1	128	Yes
numsP384t1	192	Yes
numsP512t1	256	Yes
secP160k1	80	No
secP160r1	80	No
secP160r2	80	No
secP192k1	96	No
secP192r1	96	No
secP224k1	112	Yes
secP224r1	112	Yes
secP256k1	128	Yes
secP256r1	128	Yes
secP384r1	192	Yes
secP521r1	256	Yes
wtls12	112	Yes
wtls7	80	No
wtls9	80	No

Curve	Security Strength (bits)	Allowed in FIPS mode
x962P192v1	96	No
x962P192v2	96	No
x962P192v3	96	No
x962P239v1	120	Yes
x962P239v2	120	Yes
x962P239v3	120	Yes
x962P256v1	128	Yes

2.4 FIPS 140-2 Approved Algorithms from Bounded Modules

A bounded module is a FIPS 140 module which provides cryptographic functionality that is relied on by a downstream module. As described in the <u>Integrity Chain of Trust</u> section, Kernel Mode Cryptographic Primitives Library depends on the following modules and algorithms:

Implemented in the Windows OS Loader (module certificate #3090):

- CAVP certificate #2846 for FIPS 186-4 RSA PKCS#1 (v1.5) digital signature verification with 2048 moduli; supporting SHA-256
- CAVP certificate #4253 for FIPS 180-4 SHS SHA-1, SHA-256, SHA-384, and SHA-512

Implemented in Windows Resume (module certificate #3091) to decrypt and restore the encrypted memory state during a boot from hibernation, which acts to preserve the module's integrity (established by the Windows OS Loader) across hibernations:

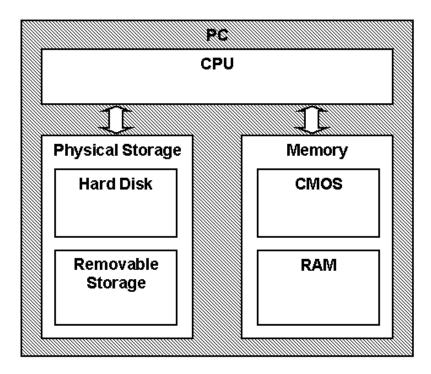
CAVP certificate #5300 for FIPS 197 AES CBC 128 and 256, SP 800-38E AES XTS 128 and 256

2.5 Cryptographic Bypass

Cryptographic bypass is not supported by Kernel Mode Cryptographic Primitives Library.

2.6 Hardware Components of the Cryptographic Module

The physical boundary of the module is the physical boundary of the computer that contains the module. The following diagram illustrates the hardware components of the Kernel Mode Cryptographic Primitives Library module:



3 Cryptographic Module Ports and Interfaces

The Kernel Mode Cryptographic Primitives Library module implements a set of algorithm providers for the Cryptography Next Generation (CNG) framework in Windows. Each provider in this module represents a single cryptographic algorithm or a set of closely related cryptographic algorithms. These algorithm providers are invoked through the CNG algorithm primitive functions, which are sometimes collectively referred to as the CNG API. For a full list of these algorithm providers, see https://msdn.microsoft.com/en-us/library/aa375534.aspx

The Kernel Mode Cryptographic Primitives Library module is accessed through one of the following logical interfaces:

- 1. Kernel applications requiring cryptographic services use the BCrypt APIs detailed in Services.
- **2.** Entropy sources supply random bits to the random number generator through the entropy interfaces.

3.1 CNG Primitive Functions

The following security-relevant functions are exported by Kernel Mode Cryptographic Primitives Library:

- BCryptCloseAlgorithmProvider
- BCryptCreateHash
- BCryptCreateMultiHash
- BCryptDecrypt
- BCryptDeriveKey
- BCryptDeriveKeyPBKDF2
- BCryptDestroyHash
- BCryptDestroyKey
- BCryptDestroySecret
- BCryptDuplicateHash
- BCryptDuplicateKey
- BCryptEncrypt
- BCryptExportKey
- BCryptFinalizeKeyPair
- BCryptFinishHash
- BCryptFreeBuffer
- BCryptGenerateKeyPair
- BCryptGenerateSymmetricKey
- BCryptGenRandom
- BCryptGetProperty
- BCryptHash
- BCryptHashData
- BCryptImportKey
- BCryptImportKeyPair
- BCryptKeyDerivation
- BCryptOpenAlgorithmProvider
- BCryptProcessMultiOperations
- BCryptSecretAgreement
- BCryptSetProperty
- BCryptSignHash
- BCryptVerifySignature
- SystemPrng
- EntropyPoolTriggerReseedForlum
- EntropyProvideData
- EntropyRegisterSource
- EntropyUnregisterSource

All of these functions are used in the approved mode. Furthermore, these are the only approved functions that this module can perform.

Kernel Mode Cryptographic Primitives Library has additional export functions described in <u>Non-Security</u> Relevant Configuration Interfaces.

3.1.1 Algorithm Providers and Properties

3.1.1.1 BCryptOpenAlgorithmProvider

NTSTATUS WINAPI BCryptOpenAlgorithmProvider(

BCRYPT_ALG_HANDLE *phAlgorithm,

LPCWSTR pszAlgId,

LPCWSTR pszImplementation,

ULONG dwFlags);

The BCryptOpenAlgorithmProvider() function has four parameters: algorithm handle output to the opened algorithm provider, desired algorithm ID input, an optional specific provider name input, and optional flags. This function loads and initializes a CNG provider for a given algorithm, and returns a handle to the opened algorithm provider on success.

Unless the calling function specifies the name of the provider, the default provider is used.

The calling function must pass the BCRYPT_ALG_HANDLE_HMAC_FLAG flag in order to use an HMAC function with a hash algorithm.

3.1.1.2 BCryptCloseAlgorithmProvider

```
NTSTATUS WINAPI BCryptCloseAlgorithmProvider(
BCRYPT_ALG_HANDLE hAlgorithm,
ULONG dwFlags);
```

This function closes an algorithm provider handle opened by a call to BCryptOpenAlgorithmProvider() function.

3.1.1.3 BCryptSetProperty

```
NTSTATUS WINAPI BCryptSetProperty(

BCRYPT_HANDLE hObject,

LPCWSTR pszProperty,

PUCHAR pbInput,

ULONG cbInput,

ULONG dwFlags);
```

The BCryptSetProperty() function sets the value of a named property for a CNG object. The CNG object is a handle, the property name is a NULL terminated string, and the value of the property is a length-specified byte string.

3.1.1.4 BCryptGetProperty

```
NTSTATUS WINAPI BCryptGetProperty(

BCRYPT_HANDLE hObject,

LPCWSTR pszProperty,

PUCHAR pbOutput,

ULONG cbOutput,

ULONG *pcbResult,

ULONG dwFlags);
```

The BCryptGetProperty() function retrieves the value of a named property for a CNG object. The CNG object is a handle, the property name is a NULL terminated string, and the value of the property is a length-specified byte string.

3.1.1.5 BCryptFreeBuffer

```
VOID WINAPI BCryptFreeBuffer(
PVOID pvBuffer);
```

Some of the CNG functions allocate memory on caller's behalf. The BCryptFreeBuffer() function frees memory that was allocated by such a CNG function.

3.1.2 Random Number Generation

3.1.2.1 BCryptGenRandom

```
NTSTATUS WINAPI BCryptGenRandom(

BCRYPT_ALG_HANDLE hAlgorithm,

PUCHAR pbBuffer,

ULONG cbBuffer,

ULONG dwFlags);
```

The BCryptGenRandom() function fills a buffer with random bytes. The random number generation algorithm is:

• BCRYPT_RNG_ALGORITHM. This is the AES-256 counter mode based random generator as defined in SP 800-90A.

This function is a wrapper for SystemPrng.

3.1.2.2 SystemPrng

```
BOOL SystemPrng(
    unsigned char *pbRandomData,
    size t cbRandomData);
```

The SystemPrng() function fills a buffer with random bytes generated from output of NIST SP 800-90A AES-256 counter mode based DRBG seeded from the Windows entropy pool. The Windows entropy pool is populated from the following sources:

- An initial entropy value provided by the Windows OS Loader at boot time.
- The values of the high-resolution CPU cycle counter at times when hardware interrupts are received.
- Random values gathered from the Trusted Platform Module (TPM), if one is available on the system.
- Random values gathered by calling the RDRAND CPU instruction, if supported by the CPU.

The Windows DRBG infrastructure located in cng.sys continues to gather entropy from these sources during normal operation, and the DRBG cascade is periodically reseeded with new entropy.

3.1.2.3 EntropyRegisterSource

This function is used to obtain a handle that can be used to contribute randomness to the Windows entropy pool. The handle is returned in the phEntropySource parameter. For this function, entropySource must be set to ENTROPY_SOURCE_TYPE_HIGH_PUSH, and entropySourceName must be a Unicode string describing the entropy source.

3.1.2.4 EntropyUnregisterSource

```
NTSTATUS EntropyRegisterSource(
ENTROPY_SOURCE_HANDLE hEntropySource);
```

This function is used to destroy a handle created with EntropyRegisterSource().

3.1.2.5 EntropyProvideData

```
NTSTATUS EntropyProvideData(
```

ENTROPY_SOURCE_HANDLE hEntropySource,

PCBYTE pbData, SIZE_T cbData,

ULONG entropyEstimateInMilliBits);

This function is used to contribute entropy to the Windows entropy pool. hEntropySource must be a handle returned by an earlier call to EntropyRegisterSource. The caller provides cbData bytes in the buffer pointed to by pbData, as well as an estimate (in the entropyEstimateInMilliBits parameter) of how many millibits of entropy are contained in these bytes.

3.1.2.6 EntropyPoolTriggerReseedForIum

VOID EntropyPoolTriggerReseedForlum(BOOLEAN fPerformCallbacks);

This function will trigger a kernel DRBG reseed for the cng.sys inside the IUM (Isolated User Mode) environment. If called inside the IUM environment, it triggers a reseed from one or more of the entropy pools of the system. If called inside the normal world (non-IUM) environment, this function does nothing.

3.1.3 Key and Key-Pair Generation

3.1.3.1 BCryptGenerateSymmetricKey

```
NTSTATUS WINAPI BCryptGenerateSymmetricKey(
BCRYPT_ALG_HANDLE hAlgorithm,
BCRYPT_KEY_HANDLE *phKey,
PUCHAR pbKeyObject,
ULONG cbKeyObject,
PUCHAR pbSecret,
ULONG cbSecret,
```

```
ULONG dwFlags);
```

The BCryptGenerateSymmetricKey() function generates a symmetric key object directly from a DRBG for use with a symmetric encryption algorithm or key derivation algorithm from a supplied key value. The calling application must specify a handle to the algorithm provider created with the BCryptOpenAlgorithmProvider() function. The algorithm specified when the provider was created must support symmetric key encryption or key derivation.

3.1.3.2 BCryptGenerateKeyPair

```
NTSTATUS WINAPI BCryptGenerateKeyPair(

BCRYPT_ALG_HANDLE hAlgorithm,

BCRYPT_KEY_HANDLE *phKey,

ULONG dwLength,

ULONG dwFlags);
```

The BCryptGenerateKeyPair() function creates an empty public/private key pair. After creating a key using this function, call the BCryptSetProperty() function to set its properties. The key pair can be used only after BCryptFinalizeKeyPair() function is called.

3.1.3.3 BCryptFinalizeKeyPair

```
NTSTATUS WINAPI BCryptFinalizeKeyPair(

BCRYPT_KEY_HANDLE hKey,

ULONG dwFlags);
```

The BCryptFinalizeKeyPair() function completes a public/private key pair import or generation directly from the output of a DRBG. The key pair cannot be used until this function has been called. After this function has been called, the BCryptSetProperty() function can no longer be used for this key.

3.1.3.4 BCryptDuplicateKey

```
NTSTATUS WINAPI BCryptDuplicateKey(

BCRYPT_KEY_HANDLE hKey,

BCRYPT_KEY_HANDLE *phNewKey,

PUCHAR pbKeyObject,

ULONG cbKeyObject,

ULONG dwFlags);
```

The BCryptDuplicateKey() function creates a duplicate of a symmetric key.

3.1.3.5 BCryptDestroyKey

```
NTSTATUS WINAPI BCryptDestroyKey(
BCRYPT_KEY_HANDLE hKey);
```

The BCryptDestroyKey() function destroys the specified key.

3.1.4 Key Entry and Output

3.1.4.1 BCryptImportKey

```
NTSTATUS WINAPI BCryptImportKey(
BCRYPT_ALG_HANDLE hAlgorithm,
```

```
BCRYPT_KEY_HANDLE hImportKey,
LPCWSTR pszBlobType,
BCRYPT_KEY_HANDLE *phKey,
PUCHAR pbKeyObject,
ULONG cbKeyObject,
PUCHAR pbInput,
ULONG cbInput,
ULONG dwFlags);
```

The BCryptImportKey() function imports a symmetric key from a key blob.

3.1.4.2 BCryptImportKeyPair

```
NTSTATUS WINAPI BCryptImportKeyPair(

BCRYPT_ALG_HANDLE hAlgorithm,

BCRYPT_KEY_HANDLE hImportKey,

LPCWSTR pszBlobType,

BCRYPT_KEY_HANDLE *phKey,

PUCHAR pbInput,

ULONG cbInput,

ULONG dwFlags);
```

The BCryptImportKeyPair() function is used to import a public/private key pair from a key blob.

3.1.4.3 BCryptExportKey

```
NTSTATUS WINAPI BCryptExportKey(

BCRYPT_KEY_HANDLE hKey,

BCRYPT_KEY_HANDLE hExportKey,

LPCWSTR pszBlobType,

PUCHAR pbOutput,

ULONG cbOutput,

ULONG *pcbResult,

ULONG dwFlags);
```

The BCryptExportKey() function exports a key to a memory blob that can be persisted for later use.

3.1.5 Encryption and Decryption

3.1.5.1 BCryptEncrypt

```
NTSTATUS WINAPI BCryptEncrypt(

BCRYPT_KEY_HANDLE hKey,

PUCHAR pbInput,

ULONG cbInput,

VOID *pPaddingInfo,

PUCHAR pbIV,
```

```
ULONG cbIV,
PUCHAR pbOutput,
ULONG cbOutput,
ULONG *pcbResult,
ULONG dwFlags);
```

The BCryptEncrypt() function encrypts a block of data of given length.

3.1.5.2 BCryptDecrypt

```
NTSTATUS WINAPI BCryptDecrypt(

BCRYPT_KEY_HANDLE hKey,
PUCHAR pbInput,
ULONG cbInput,
VOID *pPaddingInfo,
PUCHAR pbIV,
ULONG cbIV,
PUCHAR pbOutput,
ULONG cbOutput,
ULONG *pcbResult,
ULONG dwFlags);
```

The BCryptDecrypt() function decrypts a block of data of given length.

3.1.6 Hashing and Message Authentication

3.1.6.1 BCryptCreateHash

```
NTSTATUS WINAPI BCryptCreateHash(

BCRYPT_ALG_HANDLE hAlgorithm,

BCRYPT_HASH_HANDLE *phHash,

PUCHAR pbHashObject,

ULONG cbHashObject,

PUCHAR pbSecret,

ULONG cbSecret,

ULONG dwFlags);
```

The BCryptCreateHash() function creates a hash object with an optional key. The optional key is used for HMAC, AES GMAC and AES CMAC.

3.1.6.2 BCryptHashData

```
NTSTATUS WINAPI BCryptHashData(

BCRYPT_HASH_HANDLE hHash,

PUCHAR pbInput,

ULONG cbInput,

ULONG dwFlags);
```

The BCryptHashData() function performs a one way hash on a data buffer. Call the BCryptFinishHash() function to finalize the hashing operation to get the hash result.

3.1.6.3 BCryptDuplicateHash

```
NTSTATUS WINAPI BCryptDuplicateHash(

BCRYPT_HASH_HANDLE hHash,

BCRYPT_HASH_HANDLE *phNewHash,

PUCHAR pbHashObject,

ULONG cbHashObject,

ULONG dwFlags);
```

The BCryptDuplicateHash()function duplicates an existing hash object. The duplicate hash object contains all state and data that was hashed to the point of duplication.

3.1.6.4 BCryptFinishHash

```
NTSTATUS WINAPI BCryptFinishHash(

BCRYPT_HASH_HANDLE hHash,

PUCHAR pbOutput,

ULONG cbOutput,

ULONG dwFlags);
```

The BCryptFinishHash() function retrieves the hash value for the data accumulated from prior calls to BCryptHashData() function.

3.1.6.5 BCryptDestroyHash

```
NTSTATUS WINAPI BCryptDestroyHash(
BCRYPT_HASH_HANDLE hHash);
```

The BCryptDestroyHash() function destroys a hash object.

3.1.6.6 BCryptHash

```
NTSTATUS WINAPI BCryptHash(

BCRYPT_ALG_HANDLE hAlgorithm,

PUCHAR pbSecret,

ULONG cbSecret,

PUCHAR pbInput,

ULONG cbInput,

PUCHAR pbOutput,

ULONG cbOutput);
```

The function BCryptHash() performs a single hash computation. This is a convenience function that wraps calls to the BCryptCreateHash(), BCryptHashData(), BCryptFinishHash(), and BCryptDestroyHash() functions.

3.1.6.7 BCryptCreateMultiHash

```
NTSTATUS WINAPI BCryptCreateMultiHash(
BCRYPT_ALG_HANDLE hAlgorithm,
BCRYPT_HASH_HANDLE *phHash,
```

ULONG nHashes, PUCHAR pbHashObject, ULONG cbHashObject, PUCHAR pbSecret, ULONG cbSecret, ULONG dwFlags);

BCryptCreateMultiHash() is a function that creates a new MultiHash object that is used in parallel hashing to improve performance. The MultiHash object is equivalent to an array of normal (reusable) hash objects.

3.1.6.8 BCryptProcessMultiOperations

```
NTSTATUS WINAPI BCryptProcessMultiOperations(

BCRYPT_HANDLE hObject,

BCRYPT_MULTI_OPERATION_TYPE operationType,

PVOID pOperations,

ULONG cbOperations,

ULONG dwFlags);
```

The BCryptProcessMultiOperations() function is used to perform multiple operations on a single multiobject handle such as a MultiHash object handle. If any of the operations fail, then the function will return an error.

Each element of the operations array specifies an operation to be performed on/with the hObject.

For hash operations, there are two operation types:

- Hash data
- Finalize hash

These correspond directly to BCryptHashData() and BCryptFinishHash(). Each operation specifies an index of the hash object inside the hObject MultiHash object that this operation applies to. Operations are executed in any order or even in parallel, with the sole restriction that the set of operations that specify the same index are all executed in-order.

3.1.7 Signing and Verification

3.1.7.1 BCryptSignHash

```
NTSTATUS WINAPI BCryptSignHash(

BCRYPT_KEY_HANDLE hKey,

VOID *pPaddingInfo,

PUCHAR pbInput,

ULONG cbInput,

PUCHAR pbOutput,

ULONG cbOutput,

ULONG *pcbResult,
```

```
ULONG dwFlags);
```

The BCryptSignHash() function creates a signature of a hash value.

Note: this function accepts SHA-1 hashes, which according to NIST SP 800-131A is *disallowed* for digital signature generation. SHA-1 is currently *legacy-use* for digital signature verification.

3.1.7.2 BCryptVerifySignature

```
NTSTATUS WINAPI BCryptVerifySignature(

BCRYPT_KEY_HANDLE hKey,

VOID *pPaddingInfo,

PUCHAR pbHash,

ULONG cbHash,

PUCHAR pbSignature,

ULONG cbSignature,

ULONG dwFlags);
```

The BCryptVerifySignature() function verifies that the specified signature matches the specified hash. Note: this function accepts SHA-1 hashes, which according to NIST SP 800-131A is *disallowed* for digital signature generation. SHA-1 is currently *legacy-use* for digital signature verification.

3.1.8 Secret Agreement and Key Derivation

3.1.8.1 BCryptSecretAgreement

```
NTSTATUS WINAPI BCryptSecretAgreement(

BCRYPT_KEY_HANDLE hPrivKey,

BCRYPT_KEY_HANDLE hPubKey,

BCRYPT_SECRET_HANDLE *phAgreedSecret,

ULONG dwFlags);
```

The BCryptSecretAgreement() function creates a secret agreement value from a private and a public key. This function is used with Diffie-Hellman (DH) and Elliptic Curve Diffie-Hellman (ECDH) algorithms.

3.1.8.2 BCryptDeriveKey

```
NTSTATUS WINAPI BCryptDeriveKey(

BCRYPT_SECRET_HANDLE hSharedSecret,
LPCWSTR pwszKDF,
BCryptBufferDesc *pParameterList,
PUCHAR pbDerivedKey,
ULONG cbDerivedKey,
ULONG *pcbResult,
ULONG dwFlags);
```

The BCryptDeriveKey() function derives a key from a secret agreement value.

Note: When supporting a key agreement scheme that requires a nonce, BCryptDeriveKey uses whichever nonce is supplied by the caller in the BCryptBufferDesc. Examples of the nonce types are found in Section 5.4 of https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-56Ar3.pdf

When using a nonce, a random nonce **should** be used. And then if the random nonce is used, the entropy (amount of randomness) of the nonce and the security strength of the DRBG has to be at least one half of the minimum required bit length of the subgroup order.

For example:

for KAS FFC, entropy of nonce must be 112 bits for FB, 128 bits for FC.

for KAS ECC, entropy of the nonce must be 128 bits for EC, 182 for ED, 256 for EF.

3.1.8.3 BCryptDestroySecret

```
NTSTATUS WINAPI BCryptDestroySecret(
BCRYPT_SECRET_HANDLE hSecret);
```

The BCryptDestroySecret() function destroys a secret agreement handle that was created by using the BCryptSecretAgreement() function.

3.1.8.4 BCryptKeyDerivation

NTSTATUS WINAPI BCryptKeyDerivation(

```
_In_ BCRYPT_KEY_HANDLE hKey,
_In_opt_ BCryptBufferDesc *pParameterList,
_Out_writes_bytes_to_(cbDerivedKey, *pcbResult) PUCHAR pbDerivedKey,
_In_ ULONG cbDerivedKey,
_Out_ ULONG *pcbResult,
_In_ ULONG dwFlags);
```

The BCryptKeyDerivation() function executes a Key Derivation Function (KDF) on a key generated with BCryptGenerateSymmetricKey() function. It differs from the BCryptDeriveKey() function in that it does not require a secret agreement step to create a shared secret.

3.1.8.5 BCryptDeriveKeyPBKDF2

```
NTSTATUS WINAPI BCryptDeriveKeyPBKDF2(
BCRYPT_ALG_HANDLE hPrf,
PUCHAR pbPassword,
ULONG cbPassword,
PUCHAR pbSalt,
ULONG cbSalt,
ULONGLONG cIterations,
PUCHAR pbDerivedKey,
ULONG cbDerivedKey,
ULONG dwFlags);
```

The BCryptDeriveKeyPBKDF2() function derives a key from a hash value by using the password based key derivation function as defined by SP 800-132 PBKDF and IETF RFC 2898 (specified as PBKDF2).

3.1.9 Cryptographic Transitions

See SP 800-131A rev 2 (https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-131Ar2.pdf) for information on cryptographic transitions.

3.2 Control Input Interface

The Control Input Interface are the functions in <u>Algorithm Providers and Properties</u>. Options for control operations are passed as input parameters to these functions.

3.3 Status Output Interface

The Status Output Interface for Kernel Mode Cryptographic Primitives Library is the return value from each export function in the Kernel Mode Cryptographic Primitives Library.

3.4 Data Output Interface

The Data Output Interface for Kernel Mode Cryptographic Primitives Library consists of the Kernel Mode Cryptographic Primitives Library export functions except for the Control Input Interfaces. Data is returned to the function's caller via output parameters.

3.5 Data Input Interface

The Data Input Interface for Kernel Mode Cryptographic Primitives Library consists of the Kernel Mode Cryptographic Primitives Library export functions except for the Control Input Interfaces. Data and options are passed to the interface as input parameters to the export functions. Data Input is kept separate from Control Input by passing Data Input in separate parameters from Control Input.

3.6 Non-Security Relevant Configuration Interfaces

The following interfaces are not cryptographic functions and are used to configure cryptographic providers on the system. Please see https://msdn.microsoft.com for details.

Function Name	Description
BCryptEnumAlgorithms	Enumerates the algorithms for a given set of
	operations.
BCryptEnumProviders	Returns a list of CNG providers for a given algorithm.
BCryptRegisterConfigChangeNotify	This is deprecated beginning with Windows 10.
BCryptResolveProviders	Resolves queries against the set of providers currently registered on the local system and the configuration information specified in the machine and domain configuration tables, returning an ordered list of references to one or more providers matching the specified criteria.
BCryptAddContextFunctionProvider	Adds a cryptographic function provider to the list of providers that are supported by an existing CNG context.
BCryptRegisterProvider	Registers a CNG provider.
BCryptUnregisterProvider	Unregisters a CNG provider.

DC worth house ister ConfigChen and Notify	Damayas a CNC configuration shows a syent handler
BCryptUnregisterConfigChangeNotify	Removes a CNG configuration change event handler.
	This API differs slightly between User-Mode and
	Kernel-Mode.
BCryptGetFipsAlgorithmMode	Determines whether Kernel Mode Cryptographic
CngGetFipsAlgorithmMode	Primitives Library is operating in FIPS mode. Some
	applications use the value returned by this API to alter
	their own behavior, such as blocking the use of some
	SSL versions.
EntropyRegisterCallback	Registers the callback function that will be called in a
	worker thread after every reseed that the system
	performs. The callback is merely informational.
BCryptQueryProviderRegistration	Retrieves information about a CNG provider.
BCryptEnumRegisteredProviders	Retrieves information about the registered providers.
BCryptCreateContext	Creates a new CNG configuration context.
BCryptDeleteContext	Deletes an existing CNG configuration context.
BCryptEnumContexts	Obtains the identifiers of the contexts in the specified
	configuration table.
BCryptConfigureContext	Sets the configuration information for an existing CNG
	context.
BCryptQueryContextConfiguration	Retrieves the current configuration for the specified
	CNG context.
BCryptAddContextFunction	Adds a cryptographic function to the list of functions
	that are supported by an existing CNG context.
BCryptRemoveContextFunction	Removes a cryptographic function from the list of
	functions that are supported by an existing CNG
	context.
BCryptEnumContextFunctions	Obtains the cryptographic functions for a context in
	the specified configuration table.
BCryptConfigureContextFunction	Sets the configuration information for the
	cryptographic function of an existing CNG context.
BCryptQueryContextFunctionConfiguration	Obtains the cryptographic function configuration
	information for an existing CNG context.
BCryptEnumContextFunctionProviders	Obtains the providers for the cryptographic functions
	for a context in the specified configuration table.
BCryptSetContextFunctionProperty	Sets the value of a named property or a cryptographic
	function in an existing CNG context.
BCryptQueryContextFunctionProperty	Obtains the value of a named property for a
,, , , , , , , , , , , , , , , , , , , ,	cryptographic function in an existing CNG context.
BCryptSetAuditingInterface	Sets the auditing interface.
7	0

4 Roles, Services and Authentication

4.1 Roles

Kernel Mode Cryptographic Primitives Library is a kernel-mode driver that does not interact with the user through any service therefore the module's functions are fully automatic and not configurable. FIPS 140 validations define formal "User" and "Cryptographic Officer" roles. Both roles can use any of this module's services.

4.2 Services

Kernel Mode Cryptographic Primitives Library services are:

- Algorithm Providers and Properties This module provides interfaces to register algorithm providers
- 2. Random Number Generation
- 3. Key and Key-Pair Generation
- 4. Key Entry and Output
- 5. Encryption and Decryption
- 6. Hashing and Message Authentication
- 7. Signing and Verification
- 8. Secret Agreement and Key Derivation
- 9. Show Status
- 10. **Self-Tests** The module provides a power-up self-tests service that is automatically executed when the module is loaded into memory. See <u>Self-Tests</u>.
- 11. Zeroizing Cryptographic Material See Cryptographic Key Management

4.2.1 Mapping of Services, Algorithms, and Critical Security Parameters

The following table maps the services to their corresponding algorithms and critical security parameters (CSPs).

Service	Algorithms	CSPs
Algorithm Providers and	None	None
Properties		
Random Number Generation	AES-256 CTR DRBG	AES-CTR DRBG Entropy Input
	NDRNG (allowed, used to provide	AES-CTR DRBG Seed
	entropy to DRBG)	AES-CTR DRBG V
		AES-CTR DRBG Key
Key and Key-Pair Generation	RSA, DH, ECDH, ECDSA, RC2, RC4,	Symmetric Keys
	DES, Triple-DES, AES, and HMAC	Asymmetric Public Keys
	(RC2, RC4, and DES cannot be	Asymmetric Private Keys
	used in FIPS mode.)	
Key Entry and Output	SP 800-38F AES Key Wrapping	Symmetric Keys
	(128, 192, and 256)	Asymmetric Public Keys
		Asymmetric Private Keys

Encryption and Description	- Triple DEC with 2 leave	Symmotric Koys
Encryption and Decryption	 Triple-DES with 2 key (encryption disallowed) and 3 key in ECB, CBC, CFB8 and CFB64 modes; AES-128, AES-192, and AES- 256 in ECB, CBC, CFB8, CFB128, and CTR modes; AES-128, AES-192, and AES- 256 in CCM, CMAC, and GMAC modes; AES-128, AES-192, and AES- 256 GCM decryption; NIST SP XTS-AES XTS-128 and XTS-256; SP 800-56B RSADP mod 2048 	Symmetric Keys Asymmetric Public Keys Asymmetric Private Keys
	(IEEE 1619-2007 XTS-AES, AES GCM encryption, RC2, RC4, RSA, and DES, which cannot be used in FIPS mode)	
Hashing and Message Authentication	 FIPS 180-4 SHS SHA-1, SHA-256, SHA-384, and SHA-512; FIPS 180-4 SHA-1, SHA-256, SHA-384, SHA-512 HMAC; AES-128, AES-192, and AES-256 in CCM, CMAC, and GMAC; MD5 and HMAC-MD5 (allowed in TLS and EAP-TLS); MD2 and MD4 (disallowed in FIPS mode) 	Symmetric Keys (for HMAC, AES CCM, AES CMAC, and AES GMAC)
Signing and Verification	 FIPS 186-4 RSA (RSASSA-PKCS1-v1_5 and RSASSA-PSS) digital signature generation and verification with 2048 and 3072 modulus; supporting SHA-19, SHA-256, SHA-384, and SHA-512 FIPS 186-4 ECDSA with the following NIST curves: P-256, P-384, P-521 	Asymmetric Public Keys Asymmetric RSA Private Keys Asymmetric ECDSA Public Keys Asymmetric ECDSA Private keys
Secret Agreement and Key Derivation	KAS – SP 800-56A Diffie- Hellman Key Agreement; Finite Field Cryptography (FFC)	DH Private and Public Values ECDH Private and Public Values

⁹ SHA-1 is only acceptable for legacy signature verification.

	KAS – SP 800-56A EC Diffie- Hellman Key Agreement with	
	the following NIST curves: P-	
	256, P-384, P-521 and the	
	FIPS non-Approved curves	
	listed in <u>Non-Approved</u>	
	<u>Algorithms</u>	
	SP 800-108 Key Derivation	
	Function (KDF) CMAC-AES	
	(128, 192, 256), HMAC	
	(SHA1, SHA-256, SHA-384,	
	SHA-512)	
	• SP 800-132 PBKDF	
	• SP 800-135 IKEv1 and IKEv2	
	KDF primitives	
	 Legacy CAPI KDF (cannot be 	
	used in FIPS mode)	
Show Status	None	None
Self-Tests	See Section 8 Self-Tests for the	None
	list of algorithms	
Zeroizing Cryptographic Material	None	None

4.2.2 Mapping of Services, Export Functions, and Invocations

The following table maps the services to their corresponding export functions and invocations.

Service	Export Functions	Invocations
Algorithm Providers and	BCryptOpenAlgorithmProvider	This service is executed
Properties	BCryptCloseAlgorithmProvider	whenever one of these
	BCryptSetProperty	exported functions is called.
	BCryptGetProperty	
	BCryptFreeBuffer	
Random Number Generation	BcryptGenRandom	This service is executed
	SystemPrng	whenever one of these
	EntropyRegisterSource	exported functions is called.
	EntropyUnregisterSource	
	EntropyProvideData	
	EntropyPoolTriggerReseedForlum	
Key and Key-Pair Generation	BCryptGenerateSymmetricKey	This service is executed
	BCryptGenerateKeyPair	whenever one of these
	BCryptFinalizeKeyPair	exported functions is called.
	BCryptDuplicateKey	
	BCryptDestroyKey	
Key Entry and Output	BCryptImportKey	This service is executed
	BCryptImportKeyPair	whenever one of these
	BCryptExportKey	exported functions is called.

Encryption and Decryption	BCryptEncrypt	This service is executed
	BCryptDecrypt	whenever one of these
		exported functions is called.
Hashing and Message	BCryptCreateHash	This service is executed
Authentication	BCryptHashData	whenever one of these
	BCryptDuplicateHash	exported functions is called.
	BCryptFinishHash	
	BCryptDestroyHash	
	BCryptHash	
	BCryptCreateMultiHash	
	BCryptProcessMultiOperations	
Signing and Verification	BCryptSignHash	This service is executed
	BCryptVerifySignature	whenever one of these
		exported functions is called.
Secret Agreement and Key	BCryptSecretAgreement	This service is executed
Derivation	BCryptDeriveKey	whenever one of these
	BCryptDestroySecret	exported functions is called.
	BCryptKeyDerivation	
	BCryptDeriveKeyPBKDF2	
Show Status	All Exported Functions	This service is executed upon
		completion of an exported
		function.
Self-Tests	DriverEntry	This service is executed upon
		startup of this module.
Zeroizing Cryptographic Material	BCryptDestroyKey	This service is executed
	BCryptDestroySecret	whenever one of these
		exported functions is called.

4.2.3 Non-Approved Services

The following table lists other non-security relevant or non-approved APIs exported from the crypto module.

Function Name	Description
BCryptDeriveKeyCapi	Derives a key from a hash value. This function is provided
	as a helper function to assist in migrating from legacy
	Cryptography API (CAPI) to CNG.
SslDecryptPacket	Supports Secure Sockets Layer (SSL) protocol functionality.
SslEncryptPacket	These functions are non-approved.
SslExportKey	
SslFreeObject	
SslImportKey	
SslLookupCipherLengths	
SslLookupCipherSuiteInfo	
SslOpenProvider	
SslIncrementProviderReferenceCount	

SslDecrement Provider Reference Count

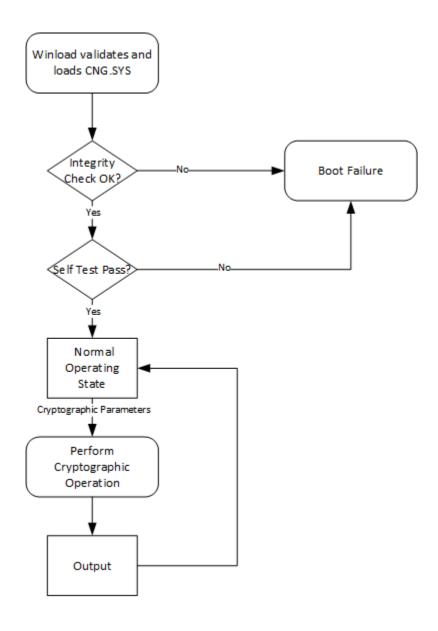
4.3 Authentication

The module does not provide authentication. Roles are implicitly assumed based on the services that are executed.

5 Finite State Model

5.1 Specification

The following diagram shows the finite state model for Kernel Mode Cryptographic Primitives Library:



6 Operational Environment

The operational environment for Kernel Mode Cryptographic Primitives Library is the Windows 10 operating system running on a supported hardware platform.

6.1 Single Operator

The for Kernel Mode Cryptographic Primitives Library is loaded into kernel memory as part of the boot process and before the logon component is initialized. The "single operator" for the module is the Windows Kernel.

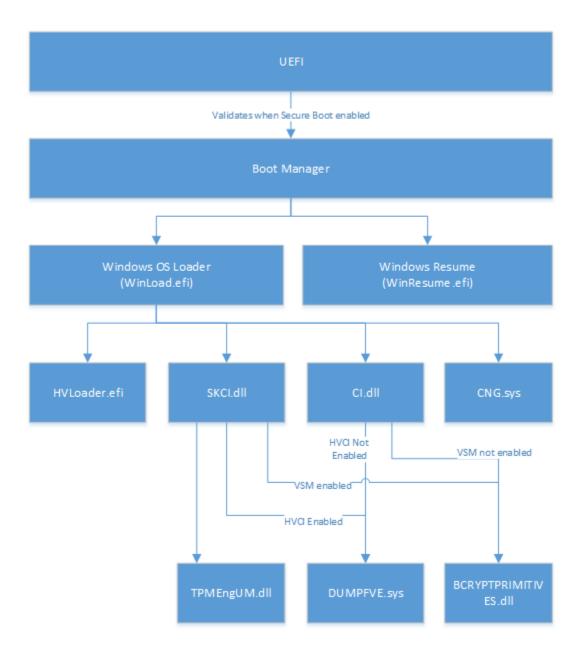
6.2 Cryptographic Isolation

In the Windows operating system, all kernel-mode modules, including CNG.SYS, are loaded into the Windows Kernel (ntoskrnl.exe) which executes as a single process. The Windows operating system environment enforces process isolation from user-mode processes including memory and CPU scheduling between the kernel and user-mode processes.

6.3 Integrity Chain of Trust

Modules running in the Windows OS environment provide integrity verification through different mechanisms depending on when the module loads in the OS load sequence and also on the hardware and OS configuration. The following diagrams describe the Integrity Chain of trust for each supported configuration that impacts integrity checks:

Boot Sequence and Chain of Trust



The Windows OS Loader checks the integrity of Kernel Mode Cryptographic Primitives Library before it is loaded into ntoskrnl.exe.

Windows binaries include a SHA-256 hash of the binary signed with the 2048 bit Microsoft RSA code-signing key (i.e., the key associated with the Microsoft code-signing certificate). The integrity check uses the public key component of the Microsoft code signing certificate to verify the signed hash of the binary.

7 Cryptographic Key Management

The Kernel Mode Cryptographic Primitives Library crypto module uses the following critical security parameters (CSPs) for FIPS Approved security functions:

Security Relevant Data Item	Description
Symmetric encryption/decryption keys	Keys used for AES or Triple-DES encryption/decryption. Key sizes for AES are 128, 192, and 256 bits, and key sizes for Triple-DES are 192 and 128 bits.
HMAC keys	Keys used for HMAC-SHA1, HMAC-SHA256, HMAC-SHA384, and HMAC-SHA512
Asymmetric ECDSA Public Keys	Keys used for the verification of ECDSA digital signatures. Curve sizes are P-256, P-384, and P-521.
Asymmetric ECDSA Private Keys	Keys used for the calculation of ECDSA digital signatures. Curve sizes are P-256, P-384, and P-521.
Asymmetric RSA Public Keys	Keys used for the verification of RSA digital signatures. Key sizes are 2048 and 3072 bits. These keys can be produced using RSA Key Generation.
Asymmetric RSA Private Keys	Keys used for the calculation of RSA digital signatures. Key sizes are 2048 and 3072 bits. These keys can be produced using RSA Key Generation.
AES-CTR DRBG Entropy Input	A secret value that is at least 256 bits and maintained internal to the module that provides the entropy material for AES-CTR DRBG output ¹⁰
AES-CTR DRBG Seed	A 384 bit secret value maintained internal to the module that provides the seed material for AES-CTR DRBG output ¹¹
AES-CTR DRBG V	A 128 bit secret value maintained internal to the module that provides the entropy material for AES-CTR DRBG output ¹²
AES-CTR DRBG Key	A 256 bit secret value maintained internal to the module that provides the entropy material for AES-CTR DRBG output ¹³
DH Private and Public values	Private and public values used for Diffie-Hellman key establishment. Key sizes are 2048 to 4096 bits.

¹⁰ Microso<u>ft Common Criteria Windows Security Target</u>, Page 29.

¹¹ Recommendation for Random Number Generation Using Deterministic Random Bit Generators, NIST SP 800-90A Revision 1, page 49.

¹² Ibid.

¹³ Ibid.

ECDH Private and Public values	ublic values Private and public values used for EC Diffie-Hellman key	
	establishment. Curve sizes are P-256, P-384, and P-521 and	
	the ones listed in section 2.3.	

7.1 Access Control Policy

The Kernel Mode Cryptographic Primitives Library crypto module allows controlled access to the security relevant data items contained within it. The following table defines the access that a service has to each. The permissions are categorized as a set of four separate permissions: read (r), write (w), execute (x), delete (d). If no permission is listed, the service has no access to the item.

Kernel Mode Cryptographic Primitives Library crypto module Service Access Policy	Symmetric encryption/decryption keys	HMAC keys	Asymmetric ECDSA Public keys	Asymmetric ECDSA Private keys	Asymmetric RSA Public Keys	Asymmetric RSA Private Keys	DH Public and Private values	ECDH Public and Private values	AES-CTR DRBG Seed, AES-CTR DRBG Entropy Input, AES-CTR DRBG V, & AES- CTR DRBG key
Algorithm Providers and Properties									
Random Number Generation									х
Key and Key-Pair Generation	wd	wd	wd	wd	wd	wd	wd	wd	х
Key Entry and Output	rw	rw	rw	rw	rw	rw	rw	rw	
Encryption and Decryption	х								
Hashing and Message Authentication		wx							
Signing and Verification			Х	Х	Х	Х			х
Secret Agreement and Key Derivation							х	х	х
Show Status									
Self-Tests									
Zeroizing Cryptographic Material	wd	wd	wd	wd	wd	wd	wd	wd	wd

7.2 Key Material

When Kernel Mode Cryptographic Primitives Library is loaded in the Windows 10 operating system kernel, no keys exist within it. A kernel module is responsible for importing keys into Kernel Mode Cryptographic Primitives Library or using Kernel Mode Cryptographic Primitives Library's functions to generate keys.

7.3 Key Generation

Kernel Mode Cryptographic Primitives Library can create and use keys for the following algorithms: RSA, DH, ECDH, ECDSA, RC2, RC4, DES, Triple-DES, AES, and HMAC. However, RC2, RC4, and DES cannot be used in FIPS mode.

Random keys can be generated by calling the BCryptGenerateSymmetricKey() and BCryptGenerateKeyPair() functions. Random data generated by the BCryptGenRandom() function is provided to BCryptGenerateSymmetricKey() function to generate symmetric keys. DES, Triple-DES, AES, RSA, ECDSA, DH, and ECDH keys and key-pairs are generated following the techniques given in SP 800-56Ar2 (Section 5.8.1).

Keys generated while not operating in the FIPS mode of operation cannot be used in FIPS mode, and vice versa.

7.4 Key Establishment

Kernel Mode Cryptographic Primitives Library can use FIPS approved Diffie-Hellman key agreement (DH), Elliptic Curve Diffie-Hellman key agreement (ECDH), RSA encryption and decryption primitives for key transport, and manual methods to establish keys. Alternatively, the module can also use Approved KDFs to derive key material from a specified secret value or password.

Kernel Mode Cryptographic Primitives Library can use the following FIPS Approved key derivation functions (KDF) from the common secret that is established during the execution of DH and ECDH key agreement algorithms:

- BCRYPT_KDF_SP80056A_CONCAT. This KDF supports the Concatenation KDF as specified in SP 800-56A (Section 5.8.1).
- BCRYPT_KDF_HASH. This KDF supports FIPS approved SP800-56A (Section 5.8), X9.63, and X9.42 key derivation.
- BCRYPT_KDF_HMAC. This KDF supports the IPsec IKEv1 key derivation that is non-Approved but is an allowed legacy implementation in FIPS mode when used to establish keys for IKEv1 as per scenario 4 of IG D.8.

Kernel Mode Cryptographic Primitives Library can use the following FIPS Approved key derivation functions (KDF) from a specified secret or password:

- BCRYPT_SP80056A_CONCAT_ALGORITHM. This KDF supports the Concatenation KDF as specified in SP 800-56Ar2 (Section 5.8.1).
- BCRYPT_SP800108_CTR_HMAC_ALGORITHM. This KDF supports the counter-mode variant of the KDF specified in SP 800-108 (Section 5.1) with HMAC as the underlying PRF.
- BCRYPT_PBKDF2_ALGORITHM. This KDF supports the Password Based Key Derivation Function specified in SP 800-132 (Section 5.3).

In addition the. the proprietary KDF, BCRYPT_CAPI_KDF_ALGORITHM is described at https://msdn.microsoft.com/library/windows/desktop/aa379916.aspx. This KDF cannot be used in a FIPS approved mode.

7.4.1 NIST SP 800-132 Password Based Key Derivation Function (PBKDF)

There are two options presented in NIST SP 800-132, pages 8 – 10, that are used to derive the Data Protection Key (DPK) from the Master Key. With the Kernel Mode Cryptographic Primitives Library, it is up to the caller to select the option to generate/protect the DPK. For example, DPAPI uses option 2a. Kernel Mode Cryptographic Primitives Library provides all the building blocks for the caller to select the desired option.

The Kernel Mode Cryptographic Primitives Library supports the following HMAC hash functions as parameters for PBKDF:

- SHA-1 HMAC
- SHA-256 HMAC
- SHA-384 HMAC
- SHA-512 HMAC

Keys derived from passwords, as described in SP 800-132, may only be used for storage applications. In order to run in a FIPS Approved manner, strong passwords must be used and they may only be used for storage applications. The password/passphrase length is enforced by the caller of the PBKDF interfaces when the password/passphrase is created and not by this cryptographic module.¹⁴

7.4.2 NIST SP 800-38F AES Key Wrapping

As outlined in FIPS 140-2 IG, D.2 and D.9, AES key wrapping serves as a form of key transport, which in turn is a form of key establishment. This implementation of AES key wrapping is in accordance with NIST SP 800-38F Recommendation for Block Cipher Modes of Operation: Methods for Key Wrapping.

7.5 Key Entry and Output

Keys can be both exported and imported out of and into Kernel Mode Cryptographic Primitives Library via BCryptExportKey(), BCryptImportKey(), and BCryptImportKeyPair() functions.

Symmetric key entry and output can also be done by exchanging keys using the recipient's asymmetric public key via BCryptSecretAgreement() and BCryptDeriveKey() functions. Keys can be entered in both plaintext as well as in an encrypted (AES Key Wrapped) form.

Exporting the RSA private key by supplying a blob type of BCRYPT_PRIVATE_KEY_BLOB, BCRYPT_RSAFULLPRIVATE_BLOB, or BCRYPT_RSAPRIVATE_BLOB to BCryptExportKey() is not allowed in FIPS mode.

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¹⁴ The probability of guessing a password is determined by its length and complexity, an organization should define a policy for these based based their threat model, suh as the example guidance in NIST SP800-63b, Appendix A.

7.6 Key Storage

Kernel Mode Cryptographic Primitives Library does not provide persistent storage of keys.

7.7 Key Archival

Kernel Mode Cryptographic Primitives Library does not directly archive cryptographic keys. A user may choose to export a cryptographic key (cf. "Key Entry and Output" above), but management of the secure archival of that key is the responsibility of the user. All key copies inside Kernel Mode Cryptographic Primitives Library are destroyed and their memory location zeroized after used. It is the caller's responsibility to maintain the security of keys when the keys are outside Kernel Mode Cryptographic Primitives Library.

7.8 Key Zeroization

All keys are destroyed and their memory location zeroized when the operator calls BCryptDestroyKey() or BCryptDestroySecret() on that key handle.

8 Self-Tests

8.1 Power-On Self-Tests

The Kernel Mode Cryptographic Primitives Library module implements Known Answer Test (KAT) functions when the module is loaded into ntoskrnl.exe at boot time and the default driver entry point, DriverEntry, is called.

Kernel Mode Cryptographic Primitives Library performs the following power-on (startup) self-tests:

- HMAC (SHA-1, SHA-256, and SHA-512) Known Answer Tests
- Triple-DES encrypt/decrypt ECB Known Answer Tests
- AES-128 encrypt/decrypt ECB Known Answer Tests
- AES-128 encrypt/decrypt CCM Known Answer Tests
- AES-128 encrypt/decrypt CBC Known Answer Tests
- AES-128 CMAC Known Answer Test
- AES-128 encrypt/decrypt GCM Known Answer Tests
- XTS-AES encrypt/decrypt Known Answer Tests
- RSA sign/verify Known Answer Tests using RSA_SHA256_PKCS1 signature generation and verification
- ECDSA sign/verify Known Answer Tests on P256 curve
- DH secret agreement Known Answer Test with 2048-bit key
- ECDH secret agreement Known Answer Test on P256 curve
- SP 800-90A AES-256 counter mode DRBG Known Answer Tests (instantiate, generate and reseed)
- SP 800-108 KDF Known Answer Test
- SP 800-132 PBKDF Known Answer Test
- SHA-256 Known Answer Test
- SHA-512 Known Answer Test

- SP800-135 TLS 1.0/1.1 KDF Known Answer Test
- SP800-135 TLS 1.2 KDF Known Answer Test
- IKE SP800_135 KDF Known Answer Test

In any self-test fails, the Kernel Mode Cryptographic Primitives Library module does not load, an error code is returned to ntoskrnl.exe, and the computer will fail to boot.

8.2 Conditional Self-Tests

Kernel Mode Cryptographic Primitives Library performs pair-wise consistency checks upon each invocation of RSA, ECDH, and ECDSA key-pair generation and import as defined in FIPS 140-2.

ECDH key usage assurances are performed according to NIST SP 800-56A sections 5.5.2, 5.6.2, and 5.6.3.

A Continuous Random Number Generator Test (CRNGT) is performed for SP 800-90A AES-256 CTR DRBG per SP800-90A section 11.3.

A CRNGT is performed for the non-approved NDRNG per FIPS 140-2 IG 9.8.

When BCRYPT_ENABLE_INCOMPATIBLE_FIPS_CHECKS flag (required by policy) is used with BCryptGenerateSymmetricKey, then the XTS-AES Key_1 ≠ Key_2 check is performed in compliance with FIPS 140-2 IG A.9.

If the conditional self-test fails the function returns the status code STATUS INTERNAL ERROR.

9 Design Assurance

The secure installation, generation, and startup procedures of this cryptographic module are part of the overall operating system secure installation, configuration, and startup procedures for the Windows 10 operating system.

The Windows 10 operating system must be pre-installed on a computer by an OEM, installed by the end-user, by an organization's IT administrator, or updated from a previous Windows 10 version downloaded from Windows Update.

An inspection of authenticity of the physical medium can be made by following the guidance at this Microsoft web site: https://www.microsoft.com/en-us/howtotell/default.aspx

The installed version of Windows 10 must be verified to match the version that was validated. See Appendix A – How to Verify Windows Versions and Digital Signatures for details on how to do this.

For Windows Updates, the client only accepts binaries signed by Microsoft certificates. The Windows Update client only accepts content whose SHA-2 hash matches the SHA-2 hash specified in the metadata. All metadata communication is done over a Secure Sockets Layer (SSL) port. Using SSL ensures that the client is communicating with the real server and so prevents a spoof server from sending the client harmful requests. The version and digital signature of new cryptographic module

releases must be verified to match the version that was validated. See <u>Appendix A – How to Verify Windows Versions and Digital Signatures</u> for details on how to do this.

10 Mitigation of Other Attacks

The following table lists the mitigations of other attacks for this cryptographic module:

Algorithm	Protected Against	Mitigation
SHA1	Timing Analysis Attack	Constant time implementation
	Cache Attack	Memory access pattern is independent of any confidential data
SHA2	Timing Analysis Attack	Constant time implementation
	Cache Attack	Memory access pattern is independent of any confidential data
Triple-DES	Timing Analysis Attack	Constant time implementation
AES	Timing Analysis Attack	Constant time implementation
	Cache Attack	Memory access pattern is independent of any confidential data
		Protected against cache attacks only when used with AES NI

11 Security Levels

The security level for each FIPS 140-2 security requirement is given in the following table.

Security Requirement	Security Level
Cryptographic Module Specification	1
Cryptographic Module Ports and Interfaces	1
Roles, Services, and Authentication	1
Finite State Model	1
Physical Security NA	
Operational Environment	1
Cryptographic Key Management	1
EMI/EMC	1
Self-Tests	1
Design Assurance	2
Mitigation of Other Attacks	1

12 Additional Details

For the latest information on Microsoft Windows, check out the Microsoft web site at:

https://www.microsoft.com/en-us/windows

For more information about FIPS 140 validations of Microsoft products, please see:

https://technet.microsoft.com/en-us/library/cc750357.aspx

13 Appendix A - How to Verify Windows Versions and Digital Signatures

13.1 How to Verify Windows Versions

The installed version of Windows 10 OEs must be verified to match the version that was validated using the following method:

- 1. In the Search box type "cmd" and open the Command Prompt desktop app.
- 2. The command window will open.
- 3. At the prompt, enter "ver".
- 4. The version information will be displayed in a format like this:
 Microsoft Windows [Version 10.0.xxxxx]

If the version number reported by the utility matches the expected output, then the installed version has been validated to be correct.

13.2 How to Verify Windows Digital Signatures

After performing a Windows Update that includes changes to a cryptographic module, the digital signature and file version of the binary executable file must be verified. This is done like so:

- 1. Open a new window in Windows Explorer.
- 2. Type "C:\Windows\" in the file path field at the top of the window.
- 3. Type the cryptographic module binary executable file name (for example, "CNG.SYS") in the search field at the top right of the window, then press the Enter key.
- 4. The file will appear in the window.
- 5. Right click on the file's icon.
- 6. Select Properties from the menu and the Properties window opens.
- 7. Select the Details tab.
- 8. Note the File version Property and its value, which has a number in this format: xx.x.xxxxx.xxxxx.
- 9. If the file version number matches one of the version numbers that appear at the start of this security policy document, then the version number has been verified.
- 10. Select the Digital Signatures tab.
- 11. In the Signature list, select the Microsoft Windows signer.
- 12. Click the Details button.
- 13. Under the Digital Signature Information, you should see: "This digital signature is OK." If that condition is true, then the digital signature has been verified.

14 Appendix B - References

This table lists the specifications for each elliptic curve in section 2.3

Curve	Specification
Curve25519	https://cr.yp.to/ecdh/curve25519-20060209.pdf
brainpoolP160r1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP192r1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP192t1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP224r1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP224t1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP256r1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP256t1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP320r1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP320t1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP384r1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP384t1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP512r1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
brainpoolP512t1	http://www.ecc-brainpool.org/download/Domain-parameters.pdf
ec192wapi	http://www.gbstandards.org/GB_standards/GB_standard.asp?id=900
	(The GB standard is available here for purchase)
nistP192	http://csrc.nist.gov/groups/ST/toolkit/documents/dss/NISTReCur.pdf
nistP224	http://csrc.nist.gov/groups/ST/toolkit/documents/dss/NISTReCur.pdf
numsP256t1	https://www.microsoft.com/en-us/research/wp-
	content/uploads/2016/02/curvegen.pdf
numsP384t1	https://www.microsoft.com/en-us/research/wp-
	content/uploads/2016/02/curvegen.pdf
numsP512t1	https://www.microsoft.com/en-us/research/wp-
	content/uploads/2016/02/curvegen.pdf
secP160k1	http://www.secg.org/sec2-v2.pdf
secP160r1	http://www.secg.org/sec2-v2.pdf
secP160r2	http://www.secg.org/sec2-v2.pdf
secP192k1	http://www.secg.org/sec2-v2.pdf
secP192r1	http://www.secg.org/sec2-v2.pdf
secP224k1	http://www.secg.org/sec2-v2.pdf
secP224r1	http://www.secg.org/sec2-v2.pdf
secP256k1	http://www.secg.org/sec2-v2.pdf
secP256r1	http://www.secg.org/sec2-v2.pdf
secP384r1	http://www.secg.org/sec2-v2.pdf
secP521r1	http://www.secg.org/sec2-v2.pdf
wtls12	http://www.openmobilealliance.org/tech/affiliates/wap/wap-261-wtls-
	<u>20010406-a.pdf</u>
wtls7	http://www.openmobilealliance.org/tech/affiliates/wap/wap-261-wtls-
	<u>20010406-a.pdf</u>
wtls9	http://www.openmobilealliance.org/tech/affiliates/wap/wap-261-wtls-
	<u>20010406-a.pdf</u>

Curve	Specification
x962P192v1	https://global.ihs.com/doc_detail.cfm?&item_s_key=00325725&item_key_d
	ate=941231&input_doc_number=ANSI%20X9%2E62&input_doc_title=
	(The ANSI X9.62 standard is available here for purchase)
x962P192v2	https://global.ihs.com/doc_detail.cfm?&item_s_key=00325725&item_key_d
	ate=941231&input doc number=ANSI%20X9%2E62&input doc title=
	(The ANSI X9.62 standard is available here for purchase)
x962P192v3	https://global.ihs.com/doc_detail.cfm?&item_s_key=00325725&item_key_d
	ate=941231&input doc number=ANSI%20X9%2E62&input doc title=
	(The ANSI X9.62 standard is available here for purchase)
x962P239v1	https://global.ihs.com/doc_detail.cfm?&item_s_key=00325725&item_key_d
	ate=941231&input doc number=ANSI%20X9%2E62&input doc title=
	(The ANSI X9.62 standard is available here for purchase)
x962P239v2	https://global.ihs.com/doc_detail.cfm?&item_s_key=00325725&item_key_d
	ate=941231&input doc number=ANSI%20X9%2E62&input doc title=
	(The ANSI X9.62 standard is available here for purchase)
x962P239v3	https://global.ihs.com/doc_detail.cfm?&item_s_key=00325725&item_key_d
	ate=941231&input doc number=ANSI%20X9%2E62&input doc title=
	(The ANSI X9.62 standard is available here for purchase)
x962P256v1	https://global.ihs.com/doc_detail.cfm?&item_s_key=00325725&item_key_d
	ate=941231&input doc number=ANSI%20X9%2E62&input doc title=
	(The ANSI X9.62 standard is available here for purchase)