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# ubuntu<sup>®</sup> 20.04 Libgcrypt Cryptographic Module

#### Software Version 3.0 and 3.1

#### FIPS 140-2 Non-Proprietary Security Policy

**Document Version 1.2** 

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#### 1. Cryptographic Module Specification

This document is the non-proprietary FIPS 140-2 Security Policy for version 3.1 of the Ubuntu 20.04 Libgcrypt Cryptographic Module. It contains the security rules under which the module must operate and describes how this module meets the requirements as specified in FIPS PUB 140-2 (Federal Information Processing Standards Publication 140-2) for a Security Level 1 software module.

The following sections describe the cryptographic module and how it conforms to the FIPS 140-2 specification in each of the required areas.

#### 1.1. Module Overview

The Ubuntu 20.04 Libgcrypt Cryptographic Module (hereafter referred to as "the module") is a set of software libraries implementing general purpose cryptographic algorithms. The module provides cryptographic services to applications running in the user space of the underlying Ubuntu operating system through a C language Application Program Interface (API).

For the purpose of the FIPS 140-2 validation, the module is a software-only, multi-chip standalone cryptographic module validated at overall security level 1. The table below shows the security level claimed for each of the eleven sections that comprise the FIPS 140-2 standard.

	FIPS 140-2 Section					
1	Cryptographic Module Specification	1				
2	Cryptographic Module Ports and Interfaces	1				
3	Roles, Services and Authentication	1				
4	Finite State Model	1				
5	Physical Security	N/A				
6	Operational Environment	1				
7	Cryptographic Key Management	1				
8	EMI/EMC	1				
9	Self-Tests	1				
10	Design Assurance	1				
11	Mitigation of Other Attacks	1				
Ove	rall Level	1				

#### Table 1 - Security Levels

The cryptographic logical boundary consists of all shared libraries and the integrity check files used for Integrity Tests. The following table enumerates the files that comprise the module.

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Component	Description
libgcrypt.so.20	libgcrypt shared library
.libgcrypt.so.20.hmac	libgcrypt shared library HMAC integrity file

Table 2 - Cryptographic Module Components

The software block diagram below shows the module, its interfaces with the operational environment and the delimitation of its logical boundary, comprised of all the components within the **RED** dotted box.

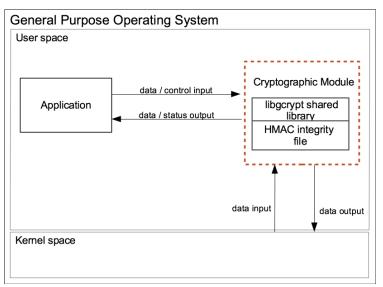


Figure 1 - Software Block Diagram

The module is aimed to run on a general-purpose computer (GPC); the physical boundary of the module is the tested platforms. Figure 2 shows the major components of a GPC.

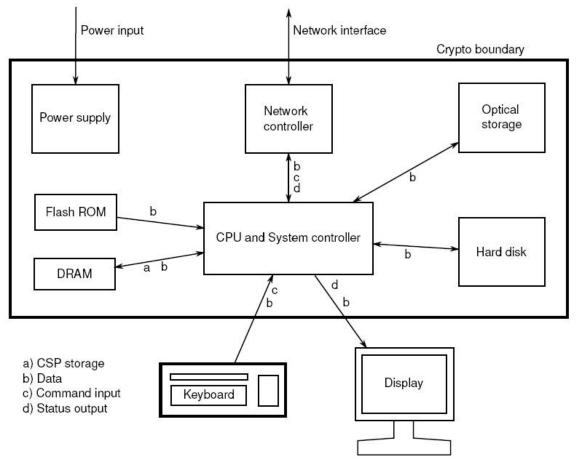


Figure 2 - Cryptographic Module Physical Boundary

The module has been tested on the test platforms shown below.

Test Platform	Processor	Test Configuration	Libgcrypt version
Supermicro SYS-1019P-	Intel Yeen Cold Skulake		1.8.5-5ubuntu1.fips.1.1
WTR	Intel Xeon Gold Skylake	Ubuntu 20.04 LTS 64-bit	1.8.5-5ubuntu1.fips.1.4
IBM z15™	z15™		1.8.5-5ubuntu1.fips.1.4

Table 3 - Tested Platforms

**Note:** Per FIPS 140-2 IG G.5, the Cryptographic Module Validation Program (CMVP) makes no statement as to the correct operation of the module or the security strengths of the generated keys when this module is ported and executed in an operational environment not listed on the validation certificate.

#### **1.2.** Modes of Operation

The module supports two modes of operation:

• **FIPS mode** (the Approved mode of operation): only approved or allowed security functions with sufficient security strength can be used.

• **non-FIPS mode** (the non-Approved mode of operation): only non-approved security functions can be used.

The module enters FIPS mode after power-up tests succeed. Once the module is operational, the mode of operation is implicitly assumed depending on the security function invoked and the security strength of the cryptographic keys.

Critical security parameters used or stored in FIPS mode shall not be used in non-FIPS mode, and vice versa.

#### 2. Cryptographic Module Ports and Interfaces

As a software-only module, the module does not have physical ports. For the purpose of the FIPS 140-2 validation, the physical ports are interpreted to be the physical ports of the hardware platform on which it runs.

The logical interfaces are the API through which applications request services. The following table summarizes the four logical interfaces.

FIPS Interface	Logical Interface
Data Input	API input parameters
Data Output	API output parameters
Control Input	API function calls, API input parameters for control
Status Output	API return codes

Table 4 - Ports and Interfaces

#### 3. Roles, Services and Authentication

#### 3.1. Roles

The module supports the following roles:

- **User role**: performs cryptographic services (in both FIPS mode and non-FIPS mode), key zeroization, get status, and on-demand self-test.
- Crypto Officer role: performs module installation and initialization.

The User and Crypto Officer roles are implicitly assumed by the entity accessing the module services.

#### 3.2. Services

The module provides services to users that assume one of the available roles. All services are shown in Table 5 and Table 6, and described in detail in the user documentation (i.e., man pages) referenced in section 9.1.

The table below shows the services available in FIPS mode. For each service, the associated cryptographic algorithms, the roles to perform the service, and the cryptographic keys or Critical Security Parameters and their access rights are listed. The following convention is used to specify access rights to a CSP:

- Create: the calling application can create a new CSP.
- **Read**: the calling application can read the CSP.
- **Update**: the calling application can write a new value to the CSP.
- Zeroize: the calling application can zeroize the CSP.
- **n/a**: the calling application does not access any CSP or key during its operation.

The complete list of cryptographic algorithms, modes and key lengths, and their corresponding Automated Cryptographic Validation Protocol (ACVP) certificate numbers can be found in and Table 10 of this security policy. Notice that the algorithms mentioned in the Network Protocol Services correspond to the same implementation of the algorithms described in the Cryptographic Library Services.

Service	Algorithms	Role	Access	Keys/CSP
	Cryptographic	Library Serv	vices	
Symmetric Encryption	AES	User	Read	AES key
and Decryption	Three-key Triple-DES	User	Read	Triple-DES key
Symmetric Decryption	Two-key Triple-DES	User	Read	Triple-DES key
RSA key generation	RSA, DRBG	User	Create	RSA public-private key
RSA digital signature generation and verification	RSA	User	Read	RSA public-private key
DSA key generation	DSA, DRBG	User	Create	DSA public-private key

Service	Algorithms	Role	Access	Keys/CSP
DSA domain parameter generation and verification	DSA	User	n/a	n/a
DSA digital signature generation and verification	DSA	User	Read	DSA public-private key
ECDSA key generation	ECDSA, DRBG	User	Create	ECDSA public-private key
ECDSA public key validation	ECDSA	User	Read	ECDSA public key
ECDSA signature generation and verification	ECDSA	User	Read	ECDSA public and private keys
Random number generation	DRBG	User	Read, Update	Entropy input string, Internal state
Message digest	SHA-1, SHA-224, SHA- 256, SHA-384, SHA-512, SHA3-224, SHA3-256, SHA3-384, SHA3-512, SHAKE-128, SHAKE-256	User	n/a	n/a
Message authentication	НМАС	User	Read	HMAC key
code (MAC)	CMAC	User	Read	AES or Triple-DES key
Key wrapping	AES	User	Read	AES key
Key encapsulation	RSA	User	Read	RSA public and private keys
Key Derivation	SP 800-132 PBKDF	User	Create, Read	Password, Derived key
	Other FIPS-Re	elated Serv	ices	
Show status	n/a	User	n/a	None
Zeroization	n/a	User	Zeroize	All CSPs
Self-Tests	AES, Triple-DES, SHS, HMAC, DSA, RSA, ECDSA, DRBG	User	n/a	None
Module installation	n/a	Crypto Officer	n/a	None
Module initialization	n/a	Crypto Officer	n/a	None

Table 5 - Services in FIPS mode of operation

The table below lists the services only available in non-FIPS mode of operation.

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Service	Algorithms / Key sizes	Role	Access	Keys				
	Cryptographic Library Services							
Symmetric encryption and decryption	ARC4, Blowfish, Camellia, CAST5, DES, IDEA, RC2, SEED, Serpent, Twofish, GOST, ChaCha20, Salsa20 and Salsa20/12	User	Read	Symmetric key				
Symmetric encryption	2-key Triple-DES	User	Read	2-key Triple-DES key				
Asymmetric key generation	El Gamal; RSA/DSA keys in Table 11	User	Create	RSA, DSA or El Gamal public and private keys				
Digital signature generation/verification	El Gamal; RSA/ DSA keys in Table 11; Signature generation using SHA-1	User	Read	RSA, DSA or El Gamal public and private keys				
Asymmetric encryption and decryption	RSA keys in Table 11; El Gamal	User	Read	RSA, El Gamal public and private keys				
Message digest	Tiger, MD4, MD5, Whirlpool, SHAKE, RIPEMD 160, Blake2B, Blake2S, GOST	User	n/a	none				
Message authentication code (MAC) using keys disallowed by [SP800-131A]	HMAC listed in Table 11; CMAC with 2-key Triple-DES	User	Read	HMAC key, 2-key Triple- DES key				
Random Number Generation	CSPRNG	User	Read	none				
Password Based Key Derivation Function	Password based KDF (RFC 4880)	User	Read	Password and derived key				
Key derivation	Scrypt, S2k	User	Read	Derived key				
Cyclic Redundancy Check	CRC32	User	Read	none				

Table 6 – Services in non-FIPS mode of operation

#### 3.3. Algorithms certificates for the Supermicro SYS-1019P-WTR (Intel x86\_64)

The module provides C implementation of cryptographic algorithms. It also provides multiple implementations of some algorithms for this processor architecture:

- For the Intel Xeon Gold Skylake processor architecture:
  - o use of AES-NI instructions for AES implementations;
  - $\circ$  use of AVX, SSSE3, SHLD and strict assembler instructions for SHA implementations.

The module uses the most efficient implementation based on the processor's capability. Notice that only one algorithm implementation can be executed in runtime.

Table 7 lists the approved algorithms, the CAVP certificates, and other associated information of the cryptographic implementations in FIPS mode. Please refer to Appendix A for more detailed information about the algorithm implementations tested for each CAVP certificate.

Algorithm	Mode / Method	Key Lengths, Curves or Moduli (in bits)	Use	Standard	CAVP Certs
AES	ECB, CBC, OFB, CFB8, CFB128, CTR	128, 192, 256	Data Encryption and Decryption	[FIPS197], [SP800-38A]	A540 A541
	CMAC	128, 192, 256	MAC Generation and Verification	[SP800-38B]	A543 A544
	ССМ	128, 192, 256	Data Encryption and Decryption	[SP800-38C]	
	ХТЅ	128, 256	Data Encryption and Decryption for Data Storage	[SP800-38E]	
	KW	128, 192, 256	Key Wrapping and Unwrapping	[SP800-38F]	
DRBG	CTR_DRBG, with DF	AES-128/192/256	Deterministic Random Bit Generation	[SP800-90A]	A540 A541 A543 A544
	HMAC_DRBG HASH_DRBG (with/without PR)	SHA-1, SHA-256, SHA- 512			A540 A541 A542 A543 A544
DSA	N/A	L=2048, N=224 L=2048, N=256 L=3072, N=256	Key Pair Generation	[FIPS186-4]	A540 A541 A542
	SHA-224	L=2048, N=224	Domain Parameter	-	A543
	SHA-256	L=2048, N=256 L=3072, N=256	Generation		A544
	SHA-224	L=2048, N=224	Digital Signature Generation		
	SHA-224 SHA-256	L=2048, N=256 L=3072, N=256			
	SHA-224	L=2048, N=224	Domain Parameter		
	SHA-224 SHA-256	L=2048, N=256 L=3072, N=256	Verification		
	SHA-1	L=1024, N=160 L=2048 N=224 L=2048 N=256 L=3072 N=256	Digital Signature Verification		
	SHA-224	L=2048, N=224			
	SHA-224 SHA-256	L=2048, N=256 L=3072, N=256			

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Algorithm	Mode / Method	Key Lengths, Curves or Moduli (in bits)	Use	Standard	CAVP Certs
ECDSA	N/A	P-224 (Signature Verification and Generation only)	Key Pair Generation and Verification	[FIPS186-4]	A540 A541 A542
	SHA-224 SHA-256 SHA-384 SHA-512	P-256, P-384, P-521	Digital Signature Generation	-	A543 A544
	SHA-1 SHA-224 SHA-256 SHA-384 SHA-512		Digital Signature Verification		
НМАС	SHA-1 SHA-224 SHA-256 SHA-384 SHA-512	112 or greater	Message Authentication Code	[FIPS198-1]	A540 A541 A543 A544 A545 A540
	SHA3-224 SHA3-256 SHA3-384 SHA3-512				A541 A543 A544
					A540 A541 A542
RSA	X9.31	2048, 3072, 4096	Key Pair Generation	[FIPS186-4]	A540
	PKCS#1v1.5 and PSS with:		Digital Signature Generation		A541 A542 A543
	SHA-224 SHA-256 SHA-384 SHA-512		Digital Signature Verification		A545 A544

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Algorithm	Mode / Method	Key Lengths, Curves or Moduli (in bits)	Use	Standard	CAVP Certs
SHS	SHA-1	N/A	Message Digest	[FIPS180-4]	A540 A541 A543 A544 A545
	SHA-224 SHA-256 SHA-384 SHA-512				A540 A541 A543 A544
SHA-3	SHA3-224 SHA3-256 SHA3-384 SHA3-512 SHAKE-128 SHAKE-256			[FIPS202]	A540 A541 A542
Triple-DES	ECB CBC	192 (two-key Triple- DES)	Data Decryption	[SP800-67], [SP800-38A]	A541
	CTR CFB8 CFB64 OFB	192 (three-key Triple- DES)	Data Encryption and Decryption		
	CMAC	192	MAC Generation and Verification	[SP800-67], [SP800-38B]	
PBKDF (Vendor affirmed <sup>1</sup> )	HMAC-SHA-1 HMAC-SHA-224 HMAC-SHA-256 HMAC-SHA-384 HMAC-SHA-512 HMAC-SHA3-224 HMAC-SHA3-256 HMAC-SHA3-384 HMAC-SHA3-512	Iteration Count: 10- 1000 Password Length: 8- 128 Salt Length: 128-4096 Increment 8 Key Data Length: 128- 4096 Increment 8	Password Based Key Derivation Function	[SP800-132]	N/A
KTS AES	AES-CCM AES-KW	AES keys: 128, 192, 256 bits	Key Wrapping and Unwrapping	[SP800-38C] [SP800-38F]	A540 A541 A543 A544

Table 7 - Cryptographic Algorithms for the Intel® Xeon® Gold Skylake Processor

<sup>&</sup>lt;sup>1</sup> PBKDF algorithm although CAVS tested is still vendor affirmed because KAT is not implemented. PBKDF received the ACVP certificates #A540, #A541, #A542 and #A543.

#### 3.4. Algorithms certificates for the IBM z15 (s390x)

The module provides C implementation of cryptographic algorithms. Note that as opposed to the Intel platform the module does not make usage of processor accelerations for cryptographic algorithms like on Intel.

Table 9 lists the approved algorithms, the CAVP certificates, and other associated information of the cryptographic implementations in FIPS mode. Please refer to Appendix A for more detailed information about the algorithm implementations tested for each CAVP certificate.

Algorithm	Mode / Method	Key Lengths, Curves or Moduli (in bits)	Use	Standard	CAVP Certs
AES	ECB, CBC, OFB, CFB8, CFB128, CTR	128, 192, 256	Data Encryption and Decryption	[FIPS197], [SP800-38A]	A1867
	CMAC	128, 192, 256	MAC Generation and Verification	[SP800-38B]	
	ССМ	128, 192, 256	Data Encryption and Decryption	[SP800-38C]	
	XTS	128, 256	Data Encryption and Decryption for Data Storage	[SP800-38E]	
	КW	128, 192, 256	Key Wrapping and Unwrapping	[SP800-38F]	
DRBG	CTR_DRBG, with DF	AES-128/192/256	Deterministic Random Bit Generation	[SP800-90A]	A1867
	HMAC_DRBG HASH_DRBG (with/without PR)	SHA-1, SHA-256, SHA- 512			
DSA	N/A	L=2048, N=224 L=2048, N=256 L=3072, N=256	Key Pair Generation	[FIPS186-4]	A1867
	SHA-224	L=2048, N=224	Domain Parameter		
	SHA-256	L=2048, N=256 L=3072, N=256	Generation		
	SHA-224	L=2048, N=224	Digital Signature Generation	_	
	SHA-224 SHA-256	L=2048, N=256 L=3072, N=256			
	SHA-224	L=2048, N=224	Domain Parameter		
	SHA-224 SHA-256	L=2048, N=256 L=3072, N=256	Verification		
	SHA-1	L=1024, N=160 L=2048 N=224 L=2048 N=256 L=3072 N=256	Digital Signature Verification		

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Mode / Method	Key Lengths, Curves or Moduli (in bits)	Use	Standard	CAVP Certs
SHA-224	L=2048, N=224			
SHA-224 SHA-256	L=2048, N=256 L=3072, N=256			
N/A	P-224 (Signature Verification and Generation only)	Key Pair Generation and Verification	[FIPS186-4]	A1867
SHA-224 SHA-256 SHA-384 SHA-512	P-256, P-384, P-521	Digital Signature Generation		
SHA-1 SHA-224 SHA-256 SHA-384 SHA-512		Digital Signature Verification		
SHA-1 SHA-224 SHA-256 SHA-384 SHA-512	112 or greater	Message Authentication Code	[FIPS198-1]	A1867
SHA3-224 SHA3-256 SHA3-384 SHA3-512				
X9.31	2048, 3072, 4096	Key Pair Generation	[FIPS186-4]	A1867
PKCS#1v1.5 and PSS with: SHA-224 SHA-256 SHA-384		Digital Signature Generation Digital Signature Verification	-	
	SHA-224 SHA-256 N/A SHA-256 SHA-256 SHA-384 SHA-512 SHA-1 SHA-512 SHA-1 SHA-224 SHA-256 SHA-384 SHA-512 SHA-1 SHA-224 SHA-512 SHA-1 SHA-256 SHA-384 SHA-256 SHA-384 SHA-512 SHA3-224 SHA3-256 SHA3-224 SHA3-256 SHA3-384 SHA3-512 X9.31 PKCS#1v1.5 and PSS with: SHA-224	SHA-224         L=2048, N=224           SHA-224         L=2048, N=256           SHA-256         L=3072, N=256           N/A         P-224 (Signature Verification and Generation only)           SHA-224         P-256, P-384, P-521           SHA-1         P-256, P-384, P-521           SHA-512         P-256, P-384, P-521           SHA-1         SHA-224           SHA-512         P-256, P-384, P-521           SHA-1         SHA-224           SHA-512         P-256, P-384, P-521           SHA-1         SHA-224           SHA-224         P-256           SHA-384         P-256           SHA-384         P-256           SHA-1         SHA-1           SHA-512         P-256           SHA-384         P-256           SHA-384         P-256           SHA-384         P-512           SHA-3224         PKCS#1v1.5 and           PSS with:         SHA-224           SHA-224         SHA-224           SHA-224         SHA-224           SHA-224         SHA-226           SHA-224         SHA-226           SHA-224         SHA-226           SHA-226         SHA-226	SHA-224L=2048, N=224SHA-224L=2048, N=256SHA-256L=3072, N=256N/AP-224 (Signature Verification and Generation only)Key Pair Generation and VerificationSHA-224P-256, P-384, P-521Digital Signature GenerationSHA-384P-256, P-384, P-521Digital Signature GenerationSHA-1P-256, P-384, P-521Digital Signature GenerationSHA-12P-256, P-384, P-521Digital Signature GenerationSHA-12P-256, P-384, P-521Digital Signature GenerationSHA-12SHA-13Digital Signature VerificationSHA-12SHA-12Message Authentication CodeSHA-12112 or greaterMessage Authentication CodeSHA-12SHA-384MessageSHA-224SHA-384SHA-512SHA3-225SHA3-384Digital Signature GenerationSHA3-5122048, 3072, 4096Key Pair GenerationPKCS#1v1.5 and PSS with: SHA-2242048, 3072, 4096VerificationSHA-224SHA-384Digital Signature GenerationSHA-224SHA-384Digital Signature GenerationSHA-224SHA-384Digital Signature GenerationSHA-224SHA-384Digital Signature GenerationSHA-384Digital Signature Verification	SHA-224L=2048, N=224Key Pair Generation and Verification and Generation only)[FIPS186-4] and VerificationN/AP-224 (Signature Verification and Generation only)Key Pair Generation and Verification[FIPS186-4] and VerificationSHA-224P-256, P-384, P-521Digital Signature Generation[FIPS186-4] and VerificationSHA-224P-256, P-384, P-521Digital Signature Generation[FIPS186-4] and VerificationSHA-1P-256, P-384, P-521Digital Signature Generation[FIPS186-4] and VerificationSHA-1P-256, P-384, P-521Digital Signature Verification[FIPS198-1] Authentication CodeSHA-1112 or greaterMessage Authentication Code[FIPS198-1] Authentication CodeSHA-224 SHA-326 SHA-384 SHA-312112 or greaterMessage Authentication Code[FIPS186-4] Picstan 2SHA3-224 SHA3-226 SHA-384 SHA-35122048, 3072, 4096Key Pair Generation[FIPS186-4] Pigital Signature GenerationPKCS#1v1.5 and PSS with: SHA-236 SHA-3842048, 3072, 4096Key Pair Generation[FIPS186-4] Pigital Signature VerificationPKCS#1v1.5 and PSS with: SHA-236 SHA-3842048, 3072, 4096Key Pair Generation[FIPS186-4] Pigital Signature VerificationPKCS#1v1.5 and PSS with: SHA-236 SHA-3842048, 3072, 4096Key Pair Generation[FIPS186-4] Pigital Signature Verification

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Algorithm	Mode / Method	Key Lengths, Curves or Moduli (in bits)	Use	Standard	CAVP Certs
SHS	SHA-1	N/A	Message Digest	[FIPS180-4]	A1867
	SHA-224 SHA-256 SHA-384 SHA-512				
SHA-3	SHA3-224 SHA3-256 SHA3-384 SHA3-512 SHAKE-128 SHAKE-256			[FIPS202]	A1867
Triple-DES	ECB CBC	192 (two-key Triple- DES)	Data Decryption	[SP800-67], [SP800-38A]	A1867
	CTR CFB8 CFB64 OFB	192 (three-key Triple- DES)	Data Encryption and Decryption		
	CMAC	192	MAC Generation and Verification	[SP800-67], [SP800-38B]	
PBKDF (Vendor affirmed <sup>2</sup> )	HMAC-SHA-1 HMAC-SHA-224 HMAC-SHA-256 HMAC-SHA-384 HMAC-SHA-512 HMAC-SHA3-224 HMAC-SHA3-256 HMAC-SHA3-384 HMAC-SHA3-512	Iteration Count: 10- 1000 Password Length: 8- 128 Salt Length: 128-4096 Increment 8 Key Data Length: 128- 4096 Increment 8	Password Based Key Derivation Function	[SP800-132]	N/A
KTS AES	AES-CCM AES-KW	AES keys: 128, 192, 256 bits	Key Wrapping and Unwrapping	[SP800-38C] [SP800-38F]	A1867

Table 8 - Cryptographic Algorithms for the z15 processor

<sup>&</sup>lt;sup>2</sup> PBKDF algorithm although CAVS tested is still vendor affirmed because KAT is not implemented. PBKDF received the ACVP certificates #A1867.

#### **3.5.1.** Allowed Algorithms

Algorithm	Caveat	Use
RSA Key encapsulation with Encryption and Decryption Primitives with keys equal or larger than 2048 bits up to 15360 or more.	Provides between 112 and 256 bits of encryption strength	Key Establishment; allowed per [FIPS140-2_IG] D.9
NDRNG	N/A	The module obtains the entropy data from NDRNG to seed the DRBG.

The following table describes the non-Approved but allowed algorithms in FIPS mode:

Table 10 – FIPS-Allowed Cryptographic Algorithms	Table 10 – I	FIPS-Allowed	Cryptographic.	Algorithms
--------------------------------------------------	--------------	--------------	----------------	------------

#### **3.5.2.** Non-Approved Algorithms

The table below shows the non-Approved cryptographic algorithms implemented in the module that are only available in non-FIPS mode.

Algorithm	Use
ARC4	Encryption and decryption
Blowfish	
Camellia	
CAST5	
DES	
IDEA	
RC2	
SEED	
Serpent	
Twofish	
GOST	
ChaCha20	
Salsa20 and Salsa20/12	
2-Key Triple-DES	Encryption; CMAC
НМАС	Using keys less than 112 bits.

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Algorithm	Use
RSA	Key generation, signature generation, key encapsulation with keys less than 2048 bits or message digest algorithms not listed in
RSA	Signature verification with keys smaller than 1024 bits modulus size or message digest algorithms not listed in
DSA	Parameter generation and verification, key generation, signature generation and verification with keys and message digest algorithms not listed in
ECDSA	Key generation and verification, signature generation and verification with elliptic curves and message digest algorithms not listed in
CSPRNG	Random number generation
Tiger	Message digest
MD4	
MD5	
Whirlpool	
SHAKE	
RIPEMD 160	
Blake2B and Blake2S	
GOST	
El Gamal	Key generation, encryption, decryption, signature generation and verification
CRC32	Cyclic redundancy check
OpenPGP Salted and Iterated/Salted	Password based KDF (RFC 4880)
Scrypt	Key derivation
S2k	
SHA-1	Signature generation

Table 11 - Non-Approved Cryptographic Algorithms

#### **3.6.** Operator Authentication

The module does not implement user authentication. The role of the user is implicitly assumed based on the service requested.



#### 4. Physical Security

The module is comprised of software only and therefore this security policy does not make any claims on physical security.

#### 5. Operational Environment

#### 5.1. Applicability

The module operates in a modifiable operational environment per FIPS 140-2 level 1 specifications. The module runs on a commercially available general-purpose operating system executing on the hardware specified in Table 3 - Tested Platforms.

#### 5.2. Policy

The operating system is restricted to a single operator; concurrent operators are explicitly excluded.

The application that requests cryptographic services is the single user of the module.

#### 6. Cryptographic Key Management

The following table summarizes the Critical Security Parameters (CSPs) that are used by the cryptographic services implemented in the module:

Name	Generation	Entry and Output	Zeroization
AES keys	Not Applicable. The key	The key is passed into the	Automatically zeroized
Triple-DES keys	material is entered via API parameters.	module via API input parameters in plaintext.	when freeing the cipher handler by calling gcry_cipher_close ()
HMAC keys			Automatically zeroized when freeing the cipher handler by calling gcry_md_close()
RSA public and private keys	The public-private keys are generated using FIPS 186-4	The key is passed into the module via API input	Automatically zeroized when freeing the cipher
DSA public and private keys	Key Generation method, and the random value used in the key generation is	parameters in plaintext. The key is passed out of the module via API output parameters in plaintext.	handler by calling gcry_sexp_release ()
ECDSA public and private keys	generated using SP800-90A DRBG.		
Entropy input string and seed	Obtained from the NDRNG.	None	Automatically zeroized when freeing DRBG handler
DRBG internal state (V, C, Key)	During DRBG initialization.		by calling gcry_drbg_uninstantiate()
Password	Not Applicable. The Key is passed into the module via API input parameter.	The password is passed into the module via API input parameters in plaintext.	Automatically zeroized on module power off
Derived Key	Derived using SP 800-132 PBKDF	The key is passed out of the module via API output parameters in plaintext.	Automatically zeroized on module power off

Table 12 - Life cycle of Critical Security Parameters (CSP)

The following sections describe how CSPs, in particular cryptographic keys, are managed during its life cycle.

#### 6.1. Random Number Generation

The module employs a Deterministic Random Bit Generator (DRBG) based on [SP800-90A] for the creation of seeds for asymmetric keys. In addition, the module provides a Random Number Generation service to calling applications.

The DRBG supports the CTR\_DRBG, HMAC\_DRBG and Hash\_DRBG mechanism. The DRBG is initialized during module initialization; the module loads by default the DRBG using the CTR\_DRBG mechanism with AES-256 and

derivation function without prediction resistance. A different DRBG mechanism can be chosen through an API function call.

The module uses a Non-Deterministic Random Number Generator (NDRNG), getrandom() system call, as the entropy source for seeding the DRBG. The NDRNG is provided by the operational environment (i.e., Linux RNG), which is within the module's physical boundary but outside of the module's logical boundary. The NDRNG provides at least 128 bits of entropy to the DRBG during initialization (seed) and reseeding (reseed).

The module performs conditional self-tests on the output of NDRNG to ensure that consecutive random numbers do not repeat. The module also performs the DRBG health tests as defined in section 11.3 of [SP800-90A].

#### 6.2. Key Generation

The Module provides an SP800-90A-compliant Deterministic Random Bit Generator (DRBG) for creation of key components of asymmetric keys, and random number generation.

The getrandom() system call from the Operational Environment is used as a source of random numbers for DRBG seeds and entropy input string.

The Key Generation methods implemented in the module for Approved services in FIPS mode is compliant with [SP800-133] (vendor affirmed).

For generating RSA, DSA and ECDSA keys the module implements asymmetric key generation services compliant with [FIPS186-4]. A seed (i.e. the random value) used in asymmetric key generation is directly obtained from the [SP800-90A] DRBG.

The module generates cryptographic keys whose strengths are modified by available entropy.

#### 6.3. Key Transport / Key Derivation

The module provides key wrapping using the AES with KW and CCM modes, and RSA key encapsulation using private key encryption and public key decryption primitives.

According to Table 2: Comparable strengths in [SP 800-57], the key sizes of AES and RSA provides the following security strength in FIPS mode of operation:

- AES key wrapping provides between 128 and 256 bits of encryption strength.
- RSA key wrapping<sup>5</sup> provides between 112 and 256 bits of encryption strength.

**Note:** As the module supports the size of RSA key pair greater than 2048 bits up to 15360 bits or more, the encryption strength 256 bits is claimed for RSA key encapsulations key agreement.

In addition, the module implements key derivation using the SP 800-132 PBKDF2 vendor affirmed algorithm as per D.6. The module supports option 1a from Section 5.4 of SP 800-132, whereby the Master Key (MK) is used directly as the Data Protection Key (DPK). The keys derived from SP 800-132 KDF map to section 4.1 of SP 800-133 as indirect generation from DRBG.

#### 6.4. Key Entry / Output

The module does not support manual key entry or intermediate key generation key output. The keys are provided to the module via API input parameters in plaintext form and output via API output parameters in

<sup>&</sup>lt;sup>5</sup> "Key wrapping" is used instead of "key encapsulation" to show how the algorithm will appear in the certificate per IG G.13.

plaintext form. This is allowed by [FIPS140-2\_IG] IG 7.7, according to the "CM Software to/from App Software via GPC INT Path" entry on the Key Establishment Table.

#### 6.5. Key / CSP Storage

Symmetric keys, HMAC keys, public and private keys are provided to the module by the calling application via API input parameters, and are destroyed by the module when invoking the appropriate API function calls.

The module does not perform persistent storage of keys. The keys and CSPs are stored as plaintext in the RAM. The only exception is the HMAC key used for the integrity test, which is stored in the module and relies on the operating system for protection.

#### 6.6. Key / CSP Zeroization

The memory occupied by keys is allocated by regular memory allocation operating system calls. The application is responsible for calling the appropriate destruction functions. The destruction functions overwrite the memory occupied by keys with "zeroes" and deallocate the memory with the regular memory deallocation operating system call. In case of abnormal termination, or swap in/out of a physical memory page of a process, the keys in physical memory are overwritten by the Linux kernel before the physical memory is allocated to another process.

#### 7. Electromagnetic Interference/Electromagnetic Compatibility (EMI/EMC)

The test platforms listed in Table 3 - Tested Platforms have been tested and found to conform to the EMI/EMC requirements specified by 47 Code of Federal Regulations, FCC PART 15, Subpart B, Unintentional Radiators, Digital Devices, Class A (i.e., Business use). These devices are designed to provide reasonable protection against harmful interference when the devices are operated in a commercial environment. They shall be installed and used in accordance with the instruction manual.

#### 8. Self-Tests

FIPS 140-2 requires that the module perform power-up tests to ensure the integrity of the module and the correctness of the cryptographic functionality at start up. In addition, some functions require continuous testing of the cryptographic functionality, such as the asymmetric key generation. If any self-test fails, the module returns an error code and enters the error state. No data output or cryptographic operations are allowed in error state.

#### 8.1. Power-Up Tests

The module performs power-up tests when the module is loaded into memory, without operator intervention. Power-up tests ensure that the module is not corrupted and that the cryptographic algorithms work as expected.

While the module is executing the power-up tests, services are not available, and input and output are inhibited. The module is not available for use by the calling application until the power-up tests are completed successfully.

The subsequent calls to the module will also fail - thus no further cryptographic operations are possible. If the power-up tests complete successfully, the module will return 1 in the return code and will accept cryptographic operation service requests.

#### 8.1.1. Integrity Tests

The integrity of the module is verified by comparing an HMAC-SHA-256 value calculated at run time with the HMAC value stored in the .hmac file that was computed at build time for each software component of the module. If the HMAC values do not match, the test fails and the module enters the error state.

#### 8.1.2. Cryptographic Algorithm Tests

The module performs self-tests on all FIPS-Approved cryptographic algorithms supported in the Approved mode of operation, using the Known Answer Tests (KAT) and Pair-wise Consistency Tests (PCT) shown in the following table:

Algorithm	Power-Up Tests
AES	AES-128: ECB, CFB, OFB (encryption/decryption tested separately)
	AES-192: ECB, CCM (encryption/decryption tested separately)
	AES-256: ECB, CMAC (encryption/decryption, generation/verification tested separately)
Triple DES	ECB, CBC, CTR, CFB (encryption/decryption tested separately)
	CMAC (generation/verification tested separately)
НМАС	• SHA-1, SHA-224, SHA-256, SHA-384, SHA-512
	• SHA3-224, SHA3-256, SHA3-384, SHA3-512
DSA	• PCT DSA with L=2048, N=256 and SHA-256
ECDSA	• PCT ECDSA with P-256 and SHA-256

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Algorithm	Power-Up Tests
RSA	<ul> <li>KAT RSA with 2048-bit key, PKCS#1 v1.5 scheme and SHA-256, signature generation</li> <li>KAT RSA with 2048-bit key, PKCS#1 v1.5 scheme and SHA-256, signature verification</li> </ul>
DRBG	SHA-256 with PR
	HMAC-SHA-256 with PR
	AES-128 with PR
	• SHA-256 no PR
	HMAC-SHA256 no PR
	AES-128 no PR
	• SHA1 no PR

Table 13- Self-Tests

For the KAT, the module calculates the result and compares it with the known value. If the answer does not match the known answer, the KAT is failed and the module enters the Error state.

For the PCT, if the signature generation or verification fails, the module enters the Error state. As described in section 3.3, only one AES or SHA implementation is available at run-time.

The KATs cover the different cryptographic implementations available in the operating environment.

#### 8.2. On-Demand Self-Tests

On-Demand self-tests can be invoked by powering-off and reloading the module which cause the module to run the power-up tests again. During the execution of the on-demand self-tests, services are not available and no data output or input is possible.

#### 8.3. Conditional Tests

The module performs conditional tests on the cryptographic algorithms, using the Pair-wise Consistency Tests (PCT) and Continuous Random Number Generator Test (CRNGT), shown in the following table:

Algorithm	Conditional Test
DSA key generation	• PCT using SHA-256, signature generation and verification.
ECDSA key generation	PCT using SHA-256, signature generation and verification.
RSA key generation	<ul><li>PCT using SHA-256, signature generation and verification.</li><li>PCT for encryption and decryption.</li></ul>
DRBG	CRNGT is not required per IG 9.8
NDRNG	• CRNGT

Table 14 - Conditional Tests

#### 8.4. Error state

The Module enters the Error state with error message, on failure of POST or conditional test. In Error state, all data output is inhibited and no cryptographic operation is allowed. The error can be recovered by calling gcry\_control(GCRYCTL\_SELFTEST) function that reruns the POST. The module enters Fatal Error state when random numbers are requested in error state or when requesting cipher operations on deallocated handle. In Fatal Error state the module is aborted and is not available for use. The module needs to be reloaded in order to recover from Fatal Error state.

#### 9. Guidance

#### 9.1. Crypto Officer Guidance

The binaries of the module are contained in the Ubuntu packages for delivery. The Crypto Officer shall follow this Security Policy to configure the operational environment and install the module to be operated as a FIPS 140-2 validated module.

The following Ubuntu packages contain the FIPS validated module:

Processor Architecture	Ubuntu packages
x86_64	libgcrypt20_1.8.5-5ubuntu1.fips.1.1_amd64.deb
	libgcrypt20-hmac-1.8.5-5ubuntu1.fips.1.1_amd64.deb
	libgcrypt20_1.8.5-5ubuntu1.fips.1.4_amd64.deb
	libgcrypt20-hmac-1.8.5-5ubuntu1.fips.1.4_amd64.deb
z15	libgcrypt20_1.8.5-5ubuntu1.fips.1.4_s390x.deb
	libgcrypt20-hmac-1.8.5-5ubuntu1.fips.1.4_s390x.deb

Table 15 – Ubuntu packages

The libgcrypt20-doc\_1.8.5-5ubuntu1.fips.1.4.deb and libgcrypt20-doc\_1.8.5-5ubuntu1.fips.1.1.deb Ubuntu package contain the man pages for the module.

**Note:** The prelink is not installed on Ubuntu, by default. For proper operation of the in-module integrity verification, the prelink should be disabled.

#### 9.1.1. Operating Environment Configurations

To configure the operating environment to support FIPS, the following shall be performed with the root privilege:

(1) Install the following linux-fips and fips-initramfs Ubuntu packages depending on the target operational environment and the targeted libgcrypt version:

Processor Architecture	Kernel and initramfs Ubuntu packages	Libgcrypt version
x86_64	fips-initramfs_0.0.14_amd64.deb linux-fips_5.4.0-1007_amd64.deb	1.8.5-5ubuntu1.fips.1.1_amd64.deb
	fips-initramfs_0.0.14+generic1_amd64.deb linux-fips_5.4.0-1024.28+recert1_amd64.deb	1.8.5-5ubuntu1.fips.1.4_amd64.deb
z15	fips-initramfs_0.0.14+generic1_s390x.deb linux-fips_5.4.0-1024.28+recert1_s390x.deb	1.8.5-5ubuntu1.fips.1.4_s390x.deb

Table 16 – Prerequisite Ubuntu packages

(2) Add fips=1 to the kernel command line. Create the file /etc/default/grub.d/99-fips.cfg with the content:

GRUB CMDLINE LINUX DEFAULT="\$GRUB CMDLINE LINUX DEFAULT fips=1".

- (3) If /boot resides on a separate partition, the kernel parameter bootdev=UUID=<UUID of partition> must also be appended in the aforementioned grub or zipl.conf file. Please see the following Note for more details.
- (4) Execute the update-grub command:
  - a. For the Intel (x86\_64) platform: run the update-grub Command
  - b. For the z15 (s390) platform: run the zipl command

(5) Execute reboot to reboot the system with the new settings.

Now, the operating environment is configured to support FIPS operation. The Crypto Officer should check the existence of the file, /proc/sys/crypto/fips\_enabled, and that it contains "1". If the file does not exist or does not contain "1", the operating environment is not configured to support FIPS and the module will not operate as a FIPS validated module properly.

Note: If /boot resides on a separate partition, the kernel parameter bootdev=UUID=<UUID of partition> must be supplied. The partition can be identified with the command df /boot. For example:

```
$ df /boot
Filesystem 1K-blocks Used Available Use% Mounted on
/dev/sdb2 241965 127948 101525 56% /boot
```

The UUID of the /boot partition can be found by using the command grep /boot /etc/fstab. For example:

\$ grep /boot /etc/fstab

# /boot was on /dev/sdb2 during installation

UUID=cec0abe7-14a6-4e72-83ba-b912468bbb38 /boot ext2 defaults 0 2

#### Then, the UUID shall be added in the /etc/default/grub.d/99-fips.cfg. For example:

GRUB\_CMDLINE\_LINUX\_DEFAULT="\$GRUB\_CMDLINE\_LINUX\_DEFAULT fips=1 bootdev=UUID=Insert boot UUID"

#### 9.1.2. Module Installation

Canonical distributes the module via Personal Package Archives (PPA), whose access is granted to users with a valid subscription. In order to obtain a subscription and download the FIPS validated version of the module, please email "sales@canonical.com" or contact a Canonical representative, <u>https://www.ubuntu.com/contact-us</u>. Canonical provides specific instructions to configure the system to get access to the corresponding PPA.

Once the operating environment is configured following the instructions provided in section 9.1.1, and configuration to access the PPA is complete, the Crypto Officer can install the Ubuntu packages containing the module listed in Table 15 using the Advanced Package Tool (APT) with the following command line:

\$ sudo apt-get install libcgrypt20 libgcrypt20-hmac

All the Ubuntu packages are associated with hashes for integrity check. The integrity of the Ubuntu package is automatically verified by the packing tool during the installation of the module. The Crypto Officer shall not install the package if the integrity fails.

#### 9.2. User Guidance

In order to run in FIPS mode, the module must be operated using the FIPS Approved services, with their corresponding FIPS Approved and FIPS allowed cryptographic algorithms provided in this Security Policy (see section 3.2 Services). In addition, key sizes must comply with [SP800-131A].

Applications using libgcrypt need to call gcry\_control(GCRYCTL\_INITIALIZATION\_FINISHED, 0) after initialization is done: that ensures that the DRBG is properly seeded, among others. gcry\_control(GCRYCTL\_TERM\_SECMEM) needs to be called before the process is terminated. The function gcry\_set\_allocation\_handler() may not be used.

The user must not call malloc/free to create/release space for keys, let libgcrypt manage space for keys, which will ensure that the key memory is overwritten before it is released. See the documentation file doc/gcrypt.texi within the source code tree for complete instructions for use.

The information pages are included within the developer package. The user can find the documentation at the following location after having installed the documentation package:

• /usr/share/info/gcrypt.info.gz.

#### 9.2.1. AES XTS

The AES algorithm in XTS mode can be only used for the cryptographic protection of data on storage devices, as specified in [SP800-38E]. The length of a single data unit encrypted with the XTS-AES shall not exceed 2<sup>20</sup> AES blocks that is 16MB of data.

To meet the requirement in [FIPS140-2\_IG] A.9, the module implements a check to ensure that the two AES keys used in XTS-AES algorithm are not identical.

Note: AES-XTS shall be used with 128 and 256-bit keys only. AES-XTS with 192-bit keys is not an Approved service.

#### 9.2.2. Triple-DES

[SP800-67] imposes a restriction on the number of 64-bit block encryptions performed under the same threekey Triple-DES key. The module cannot perform more than 2<sup>16</sup> 64-bit data block encryptions. The user is responsible for ensuring the module's compliance with this requirement.

#### 9.2.3. PBKDF

Keys derived from passwords or passphrases are only used for data at rest. The length of the salt should be at least 128 bits and the length of the password or passphrase should be at least 20 characters, which provides the probability of guessing this password or passphrase to be (1/10) ^ 20 assuming a scenario where all characters are digits. The caller shall observe all requirements and should consider all recommendations specified in SP800-132 with respect to the strength of the generated key, including the quality of the password and the quality of the salt.

#### **10.** Mitigation of Other Attacks

#### **10.1. Blinding Against RSA Timing Attacks**

libgcrypt uses a blinding technique for RSA decryption to mitigate real world timing attacks over a network: Instead of using the RSA decryption directly, a blinded value ( $y = x \cdot re \mod n$ ) is decrypted and the unblinded value ( $x' = y' \cdot r-1 \mod n$ ) returned. The blinding value "r" is a random value with the size of the modulus "n" and generated with `GCRY\_WEAK\_RANDOM' random level.

#### 10.2. Weak Triple-DES Key Detection

Weak Triple-DES keys are detected as follows:

In DES there are 64 known keys which are weak because they produce only one, two, or four different subkeys in the subkey scheduling process. The keys in this table have all their parity bits cleared.

static byte weak\_keys[64][8] =

{

{ 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00 }, /\*w weak keys\*/ { 0x00, 0x00, 0x1e, 0x1e, 0x00, 0x00, 0x0e, 0x0e }, { 0x00, 0x00, 0xe0, 0xe0, 0x00, 0x00, 0xf0, 0xf0 }, { 0x00, 0x00, 0xfe, 0xfe, 0x00, 0x00, 0xfe, 0xfe }, { 0x00, 0x1e, 0x00, 0x1e, 0x00, 0x0e, 0x00, 0x0e }, /\*sw semi-weak keys\*/ { 0x00, 0x1e, 0x1e, 0x00, 0x00, 0x0e, 0x0e, 0x00 }, { 0x00, 0x1e, 0xe0, 0xfe, 0x00, 0x0e, 0xf0, 0xfe }, { 0x00, 0x1e, 0xfe, 0xe0, 0x00, 0x0e, 0xfe, 0xf0 }, { 0x00, 0xe0, 0x00, 0xe0, 0x00, 0xf0, 0x00, 0xf0 }, /\*sw\*/ { 0x00, 0xe0, 0x1e, 0xfe, 0x00, 0xf0, 0x0e, 0xfe }, { 0x00, 0xe0, 0xe0, 0x00, 0x00, 0xf0, 0xf0, 0x00 }, { 0x00, 0xe0, 0xfe, 0x1e, 0x00, 0xf0, 0xfe, 0x0e }, { 0x00, 0xfe, 0x00, 0xfe, 0x00, 0xfe, 0x00, 0xfe }, /\*sw\*/ { 0x00, 0xfe, 0x1e, 0xe0, 0x00, 0xfe, 0x0e, 0xf0 }, { 0x00, 0xfe, 0xe0, 0x1e, 0x00, 0xfe, 0xf0, 0x0e }, { 0x00, 0xfe, 0xfe, 0x00, 0x00, 0xfe, 0xfe, 0x00 }, { 0x1e, 0x00, 0x00, 0x1e, 0x0e, 0x00, 0x00, 0x0e }, { 0x1e, 0x00, 0x1e, 0x00, 0x0e, 0x00, 0x0e, 0x00 }, /\*sw\*/ { 0x1e, 0x00, 0xe0, 0xfe, 0x0e, 0x00, 0xf0, 0xfe }, { 0x1e, 0x00, 0xfe, 0xe0, 0x0e, 0x00, 0xfe, 0xf0 }, { 0x1e, 0x1e, 0x00, 0x00, 0x0e, 0x0e, 0x00, 0x00 }, { 0x1e, 0x1e, 0x1e, 0x1e, 0x0e, 0x0e, 0x0e, 0x0e }, /\*w\*/ { 0x1e, 0x1e, 0xe0, 0xe0, 0x0e, 0x0e, 0xf0, 0xf0 },

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{ 0x1e, 0x1e, 0xfe, 0xfe, 0x0e, 0x0e, 0xfe, 0xfe }, { 0x1e, 0xe0, 0x00, 0xfe, 0x0e, 0xf0, 0x00, 0xfe }, { 0x1e, 0xe0, 0x1e, 0xe0, 0x0e, 0xf0, 0x0e, 0xf0 }, /\*sw\*/ { 0x1e, 0xe0, 0xe0, 0x1e, 0x0e, 0xf0, 0xf0, 0x0e }, { 0x1e, 0xe0, 0xfe, 0x00, 0x0e, 0xf0, 0xfe, 0x00 }, { 0x1e, 0xfe, 0x00, 0xe0, 0x0e, 0xfe, 0x00, 0xf0 }, { 0x1e, 0xfe, 0x1e, 0xfe, 0x0e, 0xfe, 0x0e, 0xfe }, /\*sw\*/ { 0x1e, 0xfe, 0xe0, 0x00, 0x0e, 0xfe, 0xf0, 0x00 }, { 0x1e, 0xfe, 0xfe, 0x1e, 0x0e, 0xfe, 0xfe, 0x0e }, { 0xe0, 0x00, 0x00, 0xe0, 0xf0, 0x00, 0x00, 0xf0 }, { 0xe0, 0x00, 0x1e, 0xfe, 0xf0, 0x00, 0x0e, 0xfe }, { 0xe0, 0x00, 0xe0, 0x00, 0xf0, 0x00, 0xf0, 0x00 }, /\*sw\*/ { 0xe0, 0x00, 0xfe, 0x1e, 0xf0, 0x00, 0xfe, 0x0e }, { 0xe0, 0x1e, 0x00, 0xfe, 0xf0, 0x0e, 0x00, 0xfe }, { 0xe0, 0x1e, 0x1e, 0xe0, 0xf0, 0x0e, 0x0e, 0xf0 }, { 0xe0, 0x1e, 0xe0, 0x1e, 0xf0, 0x0e, 0xf0, 0x0e }, /\*sw\*/ { 0xe0, 0x1e, 0xfe, 0x00, 0xf0, 0x0e, 0xfe, 0x00 }, { 0xe0, 0xe0, 0x00, 0x00, 0xf0, 0xf0, 0x00, 0x00 }, { 0xe0, 0xe0, 0x1e, 0x1e, 0xf0, 0xf0, 0x0e, 0x0e }, { 0xe0, 0xe0, 0xe0, 0xe0, 0xf0, 0xf0, 0xf0, 0xf0 }, /\*w\*/ { 0xe0, 0xe0, 0xfe, 0xfe, 0xf0, 0xf0, 0xfe, 0xfe }, { 0xe0, 0xfe, 0x00, 0x1e, 0xf0, 0xfe, 0x00, 0x0e }, { 0xe0, 0xfe, 0x1e, 0x00, 0xf0, 0xfe, 0x0e, 0x00 }, { 0xe0, 0xfe, 0xe0, 0xfe, 0xf0, 0xfe, 0xf0, 0xfe }, /\*sw\*/ { 0xe0, 0xfe, 0xfe, 0xe0, 0xf0, 0xfe, 0xfe, 0xf0 }, { 0xfe, 0x00, 0x00, 0xfe, 0xfe, 0x00, 0x00, 0xfe }, { 0xfe, 0x00, 0x1e, 0xe0, 0xfe, 0x00, 0x0e, 0xf0 }, { 0xfe, 0x00, 0xe0, 0x1e, 0xfe, 0x00, 0xf0, 0x0e }, { 0xfe, 0x00, 0xfe, 0x00, 0xfe, 0x00, 0xfe, 0x00 }, /\*sw\*/ { 0xfe, 0x1e, 0x00, 0xe0, 0xfe, 0x0e, 0x00, 0xf0 }, { 0xfe, 0x1e, 0x1e, 0xfe, 0xfe, 0x0e, 0x0e, 0xfe }, { 0xfe, 0x1e, 0xe0, 0x00, 0xfe, 0x0e, 0xf0, 0x00 }, { 0xfe, 0x1e, 0xfe, 0x1e, 0xfe, 0x0e, 0xfe, 0x0e }, /\*sw\*/ { 0xfe, 0xe0, 0x00, 0x1e, 0xfe, 0xf0, 0x00, 0x0e }, { 0xfe, 0xe0, 0x1e, 0x00, 0xfe, 0xf0, 0x0e, 0x00 }, { 0xfe, 0xe0, 0xe0, 0xfe, 0xfe, 0xf0, 0xf0, 0xfe },

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- { 0xfe, 0xe0, 0xfe, 0xe0, 0xfe, 0xf0, 0xfe, 0xf0 }, /\*sw\*/
- { 0xfe, 0xfe, 0x00, 0x00, 0xfe, 0xfe, 0x00, 0x00 },
- { 0xfe, 0xfe, 0x1e, 0x1e, 0xfe, 0xfe, 0x0e, 0x0e },
- { 0xfe, 0xfe, 0xe0, 0xe0, 0xfe, 0xfe, 0xf0, 0xf0 },
- { 0xfe, 0xfe, 0xfe, 0xfe, 0xfe, 0xfe, 0xfe, 0xfe } /\*w\*/ };

#### Appendix A. CAVP certificates

The table below shows the certificates obtained from the CAVP for all the target platforms included in Table 3. The CAVP certificates validate all algorithm implementations used as approved or allowed security functions in FIPS mode of operation. The tables include the certificate number, the label used in the CAVP certificate for reference and a description of the algorithm implementation.

Cert#	Implementation label	Algorithm Implementation
A540	Generic C	Generic C implementation
A541	Full Acceleration	AES and assembler SHA implementation using Intel AES-NI
A542	SHLD	SHA implementation using SHLD assembler.
A543	SSSE3	SHA implementation using SSSE3 instruction.
A544	AESNI_AVX	SHA implementation using Intel AES-NI and AVX instruction.
A545	AESNI_BMI2	SHA implementation using BMI assembler.

Table 17 – CAVP certificates for the Intel Skylake Xeon Gold processor

#### Appendix B. Glossary and Abbreviations

AES	Advanced Encryption Standard
AES-NI	Advanced Encryption Standard New Instructions
API	Application Program Interface
APT	Advanced Package Tool
CAVP	Cryptographic Algorithm Validation Program
CAVS	Cryptographic Algorithm Validation System
CBC	Cipher Block Chaining
ССМ	Counter with Cipher Block Chaining-Message Authentication Code
CFB	Cipher Feedback
CLMUL	Carry-less Multiplication
CMAC	Cipher-based Message Authentication Code
CMVP	Cryptographic Module Validation Program
CPACF	CP Assist for Cryptographic Function
CRNGT	Continuous Random Number Generator Test
CSP	Critical Security Parameter
CTR	Counter Mode
DES	Data Encryption Standard
DF	Derivation Function
DSA	Digital Signature Algorithm
DTLS	Datagram Transport Layer Security
DRBG	Deterministic Random Bit Generator
ECB	Electronic Code Book
ECC	Elliptic Curve Cryptography
EMI/EMC	Electromagnetic Interference/Electromagnetic Compatibility
FCC	Federal Communications Commission
FFC	Finite Field Cryptography
FIPS	Federal Information Processing Standards Publication
GCM	Galois Counter Mode
GPC	General Purpose Computer
HMAC	Hash Message Authentication Code
IG	Implementation Guidance
KAS	Key Agreement Schema

КАТ	Known Answer Test
KDF	Key Derivation Function
КW	Key Wrap
LPAR	Logical Partitions
MAC	Message Authentication Code
NIST	National Institute of Science and Technology
NDRNG	Non-Deterministic Random Number Generator
OFB	Output Feedback
РСТ	Pair-wise Consistency Test
PPA	Personal Package Archive
PR	Prediction Resistance
PRNG	Pseudo-Random Number Generator
PSS	Probabilistic Signature Scheme
RSA	Rivest, Shamir, Adleman
SHA	Secure Hash Algorithm
SHS	Secure Hash Standard
SSSE3	Supplemental Streaming SIMD Extensions 3
TLS	Transport Layer Security
хтѕ	XEX-based Tweaked-codebook mode with ciphertext Stealing

#### Appendix C. References

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FIPS186-4	Digital Signature Standard (DSS) July 2013 <u>https://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.186-4.pdf</u>
FIPS197	Advanced Encryption Standard November 2001 https://csrc.nist.gov/csrc/media/publications/fips/197/final/documents/fips-197.pdf
FIPS198-1	The Keyed Hash Message Authentication Code (HMAC) July 2008 <u>https://csrc.nist.gov/csrc/media/publications/fips/198/1/final/documents/fips-198-1_final.pdf</u>
FIPS202	SHA-3 Standard: Permutation-Based Hash and Extendable-Output Functions August 2015 <u>https://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.202.pdf</u>
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PKCS#1	Public Key Cryptography Standards (PKCS) #1: RSA Cryptography Specifications Version 2.1 February 2003 https://www.ietf.org/rfc/rfc3447.txt
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