

FIPS 140-2 Non-Proprietary Security Policy

Oracle Linux 8 OpenSSL Cryptographic Module

FIPS 140-2 Level 1 Validation

Software Version: R8-8.4.0

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Hardware and Software, Engineered to Work Together

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1. Introduction

1.1 Overview

Oracle Linux is a set of cryptographic libraries, services, and user level cryptographic applications that are validated at FIPS 140-2 level 1, providing a secure foundation for vendor use in developing dependent services, applications, and even purpose built appliances that may be FIPS 140-2 validated.

This section is informative to the reader to reference other cryptographic services of Oracle Linux. Only the software listed in section 3.1 is subject to the FIPS 140-2 validation. The CMVP makes no statement as to the correct operation of the module or the security strengths of the generated keys when supported if the specific operational environment is not listed on the validation certificate.

The FIPS 140-2 validation is performed at Security Level 1, on software only modules that do not make any claims about the hardware enclosure. This allows vendors to develop their own modules using these basic cryptographic modules and validate the new modules at a higher FIPS 140-2 security level.

The following cryptographic modules are included in Oracle Linux 8:

- OpenSSL- a software cryptographic module supporting FIPS 140-2-approved cryptographic algorithms for protocols like TLS 1.2, TLS 1.3, OpenSSH, and HTTPS
- NSS Softokn Cryptographic Module supplies cryptographic support for TLS, PKCS #5, PKCS #7, PKCS #11 (version 3.0), PKCS #12, S/MIME, X.509 v3 certificates, and other security standards supporting FIPS 140-2 validated cryptographic algorithms
- Oracle Linux Unbreakable Enterprise Kernel (UEK 6) a software only cryptographic module via the Kernel Crypto API that is an optimized kernel with a wide range of advanced features and improvements for enterprise workloads. The UEK provides general-purpose cryptographic services and block storage encryption.
- GnuTLS general purpose cryptographic module to support TLS network protocols
- Libgcrypt supplies general cryptographic support for GPG.

This Security Policy describes the features and design of the Oracle Linux OpenSSL Cryptographic Module using the terminology contained in the FIPS 140-2 specification. FIPS 140-2, Security Requirements for Cryptographic Module specifies the security requirements that will be satisfied by a cryptographic module utilized within a security system protecting sensitive but unclassified information. The NIST/CSE Cryptographic Module Validation Program (CMVP) validates cryptographic module to FIPS 140-2. Validated products are accepted by the Federal agencies of both the USA and Canada for the protection of sensitive or designated information.



1.2 Document Organization

The Security Policy document is one document in a FIPS 140-2 Submission Package. In addition to this document, the Submission Package contains:

- Oracle Linux 8 OpenSSL Cryptographic Module Non-Proprietary Security Policy
- Other supporting documentation as additional references

With the exception of this Non-Proprietary Security Policy, the FIPS 140-2 Validation Documentation is proprietary to Oracle and is releasable only under appropriate non-disclosure agreements. For access to these documents, please contact Oracle.



2. Oracle Linux 8 OpenSSL Cryptographic Module

2.1 Functional Overview

The Oracle Linux 8 OpenSSL Cryptographic Module (hereafter referred to as the "Module") is a software module supporting FIPS 140-2 Approved cryptographic algorithms within Oracle Linux. The code base of the Module is formed in a combination of standard OpenSSL shared Library and development work by Oracle Linux engineering. The scope of testing for this validation covers version R8-8.4.0 running Oracle Linux 8.4. The Oracle Linux OpenSSL Module is distributed with an open-source distribution. The Module provides a C language application program interface (API) for use by other processes that require cryptographic functionality.

Oracle Linux 8 OpenSSL supports following three types of cryptographic implementations. The implementations available for an algorithm are listed in Table 2 and they can be selected based on the environment variable OPENSSL_ia32cap. Please refer to its man page for the details.

- a) OpenSSL in Native C Programming Language;
- b) AES-NI hardware acceleration for X86 processors;
- c) AES optimizations for ARM processors
- d) Assembler implementation.

2.2 FIPS 140-2 Validation Scope

The following table shows the security level for each of the eleven sections of the validation. See Table 1 below.

| Security Requirements Section | Level |
|---|-------|
| Cryptographic Module Specification | 1 |
| Cryptographic Module Ports and Interfaces | 1 |
| Roles and Services and Authentication | 1 |
| Finite State Machine Model | 1 |
| Physical Security | N/A |
| Operational Environment | 1 |
| Cryptographic Key Management | 1 |
| EMI/EMC | 1 |
| Self-Tests | 1 |
| Design Assurance | 3 |
| Mitigation of Other Attacks | 1 |

Table 1: FIPS 140-2 Security Requirements



3. Cryptographic Module Specification

3.1 Definition of the Cryptographic Module

The Oracle Linux OpenSSL Module is defined as a multi-chip standalone module as defined by the requirements within FIPS PUB 140-2. The logical cryptographic boundary of the module consists of shared library files and their integrity check HMAC files, which are delivered through the Oracle Linux Yum Server as listed below:

The module version R8-8.4.0 was tested on Oracle Linux 8.4 and is contained within the RPM file with version <u>openssl-libs-1.1.1g-15.el8_3.x86_64.rpm</u> or <u>openssl-libs-1.1.1g-15.el8_3.aarch64.rpm</u>, which contains the following files:

- /usr/lib64/.libcrypto.so.1.1.1g.hmac (64 bits)
- /usr/lib64/.libssl.so.1.1.1g.hmac (64 bits)
- /usr/lib64/libcrypto.so.1.1.1g (64 bits)
- /usr/lib64/libssl.so.1.1.1g (64 bits)

The Oracle OpenSSL package includes the binary files, integrity check HMAC files, Man Pages and the OpenSSL engines provided by the standard OpenSSL shared library. The OpenSSL engines and their shared object files are not part of the Module, and therefore they must not be used when operating the Module.

Figure 1 shows the logical block diagram of the module executing in memory on the host system.

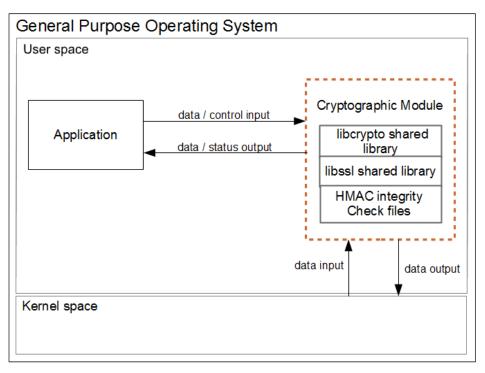


Figure 1: Oracle Linux OpenSSL Logical Cryptographic Boundary

3.2 Definition of the Physical Cryptographic Boundary

The physical cryptographic boundary of the module is defined as the hard enclosure of the host system on which it runs. See figure 2 below. No components are excluded from the requirements of FIPS PUB 140-2.

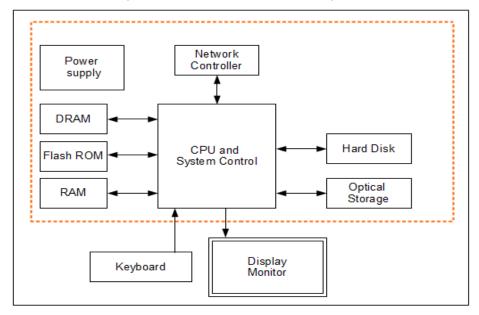


Figure 2: Oracle Linux OpenSSL Hardware Block Diagram

3.3 Approved or Allowed Security Functions

The Oracle Linux OpenSSL Cryptographic Module contains the following FIPS Approved Algorithms listed in Table 2. Note that not all algorithms/modes tested with a corresponding CAVP cert are implemented/used by the module.

Once the module is operational, the mode of operation is implicitly assumed depending on the services/security function invoked. By default, the module enters Approved mode after the power-up tests succeed. In Approved mode, only approved or allowed security functions can be used as specified in table 2 and 3 and services listed in table 8.

| | Approved or Allowed Security Functions | Cert # |
|---------|---|--------|
| Symmetr | ic Algorithms | |
| AES | AESNI: AES in CBC, ECB, CFB1, CFB8, CFB128, OFB, CTR, CCM, CMAC, KW, KWP, XTS Modes (Key Sizes 128, 192, 256 for all modes except XTS Mode where key sizes are 128 and 256) | A 1673 |
| | AESASM: AES in CBC, ECB, CFB1, CFB8, CFB128, OFB, CTR, CCM, CMAC, KW, KWP, XTS Modes (Key Sizes 128, 192, 256 for all modes except XTS Mode where key sizes are 128 and 256) | A 1674 |
| | BAES_CTASM: AES in CBC, ECB, CFB1, CFB8, CFB128, OFB, CTR, CCM, CMAC, KW, KWP, XTS Modes (Key Sizes 128, 192, 256 for all modes except XTS Mode where key sizes are 128 and 256) | A 1675 |

| Approved or Allowed Security Functions | Cert # |
|--|--------|
| SSH_AVX2: AES in ECB mode (Key Sizes 128, 192, 256) | A 1677 |
| SSH_AVX: AES in ECB mode (Key Sizes 128, 192, 256) | A 1678 |
| <u>SSH_SSE3:</u> AES in ECB mode (Key Sizes 128, 192, 256) | A 1679 |
| <u>SSH_ASM:</u> AES in ECB mode (Key Sizes 128, 192, 256) | A 1680 |
| AES IN COS (INC) (| A 1685 |
| AESNI_CLMULNI: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1686 |
| AESNI ASM: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1687 |
| AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1688 |
| AESASM_CLMULNI: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1689 |
| AES in GCM and GMAC modes (Key sizes 128, 192, 256) AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1690 |
| BAES CTASM AVX: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1691 |
| BAES_CTASM_CLMULNI: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1692 |
| BAES_CTASM_ASM: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 1693 |
| AES IN COMPARE CHARGE (RC) CEC, ECC, ECC, ECC, ECC, ECC, ECC, EC | A 2139 |
| <u>CE:</u> AES in CBC, ECB, CFB1, CFB8, CFB128, OFB, CTR, CCM, CMAC, KW, KWP, XTS Modes (Key Sizes 128, 192, 256 for all modes except XTS Mode where key sizes are 128 and 256) | A 2141 |
| VPAES: AES in CBC, ECB, CFB1, CFB8, CFB128, OFB, CTR, CCM, CMAC, KW, KWP, XTS Modes (Key Sizes 128, 192, 256 for all modes except XTS Mode where key sizes are 128 and 256) | A 2142 |
| AES_C_GCM: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 2144 |
| CE_GCM: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 2145 |
| VPAES_GCM: AES in GCM and GMAC modes (Key sizes 128, 192, 256) | A 2146 |

| | Approved or Allowed Security Functions | Cert # |
|-------------|---|--------|
| Triple DES | TDES_C: CBC, ECB, CFB1, CFB8, CFB64, OFB, CMAC Modes (d/e; KO 1) | A 1672 |
| | SSH_AVX2: TDES in ECB mode (d/e; KO1) | A 1677 |
| | SSH_AVX: TDES in ECB mode (d/e; KO 1) | A 1678 |
| | SSH_SSSE3: TDES in ECB mode (d/e; KO 1) | A 1679 |
| | SSH_ASM: TDES in ECB mode (d/e; KO 1) | A 1680 |
| Secure Hash | standard | |
| SHS | <u>SHA_AVX2</u> SHA (1, 224, 256, 384, 512) | A 1694 |
| | <u>SHA_AVX</u> SHA (1, 224, 256, 384, 512) | A 1695 |
| | <u>SHA_SSSE3</u> SHA (1, 224, 256, 384, 512) | A 1696 |
| | <u>SHA_ASM</u> SHA (1, 224, 256, 384, 512) | A 1697 |
| | <u>NEON:</u> <u>SHA (</u> 256) | A 2140 |
| SHA-3 | SHA-3_AVX2 SHA3-224, SHA3-256, SHA3-384, SHA3-512, SHAKE-128, SHAKE-256 | A 1681 |
| | <u>SHA-3_AVX512</u> SHA3-224, SHA3-256, SHA3-384, SHA3-512, SHAKE-128, SHAKE-256 | A 1682 |
| | <u>SHA-3_ASM</u> SHA3-224, SHA3-256, SHA3-384, SHA3-512, SHAKE-128, SHAKE-256 | A 1683 |
| Data Auther | ntication Code | |
| HMAC | SHA-3_AVX2 HMAC-SHA3-224, HMAC-SHA3-256, HMAC-SHA3-384, HMAC-SHA3-512 | A 1681 |
| | <u>SHA-3_AVX512</u> HMAC-SHA3-224, HMAC-SHA3-256, HMAC-SHA3-384, HMAC-SHA3-512 | A 1682 |
| | <u>SHA-3_ASM</u> HMAC-SHA3-224, HMAC-SHA3-256, HMAC-SHA3-384, HMAC-SHA3-512 | A 1683 |
| | <u>SHA_AVX2</u> HMAC-SHA (1, 224, 256, 384, 512) | A 1694 |
| | <u>SHA_AVX</u> HMAC-SHA (1, 224, 256, 384, 512) | A 1695 |
| | <u>SHA_SSSE3</u> HMAC-SHA (1, 224, 256, 384, 512) | A 1696 |
| | <u>SHA_ASM</u> HMAC-SHA (1, 224, 256, 384, 512) | A 1697 |

| | Approved or Allowed Security Functions | Cert # |
|--------------|---|--------|
| | <u>NEON:</u> HMAC-SHA (256) | A 2140 |
| Asymmetric A | | |
| RSA | <u>SHA_AVX2:</u> FIPS186-4: | A 1694 |
| | PKCS 1.5 (Key Gen); Modulus Sizes 2048, 3072, 4096 | |
| | PKCS 1.5 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA-384, SHA-512 | |
| | PKCS 1.5 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA- 224, SHA-256, SHA-384, SHA-512 | |
| | PSS (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA- 384, SHA-512 | |
| | PSS (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 | |
| | X9.31 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-256, SHA-384, SHA-512 | |
| | X9.31 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-256, SHA-384, SHA-512. | |
| | <u>SHA_AVX:</u> FIPS186-4: | A 1695 |
| | PKCS 1.5 (Key Gen); Modulus Sizes 2048, 3072, 4096 | |
| | PKCS 1.5 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA-384, SHA-512 | |
| | PKCS 1.5 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA- 224, SHA-256, SHA-384, SHA-512 | |
| | PSS (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA-384, SHA-512 | |
| | PSS (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 | |
| | X9.31 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-256, SHA-384, SHA-512 | |
| | X9.31 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-256, SHA-384, SHA-512. | |
| | <u>SHA_SSSE3:</u> FIPS186-4: | A 1696 |
| | PKCS 1.5 (Key Gen); Modulus Sizes 2048, 3072, 4096 | |
| | PKCS 1.5 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA-384, SHA-512 | |
| | PKCS 1.5 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA- 224, SHA-256, SHA-384, SHA-512 | |
| | PSS (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA- 384, SHA-512 | |
| | PSS (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 | |

| | Approved or Allowed Security Functions | Cert # |
|--------------------|--|--------|
| | X9.31 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-256, SHA-384, SHA-512 | |
| | X9.31 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-256, SHA-384, SHA-512. | |
| | <u>SHA_ASM:</u> FIPS186-4: PKCS 1.5 (Key Gen); Modulus Sizes 2048, 3072, 4096 | A 1697 |
| | PKCS 1.5 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA-384, SHA-512 | |
| | PKCS 1.5 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA- 224, SHA-256, SHA-384, SHA-512 | |
| | PSS (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-224, SHA-256, SHA- 384, SHA-512 | |
| | PSS (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-224, SHA-256, SHA-384, SHA-512 | |
| | X9.31 (Sig Gen) Modulus Sizes 2048, 3072, 4096 with hash sizes SHA-256, SHA-384, SHA-512 | |
| | X9.31 (Sig Ver) Modulus Sizes 1024, 2048, 3072, 4096 with hash sizes SHA-1, SHA-256, SHA-384, SHA-512. | |
| DSA | SHA_AVX2 FIPS186-4: Key Gen, PQG Gen, PQG Ver, Sig Gen, Sig Ver; Modulus Sizes 2048, 3072, with hash sizes SHA-224, SHA-256, SHA-384, SHA-512; (Modulus size 1024 and SHA-1 allowed for Sig Ver operations only) | A 1694 |
| | SHA_AVX FIPS186-4: Key Gen, PQG Gen, PQG Ver, Sig Gen, Sig Ver; Modulus Sizes 2048, 3072, with hash sizes SHA-224, SHA-256, SHA-384, SHA-512; (Modulus size 1024 and SHA-1 allowed for Sig Ver operations only) | A 1695 |
| | SHA_SSSE3: FIPS186-4: Key Gen, PQG Gen, PQG Ver, Sig Gen, Sig Ver; Modulus Sizes 2048, 3072, with hash sizes SHA-224, SHA-256, SHA-384, SHA-512; (Modulus size 1024 and SHA-1 allowed for Sig Ver operations only) | A 1696 |
| | SHA_ASM FIPS186-4: Key Gen, PQG Gen, PQG Ver, Sig Gen, Sig Ver; Modulus Sizes 2048, 3072, with hash sizes SHA-224, SHA-256, SHA-384, SHA-512; (Modulus size 1024 and SHA-1 allowed for Sig Ver operations only) | A 1697 |
| ECDSA ¹ | <u>SHA_AVX2:</u> FIPS186-4: | A 1694 |

¹ Please note that ECDSA is also tested on the CAVP cert A1683, however it only corresponds to the prerequisite SHA3 assembly implementation and the ECDSA implementation itself is the same as what is tested with rest of the ACVP certificates listed in this entry.

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| | Approved or Allowed Security Functions | Cert # |
|-------------|---|--------|
| | Key Gen, Key Ver, Sig Gen, Sig Ver; Curves P-256, P-384, P-521 with hash sizes SHA- 224, SHA-256, SHA-384, SHA-512; (SHA-1 allowed for Sig Ver operations only) (Curve P-224 is only used with Sig Gen and Sig Ver) | |
| | SHA_AVX:FIPS186-4:Key Gen, Key Ver, Sig Gen, Sig Ver; Curves P-256, P-384, P-521 with hash sizes SHA-224, SHA-256, SHA-384, SHA-512; (SHA-1 allowed for Sig Ver operations only) (CurveP-224 is only used with Sig Gen and Sig Ver) | A 1695 |
| | SHA_SSSE3: FIPS186-4: Key Gen, Key Ver, Sig Gen, Sig Ver; Curves P-256, P-384, P-521 with hash sizes SHA- 224, SHA-256, SHA-384, SHA-512; (SHA-1 allowed for Sig Ver operations only) (Curve P-224 is only used with Sig Gen and Sig Ver) | A 1696 |
| | SHA_ASM FIPS186-4: Key Gen, Key Ver, Sig Gen, Sig Ver; Curves P-256, P-384, P-521 with hash sizes SHA-224, SHA-256, SHA-384, SHA-512; (SHA-1 allowed for Sig Ver operations only) (Curve P-224 is only used with Sig Gen and Sig Ver) | A 1697 |
| Random Nun | nber Generation (NIST SP 800-90Ar1) | |
| DRBG | AESNI: AES ctr DRBG [Prediction Resistance Tested: Enabled and Not Enabled; Supports Reseed: (AES-128, AES-192, AES-256)] | A 1673 |
| | AESASM: AES ctr DRBG [Prediction Resistance Tested: Enabled and Not Enabled; Supports Reseed: (AES-128, AES-192, AES-256)] | A 1674 |
| | BAES_CTASM: AES ctr DRBG [Prediction Resistance Tested: Enabled and Not Enabled; Supports Reseed: (AES-128, AES-192, AES-256)] | A 1675 |
| | AES_C: AES ctr DRBG [Prediction Resistance Tested: Enabled and Not Enabled; Supports Reseed: (AES-128, AES-192, AES-256)] | A 2139 |
| | <u>CE:</u> AES ctr DRBG [Prediction Resistance Tested: Enabled and Not Enabled; Supports Reseed: (AES-128, AES-192, AES-256)] | A 2141 |
| | VPAES: AES ctr DRBG [Prediction Resistance Tested: Enabled and Not Enabled; Supports Reseed: (AES-128, AES-192, AES-256)] | A 2142 |
| Key Agreeme | ent Scheme Using HMAC Key Derivation Function (SP 800-56Cr1) | |
| KDA HKDF | HKDF 56Cr1 to support the TLS 1.3 PRF:Fixed Info Pattern: uPartyInfo vPartyInfoFixed Info Encoding: concatenationDerived Key Length: 2048Shared Secret Length: 224-65336 Increment 8HMAC Algorithm: SHA2-224, SHA2-256, SHA2-384, SHA2-512 | A 1676 |
| | ent (NIST SP 800-56Ar3) | |

| | Approved or Allowed Security Functions | Cert # |
|---|---|--|
| KAS-FFC-SSC SP 800- 56Ar3 | FFC_DH: Domain Parameter Generation and Mod P Methods (ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192, MODP-2048, MODP-3072, MODP-4096, MODP- 6144, MODP-8192) Scheme: dhEphem KAS role: initiator, responder | A 1699 |
| KAS-ECC-SSC SP 800- 56Ar3 | SHA_AVX2: Domain Parameter Generation Methods: (Curves P-256, P-384, P-521). Scheme: ephemeralUnified KAS role: initiator, responder | A 1694 |
| | SHA_AVX: Domain Parameter Generation Methods: (Curves P-256, P-384, P-521). Scheme: ephemeralUnified KAS role: initiator, responder | A 1695 |
| | SHA_SSSE3: Domain Parameter Generation Methods: (Curves P-256, P-384, P-521). Scheme: ephemeralUnified KAS role: initiator, responder | A 1696 |
| | SHA_ASM: Domain Parameter Generation Methods: (Curves P-256, P-384, P-521). Scheme: ephemeralUnified KAS role: initiator, responder | A 1697 |
| Safe Primes Key Generation and Verification | FFC_DH: Safe Prime Groups: ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192, MODP- 2048, MODP-3072, MODP-4096, MODP-6144, MODP-8192 | A 1699 |
| KAS | KAS-ECC-SSC SP 800-56Ar3 with P-256, P-384, P-521; KAS-FFC-SSC SP 800-56Ar3 with ffdhe2048, ffdhe3072, ffdhe4096, ffdhe6144, ffdhe8192; KDF TLS v 1.0/1.1, v1.2 | A 1694 (KAS-SSC) A 1695 (KAS-SSC) A 1696 (KAS-SSC) A 1697 (KAS-SSC) A 1699 (KAS-SSC) A 1699 (KAS-SSC) A 1694 (CVL) A 1695 (CVL) A 1696 (CVL) A 1697 (CVL) |
| RSA Key Tran | sport Scheme (SP 800-56Br2) | |
| KTS-IFC | SHA AVX2:Function: partialVal; Modulo: 2048, 3072, 4096, 6144, 8192;Key Generation Methods: rsakpg1-basic;Scheme: KTS-OAEP-basic:KAS Role: initiator, responder;Key Transport Method Hash Algorithms: SHA2-224, SHA2-256, SHA2-384, SHA2-512,SHA3-224, SHA3-256, SHA3-384, SHA3-512 | A 1694 |
| | SHA_AVX: Function: partialVal; Modulo: 2048, 3072, 4096, 6144, 8192; Key Generation Methods: rsakpg1-basic; Scheme: KTS-OAEP-basic: | A 1695 |

| | Approved or Allowed Security Functions | Cert # |
|------------------|--|-------------------------------|
| | KAS Role: initiator, responder; Key Transport Method Hash Algorithms: SHA2-224, SHA2-256, SHA2-384, SHA2-512, SHA3-224, SHA3-256, SHA3-384, SHA3-512 | |
| | SHA_SSSE3:Function: partialVal; Modulo: 2048, 3072, 4096, 6144, 8192;Key Generation Methods: rsakpg1-basic;Scheme: KTS-OAEP-basic:KAS Role: initiator, responder;Key Transport Method Hash Algorithms: SHA2-224, SHA2-256, SHA2-384, SHA2-512,SHA3-224, SHA3-256, SHA3-384, SHA3-512 | A 1696 |
| | SHA_ASM:Function: partialVal; Modulo: 2048, 3072, 4096, 6144, 8192;Key Generation Methods: rsakpg1-basic;Scheme: KTS-OAEP-basic:KAS Role: initiator, responder;Key Transport Method Hash Algorithms: SHA2-224, SHA2-256, SHA2-384, SHA2-512,SHA3-224, SHA3-256, SHA3-384, SHA3-512 | A 1697 |
| Key Derivat | ion Using Pseudo Random Functions (SP 800-108) | |
| KBKDF | KBKDF: KDF Mode: Counter and Feedback MAC Modes: HMAC-SHA-1, HMAC-SHA2-224, HMAC-SHA2-256, HMAC-SHA2-384, HMAC-SHA2-512, CMAC-AES128, CMAC-AES192, CMAC-AES256, CMAC-TDES | A 1698 |
| Transport L | ayer Security Key Derivation Function (SP 800-135) | |
| KDF-TLS (CVL) | SHA_AVX2 (TLS 1.0, TLS 1.1, TLS 1.2, (SHA2 256, SHA2 384) | A 1694 |
| | <u>SHA_AVX</u> (TLS 1.0, TLS 1.1, TLS 1.2, (SHA2 256, SHA2 384) | A 1695 |
| | SHA_SSSE3: (TLS 1.0, TLS 1.1, TLS 1.2, (SHA2 256, SHA2 384) | A 1696 |
| | <u>SHA_ASM</u> (TLS 1.0, TLS 1.1, TLS 1.2, (SHA2 256, SHA2 384) | A 1697 |
| SSH Key De | rivation Function (SP 800-135) | |
| KDF-SSH (CVL) | SSH_ASM: Cipher: Triple-DES Hash Algorithm: SHA-1, SHA-256, SHA-384, SHA-512 Cipher: AES-128, AES-192, AES-256 Hash algorithm: SHA-1, SHA-256, SHA-384, SHA-512 | A 1680 A 2143 ² |
| | SSH_SSE3:Cipher: Triple-DESHash Algorithm: SHA-1, SHA-256, SHA-384, SHA-512Cipher: AES-128, AES-192, AES-256Hash algorithm: SHA-1, SHA-256, SHA-384, SHA-512 | A 1679 |

² This certificate also lists testing of ECB mode for AES and Triple-DES algorithms which is the same C implementation tested under certificate #A1672 for Triple-DES and #A2139 for AES.

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| | Approved or Allowed Security Functions | Cert # |
|------------|--|--------|
| | SSH_AVX2: Cipher: Triple-DES Hash Algorithm: SHA-1, SHA-256, SHA-384, SHA-512 Cipher: AES-128, AES-192, AES-256 Hash algorithm: SHA-1, SHA-256, SHA-384, SHA-512 | A 1677 |
| | SSH_AVX: Cipher: Triple-DES Hash Algorithm: SHA-1, SHA-256, SHA-384, SHA-512 Cipher: AES-128, AES-192, AES-256 Hash algorithm: SHA-1, SHA-256, SHA-384, SHA-512 | A 1678 |
| Password I | Based Key Derivation Function | |
| PBKDF2 | SHA_AVX2 Hash Algorithm: SHA1, SHA-224, SHA-256, SHA-384, SHA-512 | A 1694 |
| | SHA_AVX Hash Algorithm: SHA1, SHA-224, SHA-256, SHA-384, SHA-512 | A 1695 |
| | SHA_SSSE3 Hash Algorithm: SHA1, SHA-224, SHA-256, SHA-384, SHA-512 | A 1696 |
| | SHA_ASM Hash Algorithm: SHA1, SHA-224, SHA-256, SHA-384, SHA-512 | A 1697 |
| | SHA-3_AVX2 Hash Algorithm: SHA3-224, SHA3-256, SHA3-384, SHA3-512 | A 1681 |
| | SHA-3_AVX512 Hash Algorithm: SHA3-224, SHA3-256, SHA3-384, SHA3-512 | A 1682 |
| | SHA-3_ASM Hash Algorithm: SHA3-224, SHA3-256, SHA3-384, SHA3-512 | A 1683 |
| Key Transp | ort Scheme (KTS) | |
| KTS | AESNI: AES-KW (D/E; Key Sizes 128 , 192, 256) AES-KWP (D/E; Key Sizes 128, 192, 256) | A 1673 |
| | AESASM: AES-KW (D/E; Key Sizes 128 , 192, 256) AES-KWP (D/E; Key Sizes 128, 192, 256) | A 1674 |
| | BAES_CTASM: AES-KW (D/E; Key Sizes 128 , 192, 256) AES-KWP (D/E; Key Sizes 128, 192, 256) | A 1675 |
| | AES_C: AES-KW (D/E; Key Sizes 128 , 192, 256) AES-KWP (D/E; Key Sizes 128, 192, 256) | A 2139 |
| | <u>CE:</u> AES-KW (D/E; Key Sizes 128 , 192, 256) AES-KWP (D/E; Key Sizes 128, 192, 256) | A 2141 |
| | VPAES: AES-KW (D/E; Key Sizes 128 , 192, 256) AES-KWP (D/E; Key Sizes 128, 192, 256) | A 2142 |
| | AES-GCM key wrapping with 128, 192, 256 bit keys | A 1685 |

| | Approved or Allowed Security Functions | Cert # |
|---------------|---|-----------------|
| | | A 1686 |
| | | A 1687 |
| | | A 1688 |
| | | A 1689 |
| | | A 1690 |
| | | A 1691 |
| | | A 1692 |
| | | A 1693 |
| | | A 2144 |
| | | A 2145 |
| | | A 2146 |
| | AES-CCM key wrapping with 128, 192, 256 bit keys | A 1673 |
| | | A 1674 |
| | | A 1675 |
| | | A 2139 |
| | | A 2141 |
| | | A 2142 |
| | AES-CBC with 128 and 256 bit keys and HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA- | A 1673 (AES) |
| | 256, HMAC-SHA-384, or HMAC-SHA-512 key wrapping. | A 1674 (AES) |
| | | A 1675 (AES) |
| | | A 2139 (AES) |
| | | A 2141 (AES) |
| | | A 2142 (AES) |
| | | A 1694 (HMAC) |
| | | A 1695 (HMAC) |
| | | A 1696 (HMAC) |
| | | A 1697 (HMAC) |
| | | A 2140 (HMAC- |
| | | SHA2-256) |
| | Triple-DES CBC with 192 bit key and HMAC-SHA-1, HMAC-SHA-224, HMAC-SHA-256, | A 1672 (Triple- |
| | HMAC-SHA-384, or HMAC-SHA-512 key wrapping. | DES) |
| | | A 1694 (HMAC) |
| | | A 1695 (HMAC) |
| | | A 1696 (HMAC) |
| | | A 1697 (HMAC) |
| | | A 2140 (HMAC- |
| | | SHA2-256) |
| Entropy (NIST | ⁻ SP 800-90В) | |
| ENT (NP) | NIST SP 800-90B | N/A |
| | | 1.11.1 |

Table 2: FIPS Approved or Allowed Security Functions

Note: No parts of the TLS protocol except the KDF with certs #<u>A 1694</u>, #<u>A 1695</u>, #<u>A 1696</u> and #<u>A 1697</u> have been reviewed or tested by CMVP or CAVP. Also, no parts of the SSH protocol except the KDF with certs #<u>A 1677</u>, #<u>A 1678</u>, #<u>A 1679</u>, #<u>A 1680</u> and #<u>A 2143</u> have been reviewed or tested by CMVP or CAVP.

3.4 Non-Approved But Allowed Security Functions

The following are considered non-Approved but allowed security functions provided by the Module:



| Algorithm | Usage |
|--|---|
| RSA PKCS#1-v1.5 Key Wrapping with key sizes greater than 2048-bit up to 16384 bits | Key wrapping, key establishment methodology provides between 112 and 256 bits of encryption strength, non-compliant less than 112 bits. |
| MD5 (no security claimed per IG 1.23) | Message digest used in TLS only |

Table 3: Non-Approved but Allowed Security Functions

3.5 Non-Approved Security Functions

Security functions listed in the table below, make use of non-approved cryptographic algorithms. Use of any of these algorithms and services in Table 9 will put the module in the non-Approved mode implicitly. The services associated with these algorithms are specified in section 7.2.

| Algorithm | Usage |
|--|---|
| AES-OCB | Authenticated Encryption/Decryption |
| ANSI X9.31 RNG | Random Number Generation |
| ARIA | Encryption/Decryption |
| BLAKE2 | Hash function |
| Blowfish | Encryption/Decryption |
| Camellia | Encryption/Decryption |
| CAST | Encryption/Decryption |
| CAST5 | Encryption/Decryption |
| ChaCha20 | Encryption/Decryption |
| ChaCha20 and Poly1305 | Authenticated Encryption/Decryption |
| DES | Encryption/Decryption |
| Diffie-Hellman with non-compliant key size | Key agreement and shared secret computation using primes not listed in Table 2 |
| Diffie-Hellman keys generated with domain parameters other than safe primes. | Key agreement, Shared Secret computation |
| DSA | DSA key pair generation and domain parameter generation with key size less than 2048 bits or greater than 3072 bits. |
| | DSA signature generation with key size less than 2048 bits or greater than 3072 bits. DSA signature generation with SHA-1. |
| | DSA domain parameter generation/verification less than 2048 bits or greater than 3072 bits. DSA domain parameter generation/verification with SHA-1 |
| | DSA signature verification with key size less than 1024 bits or greater than 3072 bits. |
| EC Diffie-Hellman with P-192 curve, K curves, B curves and non-NIST curves. | Key agreement, Shared Secret computation |
| ECDSA with P-192 curve, K curves, B curves and non-NIST curves. | Key generation/Key verification/Signature generation/Signature Verification with NIST curve P-192, K curves, B curves and non-NIST curves |
| | Signature generation with SHA-1 |

| Hash_DRBG | Random Number Generation |
|----------------------------------|---|
| HMAC_DRBG | Random Number Generation |
| HMAC with non-compliant key size | HMAC with less than 112-bit keys |
| IDEA | Encryption/Decryption |
| J-PAKE | Password Authenticated Key Exchange |
| KRB5KDF | Key Derivation |
| MD2 | Hash Function |
| MD4 | Hash Function |
| MDC2 | Hash Function |
| RC2 | Encryption/Decryption |
| RC4 | Encryption/Decryption |
| RC5 | Encryption/Decryption |
| RIPEMD | Hash Function |
| RSA | RSA key pair generation with key size less than 2048 bits or greater than 4096 bits. |
| | RSA Signature generation with SHA-1 |
| | RSA signature generation with key size less than 2048 bits or greater than 4096 bits. |
| | RSA signature verification with key size less than 1024 bits or greater than 4096 bits. |
| | RSA key wrapping using less than 2048 bit keys |
| SEED | Encryption/Decryption |
| Single Step KDF | Key Derivation |
| Whirlpool | Hash Function |

Table 4: Non-Approved Disallowed Functions



4. Module Ports and Interfaces

The module interfaces can be categorized as follows:

- Data Input Interface
- Data Output Interface
- Control Input interface
- Status Output Interface

The module can be accessed by utilizing the API function it provides. Table 5 below, shows the mapping of interfaces as per FIPS 140-2 Standard.

| FIPS 140 Interface | Module Interfaces |
|--------------------|----------------------------------|
| Data Input | API Input Parameters |
| Data Output | API Output Parameters |
| Control Input | API Function Calls |
| Status Output | API Return Values, error message |

Table 5: Mapping of FIPS 140 Logical Interfaces

5. Physical Security

The Module is comprised of software only and thus does not claim any physical security.



6. Operational Environment

6.1 Tested Environments

The Modules were tested on the following environments with and without PAA i.e. AES-NI:

| Module Version | Operating Environment | Processor | Hardware |
|-----------------------|------------------------------|--|---------------------|
| R8-8.4.0 | Oracle Linux 8.4 64 bit | Intel [®] Xeon [®] 8167M | Oracle Server X7-2C |
| R8-8.4.0 | Oracle Linux 8.4 64 bit | AMD EPYC™ 7551 | Oracle Server E1-2C |
| R8-8.4.0 | Oracle Linux 8.4 64-bit | Ampere [®] Altra [®] Neoverse-N1 | Oracle Server A1-2C |

Table 6: Tested Operating Environment

6.2 Vendor Affirmed Environments

The following platforms have not been tested as part of the FIPS 140-2 level 1 certification however Oracle "vendor affirms" that these platforms are equivalent to the tested and validated platforms. Additionally, Oracle affirms that the module will function the same way and provide the same security services on any of the systems listed below.

| Operating Environment | Hardware |
|-----------------------|--|
| Oracle Linux 8 64-bit | Oracle X Series Servers |
| Oracle Linux 8 64-bit | Oracle E Series Servers |
| Oracle Linux 8 64-bit | Oracle A Series Servers |
| Oracle Linux 8 64-bit | Marvell CN23XX OCTEON (MIPS) SmartNIC |
| Oracle Linux 8 64-bit | Marvell CN93XX LiquidIO III (ARM) SmartNIC |
| Oracle Linux 8 64-bit | Pensando DSC-200 (ARM) SmartNIC |

Table 7: Vendor Affirmed Operating Environment

CMVP makes no statement as to the correct operation of the module or the security strengths of the generated keys when so ported if the specific operational environment is not listed on the validation certificate.

6.3 Operational Environment Policy

The operating system is restricted to a single operator mode of operation (i.e., concurrent operators are explicitly excluded).

The application that makes calls to the Modules is the single user of the Modules, even when the application is serving multiple clients.

During module operation, the ptrace(2) system call, the debugger (gdb(1)), and strace(1) shall not be used. In addition, other tracing mechanisms offered by the Linux environment, such as Dtrace, ftrace or systemtap, shall not be used.



7. Roles, Services and Authentication

7.1 Roles

The Oracle Linux OpenSSL Cryptographic Module supports 2 roles as required by FIPS PUB 140-2. These roles are a Crypto Officer Role and a User Role. Both roles are implicitly assumed by the entity accessing services implemented by the Module. The module does not support authentication mechanisms.

7.2 FIPS Approved Operator Services and Descriptions

The below table provides a full description of FIPS Approved services provided by the module and lists the roles allowed to invoke each service. In the table below, the "U" represents a User Role, and "CO" denotes a Crypto Officer role.

| U | СО | Service Name | Service Description | Keys and CSP(s) | Access Type(s) |
|---|----|---|--|---|----------------|
| Х | | Symmetric Encryption/Decryption | Encrypts or decrypts a block of data using AES or 3- Key Triple-DES | AES or 3-Key Triple-DES Key | R, X |
| х | | Asymmetric Encryption/Decryption | Encrypts or decrypts using Approved RSA key size | RSA key pair keys listed in Table 2 | R, X |
| х | | Certificate Management Handling | Management of key properties within certificates. | RSA, DSA, and ECDSA private keys listed in Table 2 | R, X |
| х | | Asymmetric Key Generation | Generate RSA, DSA and ECDSA asymmetric keys | RSA, DSA and ECDSA keys listed in Table 2 | R, W, X |
| Х | | EC Key Verification | Verify ECDSA or ECDH keys | ECDSA and ECDH key listed in Table 2 | R, X |
| х | | Digital Signature Generation and Verification | Sign and verify operations | RSA, DSA, and ECDSA keys listed in Table 2 | R, W, X |
| Х | | Asymmetric domain parameter Generation/Verification | Generate and verify DSA domain parameters | DSA public and private keys listed in Table 2 | R, W, X |
| Х | | KAS-FFC-SSC | Shared secret computation for Diffie-Hellman | Diffie-Hellman public and private keys, Shared secret | R, X |
| Х | | Diffie-Hellman key generation and verification using safe primes | Safe Primes Key Generation and Verification | Diffie-Hellman public and private keys | R, W, X |
| Х | | KAS-ECC-SSC | Shared secret computation for EC Diffie-Hellman | EC Diffie-Hellman public and private keys, Shared secret | R, X |



| U | СО | Service Name | Service Description | Keys and CSP(s) | Access Type(s) |
|---|----|-----------------------------|--|---|----------------|
| Х | | Key wrapping | AES-KW KTS, AES-KWP KTS, AES-GCM KTS, AES-CBC + HMAC KTS, Triple-DES CBC + HMAC KTS | AES key, Triple-DES key, HMAC key | R, X |
| Х | | TLS Network Protocol | Provide data encryption and authentication over TLS network protocol | AES, Triple-DES, and HMAC keys listed in Table 2. | R, X |
| x | | TLS Key Agreement | Negotiate a TLS key agreement secure channel | AES or Triple-DES key, RSA, DSA or ECDSA private key, HMAC Key, Diffie- Hellman and EC Diffie-Hellman Private keys listed in Table 2, TLS pre-master secret and master secret | R, X |
| x | | Key Derivation | TLS KDF SSH KDF HKDF PBKDF KBKDF | Shared secret, TLS derived key Shared secret, SSH-KDF derived key Shared secret, HKDF derived key PBKDF password and PBKDF derived key Key derivation key, KBKDF derived key | R, W, X |
| Х | | Keyed Hash (HMAC) | Sign and or authenticate data using HMAC-SHA | HMAC Keys listed in Table 2 | R, X |
| Х | | Keyed Hash (CMAC) | Encrypt and sign data using CMAC. | AES or 3-Key Triple-DES Key | R, X |
| Х | | Hash (SHS) | Hash a block of data. | None | N/A |
| Х | | Random Number Generation | Generate random numbers based on the NIST SP 800-90A Standard | Entropy input string, seed and internal State (V and Key values) | R, W, X |
| Х | | Show Status | Show status of the module state | None | N/A |
| Х | | Self-Test | Initiate power-on self-tests | None | N/A |
| Х | | Zeroize | Zeroize all critical security parameters | All keys and CSP's | Z |
| | Х | Module Installation | Installation of the module | None | N/A |

R – Read, W – Write, X – Execute, Z - Zeroize

Table 8: FIPS Approved Services and Descriptions

7.3 Non-FIPS Approved Services and Descriptions

The following table lists the non-Approved services available in non-FIPS mode. Security services listed in the table below, make use of nonapproved cryptographic algorithms. Use of any of these services in Table 9 will put the module in the non-Approved mode implicitly. The algorithms associated with these services are specified in section 3.5.



| U | СО | Service Name | Service Description | Keys | Access Type(s) |
|---|----|-------------------------------------|---|---|-------------------|
| х | | Asymmetric Encryption/Decryption | Encrypts or decrypts using non-Approved RSA key size as listed in Table 4 | RSA key pair | R, X |
| Х | | Symmetric Encryption/Decryption | Encrypts or decrypts using non-Approved algorithms | AES OCB, AES XTS, ARIA, Blowfish, Camellia, CAST, CAST5, ChaCha20, DES, IDEA, RC2, RC4, RC5, SEED keys | R, X |
| х | | AEAD using Chacha20 and Poly1305 | Authenticated encryption or decryption using ChaCha20 and Poly1305 | ChaCha20 key | R, X |
| X | | Digital Signature Generation | Sign operations with RSA, DSA and ECDSA restrictions listed in Table 4 | RSA key < 2048 and RSA key > 4096 DSA key < 2048 and DSA key > 3072 ECDSA with NIST curve P-192, K curves, B curves and non-NIST curves Signature Generation with SHA-1 | R, X |
| X | | Digital Signature Verification | Verify operations with RSA, DSA and ECDSA restrictions listed in Table 4 | RSA key < 1024 and RSA key > 4096 DSA key < 1024 and DSA key > 3072 ECDSA with NIST curve P-192, K curves, B curves and non-NIST curves | R, X |
| Х | | TLS Key Agreement | Negotiate a TLS key agreement secure channel with non-Approved key sizes | RSA/ Diffie-Hellman key < 2048 EC Diffie-Hellman with P-192 DSA/ECDSA key restrictions listed in Table 4 | R, X |
| Х | | Key Derivation | Single Step KDF | SSKDF derived key | R, W, X |
| Х | | Asymmetric Key Generation | Generation of non-Approved RSA, DSA and ECDSA keys | RSA key < 2048 DSA key < 2048 ECDSA using NIST P-192 curve, K curves, B curves and non-NIST curves. | R, W, X |
| х | | Random Number Generation | Generation of random numbers using the ANSI X9.31 PRNG | seed, seed key | R, W, X |
| | | | Generation of random numbers using the hash_drbg | Entropy input string, seed and internal State (V and C values) | |
| | | | Generation of random numbers using the HMAC_drbg | Entropy input string, seed and internal State (V and Key values) | |
| Х | | Keyed Hash (HMAC) | HMAC restriction listed in Table 4 | HMAC with less than 112-bit keys | R, X |
| Х | | Hash | Hashing using non-Approved hash functions that include BLAKE2, MD2, MD4, MD5, MDC2, RIPEMD, Whirlpool | None | N/A |



| U | СО | Service Name | Service Description | Keys | Access Type(s) |
|---|----|---|---|---|-------------------|
| Х | | J-PAKE Key Agreement | Password authenticated key agreement using J-PAKE | J-PAKE key pair | R, X |
| X | | Diffie-Hellman non- compliant key size and shared secret computation | Diffie-Hellman restrictions listed in Table 4 | Diffie-Hellman public and private keys | R, W, X |
| Х | | EC Diffie-Hellman shared secret computation | EC Diffie-Hellman restrictions listed in Table 4 | EC Diffie-Hellman public and private keys | R, W, X |

Table 9: Non-FIPS Approved Services and Descriptions

7.4 Operator Authentication

The module does not support operator authentication mechanisms.



8. Key and CSP Management

The following keys, cryptographic key components and other critical security parameters are contained in the

| module. | | | | |
|---|--|---|--------------------------|--|
| CSP Name | Generation/Input | Use | Zeroization | |
| AES Key (128, 192, 256 bits) Triple-DES | The Key is passed into the module via API input parameter | Encrypt/Decrypt operations Used to generate and verify MAC's with AES as part of the CMAC algorithm. Used for Encrypt/Decrypt | EVP_CIPHER_CTX_free() | |
| Keys (192 bits) | | operations. Used for generating and verifying MAC's with Triple-DES as part of the CMAC algorithm. | | |
| HMAC Key (≥ 112 bits) | | HMAC keys used to generate and verify MAC's on data. | HMAC_CTX_cleanup() | |
| RSA Key pair (2048, 3072, 4096 bits) | Keys are generated using FIPS 186-4 and the random value used in the key generation is generated | RSA public/private keys used to sign and verify data. RSA private key used for key decryption as part of key wrapping. | RSA_free() | |
| DSA Key pair (2048, 3072, 4096 bits) | using SP800- 90A DRBG | DSA public/private keys used to sign and verify data. | DSA_free() | |
| ECDSA Key pair (P-224, P-256, P-384, P-521) | | ECDSA public/private keys used to sign and verify data. | EC_KEY_free() | |
| Diffie-Hellman Key pair | Public and private keys are generating using the SP 800- 56Arev3 Safe Primes key generation method, random values are obtained from the SP800-90A DRBG. | Diffie-Hellman key pair used as part of the key agreement protocol. | DH_free() | |
| EC Diffie- Hellman Key pair | Public and private keys are generated using the FIPS 186-4 key generation method, random values are obtained from the SP800 90A DRBG. | EC Diffie-Hellman key pair used as part of the key agreement protocol. | EC_KEY_free() | |
| Shared secret | Generated during the Diffie- Hellman or EC Diffie-Hellman key agreement. | Used to derive the required keys by applying key derivation function. | DH_free(), EC_KEY_free() | |
| TLS Pre-Master Secret | Established during the TLS handshake. | Entry: if received by module as TLS server, wrapped with server's public RSA key; otherwise no entry. Output: if generated by module as TLS client, wrapped with server's public RSA key; otherwise, no output. | SSL_free(), SSL_clear() | |
| TLS Master Secret | Derived from TLS pre-master secret By using key derivation | Master secret is never output or entered | SSL_free(), SSL_clear() | |
| TLS derived key | Generated during TLS KDF. | Derive the master secret from the pre-master secret, and to derive the session keys from the master secret | SSL_free(), SSL_clear() | |



| CSP Name | Generation/Input | Use | Zeroization |
|---|--|---|-------------------|
| HKDF derived key | Generated during HKDF. | Derived SP800-56Crev1 HKDF KDF mechanisms. | kdf_hkdf_free() |
| SSH-KDF derived key | Generated during SSH KDF. | Derived SP800-135 SSH KDF mechanisms. | kdf_sshkdf_free() |
| KBKDF derived key | Generated during KBKDF. | Derived SP800-108 KBKDF KDF mechanisms. | kbkdf_free() |
| PBKDF derived key | Generated during PBKDF. | Derived SP800-132 PBKDF mechanisms. | kdf_pbkdf2_free() |
| PBKDF Password | N/A | Input password used for PBKDF function. | kdf_pbkdf2_free() |
| Key derivation key for KBKDF | N/A | Input key used for KBKDF function. | kbkdf_free() |
| DRBG Entropy input string | Obtained from CPU jitter source. | Entropy input strings used as part of the DRBG process. | FIPS_drbg_free() |
| DRBG seed, Internal state (V, and Key value) | Derived from entropy input during DRBG initialization. | The seed is used as input into the DRBG mechanism. V and key are used as part of the CTR DRBG process. | FIPS_drbg_free() |

Table 10: CSP Table

8.1 Random Number Generation

The module provides an SP 800-90A-compliant Deterministic Random Bit Generator (DRBG) for creation of key components of asymmetric keys, and random number generation.

The seeding (and automatic reseeding) of the DRBG is done with getrandom().

The module employs the Deterministic Random Bit Generator (DRBG) based on [SP 800-90A] for the random number generation. The DRBG supports the CTR_DRBG mechanisms. The module performs the DRBG health tests as defined in section 11.3 of [SP 800-90A]. The module uses CPU jitter as a noise source provided by the operational environment which is within the module's physical boundary but outside of the module's logical boundary. The source is compliant with [SP 800-90B] and marked as ENT on the certificate. The entropy provided from the entropy source provides 230 bits of entropy and the module requires up to 256 bits of entropy. The caveat, "The module generates cryptographic keys whose strengths are modified by available entropy" applies.

8.2 Key Generation

For generating RSA, DSA and ECDSA keys, the module implements asymmetric key generation services compliant with [FIPS 186-4] and using DRBG compliant with [SP 800-90A]. A seed (i.e. the random value) used in asymmetric key generation is obtained from [SP 800-90A] DRBG. In accordance with FIPS 140-2 IG D.12, the cryptographic module performs Cryptographic Key Generation (CKG) for asymmetric keys as per NIST SP 800-133. The resulting symmetric key or asymmetric seed is an unmodified output from a DRBG.

The public and private keys used in the EC Diffie-Hellman key agreement schemes are generated internally by the module using the ECDSA key generation method compliant with [FIPS186-4] and [SP 800-56Arev3]. The Diffie-

Hellman key agreement scheme is also compliant with [SP 800-56Arev3], and generates keys using safe primes defined in RFC7919 and RFC3526.

8.3 Key/CSP Storage

Public and private keys are provided to the Module by the calling process, and are destroyed when released by the appropriate API function calls. The Module does not perform persistent storage of keys.

8.4 Key/CSP Zeroization

The memory occupied by keys is allocated by regular memory allocation operating system calls. The application is responsible for calling the appropriate zeroization functions provided in the module's API and listed in Table 10. Calling the SSL_free() and SSL_clear() will zeroize the keys and CSPs stored in the TLS protocol internal state and also invoke the corresponding API functions listed in Table 10 to zeroize keys and CSPs. The zeroization functions overwrite the memory occupied by keys with "zeros" and deallocate the memory with the regular memory deallocation operating system call. In case of abnormal termination, or swap in/out of a physical memory page of a process, the keys in physical memory are overwritten by the Linux kernel before the physical memory is allocated to another process.

8.5 Key Establishment

The module provides KAS-FFC-SSC and KAS-ECC-SSC compliant with SP 800-56Arev3, in accordance with scenario X1 (1) of IG D.8.

For Diffie-Hellman, the module supports the use of safe primes from RFC7919 for domain parameters and key generation, which are used in the TLS key agreement implemented by the module.

- TLS (RFC7919)
 - ffdhe2048 (ID = 256)
 - ffdhe3072 (ID = 257)
 - ffdhe4096 (ID = 258)
 - ° ffdhe6144 (ID = 259)
 - ffdhe8192 (ID = 260)

The module also supports the use of safe primes from RFC3526, which are part of the Modular Exponential (MODP) Diffie-Hellman groups that can be used for Internet Key Exchange (IKE). Note that the module only implements key generation and verification, and shared secret computation using safe primes, but no part of the IKE protocol.

- IKEv2 (RFC3526)
 - MODP-2048 (ID=14)
 - MODP-3072 (ID=15)
 - MODP-4096 (ID=16)
 - MODP-6144 (ID=17)
 - MODP-8192 (ID=18)

Additionally, the module provides Diffie-Hellman and EC Diffie-Hellman key agreement schemes compliant with SP 800-56rev3 and used as part of the TLS protocol key exchange in accordance with scenario X1 (2) of IG D.8; that is, the shared secret computation (KAS-FFC-SSC and KAS-ECC-SSC) followed by the derivation of the keying material using SP 800-135 KDF.

According to Table 2: Comparable strengths in [SP 800-57], the key sizes of AES, Triple-DES, RSA, Diffie-Hellman and EC Diffie-Hellman provide the following security strength in FIPS mode of operation:

- KAS-FFC-SSC provides between 112 and 200 bits of encryption strength.
- KAS-ECC-SSC provides between 128 and 256 bits of encryption strength.
- Diffie-Hellman key agreement with TLS KDF provides between 112 and 200 bits of encryption strength.
- EC Diffie-Hellman key agreement with TLS KDF provides between 128 and 256 bits of encryption strength.
- RSA key wrapping with PKCS#1-v1.5 provides between 112 and 256 bits of encryption strength; Allowed per IG D.9
- AES key wrapping with KW and KWP key establishment methodology provides between 128 and 256 bits of encryption strength.
- AES key wrapping with CCM and GCM key establishment methodology provides between 128 and 256 bits of encryption strength.
- AES key wrapping with AES CBC and HMAC key establishment methodology provides 128 or 256 bits of encryption strength.
- Triple-DES key wrapping with Triple-DES CBC and HMAC key establishment methodology provides 112 bits of encryption strength.
- RSA key wrapping with OAEP key establishment methodology provides between 112 and 200 bits of encryption strength.

8.6 Key Derivation

The module supports the following key derivation method according to [SP 800-135]:

- KDF for the TLS protocol, used as pseudo-random functions (PRF) for TLSv1.0/1.1 and TLSv1.2
- KDF for the SSHv2 protocol

The module supports the following key derivation methods according to [SP 800-56C rev1]:

• KDF for the TLS protocol TLSv1.3.

The module supports the following key derivation methods according to [SP 800-108]:

• KBKDF for deriving a key from repeated application of a keyed MAC to an input secret (and other optional values).

The module also supports password-based key derivation (PBKDF). The implementation is compliant with option 1a of [SP 800-132]. Keys derived from passwords or passphrases using this method can only be used in storage applications.

8.7 Key/CSP Entry and Output

The module does not support manual key entry or intermediate key generation key output. The keys are provided to the module via API input parameters in plaintext form and output via API output parameters in plaintext form.



This is allowed by [FIPS 140-2_IG] IG 7.7, according to the "CM Software to/from App Software via GPC INT Path" entry on the Key Establishment Table.



9. Self-Tests

FIPS 140-2 requires that the Module performs self-tests to ensure the integrity of the Module, and the correctness of the cryptographic functionality at start up. In addition, conditional tests are required during operational stage of the module. All of these tests are listed and described in this section. See section 10.3 for descriptions of possible self-test errors and recovery procedure.

9.1 Power-Up Self-Tests

The Module performs power-up self-tests automatically during loading of the module by making use of default entry point (DEP) and no operator intervention is required. The module is not available for use until successful completion of power-up self-tests. Hence input, output, or any cryptographic functions cannot be performed while the Module is executing self-tests. The integrity of the module binary is verified using a HMAC-SHA-256. The HMAC value is computed at build time and stored in the hmac file. The value is recalculated at runtime and compared against the stored value. If the comparison succeeds, then the remaining power-up self-test (consisting of the algorithm-specific Known Answer Tests) are performed. On successful completion of the power-up tests, the module becomes operational and crypto services are available. If any of the tests fails module transitions to error state and subsequent calls to the Module will fail - thus no further cryptographic operations will be possible.

| Algorithm | Test |
|---------------------|---|
| AES | KAT AES ECB mode with 128-bit key, encryption and decryption are tested separately.KAT AES CCM mode with 192-bit key, encryption and decryption are tested separately. |
| | KAT AES GCM mode with 256-bit key, encryption and decryption are tested separately. |
| | KAT AES XTS mode with 128 and 256 bit keys, encryption and decryption are tested separately. |
| Triple-DES | KAT Triple-DES ECB mode, encryption and decryption are tested separately. |
| DSA | PCT, sign and verify with L=2048 and SHA-256. |
| RSA | KAT RSA with 2048-bit key, PKCS#1 v1.5 scheme with SHA-256 signature generation tested separately. |
| | KAT RSA with 2048-bit key, PKCS#1 v1.5 scheme with SHA-256, signature verification tested separately. |
| | KAT RSA with 2048-bit key, PSS scheme and SHA-256, signature generation tested separately. |
| | KAT RSA with 2048-bit key, PSS scheme and SHA-256, signature verification tested separately. |
| | KAT RSA with 2048-bit key, OAEP public key encryption and private key decryption tested separately. |
| ECDSA | PCT ECDSA with P-256 and SHA-256, sign and verify |
| KAS-FFC-SSC | Primitive "Z" Computation KAT with 2048-bit key |
| KAS-ECC-SSC | Primitive "Z" Computation KAT with P-256 curve |
| TLS KDF | KAT with SHA-256 |
| SSH KDF | KAT with SHA-256 |
| PBKDF2 | KAT with SHA-256 |
| HKDF KDF | KAT with SHA-256 |
| KBKDF KDF | KAT with SHA-256 |
| SP 800-90A CTR_DRBG | KAT CTR_DRBG with AES with 128, 192 and 256-bit keys |
| SP 800-90A DRBG | Health test per section 11.3 of SP 800-90A DRBG specification |
| НМАС | (SHA-1, SHA-224, SHA-256, SHA-384, SHA-512) KAT |
| SHA | (1, 256, 512) KAT |
| SHA-3 | SHA3-256, SHA3-512 KAT |
| SHAKE | SHAKE-128, SHAKE-256 KAT |
| СМАС | KAT AES CMAC with 128, 192 and 256 bit keys, MAC generation KAT Triple-DES CMAC, MAC generation |
| Module Integrity | HMAC-SHA-256 |



Table 11: Power-On Self-Tests

9.2 Conditional Self-Tests

Conditional tests are performed during operational state of the module when the respective crypto functions are used. If any of the conditional tests fails, module transitions to error state.

| Algorithm | Test |
|----------------------|---|
| DSA Key generation | Pairwise Consistency Test: signature generation and verification |
| ECDSA Key generation | Pairwise Consistency Test: signature generation and verification |
| RSA Key generation | Pairwise Consistency Test: signature generation and verification, encryption and decryption |

Table 12: Conditional Self-Tests

9.3 On-Demand self-tests

The module provides the Self-Test service to perform self-tests on demand. On demand self-tests can be invoked by powering-off and reloading the module. This service performs the same cryptographic algorithm tests executed during power-up. During the execution of the on-demand self-tests, crypto services are not available, and no data output or input is possible



10. Crypto-Officer and User Guidance

This section provides guidance for the Cryptographic Officer and the User to maintain proper use of the module per FIPS 140-2 requirements.

10.1 Crypto-Officer Guidance

The version of the RPM containing the validated module is stated in section 3.1 above. The RPM package of the Module can be installed by standard tools recommended for the installation of Oracle packages on an Oracle Linux system (for example, yum, RPM, and the RHN remote management tool). The integrity of the RPM is automatically verified during the installation of the Module and the Crypto Officer shall not install the RPM file if the <u>Oracle Linux Yum Server</u> indicates an integrity error. The RPM files listed in section 3 are signed by Oracle and during installation; Yum performs signature verification which ensures as secure delivery of the cryptographic module. If the RPM packages are downloaded manually, then the CO should run 'rpm –K <rpm-file-name>' command after importing the builder's GPG key to verify the package signature. In addition, the CO can also verify the hash of the RPM package to confirm a proper download.

Recommended method

The system-wide cryptographic policies package (crypto-policies) contains a tool that completes the installation of cryptographic modules and enables self-checks in accordance with the requirements of Federal Information Processing Standard (FIPS) Publication 140-2. We call this step "FIPS enablement". The tool named fips-mode-setup installs and enables or disables all the validated FIPS modules and it is the recommended method to install and configure an Oracle Linux 8 system.

1. Ensure that the OL8 x86_64 or aarch64 system is configured with "BaseOS Latest", "AppStream Latest" and "Security Validation (Update 8)" yum repositories enabled:

yum-config-manager --enable ol8_baseos_latest ol8_appstream ol8_u4_security_validation Note: If system is configured with the Unbreakable Linux Network (ULN) depending on the architecture make sure enabled channels [ol8_x86_64_baseos_latest, ol8_x86_64_appstream, ol8_x86_64_u4_security_validation] for x86_64 or [ol8_aarch64_baseos_latest, ol8_aarch64_appstream, ol8_aarch64_u4_security_validation] for aarch64.

- 2. Install OpenSSL RPM file e.g for x86_64 or aarch use yum command # yum install openssl-libs-1.1.1g-15.el8 3 or openssl-libs-1.1.1g-15.el8 3.aarch64
- 3. Switch the system to FIPS enablement in Oracle Linux 8:

fips-mode-setup --enableSetting system policy to FIPSFIPS mode will be enabled.Reboot the system for the setting to take effect.

4. Restart your system:

reboot

After the restart, you can check the current state:
 # fips-mode-setup --check

FIPS mode is enabled.

Note: As a side effect of the enablement procedure the fips-mode-enable tool also changes the system wide cryptographic policy level to a level named "FIPS", this level helps applications by changing configuration defaults to approved algorithms.

FIPS enablement via environment variable



1. Open:

/etc/bashrc

- 2. Set:
 - export OPENSSL_FORCE_FIPS_MODE=1
- 3. Save and close.
- 4. Run:

source /etc/bashrc

10.1.1 AES Hardware Acceleration Support and Manual Method

According to the OpenSSL FIPS 140-2 Security Policy, the OpenSSL module supports the AES-NI Intel processor instruction and ARM AES optimizations set as an approved cipher. Both architecture optimizations are used by the Module.

In case you configured a full disk encryption using AES, you may use the aforementioned optimizations for a higher performance compared to the software-only implementation.

Verify that your processor offers AES hardware acceleration by calling the following command: cat /proc/cpuinfo | grep aes

If the command returns a list of properties, including the "aes" string, your CPU provides the AES hardware acceleration. If the command returns nothing, AES hardware acceleration is not supported.

The recommended method automatically performs all the necessary steps. The following steps can be done manually but are not recommended and are not required if the systems has been installed with the fips-mode-setup tool:

- Create a file named /etc/system-fips, the contents of this file are never checked
- Ensure to invoke the command 'fips-finish-install --complete' on the installed system
- Ensure that the kernel boot line is configured with the fips=1 parameter set
- Reboot the system

\$ df | grep boot

NOTE: If /boot or /boot/efi resides on a separate partition, the kernel parameter boot=<boot partition> must be supplied. The partition can be identified with the command "df | grep boot". For example:

| <u>Filesystem</u> | <u>1K-blocks</u> | <u>Used</u> | <u>Available</u> | <u>Use%</u> | Mounted on |
|-------------------|------------------|-------------|------------------|-------------|------------|
| /dev/sda1 | 233191 | 30454 | 190296 | 14% | /boot |

The partition of the /boot file system is located on /dev/sda1 in this example.

Therefore the parameter boot=/dev/sda1 needs to be appended to the kernel command line in addition to the parameter fips=1.

10.2 User Guidance

In order to run the module in FIPS mode, only the FIPS approved or allowed services listed in table 8 or the validated or allowed cryptographic algorithms/security functions listed in Table 2 and Table 3 should be used.

ENGINE_register_*, ENGINE_set_default_* and FIPS_mode_set(0) function calls are prohibited.

10.2.1 TLS and Diffie-Hellman

The TLS protocol implementation provides both server and client sides. In order to operate in FIPS mode, digital certificates used for server and client authentication shall comply with the restrictions of key size and message digest algorithms imposed by [SP 800-131A]. In addition, for Diffie-Hellman only the safe prime groups listed in RFC7919 are approved to be used in FIPS mode.

10.2.2 Random Number Generator

The OpenSSL API call of RAND_cleanup must not be used. This call will clean up the internal DRBG state. This call also replaces the DRBG instance with the non-FIPS Approved SSLeay Deterministic Random Number Generator when using the RAND_* API calls.

10.2.3 AES GCM IV

The IV generation method is user selectable and may be computed in the following way:

Conforming to IG A.5, scenario #1 (for TLS 1.2): Comply with the provision of a peer-to-peer industry standard. Specifically, following RFC 5288 for TLS. The counter portion of the IV is set by the module within its cryptographic boundary. When the IV exhausts the maximum number of possible values for a given session key, the first party, client, or server to encounter this condition will trigger a handshake to establish a new encryption key in accordance with RFC 5246.

It is the responsibility of the user to determine which method to use.

In case the Modules' power is lost and then restored, the key used for the AES GCM encryption/decryption shall be re-distributed.

10.2.4 AES-XTS Guidance

The length of a single data unit encrypted or decrypted with the AES-XTS shall not exceed 2²⁰ AES blocks that is 16MB of data per AES-XTS instance. An XTS instance is defined in section 4 of SP 800-38E.

The AES-XTS mode shall only be used for the cryptographic protection of data on storage devices. The AES-XTS shall not be used for other purposes, such as the encryption of data in transit. The module implements the check to ensure that the two AES keys used in XTS-AES algorithm are not identical.

10.2.5 Triple-DES Keys

Data encryption using the same three-key Triple-DES key shall not exceed 2¹⁶ Triple-DES blocks (2GB of data), in accordance to SP 800-67 and IG A.13.

[SP 800-67] imposes a restriction on the number of 64-bit block encryptions performed under the same three-key Triple-DES key.

When the three-key Triple-DES is generated as part of a recognized IETF protocol, the module is limited to 2²⁰ 64bit data block encryptions. This scenario occurs in the following protocol:

• Transport Layer Security (TLS) versions 1.1, 1.2, 1.3, conformant with [RFC 5246]

In any other scenario, the module cannot perform more than 2¹⁶ 64-bit data block encryptions. The user is responsible for ensuring the module's compliance with this requirement.



10.2.6 RSA and DSA Keys

An application can enforce the key generation bit length restriction for RSA and DSA keys by setting the environment variable OPENSSL_ENFORCE_MODULUS_BITS. This environment variable ensures that 1024 bit keys cannot be generated.

10.3 Handling Self-Test Errors

The Module transitions to error state when any of self-tests or conditional tests fails. The application must be restarted to recover from these errors. Following are the error messages specific to self-test failure:

FIPS_R_FINGERPRINT_DOES_NOT_MATCH – The integrity verification check failed FIPS_R_SELFTEST_FAILED – a known answer test failed FIPS_R_TEST_FAILURE – a known answer test failed (RSA); pairwise consistency test failed (DSA) FIPS_R_PAIRWISE_TEST_FAILED – a pairwise consistency test failed during EC/DSA or RSA key generation FIPS_R_SELFTEST_FAILURE – the DRBG Health Test failed

These errors are reported through the regular ERR interface of the Module and can be queried by functions such as ERR_get_error(). See the OpenSSL manual page for the function description.

When the Module is in error state, output is inhibited and no crypto operations are available. Any calls to the crypto functions in error state will return error message: 'FATAL FIPS SELFTEST FAILURE' on stderr and the application is terminated with the abort() call.

The only way to recover from the error state is to reload the module and restart the application. If failures persist, the Module must be reinstalled. If downloading the software, make sure to verify the package hash to confirm a proper download.

10.4 Key Derivation Using SP 800-132 PBKDF

The module provides password-based key derivation (PBKDF), compliant with SP 800-132. The module supports option 1a from section 5.4 of [SP 800-132], in which the Master Key (MK) or a segment of it is used directly as the Data Protection Key (DPK).

In accordance to [SP 800-132], the following requirements shall be met.

- Derived keys shall only be used in storage applications. The Master Key (MK) shall not be used for other purposes. The length of the MK or DPK shall be of 112 bits or more.
- A portion of the salt, with a length of at least 128 bits, shall be generated randomly using the SP 800-90A DRBG
- The iteration count shall be selected as large as possible, as long as the time required to generate the key using the entered password is acceptable for the users. The minimum value shall be 1000.
- Passwords or passphrases, used as an input for the PBKDF, shall not be used as cryptographic keys.
- The length of the password or passphrase shall be of at least 20 characters, and shall consist of lower-case, upper-case and numeric characters. The probability of guessing the value is estimated to be 1/62²⁰ = 10⁻³⁶, which is less than 2⁻¹¹².

The calling application shall also observe the rest of the requirements and recommendations specified in [SP 800-132].



11. Mitigation of Other Attacks

RSA is vulnerable to timing attacks. In a setup where attackers can measure the time of RSA decryption or signature operations, blinding must be used to protect the RSA operation from that attack.

The API function of RSA_blinding_on turns blinding on for key rsa and generates a random blinding factor. The random number generator must be seeded prior to calling RSA_blinding_on. Weak Triple-DES keys are detected as follows:

/* Weak and semi week keys as taken from

- * %A D.W. Davies
- * %A W.L. Price
- * %T Security for Computer Networks
- * %I John Wiley & Sons
- * %D 1984
- * Many thanks to smb@ulysses.att.com (Steven Bellovin) for the reference
- * (and actual cblock values).
- */

#define NUM_WEAK_KEY 16

static const DES_cblock weak_keys[NUM_WEAK_KEY]={

/* weak keys */

```
{OxFE,OxFE,OxFE,OxFE,OxFE,OxFE,OxFE,OxFE},
{0x1F,0x1F,0x1F,0x1F,0x0E,0x0E,0x0E,0x0E},
{0xE0,0xE0,0xE0,0xE0,0xF1,0xF1,0xF1,0xF1,
/* semi-weak keys */
{0x01,0xFE,0x01,0xFE,0x01,0xFE,0x01,0xFE},
{0xFE,0x01,0xFE,0x01,0xFE,0x01,0xFE,0x01},
{0x1F,0xE0,0x1F,0xE0,0x0E,0xF1,0x0E,0xF1},
{0xE0,0x1F,0xE0,0x1F,0xF1,0x0E,0xF1,0x0E},
{0x01,0xE0,0x01,0xE0,0x01,0xF1,0x01,0xF1},
{0xE0,0x01,0xE0,0x01,0xF1,0x01,0xF1,0x01},
{0x1F,0xFE,0x1F,0xFE,0x0E,0xFE,0x0E,0xFE},
{OxFE,Ox1F,OxFE,Ox1F,OxFE,Ox0E,OxFE,Ox0E},
{0x01,0x1F,0x01,0x1F,0x01,0x0E,0x01,0x0E},
{0x1F,0x01,0x1F,0x01,0x0E,0x01,0x0E,0x01},
{OxEO,OxFE,OxEO,OxFE,OxF1,OxFE,OxF1,OxFE},
{0xFE,0xE0,0xFE,0xE0,0xFE,0xF1,0xFE,0xF1}};
```

Please note that there is no weak key detection by default. The caller can explicitly set the DES_check_key to 1 or call DES_check_key_parity() and/or DES_is_weak_key() functions on its own.

Acronyms, Terms and Abbreviations

| Term | Definition | | |
|--------------------------------------|---|--|--|
| AES | Advanced Encryption Standard | | |
| AVX | Advanced Vector Extensions | | |
| CAVP | Cryptographic Algorithm Validation Program | | |
| CMVP | Cryptographic Module Validation Program | | |
| CSE | Communications Security Establishment | | |
| CSP | Critical Security Parameter | | |
| DH | Diffie-Hellman | | |
| DHE | Diffie-Hellman Ephemeral | | |
| DRBG | Deterministic Random Bit Generator | | |
| ECDH | Elliptic Curve Diffie-Hellman | | |
| ECDSA | Elliptic Curve Digital Signature Algorithm | | |
| GPG | Gnu Privacy Guard | | |
| HKDF | HMAC-based Extract-and-Expand Key Derivation Function | | |
| HMAC | (Keyed) Hash Message Authentication Code | | |
| IKE | Internet Key Exchange | | |
| КАТ | Known Answer Test | | |
| KBKDF | Key-Based Key Derivation Function | | |
| KDF | Key Derivation Function | | |
| NIST | National Institute of Standards and Technology | | |
| PAA Processor Algorithm Acceleration | | | |
| PBKDF | Password-Based Key Derivation Function | | |
| PCT | Pairwise Consistency Test | | |
| POST | Power On Self Test | | |
| PR | Prediction Resistance | | |
| PSS | Probabilistic Signature Scheme | | |
| PUB | Publication | | |
| SHA | Secure Hash Algorithm | | |
| SSC | Shared Secret Computation | | |
| SSH | Secure Shell | | |
| SSKDF | Single Step Key Derivation Function | | |
| SSSE3 | Supplemental Streaming SIMD Extensions 3 | | |
| UEK | Oracle Linux Unbreakable Enterprise Kernel | | |
| TLS | Transport Layer Security | | |

Table 13: Acronyms



References

The FIPS 140-2 standard, and information on the CMVP, can be found at

<u>http://csrc.nist.gov/groups/STM/cmvp/index.html</u>. More information describing the module can be found on the Oracle web site at <u>https://www.oracle.com/linux/</u>.

This Security Policy contains non-proprietary information. All other documentation submitted for FIPS 140-2 conformance testing and validation is "Oracle - Proprietary" and is releasable only under appropriate non-disclosure agreements.

| Document | Author | Title |
|------------------------|----------------------|--|
| FIPS PUB 140-2 | NIST | FIPS PUB 140-2: Security Requirements for Cryptographic Modules |
| FIPS IG | NIST | Implementation Guidance for FIPS PUB 140-2 and the Cryptographic |
| | | Module Validation Program |
| FIPS PUB 140-2 Annex A | NIST | FIPS 140-2 Annex A: Approved Security Functions |
| FIPS PUB 140-2 Annex B | NIST | FIPS 140-2 Annex B: Approved Protection Profiles |
| FIPS PUB 140-2 Annex C | NIST | FIPS 140-2 Annex C: Approved Random Number Generators |
| FIPS PUB 140-2 Annex D | NIST | FIPS 140-2 Annex D: Approved Key Establishment Techniques |
| DTR for FIPS PUB 140-2 | NIST | Derived Test Requirements (DTR) for FIPS PUB 140-2, Security |
| | | Requirements for Cryptographic Modules |
| NIST SP 800-67 | NIST | Recommendation for the Triple Data Encryption Algorithm TDEA |
| | | Block Cipher |
| FIPS PUB 197 | NIST | Advanced Encryption Standard |
| FIPS PUB 198-1 | NIST | The Keyed Hash Message Authentication Code (HMAC) |
| FIPS PUB 186-4 | NIST | Digital Signature Standard (DSS) |
| FIPS PUB 180-4 | NIST | Secure Hash Standard (SHS) |
| NIST SP 800-131Ar1 | NIST | Recommendation for the Transitioning of Cryptographic Algorithms |
| | | and Key Sizes |
| PKCS#1 | RSA Laboratories | PKCS#1 v2.1: RSA Cryptographic Standard |
| RFC 5288 | https://tools.ietf.o | AES Galois Counter Mode (GCM) Cipher Suites for TLS |
| | rg/html/rfc5288 | |

Table 14: References