

FIPS 140-2 Non-Proprietary Security Policy for WatchGuard Technologies Inc. Firebox

T15/T15-W T35/T35-W T55/T55-W T70

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WatchGuard Firebox FIPS 140-2 Non-Proprietary Security Policy

Hardware:

Firebox T15 (hardware model # MS1AE3)

Firebox T15-W (hardware model # MS1AE3W)

Firebox T35 (hardware model # MS3AE5)

Firebox T35-W (hardware model # MS3AE5W)

Firebox T55 (hardware model # MS5AE5)

Firebox T55-W (hardware model # MS5AE5W)

Firebox T70 (hardware model # WS7AE8)

Firmware Version:

Fireware OS v12.3.1

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WatchGuard Firebox Fireware

Regulatory compliance

FCC Class A Part 15

Contents

1	INTRODUCTION	6
2	FIREBOX MODULE OVERVIEW	6
3	SECURITY LEVEL	7
4	ROLES, SERVICES AND AUTHENTICATION	9
	4.1 Module Access Methods	9
	4.1.1 Web UI	
	4.1.2 Command Line Interface	9
	4.2 Roles	9
	4.3 SERVICES	
	4.4 APPROVED ALGORITHMS	
	4.5 Non-FIPS Approved But Allowed Algorithms	
	4.6 Non-FIPS Approved Services	
	4.7 Non-FIPS Approved Algorithms	
	4.8 ALTERNATING BYPASS	
	4.9 AUTHENTICATION	
5	INTERFACES	19
	5.1 Firebox T15/T15-W	20
	5.2 Firebox T35/T35-W	
	5.3 FIREBOX T55/T55-W	28
	5.4 Firebox T70	32
6	FIPS 140-2 COMPLIANT OPERATION	36
	6.1 Security Rules	
	6.2 Self-Tests	
	6.3 Cryptographic Officer Guidance	
	6.3.1 Secure Installation	38
	6.3.2 Enabling FIPS Mode Operation	38
	6.3.3 Disabling FIPS Mode Operation	
	6.4 User Guidance	39
7	TAMPER EVIDENCE	40
	7.1 Firebox T15/T15-W	41
	7.2 FIREBOX T35/T35-W	42
	7.3 FIREBOX T55/T55-W	43
	7.4 Firebox T70	44
8	CRYPTOGRAPHIC KEY MANAGEMENT	45
	8.1 CRYPTOGRAPHIC KEYS AND CRITICAL SECURITY PARAMETERS	45
	8.2 PUBLIC KEYS	
9	MITIGATION OF OTHER ATTACKS	54
	9.1 Gateway IPS Service	5.4
	9.2 Gateway Antivirus Service	
	9.3 SPAMBLOCKER SERVICE	

10 DE	EFINITIONS	57
9.7	ADVANCED PERSISTENT THREAT BLOCKER SERVICE	56
	Data Loss Prevention Service	
9.5	APPLICATION CONTROL SERVICE	55
9.4	WebBlocker Service	55

1 Introduction

This document is a FIPS 140-2 Security Policy for WatchGuard's Firebox Security System. This policy describes how the Firebox T15, T15-W, T35, T35-W, T55, T55-W and T70 models (hereafter referred to as the 'module' or the 'Firebox module') meets the FIPS 140-2 security requirements and how to operate the module in a FIPS compliant manner.

This policy was created as part of the Level 2 FIPS 140-2 validation of the Firebox module.

The Federal Information Processing Standards Publication 140-2 – *Security Requirements for Cryptographic Modules* (FIPS 140-2) details the United States Federal Government requirements for cryptographic modules. Detailed information about the FIPS 140-2 standard and validation program is available on the NIST (National Institute of Standards and Technology) website at http://csrc.nist.gov/groups/STM/cmvp/index.html.

2 Firebox Module Overview

WatchGuard® Firebox appliances are built for enterprise-grade performance with blazing throughput and numerous connectivity options. Advanced networking features include clustering, high availability (active/active), VLAN support, multi-WAN load balancing and enhanced VoIP security, plus inbound and outbound HTTPS inspection, to give the strong security enterprises need. And the Firebox appliances are completely configurable — turn on or off components and services to fit different network security deployment requirements.

WatchGuard's Firebox product family spans the full range of network environments, from SOHO to service provider, offering cost effective systems for any size of application. They detect and eliminate the most damaging, content-based threats from email and Web traffic such as viruses, worms, intrusions, inappropriate Web content and more in real time — without degrading network performance. The Firebox module delivers a full range of application level firewall and network-level services — application control, data loss prevention, advanced persistent threats blocker, VPN, intrusion prevention, web filtering, antivirus, antispam and traffic shaping — in dedicated, easily managed platforms.

The Firebox security system employs the powerful, secure, Fireware OS to achieve breakthrough price/performance. This system provides a critical layer of real-time, network-based antivirus protection that complements host-based antivirus software and supports "defense-in-depth" strategies without compromising performance or cost. They can be easily configured to provide antivirus protection, antispam protection and content filtering in conjunction with existing firewall, VPN, and related devices, or as complete network protection systems.

The Firebox module supports the IPSec industry standard for VPN, allowing VPNs to be configured between a Firebox module and any client or gateway/firewall that supports IPSec VPN. The Firebox module also provides SSLVPN services.

The Firebox module is defined as a multi-chip standalone cryptographic module consisting of production grade components contained in a physically protected enclosure. The entire enclosure is defined as the

cryptographic boundary of the cryptographic module. The cryptographic boundary for FIPS 140-2 certification is equivalent to the TOE boundary for Common Criteria (CC) certification.

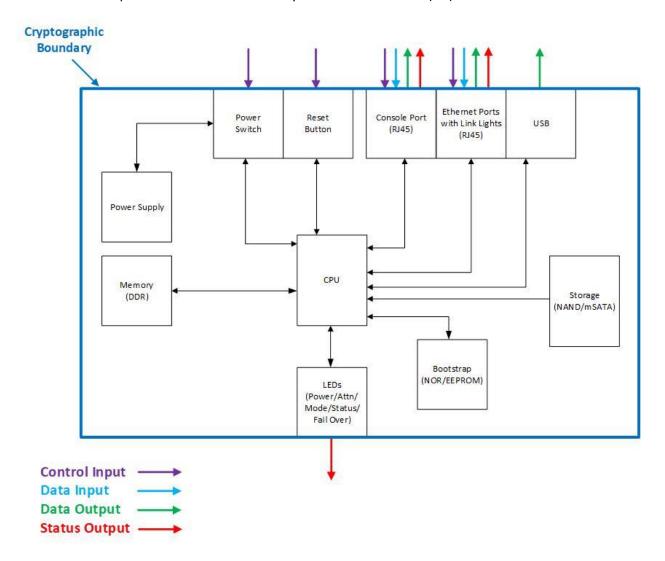


Figure 1: Cryptographic Module Block Diagram

3 Security Level

The WatchGuard Firebox appliances meet the overall requirements applicable to Level 2 security of FIPS 140-2.

Table 1: Module Security Level Specification

Security Requirements Section	Level
Cryptographic Module Specification	2
Cryptographic Module Ports Specification	2
Roles, Services, and Authentication	2

Finite State Machine	2
Physical Security	2
Operational Environment	N/A
Cryptographic Key Management	2
EMI/EMC	2
Self-Tests	2
Design Assurance	2
Mitigation of Other Attacks	2

4 Roles, Services and Authentication

4.1 Module Access Methods

There are two convenient and secure ways to connect, configure and manage the module.

4.1.1 Web UI

The Firebox module provides a web based GUI based access to the module, which is the convenient way to configure the module. The Web UI requires a web browser on the management computer and an Ethernet connection between the module and the management computer.

A web-browser that supports Transport Layer Security (TLS) 1.2 is required for remote access to the Web UI when the modules are operating in FIPS mode.

The web browser is not part of the validated module boundary.

4.1.2 Command Line Interface

The Command Line Interface (CLI) is a rich, text based management tool for the module. The CLI provides access to all of the possible services and configuration options in the modules. The CLI uses a console or a network (Ethernet) connection between the module and the management computer. The console connection is a direct serial connection. Terminal emulation software is required on the management computer using either method. For network access, a Telnet or SSH client that supports the SSH v2.0 protocol is required. SSH v1.0 is not supported in FIPS mode.

The Telnet or SSH client is not part of the validated module boundary.

4.2 Roles

The module provides two pre-defined roles for users: User (status) and Cryptographic Officer (admin) role. One of these roles can be assumed by an operator after authenticating to the module remotely or through a console connection using a username/password combination. The module does not allow the creation of additional operator accounts or roles.

An operator assuming the Cryptographic Officer role has full read/write access to all of the functions and services of the module, including configuration, resetting or shutting down the module. This also implies that the Cryptographic Officer role includes all the accesses and privileges the User has.

The User is not allowed to make any changes to the configuration of the module. The User role is only for viewing and reporting the configuration and status of the module and its functions.

Operator accounts are differentiated by the username during authentication. More than one operator with User role can be connected to the module at any given time. However, there can be only one Cryptographic Officer login at any given time. Concurrent login attempts by the Cryptographic Officer are refused by the module.

It is not possible to change roles without re-authentication.

4.3 Services

The following table details the FIPS approved services available for each role, the types of access for each role, and the Keys or CSPs they affect. The role names are abbreviated as follows: Cryptographic Officer - CO

User- U

R=Read Access, W=Write/ Delete Access, X=Execute Access

The Key/CSP is documented in section "Cryptographic Key Management" on page 45.

Table 2: FIPS approved services in Command Line Interface and Web UI access mode

Service	U	CO	Key/ CSP
authenticate to module	Х	Х	2, 3, 4, 5, 6, 7, 14, 15, 16, 17, 18,
			19, 20, 21, 24, 25, 29, 30, 31, 32,
			33, 34
show system status	R	R	16, 17, 19, 20
show FIPS mode enabled/disabled	R	R	16, 17, 19, 20
enable FIPS mode	N/A	W	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12,
			13, 14, 15, 16, 17, 18, 19, 20, 21,
			22, 23, 24, 25, 26, 27, 28, 29, 30,
			31, 32, 33, 34, 35
disable FIPS mode	N/A	W	1, 2, 3, 4, 6, 7, 8, 9, 11, 12, 13,
			14, 15, 17, 18, 19, 20, 22, 23, 26,
			28, 29, 34, 35
execute FIPS on-demand self-tests	N/A	Х	2, 3, 16, 17, 19, 20, 26
set/reset password	N/A	WX	16, 17, 19, 20, 24
execute firmware download ¹	N/A	Х	16, 17, 19, 20, 25
execute system reboot	N/A	WX	1, 2, 3, 4, 6, 7, 8, 9, 11, 12, 13,
			14, 15, 17, 18, 19, 20, 22, 23, 26,
			28, 29, 34, 35
execute system shutdown	N/A	WX	1, 2, 3, 4, 6, 7, 8, 9, 11, 12, 13,
			14, 15, 17, 18, 19, 20, 22, 23, 26,
			28, 29, 34, 35
change system time	N/A	WX	16, 17, 19, 20
read/modify system/network configuration	R	RWX	16, 17, 19, 20
read/modify firewall policies.	R	RWX	16, 17, 19, 20
read/modify Gateway AV configuration	R	RWX	16, 17, 19, 20
read/modify spamBlocker configuration	R	RWX	16, 17, 19, 20
read/ modify WebBlocker configuration	R	RWX	16, 17, 19, 20
read/modify APTBlocker configuration	R	RWX	16, 17, 19, 20
read/ modify VPN configuration	R	RWX	2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12,
			13, 28, 31, 32
read/modify IPS configuration	R	RWX	16, 17, 19, 20
read/ modify logging configuration	R	RWX	16, 17, 19, 20, 27
read log data	R	R	16, 17, 19, 20

manual Gateway AV/IPS signature update	N/A	RX	16, 17, 19, 20
restore factory default	N/A	W	1, 2, 3, 4, 6, 7, 8, 9, 11, 12, 13,
			14, 15, 17, 18, 19, 20, 22, 23, 26,
			28, 29, 34, 35

¹ Any firmware loaded into this module that is not shown on the module certificate, is out of the scope of this validation and requires a separate FIPS 140-2 validation.

4.4 Approved Algorithms

The cryptographic module implements the following FIPS approved algorithms:

- Hardware:
 - Triple-DES
 - AES
 - SHS
 - HMAC

Table 3: FIPS approved algorithms for hardware

CAVP Cert	Algorithm	Standard	Mode/Method	Key Lengths, Curves, or Moduli	Use
2883, 2884	Triple-DES ²	SP 800-67	TCBC		Data Encryption/Decryption
<u>5924</u> , <u>5925</u>	AES	FIPS 197, SP 800-38A	CBC	128, 192, 256	Data Encryption/Decryption
<u>5924,</u> <u>5925</u>	AES	FIPS 197, SP 800-38D	GCM ³	128, 256	Data Encryption/Decryption
4680, 4681	SHS	FIPS 180-4	SHA-1, SHA-256, SHA-384 SHA-512		Message Digest
3904, 3905	НМАС	FIPS 198-1	HMAC-SHA-1, HMAC-SHA-256, HMAC-SHA-384 HMAC-SHA-512	Key sizes < block size	Message Authentication

Note: The algorithms listed above are implemented by the module when operating in a FIPS approved mode of operation. The certificates list additional modes and key sizes that are not accessible through the cryptographic module interfaces.

³The module generates AES GCM IV in accordance to SP 800-38D in compliance with IG A.5 scenario 1.

- The GCM IV generation in the TLS context is in compliance with RFC 5288 and used for the TLS 1.2 protocol.
- The GCM IV generation in the IPsec context is in compliance with RFC 4106 and shall only be used with IPsec and IKEv2 (RFC 7296) to be compliant with IG A.5.

² The user shall not use the same Triple-DES key for more than 2¹⁶ encryption operations.

The implementation of the 64-bit nonce_explicit (TLS)/nonce (IPsec) part of the IV is deterministic and management logic is inside the module. By the design of the module and by virtue of the data size limit set, the maximum number possible value of $2^{64} - 1$ for nonce_explicit/nonce (IPsec) part of the IV is never reached. In event that the module's power is lost and then restored, the key used for the AES GCM encryption or decryption shall be redistributed.

- Firmware:
 - Triple-DES
 - AES
 - SHS
 - HMAC
 - RSA
 - DRBG
 - IKEv1 KDF
 - IKEv2 KDF
 - TLS KDF
 - SSH KDF
 - SNMP KDF

Table 4: FIPS approved algorithms for firmware

CAVP Cert	Algorithm	Standard	Mode/Method	Key Lengths, Curves, or Moduli	Use
2871, 2872, 2873, 2874	Triple-DES⁴	SP 800-67	ТСВС		Data Encryption/Decryption
5909, 5910, 5911, 5912	AES	FIPS 197, SP 800-38A	CBC	128, 192, 256	Data Encryption/Decryption
<u>5909</u> , <u>5910</u> , <u>5911</u> , <u>5912</u>	AES	FIPS 197, SP 800-38D	GCM⁵	128, 192, 256	Data Encryption/Decryption
4667, 4668, 4669, 4670	SHS	FIPS 180-4	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512		Message Digest
3891, 3892, 3893, 3894	НМАС	FIPS 198-1	HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA-256, HMAC-SHA-384 HMAC-SHA-512	Key sizes < block size, Key sizes = block size, Key sizes > block size	Message Authentication
3098, 3099, 3100, 3101	RSA	FIPS 186-2	SHA-1 ANSI X9.31 PKCS v1.5	1024, 1536, 2048,	Digital Signature Verification

3098, 3099, 3100, 3101	RSA	FIPS 186-4	Probable Primes (B.3.3)	3072, 4096 2048, 3072	Key Generation
3098, 3099, 3100, 3101	RSA	FIPS 186-4	SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 ANSI X9.31 PKCS v1.5	2048, 3072, 4096	Digital Signature Generation and Verification
2471, 2472, 2473, 2474	DRBG	SP 800-90A	AES CTR based	128, 192, 256	Deterministic Random Bit Generation
2140, 2141, 2142, 2143	CVL IKEv1 IKEv2 TLS 1.2 SSH SNMP	SP 800- 135rev1			Key Derivation
Vendor Affirmation	CKG	SP 800-133			Key Generation ⁶

Note: The algorithms listed above are implemented by the module when operating in a FIPS approved mode of operation. The certificates list additional modes and key sizes that are not accessible through the cryptographic module interfaces.

⁵The module generates AES GCM IV in accordance to SP 800-38D in compliance with IG A.5 scenario 1.

⁶Resulting symmetric keys and seeds used for asymmetric key generation are an unmodified output from the approved DRBG.

- The GCM IV generation in the TLS context is in compliance with RFC 5288 and used for the TLS 1.2 protocol, , and the module supports acceptable GCM ciphersuites form SP 800-52 Rev 1, Section 3.3.1.
- The GCM IV generation in the IPsec context is in compliance with RFC 4106 and shall only be used with IPsec and IKEv2 (RFC 7296) to be compliant with IG A.5. The module uses the RFC 7296 compliant IKEv2 to establish the shared secret SKEYSEED from which the AES GCM encryption keys are derived.
- The implementation of the 64-bit nonce_explicit (TLS)/nonce (IPsec) part of the IV is deterministic and management logic is inside the module. By the design of the module and by

⁴ The user shall not use the same Triple-DES key for more than 2¹⁶ encryption operations.

virtue of the data size limit set, the maximum number possible value of 2⁶⁴ for nonce_explicit/nonce (IPsec) part of the IV is never reached. In event that the module's power is lost and then restored, the key used for the AES GCM encryption or decryption shall be redistributed.

The IKEv1, IKEv2, TLS, SSH, and SNMP protocols have not been tested by the CMVP or CAVP.

The minimum encryption strength of symmetric keys is 112 bits and the maximum is 256 bits.

4.5 Non-FIPS Approved But Allowed Algorithms

The cryptographic module implements the following non-FIPS approved but allowed algorithms:

- RSA key transport (with 2048 bit keys)
 - Key wrapping, key establishment methodology provides 112 bits of equivalent encryption strength
- Diffie Hellman (CVL Certs. #2140, #2141, #2142 and #2143, key agreement; key establishment methodology provides 112 or 128 bits of encryption strength)
- EC Diffie-Hellman (CVL Certs. #2140, #2141, #2142 and #2143, key agreement; key establishment methodology provides 128 or 192 bits of encryption strength)
- NDRNG

4.6 Non-FIPS Approved Services

The cryptographic module provides the following non-FIPS approved services:

- Mobile VPN with PPTP
- PPPoE
- Backup image to USB
- Authenticate to module⁷
- Read/modify VPN configuration⁷

If any of these services are used, the cryptographic module is not operating in a FIPS approved mode of operation.

4.7 Non-FIPS Approved Algorithms

The cryptographic module implements the following non-FIPS approved algorithms:

- DES
- MD5
- TKIP
- The AES algorithm is non-compliant when used in CCM mode or when invoking the non-FIPS Approved "Backup image to USB" service
- Use of HMAC-SHA-1 for MAC generation with key length >= 80 bits and < 112, and use of HMAC-SHA-1 for MAC verification with key length >= 80 bits and < 112 bits

⁷ When used with a non-compliant Diffie-Hellman key size.

- Use of SHA-1 for digital signature generation
- Password Based Key Derivation Function (for 128 bit AES key). Keys derived using a PBKDF cannot be used in a FIPS approved mode of operation
- Diffie Hellman
 - Key establishment, key agreement method is non-compliant when using key sizes with less than 112 bits of equivalent encryption strength

4.8 Alternating Bypass

The primary cryptographic function of the module is to act as a firewall, and as a VPN device. Encrypt and decrypt operations are performed on traffic based on firewall policies. The cryptographic module implements an alternating bypass feature based on VPN tunnels and firewall policies. Traffic can be encrypted/decrypted or passed as plaintext, depending on the VPN tunnel and selected policy.

Two actions must be taken by the Cryptographic Officer to transition between VPN bypass states. The Cryptographic Officer must first create the VPN gateway. The Cryptographic Officer must then create the VPN tunnel and tunnel route, and associate the VPN tunnel with a VPN policy.

Whether VPN bypass is enabled or not can be determined by examining the list of VPN gateways and VPN tunnels.

4.9 Authentication

Cryptographic Officer or User (referred to as Operator) must authenticate with a username and password combination to access the modules remotely or locally via the console. Remote operator authentication is done over HTTPS (TLS 1.2) or SSH (v2.0). The access to the module is based on firewall policy and authentication by IP address.

For end users (including CO or U) using module functionality and invoking the SSLVPN or IPSec encrypt/decrypt services, the module supports authentication with a username/password combination. The authentication is done over HTTPS over a dedicated port and it does not allow access to the module for any of the administrative purposes whatsoever.

The minimum password length is 8 characters when in FIPS mode. Using a strong password policy, where operator and end user passwords are at least 8 characters in length and use a mix of alphanumeric (printable) characters from the ASCII character set, the odds of guessing a password are 1 in 94^8 , which is far less than 1 in 1,000,000. The total password space is sufficiently large such that exceeding a 1 in 100,000 probability of correctly guessing the password in one minute would require approximately 6.1×10^{10} attempts per minute, which is beyond the operational capability of the module.

For end users invoking the IPSec encrypt/decrypt services, the module acts on behalf of the end user and negotiates a VPN connection with a remote module. The strength of authentication for IPSec services is based on the authentication method defined in the specific firewall policy: IKE pre-shared key or IKE RSA key (RSA certificate). The odds of guessing the authentication key for each IPSec method is:

- 1 in 948 for the IKE preshared key (based on an 8 character, ASCII printable key)
- 1 in 2¹¹² for the IKE RSA key (based on a 2048 bit RSA key size, which is equivalent to 112 bits of security)

Therefore the minimum odds of guessing the authentication key for IPSec is 1 in 94^8 based on the IKE preshared key, or 1 in 2^{112} based on the IKE RSA key, which is far less than 1 in 1,000,000. The key size is sufficiently large such that exceeding a 1 in 100,000 probability of correctly guessing the key in one minute would require approximately 6.1×10^{10} attempts per minute (for preshared key) or 5.2×10^{28} attempts per minute (for RSA key) , which is beyond the operational capability of the module.

5 Interfaces

Physical ports and interfaces on the Firebox module can be categorized into the following logical interfaces:

- Data Input
- Data Output
- Control Input
- Status Output

All of the physical ports and interfaces are separated into the FIPS 140-2 logical interfaces, as described in the following tables. The logical interfaces may share a physical port. The firmware in the Firebox module separates and routes data to the appropriate internal firmware task associated with a logical interface based on port number, session, and/or command context.

5.1 Firebox T15/T15-W



Figure 2: T15/T15-W Front View

Table 5: Front Panel Access

PORT NAME/TYPE	Number	Description	Data	Data	Control	Status
			Input	Output	Input	Output
Fail Over LED	1	Lit when there is a WAN failover from the primary				
		external interface to the backup interface. Not lit				\checkmark
		after failback to the primary external interface.				
Ethernet Interface link	3	The top light indicates connection speed (1000				
lights		Mbps) and activity. The bottom light indicates				\checkmark
		connection speed (10/100 Mbps) and activity.				
Power LED	1	Lit when the module is powered on.				√
Status LED	1	Lit when there is management connection to the module.				✓
Mode LED	1	Indicates the status of the external network connection. It is lit when the module can connect to the external network and send traffic. It flashes if the module cannot connect to the external network and send traffic.				✓
Attention LED	1	Lit when the module is started with the Reset button pressed.				√
WAP LED (-W model only)	1	Lit when the appliance is activated as a wireless access point or a wireless client				✓



Figure 3: T15/T15-W Rear View

Table 6: Rear Panel Access

PORT NAME/TYPE	Number	Description	Data Input	Data Output	Control Input	Status Output
RJ45 Ethernet Interfaces	3	Configurable ports can be data input or data output for External, LAN, or Optional.	✓	√	√	✓
RJ45 Console Interface	1	Serial port for CLI access.	✓	✓	✓	√
USB interface	1	Used for backup, or to store a support snapshot.		✓		
Power Interface	1	Auto-sensing AC power supply.				
Power Switch	1	Controls power supplied to device.			✓	
Reset Button	1	Used to reset the module.			✓	

5.2 Firebox T35/T35-W



Figure 4: T35/T35-W Front View

Table 7: Front Panel Access

PORT NAME/TYPE	Number	Description	Data	Data	Control	Status
			Input	Output	Input	Output
Fail Over LED	1	Lit when there is a WAN failover from the primary external interface to the backup interface. Not lit after failback to the primary external interface.				✓
Ethernet Interface link lights	5	The top light indicates connection speed (1000 Mbps) and activity. The bottom light indicates connection speed (10/100 Mbps) and activity.				✓
Power LED	1	Lit when the module is powered on.				✓
Status LED	1	Lit when there is management connection to the module.				✓
Mode LED	1	Indicates the status of the external network connection. It is lit when the module can connect to the external network and send traffic. It flashes if the module cannot connect to the external network and send traffic.				✓
Attention LED	1	Lit when the module is started with the Reset button pressed.				√
WAP LED (-W model only)	1	Lit when the appliance is activated as a wireless access point or a wireless client				√



Figure 5: T35/T35-W Rear View

Table 8: Rear Panel Access

PORT NAME/TYPE	Number	Description	Data Input	Data Output	Control Input	Status Output
RJ45 Ethernet Interfaces	5	Configurable ports can be data input or data output for External, LAN, or Optional.	✓	✓	✓	✓
RJ45 Console Interface	1	Serial port for CLI access.	✓	√	✓	✓
USB interfaces	2	Used for backup, or to store a support snapshot.		✓		
Power Interface	1	Auto-sensing AC power supply.				
Power Switch	1	Controls power supplied to device.			✓	
Reset Button	1	Used to reset the module.			√	

5.3 Firebox T55/T55-W



Figure 6: T55/T55-W Front View

Table 9: Front Panel Access

PORT NAME/TYPE	Number	Description	Data	Data	Control	Status
			Input	Output	Input	Output
Fail Over LED	1	Lit when there is a WAN failover from the primary external interface to the backup interface. Not lit				✓
		after failback to the primary external interface.				
Ethernet Interface link lights	5	The top light indicates connection speed (1000 Mbps) and activity. The bottom light indicates connection speed (10/100 Mbps) and activity.				√
Power LED	1	Lit when the module is powered on.				✓
Status LED	1	Lit when there is management connection to the module.				✓
Mode LED	1	Indicates the status of the external network connection. It is lit when the module can connect to the external network and send traffic. It flashes if the module cannot connect to the external network and send traffic.				✓
Attention LED	1	Lit when the module is started with the Reset button pressed.				√
WAP LED (-W model only)	1	Lit when the appliance is activated as a wireless access point or a wireless client				√



Figure 7: T55/T55-W Rear View

Table 10: Rear Panel Access

PORT NAME/TYPE	Number	Description	Data Input	Data Output	Control Input	Status Output
RJ45 Ethernet Interfaces	5	Configurable ports can be data input or data output for External, LAN, or Optional.	√ /	✓ /	√ ·	✓
RJ45 Console Interface	1	Serial port for CLI access.	√	√	√	√
USB interfaces	2	Used for backup, or to store a support snapshot.		✓		
Power Interface	1	Auto-sensing AC power supply.				
Power Switch	1	Controls power supplied to device.			√	
Reset Button	1	Used to reset the module.			√	

5.4 Firebox T70



Figure 8: T70 Front View

Table 11: Front Panel Access

PORT NAME/TYPE	Number	Description	Data Input	Data Output	Control Input	Status Output
Fail Over LED	1	Lit when there is a WAN failover from the primary external interface to the backup interface. Not lit after failback to the primary external interface.				✓
Ethernet Interface link lights	8	The top light indicates connection speed (1000 Mbps) and activity. The bottom light indicates connection speed (10/100 Mbps) and activity.				✓
Power LED	1	Lit when the module is powered on.				✓
Status LED	1	Lit when there is management connection to the module.				✓
Mode LED	1	Indicates the status of the external network connection. It is lit when the module can connect to the external network and send traffic. It flashes if the module cannot connect to the external network and send traffic.				√
Attention LED	1	Lit when the module is started with the Reset button pressed.				✓



Figure 9: T70 Rear View

Table 12: Rear Panel Access

PORT NAME/TYPE	Number	Description	Data Input	Data Output	Control Input	Status Output
RJ45 Ethernet Interfaces	8	Configurable ports can be data input or data output for External, LAN, or Optional.	√	√	✓	✓
RJ45 Console Interface	1	Serial port for CLI access.	✓	✓	✓	✓
USB interfaces	2	Used for backup, or to store a support snapshot.		✓		
Power Interface	1	Auto-sensing AC power supply.				
Power Switch	1	Controls power supplied to device.			√	
Reset Button	1	Used to reset the module.			√	

6 FIPS 140-2 Compliant Operation

The Firebox module meets FIPS 140-2 Level 2 requirements. This section describes how to place and keep the Firebox module in a FIPS approved mode of operation.

6.1 Security Rules

The cryptographic module has the following security rules:

- The cryptographic module provides two distinct operator roles. These are the User role, and the Cryptographic Officer role.
- The cryptographic module provides role-based authentication relying upon usernames and passwords.
- The cryptographic module provides pre-shared key and RSA certificates for authentication when configuring VPN tunnels.

6.2 Self-Tests

• The cryptographic module performs the following self-tests at power-up:

Hardware cryptographic algorithm tests:

- Triple-DES encrypt KAT
- Triple-DES decrypt KAT
- AES encrypt KAT
- AES decrypt KAT
- SHA-1 KAT
- HMAC-SHA-1 KAT
- HMAC-SHA-256 KAT
- HMAC-SHA-384 KAT
- HMAC-SHA-512 KAT
- AES-GCM encrypt KAT
- AES-GCM decrypt KAT

Firmware cryptographic algorithm tests:

- Triple-DES encrypt KAT
- Triple-DES decrypt KAT
- AES encrypt KAT
- AES decrypt KAT
- AES-GCM encrypt KAT
- AES-GCM decrypt KAT
- SHA-1 KAT
- HMAC-SHA-1 KAT
- HMAC-SHA-224 KAT

- HMAC-SHA-256 KAT
- HMAC-SHA-384 KAT
- HMAC-SHA-512 KAT
- RSA Sign KAT
- RSA Verify KAT
- DRBG KAT

Firmware integrity test:

- Firmware integrity test (using HMAC-SHA-1)

The results of the power-up self-tests are displayed on the console during the power-up sequence. The self-tests are run automatically at power-up without any operator intervention. The power-up self-tests are run before any networking interfaces are started, so that data output is inhibited while self-tests are running.

The power-up self-tests can also be initiated on demand by issuing the CLI command **fips selftest**.

1. Sample output (FIPS mode currently enabled):

The box will reboot, Please wait for a moment...

If any of the power-up tests fail, the cryptographic module enters the error state. Errors are displayed on the console. The following error indicator is displayed on the console: "FIPS self-test failure: shutting down". No security services are provided in the error state and data output is inhibited (the Firebox module is shutdown).

- The cryptographic module performs the following conditional tests:
 - RSA pairwise consistency test
 - DRBG continuous PRNG test
 - DRBG Instantiate, Reseed, Generate, and Uninstantiate health tests
 - Bypass test
 - Firmware load test (using HMAC-SHA-1)

If the RSA pairwise consistency test, DRBG continuous PRNG test, or bypass test fails, the cryptographic module enters the error state. Errors are displayed on the console or internal logs. The following error indicator is displayed on the console: "FIPS self-test failure: shutting down". No security services are provided in the error state and data output is inhibited (the Firebox module is shutdown).

If the firmware load test fails, the cryptographic module enters the firmware load test failure state. The firmware load error indicator has the following unique indicator: "Upload failure: -2 failed with -2". The new firmware image is not loaded. The Firebox module resumes normal operation after the error is logged.

6.3 Cryptographic Officer Guidance

This section describes the responsibilities of the Cryptographic Officer for installing, configuring, and ensuring proper operation of the validated Firebox module.

6.3.1 Secure Installation

The Cryptographic Officer must ensure that:

- The Firebox module is installed in a secure physical location.
- Physical access to the Firebox module is restricted to authorized personnel only.

6.3.2 Enabling FIPS Mode Operation

The cryptographic module is not configured to operate in FIPS mode by default. To operate in FIPS mode, do the following:

- Issue the CLI command fips enable to enable FIPS mode operation.
- Choose operator passwords (for Cryptographic Officer and User roles) with a minimum of 8 characters.
- Run fips selftest before making changes to the VPN configuration.
- SSLVPN tunnels use TLS 1.2. When configuring SSLVPN tunnels, only choose FIPS-approved authentication and encryption algorithms (SHA-1, SHA-256, SHA-512, Triple-DES, AES-128, AES-192, AES-256).
- When configuring IPSec VPN tunnels, only choose FIPS-approved authentication and encryption algorithms (SHA-1, SHA-256, SHA-384, SHA-512, Triple-DES, AES-128, AES-192, AES-256).
- When configuring IPSEc VPN tunnels, choose Diffie-Hellman Group 14 (2048 bit), Group 15 (3072 bit), Group 19 (256 bit elliptic curve), or Group 20 (384 bit elliptic curve) for IKE Phase 1 negotiation.
- When configuring IPSec VPN tunnels, use pre-shared keys or RSA certificates for authentication.
- Only use RSA certificates for TLS.
- Use a minimum of 2048-bits for all RSA keys.
- Do not use Mobile VPN with PPTP.
- Do not use PPPoE.
- Do not use WatchGuard System Manager to manage the appliance.
- Do not use RADIUS server authentication.
- Web browsers must be configured to only use TLS 1.2 and FIPS approved cipher suites.
- Telnet and SSH clients must be configured to use the SSH V2.0 protocol and RSA authentication. If the SSH client uses Diffie-Hellman key exchange, configure the client to use DH 2048 bit or greater.
- Do not use the wireless interfaces.

Note that if the module's power is lost and then restored, the key used for the AES GCM encryption or decryption shall be re-distributed.

6.3.3 Disabling FIPS Mode Operation

To disable FIPS mode, do the following:

• Issue the CLI command **restore factory-default all** (to disable FIPS mode and zeroize all keys and CSPs).

6.4 User Guidance

This section describes the responsibilities for Users of the validated Firebox module.

The User can determine if the cryptographic module is operating in FIPS mode by issuing the CLI command **show FIPS**.

1. Sample output (FIPS mode currently not enabled):
--- Current FIPS status
-FIPS status : disabled

2. Sample output (FIPS mode currently enabled):

---- Current FIPS status --FIPS status : enabled

7 Tamper Evidence

All Critical Security Parameters are stored and protected within each appliance's tamper evident enclosure. Tamper evident labels must be applied for the module to operate in a FIPS approved mode of operation. It is the responsibility of the Cryptographic Office to properly place all tamper evident labels as described in this section, and the Cryptographic Officer should maintain control of unused labels in a secure location. The security labels recommended for FIPS 140-2 compliance are separately ordered (SKU WG8566). These security labels are designed to be very fragile and cannot be removed without visible signs of damage to the labels. Note that these labels are designed to be applied to a clean surface at 10°C or above.

The Cryptographic Officer must apply tamper evident labels at the locations shown in the Figures. Before the labels are applied, the Cryptographic Officer should ensure that the surface is clean, and that the air temperature is at 10° C or above. The surface should be cleaned using isopropyl alcohol and dried before applying the labels. After the labels are placed, the Cryptographic Officer should inspect the tamper evident labels periodically to verify they are intact.

If the tamper evident seals are found to be damaged or broken during inspection, the Cryptographic Officer can return the cryptographic module to a FIPS approved mode of operation by restoring the module to a factory default state, reinstalling, and applying new tamper evident labels.

Any attempt to open the device will damage the tamper evident seals or the material of the security appliance cover. Tamper evident seals can also be inspected for signs of tampering, which include the following: curled corners, rips, and slices.

The following is a photograph of the tamper evident labels that are used.



Figure 10: WatchGuard Firebox Tamper Evident Label

7.1 Firebox T15/T15-W



Figure 11: T15 Tamper Evident Label Placement



Figure 12: T15-W Tamper Evident Label Placement

7.2 Firebox T35/T35-W



Figure 13: T35 Tamper Evident Label Placement



Figure 14: T35-W Tamper Evident Label Placement

7.3 Firebox T55/T55-W



Figure 15: T55 Tamper Evident Label Placement



Figure 16: T55-W Tamper Evident Label Placement

7.4 Firebox T70



Figure 17: T70 Tamper Evident Label Placement

8 Cryptographic Key Management

8.1 Cryptographic Keys and Critical Security Parameters

The following table lists the cryptographic keys and Critical Security Parameters (CSPs) used by the cryptographic module:

Table 13: Cryptographic Keys and Critical Security Parameters

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
1	DRBG seed	SP800-90A CTR_DRBG / 384 bits	Seed value for DRBG.	RAM (plain text)			Initial generation via entropy.8	Power off the appliance.
2	DRBG V	SP800-90A CTR_DRBG / 128 bits	Internal V value used by SP800-90A DRBG.	RAM (plain text)			Initial generation via DRBG.	Power off the appliance.
3	DRBG key	SP800-90A CTR_DRBG / 256 bits	Internal key value used by SP800-90A DRBG.	RAM (plain text)			Initial generation via DRBG.	Power off the appliance.
4	DRBG entropy input	SP800-90A CTR_DRBG / 128, 192, 256 bits	Entropy input string for DRBG.	RAM (plain text)			Initial generation via DRBG.	Power off the appliance.
5	DRBG personalization string	SP800-90A CTR_DRBG / 128 bits	Personalization string for DRBG.	Local storage and RAM (plain text)			Compiled into the firmware.	Install patch that deletes the firmware.
6	Diffie-Hellman shared secret	DH / 2048, 3072 bits	Shared secret used in Diffie- Hellman key exchange for IKE, TLS, and SSH sessions.	RAM (plain text)			Generated internally using Diffie-Hellman key exchange.	Terminate the session, or power off the appliance.

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
7	Diffie-Hellman private key	DH / 224, 256 bits	The private exponent used in Diffie-Hellman key exchange for IKE, TLS, and SSH sessions.	RAM (plain text)			Generated internally using DRBG.	Terminate the session, or power off the appliance.
8	EC Diffie- Hellman shared secret	ECDH / P-256, P-384	Shared secret used in EC Diffie-Hellman key exchange for IKE and TLS sessions.	RAM (plain text)			Generated internally using EC Diffie-Hellman key exchange.	Terminate the session, or power off the appliance.
9	EC Diffie- Hellman private key	ECDH / 256, 384 bits	The private exponent used in EC Diffie-Hellman key exchange for IKE and TLS sessions.	RAM (plain text)			Generated internally using DRBG.	Terminate the session, or power off the appliance.
10	IKE pre-shared secret	Shared secret / 8 or more characters	Used by IKE for session authentication.	Local storage and RAM (plain text)	√	✓	Entered by Cryptographic Officer. ⁹	Delete the IPSec VPN configuration. Power off the appliance.

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
11	IKE SKEYSEED	Shared secret / 160, 256, 384, 512 bits	Used by IKE during phase-1 exchange.	RAM (plain text)			Generated internally using the negotiated pseudo random function (PRF) as defined in SP800-135 during IKE phase-1 exchange.	Terminate the session, or power off the appliance.
12	IKE session authentication key	HMAC-SHA1 / 160 bits HMAC-SHA-256 / 256 bits HMAC-SHA-384 / 384 bits HMAC-SHA-512 / 512 bits	Used to authenticate IKE negotiations.	RAM (plain text)			Generated internally using the negotiated pseudo random function (PRF) as defined in SP800-135 during IKE phase-1 exchange.	Terminate the session, or power off the appliance.
13	IKE session encryption key	Triple-DES / 192 bits AES / 128,192,256 bits	Used to encrypt IKE negotiations.	RAM (plain text)			Generated internally using the negotiated pseudo random function (PRF) as defined in SP800-135 during IKE phase-1 exchange.	Terminate the session, or power off the appliance.

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
14	IPSec session authentication key	HMAC-SHA1 / 160 bits HMAC-SHA-256 / 256 bits HMAC-SHA-384 / 384 bits HMAC-SHA-512 / 512 bits	Exchanged using the IKE protocol. Used to authenticate IPSec traffic.	RAM (plain text)			Generated internally using the negotiated pseudo random function (PRF) as defined in SP800-135 during IKE phase-2 exchange.	Terminate the session, or power off the appliance.
15	IPSec session encryption key	Triple-DES / 192 bits AES / 128,192,256 bits	Exchanged using the IKE protocol. Used to encrypt IPSec traffic.	RAM (plain text)			Generated internally using the negotiated pseudo random function (PRF) as defined in SP800-135 during IKE phase-2 exchange.	Terminate the session, or power off the appliance.
16	RSA private key	RSA / 2048, 3072, 4096 bits	The RSA private key used for IKE session authentication.	Local storage and RAM (plain text)	√		Generated internally using DRBG or imported across an encrypted tunnel.	Restore the device to its factory default configuration.
17	TLS pre-master secret	Shared secret / 384 bits (using RSA), variable (using DH and ECDH)	Shared secret used in TLS exchange for TLS sessions.	RAM (plain text)			Generated internally using TLS protocol exchange.	Terminate the session, or power off the appliance.

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
			Shared secret				Generated	Terminate the
18	TLS master	Shared secret / 384 bits	used in TLS	RAM			internally using	session, or
10	secret		exchange for	(plain text)			TLS protocol	power off the
			TLS sessions.				exchange.	appliance.
							Generated	Terminate the
							internally using	session, or
	TLS session	HMAC-SHA1 / 160 bits	Used to				the KDF as	power off the
19	authentication	HMAC-SHA-256 / 256 bits	authenticate	RAM			defined in	appliance.
19	key	HMAC-SHA-384 / 384 bits	TLS traffic.	(plain text)			SP800-135	
	Key	HMAC-SHA-512 / 512 bits	TES CLATIC.				during TLS	
							protocol	
							exchange.	
							Generated	Terminate the
	TLS session encrypton key	Triple-DES / 192 bits AES / 128, 192, 256 bits	Used to encrypt TLS traffic.				internally using	session, or
				RAM (plain text)			the KDF as	power off the
20							defined in	appliance.
20							SP800-135	
							during TLS	
							protocol	
							exchange.	
			The RSA	Local storage			Generated	Restore the
21	RSA private key	RSA / 2048, 3072, 4096	private key	and RAM			internally using	device to its
21	NOA private key	bits	used for TLS	(plain text)			DRBG.	factory default
			authentication.	(plain text)				configuration.
							Generated	Terminate the
							internally using	session, or
	SSH session		Used by SSH				the KDF as	power off the
22	authentication	HMAC-SHA1 / 160 bits	for data	RAM			defined in	appliance.
		HIVIAC-SHA1/ 160 bits	integrity.	(plain text)			SP800-135	
	key		micelity.				during SSH	
							protocol	
							exchange.	

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
23	SSH session key	AES / 128, 192, 256 bits	Used by SSH for session encryption.	RAM (plain text)			Generated internally using the KDF as defined in SP800-135 during SSH protocol exchange.	Terminate the session, or power off the appliance.
24	SSH RSA private key	RSA / 2048 bits	The RSA private key used for SSH authentication.	Local storage and RAM (plain text)			Generated internally using DRBG.	Restore the device to its factory default configuration.
25	SNMP password	Password / 8 or more characters	Used for deriving keys for SNMP authentication and encryption.	Local storage and RAM (plain text)	\	✓	Entered by Cryptographic Officer or User. ⁹	Overwrite with new password.
26	SNMP session key	AES / 128	Used by SNMP for session encryption.	RAM (plain text)			Generated internally using the KDF as defined in SP800-135.	Terminate the session, or power off the appliance.
27	Passwords	Password / 8 or more characters	Used to authenticate Crypto-Officer and User logins.	Local storage and RAM (plain text)	√	✓	Entered by Cryptographic Officer or User. ⁹	Overwrite with new password.
28	Firmware load integrity key	HMAC-SHA1 / 160 bits	Used for firmware load test.	RAM (plain text)			Compiled into the firmware.	Install patch that deletes the firmware.

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
29	Firmware integrity key	HMAC-SHA1 / 160 bits	Used for firmware integrity test.	RAM (plain text)			Compiled into the firmware.	Install patch that deletes the firmware.
30	Dimension Log Server pre- shared secret	Shared secret / 8 or more characters	Used to authenticate connections to the log server.	Local storage and RAM (plain text)	√	✓	Entered by Cryptographic Officer. ⁹	Delete the log server configuration. Power off the appliance.

 $^{^{8}\,}$ The minimum number of bits of entropy generated by the module for use in key generation is 259.

⁹ Can be entered into the module in plain text over the serial console port from a non-networked computer.

8.2 Public Keys

The following table lists the public keys used by the cryptographic module:

Table 14: Public Keys

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
31	RSA public key	RSA / 2048, 3072, 4096 bits	Used by IKE for session authentication.	Local storage and RAM (plain text)	✓	√	Generated using DRBG or imported across an encrypted tunnel.	Delete the IPSec VPN configuration. Power off the appliance.
32	RSA public key	RSA / 2048, 3072, 4096 bits	Used by Web UI clients for TLS authentication.	Local storage and RAM (plain text)		√	Generated internally using DRBG.	Restore the device to its factory default configuration.
33	SSH RSA public key	RSA / 2048 bits	Used by SSH for authentication.	Local storage and RAM (plain text)		√	Generated internally using DRBG.	Restore the device to its factory default configuration.
34	Diffie-Hellman public key	DH / 2048, 3072 bits	The public key used in Diffie-Hellman key exchange for IKE, TLS, and SSH sessions.	RAM (plain text)			Generated internally using Diffie-Hellman key exchange.	Terminate the session, or power off the appliance.
35	EC Diffie- Hellman public key	ECDH / P-256, P-384	The public key used in EC Diffie-Hellman key exchange for IKE and TLS sessions.	RAM (plain text)			Generated internally using EC Diffie-Hellman key exchange.	Terminate the session, or power off the appliance.

#	Key/CSP	Type/Size	Usage	Storage	Input	Output	Generation	Zeroization
36	SSLVPN RSA public key	RSA / 2048, 3072, 4096 bits	Used by SSLVPN clients for authentication.	Local storage and RAM (plain text)		√	Generated internally using DRBG.	Restore the device to its factory default configuration.
37	HTTPS proxy RSA public key	RSA / 2048, 3072, 4096 bits	Used by HTTPS proxy clients for authentication.	Local storage and RAM (plain text)		✓	Generated internally using DRBG.	Restore the device to its factory default configuration.
38	HTTPS proxy authority RSA public key	RSA / 2048, 3072, 4096 bits	Used by the module for HTTPS proxy deep content inspection.	Local storage and RAM (plain text)		√	Generated internally using DRBG.	Restore the device to its factory default configuration.

9 Mitigation of Other Attacks

The Firebox module includes optional capabilities of Intrusion Prevention System (IPS), Antivirus protection, Antispam, URL Filtering, Application Control, Data Loss Prevention, and Advanced Persistent Threats detection, in addition to capabilities described earlier. These capabilities are backed up by the WatchGuard proprietary proxy technology.

The proprietary Proxy technology enables the blocking of oversized files, supports blocking by file extension and blocking of traffic based on any Protocol Anomaly Detection (PAD).

The module offers an inbuilt default threat protection that protects the internal networks by performing behavioral analysis of traffic passing through the module. This can protect the module from direct attacks, based on TCP, ICMP, UDP, and IP protocols, such as Denial of Service (DoS), Distributed Denial of Service (DDoS), Synflood, and ping of death, etc. Access is denied or packets are dropped when an attack is detected. Attack parameters can be modified by the Cryptographic Officer to ensure that normal network traffic is not considered an attack.

Whenever a Gateway IPS, Gateway Antivirus, Antispam, WebBlocker, Application Control, Data Loss Prevention, or Advanced Persistent Threat event occurs, the module can record the event in the log and/or send an alarm to a Cryptographic Officer via a configured notification mechanism. The rest of this section provides additional information on different services of the Firebox module.

9.1 Gateway IPS Service

The WatchGuard Gateway IPS is a signature based component for detecting attacks passing through the module. Signature based attack detection mechanism works by identifying transmission patterns and other codes that indicate that a system might be under attack. Each signature is designed to detect a particular type of attack. The signatures for real-time IPS service are updated through the WatchGuard Gateway Intrusion Prevention Service. The module can be configured to securely automatically check for and download updated IPS packages from the WatchGuard servers, or they can be downloaded manually by the Cryptographic Officer. The IPS package is signed with the WatchGuard server's private key and verified by the module using the WatchGuard server's public key.

9.2 Gateway Antivirus Service

The Firebox Antivirus service scans web (HTTP), file transfer (FTP), Instant Messaging and email (POP3, IMAP, and SMTP) traffic passing through the module and removes and can optionally quarantine the infected content. The quarantined files and content are stored on the separate server outside the module. The Cryptographic Officer can review and delete quarantined files from the server. When any attachment is removed from the email, it is replaced with a replacement message that goes to the intended recipient of the email message.

The module can be configured to automatically check for and download updated AV signature and engine packages from the WatchGuard servers, or they can be downloaded manually by the Cryptographic Officer. The AV package is signed with the WatchGuard server's private key and verified

by the module using the WatchGuard server's public key. The module also is capable of updating the latest Antivirus engine securely through the Gateway Antivirus service.

Gateway Antivirus service also detects and removes malware such as adware, spyware, etc.

Quarantine Server which stores the emails and content is external to the module and not part of FIPS compliant module boundary.

9.3 spamBlocker Service

WatchGuard spamBlocker service can scan emails over SMTP, IMAP or POP3 protocols and can tag or discard email messages determined to be spam. Based on Recurrent Pattern Detection technology, optionally, this service can also quarantine the spam emails for administrators review. Spam detection methods also include black/white lists and return email DNS check. The spamBlocker Service also provides IP checking, URI address checking and email checksum analysis.

Quarantine Server which stores the emails and content is external to the module and is not part of the FIPS compliant module boundary.

9.4 WebBlocker Service

WatchGuard WebBlocker service offers URL filtering technology. WebBlocker service can be configured to scan HTTP and HTTPS protocol streams for banned URLs or web page content. The WebBlocker service uses a large database of URLs to block access to banned web sites and URLs based on content categories. The Cryptographic Officer can decide which categories of URLs are allowed by organization's security policy. The Cryptographic Officer can also configure URL filtering to block all or just some of the pages on a specific web site by using regular expressions. This feature can be used to deny access to parts of a web site without denying access to it completely. Also, the Cryptographic Officer can configure white and black lists to statically allow legitimate web pages or deny illegitimate web pages.

When a certain web page is blocked by the service, the end user is displayed a message that can be customized by the Cryptographic Officer using Web UI or Command Line Interface.

9.5 Application Control Service

WatchGuard Application Control, allows administrators to enforce acceptable use policies for users and groups by category, application, and application sub-functions. Using over 2,500 signatures and behavioral techniques, Application Control gives the administrator real-time and historical visibility into the use of applications on the network. The control and visibility given to the IT pro by WatchGuard Application Control helps organizations enforce acceptable use policies that are mandated by industry regulation, legal and political jurisdictions, corporate goals or culture.

WatchGuard's Application Control enables policy based monitoring, tracking, and blocking of over 1,800 unique web 2.0 and business applications. For example, administors can have granular control over the most important applications like Facebook and popular instant messaging applications e.g. allow MSN instant messaging, but disallow file transfer over MSN IM.

9.6 Data Loss Prevention Service

WatchGuard DLP prevents data breaches by scanning text and common file types to detect sensitive information. All data in motion, whether transferred via email, web, or FTP, is automatically inspected. Unlike other UTM DLP vendors, WatchGuard's subscription-based service includes a predefined library of more than 200 rules for 18 countries, covering personally identifiable information (PII), financial data, and healthcare information. Rule sets are updated monthly to stay current with data definitions and compliance mandates around the world.

9.7 Advanced Persistent Threat Blocker Service

WatchGuard APT Blocker focuses on behavior analysis to determine if a file is malicious. APT Blocker identifies and submits suspicious files to a cloud-based next-generation sandbox, a virtual environment where code is analyzed, emulated, and executed to determine its threat potential.

Modern malware including Advanced Persistent Threats (APTs) is designed to recognized and evade traditional defenses. APT Blocker's full system emulation – which simulates the physical hardware including CPU and memory – provides the most comprehensive level of protection against malware.

APT Blocker analyzes file types such as: Adobe PDF, Rich Text Format, Microsoft Office, Windows executable files, Android executable files, and Proxies (including POP3).

10 Definitions

AC – Alternating Current AES - Advanced Encryption Standard ANSI – American National Standards Institute ASCII - American Standard Code for Information Interchange AV - AntiVirus CA – Certificate Authority CBC – Cipher-Block Chaining CC - Common Criteria CF - Compact Flash CFast - CompactFast CLI – Command Line Interface CO – Cryptographic Officer CSP - Critical Security Parameter DES – Data Encryption Standard DH - Diffie-Hellman DRBG – Deterministic Random Bit Generator ECDSA – Elliptic Curve Digital Signature Algorithm EEPROM – Electrically Erasable Programmable Read-Only Memory FIPS – Federal Information Processing Standards FTP - File Transfer Protocol GUI – Graphical User Interface HMAC – Hash Message Authentication Code HTTPS - HyperText Transfer Protocol Secure

IMAP – Internet Message Access Protocol

IKE – Internet Key Exchange

IP – Internet Protocol

IPS – Intrusion Prevention System

IPSec – Internet Protocol Security

KAT – Known Answer Test

LAN – Local Area Network

LED - Light-Emitting Diode

MAC – Message Authentication Code

mSATA – Mini Serial AT Attachment

NIC - Network Interface Controller

NIST – National Institute of Standards and Technology

NOR – Negated OR flash

OS - Operating System

POP3 - Post Office Protocol 3

PPPoE – Point-to-Point Protocol over Ethernet

PPTP - Point-to-Point Tunneling Protocol

QSFP - Quad Small Form-factor Pluggable

RADIUS – Remote Authentication Dial In User Service

RC4 – Rivest Cipher 4

RSA - Rivest, Shamir, & Adelman algorithm

SFP – Small Form-factor Pluggable

SHA – Secure Hash Algorithm

SMTP - Simple Mail Transfer Protocol

SoHo – Small Office Home Office

SSH – Secure Shell protocol

SSLVPN – Secure Sockets Layer Virtual Private Network

TKIP – Temporal Key Integrity Protocol

TLS – Transport Layer Security

TOE – Target of Evaluation

Triple-DES – Triple Data Encryption Algorithm

UI – User Interface

USB - Universal Serial Bus

VLAN – Virtual Local Area Network

VoIP – Voice over Internet Protocol

VPN – Virtual Private Network

WAN – Wide Area Network

WAP – Wireless Access Point