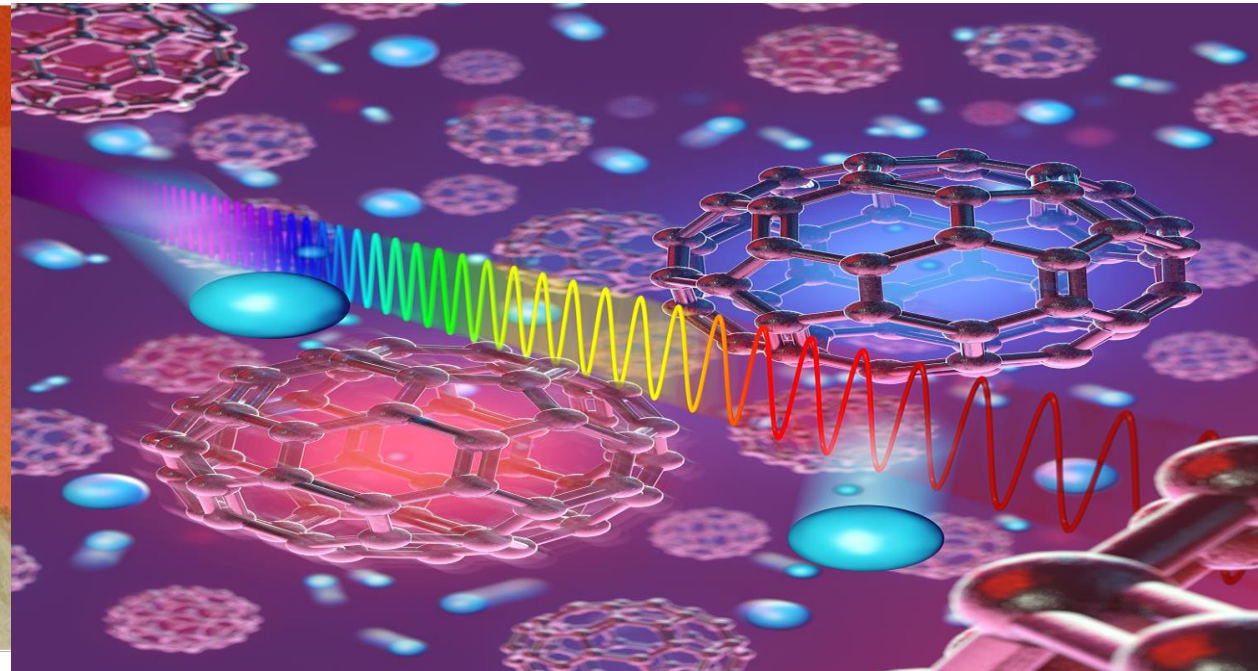


# Introductory Webinar NIST Automotive Cybersecurity Community of Interest

February 7, 2023

# Agenda

- Introduction and the AutoSec COI  
Suzanne Lightman
- NIST AV Project  
Craig Schlenoff
- Supply Chain Project  
Jon Boyens
- Code Signing NISTIR and Post-Quantum Cryptography  
Andrew Regenscheid
- NIST AI Project  
Elham Tabassi
- AI Attack Taxonomy  
Apostol Vassilev
- Dioptra  
Harold Booth
- EVSE Project  
James McCarthy



## ABOUT US

### NIST Mission

To promote U.S. innovation and industrial competitiveness by advancing *measurement science*, *standards*, and *technology* in ways that enhance economic security and improve our quality of life

# Automotive Cybersecurity Community of Interest (AutoSec COI)

- Provide a communication channel to the industry for NIST work
- Allow industry participants to engage with NIST on work that they find relevant
- Assist NIST in identifying possible areas of work that would enhance the cybersecurity of vehicles and the transportation sector

# Procedures for NIST AutoSec COI



Periodic webinars on NIST work of interest to the community

Announcements of events and activities

Updates on on-going projects

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# NIST's Automated Vehicle Effort

2/07/2023

Core Question: How Can NIST Advanced Standards and Support the Measurement of Automated Vehicles?





# Scope and Process (FY22)

- Scope The Effort:
  - Focus on **on-road** (e.g., personal vehicles, long-haul trucking, service vehicles such as Uber/Lyft) automated vehicles
- Don't Step on Other's Toes:
  - Landscape document lists and describes major AV efforts in other Federal agencies
- Leverage NIST's Strengths:
  - NIST AV efforts document lists and describes NIST AV efforts broken down into AI, cybersecurity, communications, perception, and safety
- Hear From Our Stakeholders!



Department	Proposed areas of collaboration
US DOT/NHTSA	<ul style="list-style-type: none"> <li>• Assistance with SRI development for sensors</li> <li>• Safety-related measures development</li> <li>• Cybersecurity metrics and measurement</li> <li>• Communication system evaluation</li> <li>• AI/ML implementation and measurement</li> <li>• Provision of additional expertise</li> </ul>
NSF	<ul style="list-style-type: none"> <li>• Assistance with tech transfer</li> <li>• Publicizing NSF-supported research by integration with NIST work</li> </ul>
DHS	<ul style="list-style-type: none"> <li>• Provide expertise</li> </ul>
GSA	<ul style="list-style-type: none"> <li>• Assistance with operational guidelines</li> </ul>
DOD	<ul style="list-style-type: none"> <li>• Provide expertise</li> <li>• Collaborative research in relevant areas                             <ul style="list-style-type: none"> <li>○ AI/ML</li> <li>○ Supply chain</li> <li>○ Cybersecurity measures</li> <li>○ Workforce</li> </ul> </li> </ul>

## SERI FY 2022 – Autonomous Vehicle Measurement Science, Standards, and Test Methods Program Ongoing Efforts at NIST

**Milestone task:** Map recommended efforts in on-road autonomous vehicles to existing NIST efforts to identify opportunity areas as well as existing areas that are not of high priority to our stakeholders.

**Approach:** Compare the challenges/opportunities uncovered at the NIST workshop with existing autonomous vehicle efforts at NIST.

### Purpose

This document summarizes key priority areas from our stakeholders in the development of on-road autonomous vehicles (referred to as AVs throughout this document), as extracted from Deliverable #3. This document then maps ongoing NIST efforts in on-road autonomous vehicles with respect to the key priorities identified by our stakeholders.

### Identification of high priority areas to our stakeholders

During the workshop, focus groups, and individual interviews conducted by NIST, the following five areas were highlighted by several stakeholders: a) Artificial Intelligence (AI), b) Cybersecurity, c) Communication, d) Sensor Perception, and e) Safety. The topics of high priority areas to address in AVs that were highlighted by the participants are listed below:

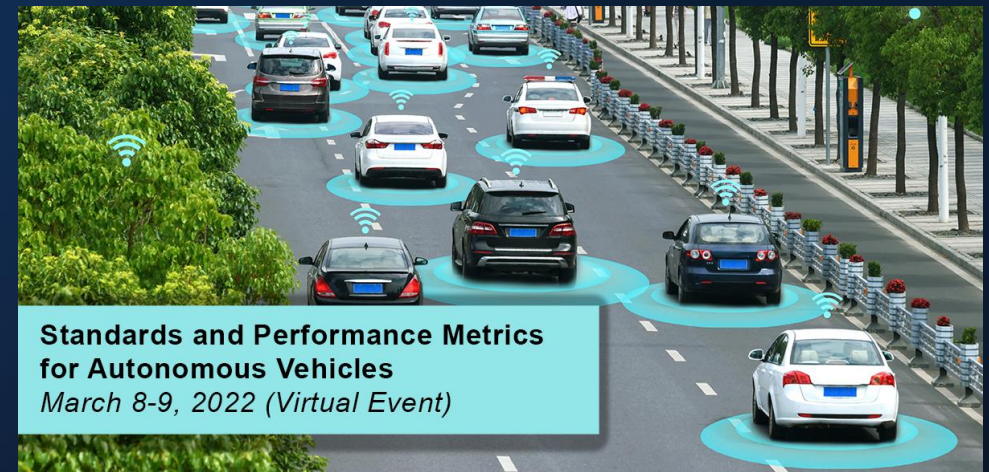
#### 1. Artificial Intelligence:

- Gaps in standards and metrology (including tests) for trustworthy AI for AVs, including:
  - Accuracy: This trustworthiness attribute captures the broad notion of whether the machine learning model is correctly capturing a relationship that exists within training data. False positive and false negative rates are often used to measure (aggregate) accuracy for tasks such as recognition, detection, or estimation. There is a need to measure the likelihood of failures and their system-level vehicle and driving environment impact.

# How Can NIST Advanced Standards and Support the Measurement of Automated Vehicles?

To answer this question, NIST conducted the following:

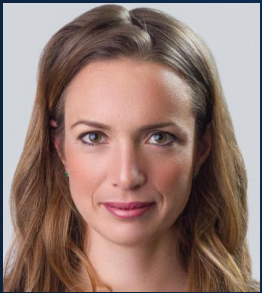
- Conducted 65 one-on-one stakeholder interviews
- Facilitated 4 focus group meetings with 36 domain experts
- Hosted an automated vehicles workshop (811 attendees)
  - Workshop web site: <https://www.nist.gov/news-events/events/2022/03/standards-and-performance-metrics-road-autonomous-vehicles>
  - Workshop report: [https://www.nist.gov/system/files/documents/noindex/2022/05/24/AV%20Workshop%20Summary\\_Draft.pdf](https://www.nist.gov/system/files/documents/noindex/2022/05/24/AV%20Workshop%20Summary_Draft.pdf)





# Workshop Speakers and Attendees

Kyle Davis and Andrew Beasley  
Biden-Appointed Strategic Policy  
Fellows  
Office of Science and Technology Policy



**Nellie Abernathy**  
Director  
Office of Policy and  
Strategic Planning  
Department of Commerce



**Tim Kurth**  
Chief Counsel (R)  
Commerce of the House  
Committee on Energy &  
Commerce



**Robyn Robertson**  
President & CEO of Traffic  
Injury Research Foundation



**Edward Straub**  
Executive Director of Automated  
Vehicle Safety Consortium  
SAE



**Jack Weast**  
CTO, Corporate Strategy Office  
Intel



**Bert Kaufman**  
Head of Public Policy  
and Regulatory Affairs  
Zoox



**Scott McCormick**  
President  
Teleoperation Consortium



**Hussein Mehanna**  
Vice President of AI/ML  
Cruise



**Alberto Lacaze**  
CEO  
Robotic Research



**Dr. Trent Victor**  
Director of Safety Research  
and Best Practices  
Waymo



**Katherine McClaskey**  
Program Lead for Advanced  
Threats Security  
CISA



**Dr. Adam Campbell**  
Senior Manager Safety  
Innovation and Impact  
Gatik AI

# Organizations



Not within NIST scope

Within NIST scope and expertise/infrastructure is lacking (NIST can support agencies)

Within NIST scope and expertise/infrastructure is available

# What did stakeholders request that NIST can help with?

Create and enforce a baseline for AV safety systems testing

Enforce sensor specs that should be used in AVs

Create regulation on periodic testing and updating

Define the data that should be **measured** before, during, and after operation of automated vehicles

Provide **reference materials** for what infrastructure investment state and local governments should invest in

Collect **standardized** data from the DoT from accidents to develop representative testing environments

Provide classification and levels for AV components

Develop novel individual and fused sensor **measurement science** solutions for vehicles

Help define **testing** guidance for stakeholders to meet regulatory agency requirements

Develop mitigation **standards** for adversarial AI

Develop AV simulation-based **measurement science**

Advance **standards** with SAE, 3GP, and Teleoperation Consortium

Develop **measurement science** for traffic infrastructure that can support AVs

Develop **metrics** to identify what aspects of AVs should be measured to ensure safety

Create **test models** and **measurement science** for AV communications

Foster a community of stakeholders to agree on common **taxonomies** and **standards**

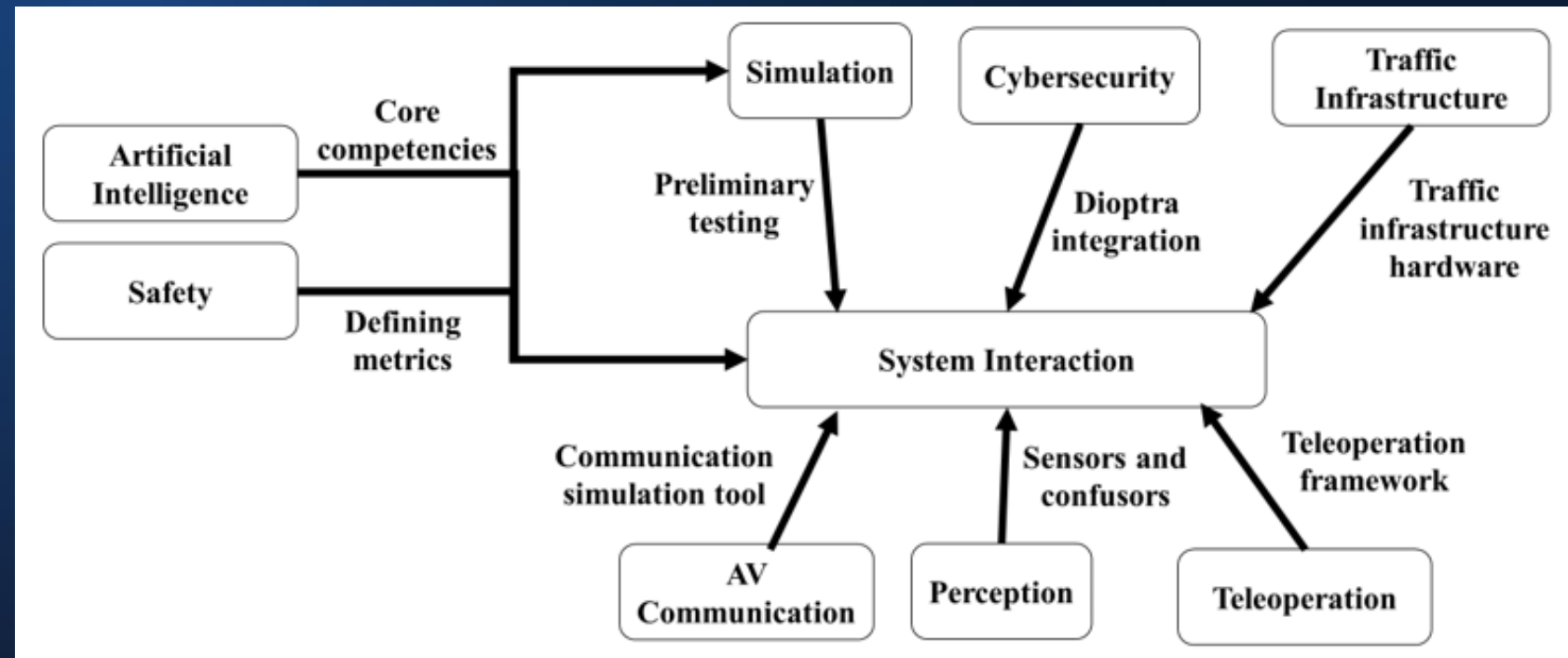
Be a one-stop-shop for pointers to relevant autonomous vehicle **standards**

**Measure** how different parts of an AV work together

"Do you know that NIST cybersecurity framework? Just do that for autonomous vehicles."

## The NIST AV Program/Implementation Plan

- Developed a 44-page Program Plan for possible NIST AV focused efforts
- Specific efforts include:
  - Systems Interaction
  - Artificial Intelligence
  - Communications
  - Cybersecurity
  - Perception
  - Safety Quantification
  - Simulation
  - Teleoperation
  - Traffic Infrastructure

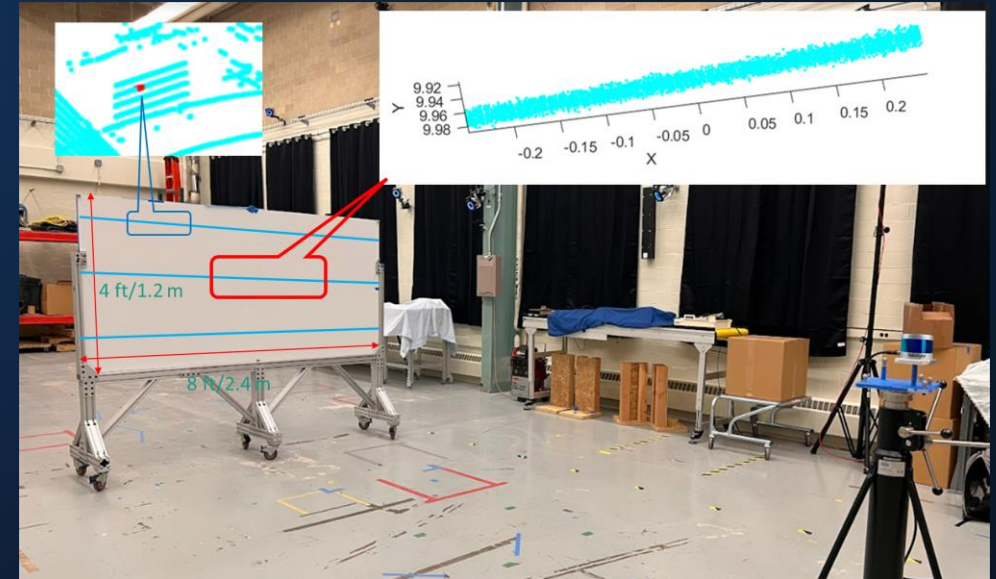




# NIST Strategic and Emerging Research Initiatives (SERI) Project October 2022 – September 2024

## System Level Testing

- a) Assessing Automotive Sensor Perception**  
Develop a sensor testbed facility that stakeholders can use for **characterization** of their automotive sensors.
- b) Minimizing Risk in AI**  
Develop a simulation testbed for **testing** and minimizing risk for AI algorithms used in on-road automated vehicles.
- c) Measuring Cybersecurity**  
Develop a testbed for **measuring** adversarial machine learning and defensive mitigations.
- d) Evaluating Communication Technologies**  
Develop a simulation tool for stakeholders to validate **testing methods** that assess vehicle communications



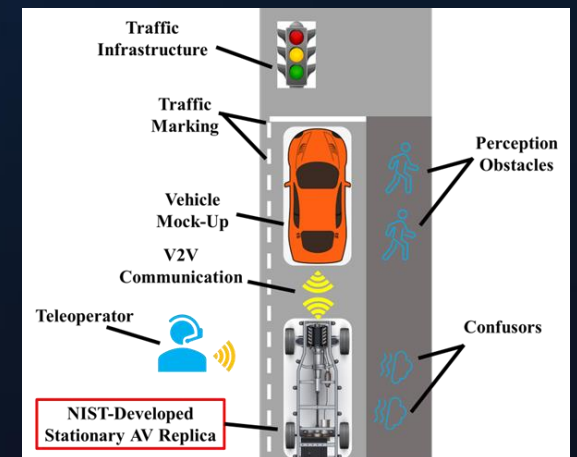
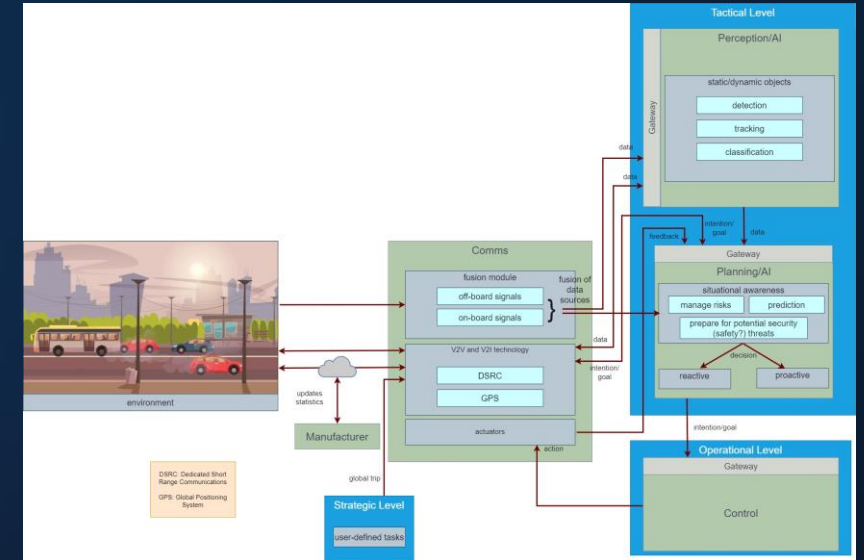


# NIST Strategic and Emerging Research Initiatives (SERI) Project

## October 2022 – September 2024

### Complete Vehicle Behavior Based on System Interaction

- Develop a testbed to **evaluate** system interaction in automated vehicles in a subset of SAE’s behavioral competencies.
  - Maintaining a lane
  - Changing lanes
  - Navigating intersection
  - Navigating unstructured roadways
  - Navigating parking
  - Responding to other vehicles
- Perturb the system at points and determine the effect on the overall AV performance. For example:
  - Degrade communications between the test vehicle and the environment
  - Simulate a cybersecurity attack on some portion of the system
  - Introduce compromised sensor input
- Planning a workshop for the September 2023 timeframe to discuss progress.



# Testing Approach



Simulation



Structured Environment



Test Track

# Join our Google Group to stay up to date!

Email [autonomousvehicles+subscribe@list.nist.gov](mailto:autonomousvehicles+subscribe@list.nist.gov) from the email address that you would like to have added to the mailing list

OR

Go to <https://groups.google.com/a/list.nist.gov/g/autonomousvehicles> and click the “Ask To Join” button

**NIST**

**National Institute of  
Standards and Technology**  
U.S. Department of Commerce

# ***Cybersecurity Supply Chain Risk Management (C-SCRM)***

**Jon Boyens**  
*Computer Security Division  
IT Laboratory*





# Technology and Non-Technology Dependencies

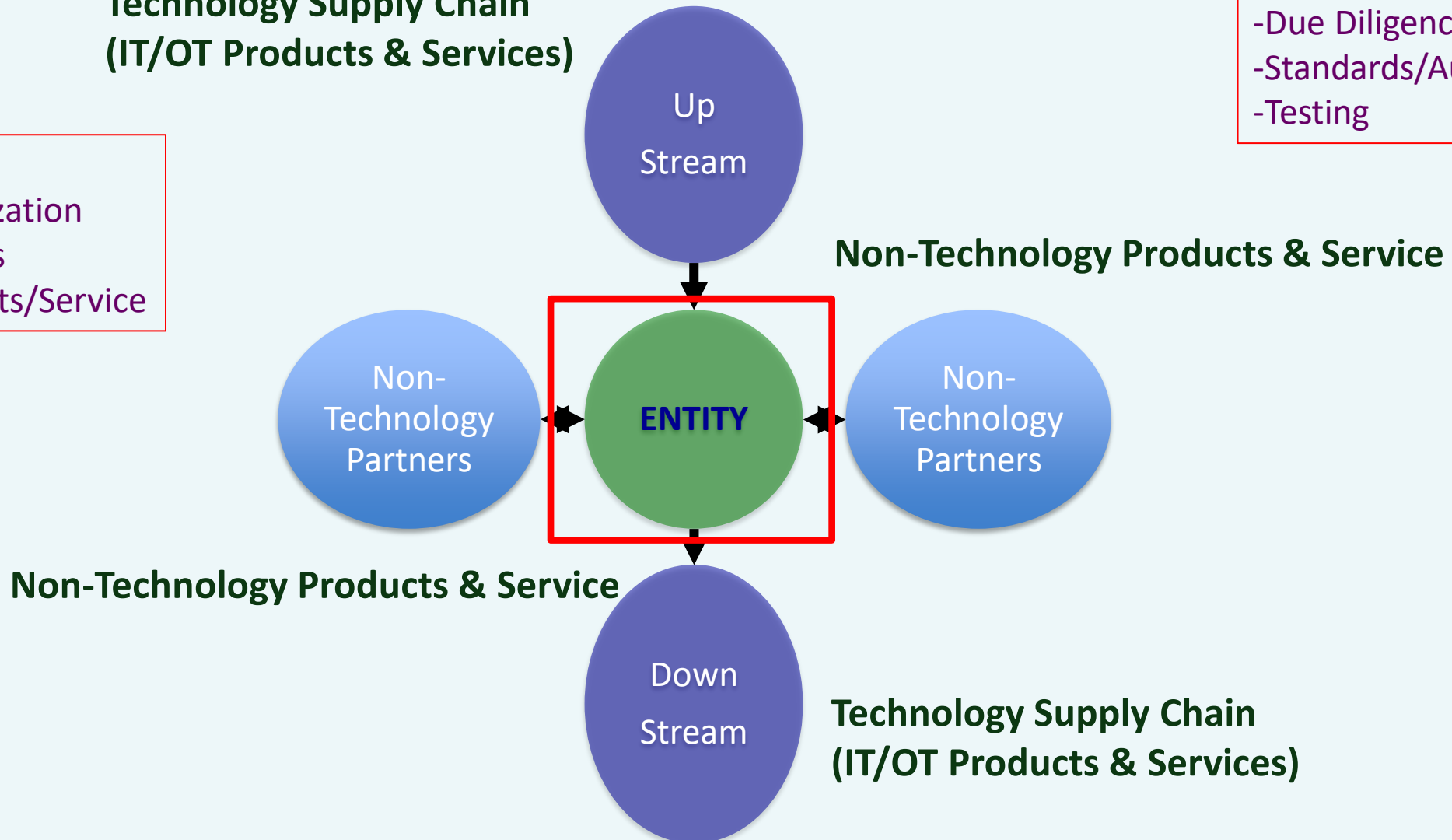
Technology Supply Chain  
(IT/OT Products & Services)

## But Verify

- Due Diligence
- Standards/Audits
- Testing

## TRUST

- Organization
- Process
- Products/Service





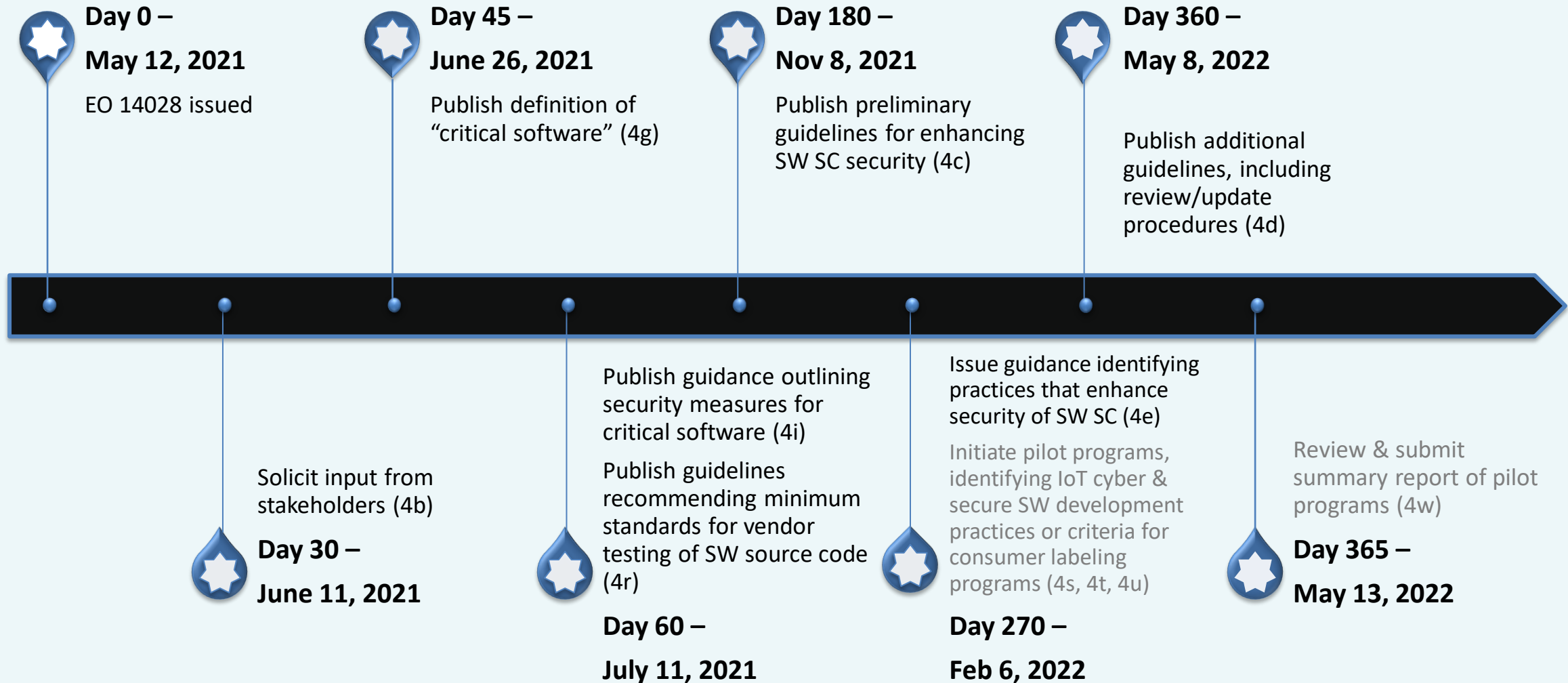
# Cybersecurity Risks in Supply Chains

- Counterfeit products
- Hardware or software delivered with vulnerabilities, malware or inserted post-delivery
- Third and N<sup>th</sup> Party - Vulnerabilities in systems and networks used by supply chain partners
- Insider Threat (including non-adversarial)
- Poor quality manufacturing, development, maintenance, or disposal practices
- Cybersecurity risks in NON-technology products and services

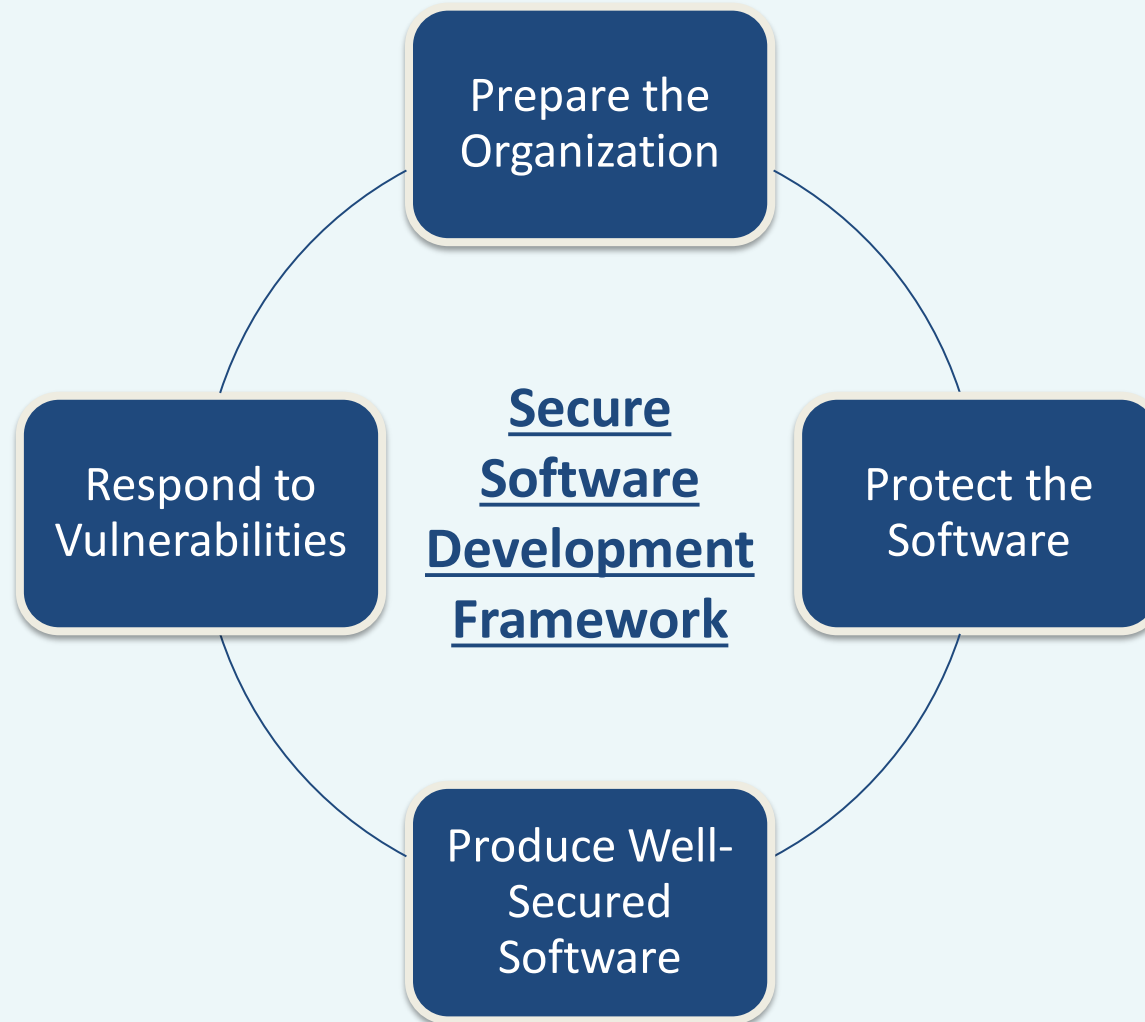
# C-SCRM Resources

- Draft SP 1800-34a/b/c: Validating the Integrity of Computing Devices (NCCoE Public-Private Collaboration)
- SP 800-161 Revision 1, *Cybersecurity Supply Chain Risk Management Practices for Systems and Organizations* (May 2022)
  - Includes guidance stemming from EO 14028, e.g. SBOMs, OSS, Vulnerability Management, Enhanced Vendor Risk Assessments
- SP 800-218, *Secure Software Development Framework*. (February 2022)
- NISTIR 8276, *Key Practices in Cyber Supply Chain Risk Management* (February 2021)
- Integrate C-SCRM into other NIST guidance, e.g. NIST SPs 800-53r5 and 800-37r2, NIST Cybersecurity Framework
- Software and Supply Chain Assurance (SSCA) Forum: bringing industry, academia, and government together since 2003

# EO 14028 Section 4 Tasks and Timelines



# NIST SP 800-218, Secure Software Development Framework (SSDF) Practice Groups



# Elements of an SSDF Practice

Practices	Tasks	Notional Implementation Examples	References
<p><b>Identify and Confirm Vulnerabilities on an Ongoing Basis (RV.1):</b> Help ensure that vulnerabilities are identified more quickly so that they can be remediated more quickly in accordance with risk, reducing the window of opportunity for attackers.</p>	<p><b>RV.1.1:</b> Gather information from software acquirers, users, and public sources on potential vulnerabilities in the software and third-party components that the software uses, and investigate all credible reports.</p>	<p><b>Example 1:</b> Monitor vulnerability databases<sup>9</sup>, security mailing lists, and other sources of vulnerability reports through manual or automated means.</p> <p><b>Example 2:</b> Use threat intelligence sources to better understand how vulnerabilities in general are being exploited.</p> <p><b>Example 3:</b> Automatically review provenance and software composition data for all software components to identify any new vulnerabilities they have.</p>	<p><b>BSAFSS:</b> VM.1-3, VM.3  <b>BSIMM:</b> AM1.5, CMVM1.2, CMVM2.1, CMVM3.4, CMVM3.7  <b>CNCFSSCP:</b> Securing Materials—Verification  <b>EO14028:</b> 4e(iv), 4e(vi), 4e(viii), 4e(ix)  <b>IEC62443:</b> DM-1, DM-2, DM-3  <b>ISO29147:</b> 6.2.1, 6.2.2, 6.2.4, 6.3, 6.5  <b>ISO30111:</b> 7.1.3  <b>OWASPSAMM:</b> IM1-A, IM2-B, EH1-B  <b>OWASPSCVS:</b> 4  <b>PCISSLC:</b> 3.4, 4.1, 9.1  <b>SCAGILE:</b> Operational Security Task 5  <b>SCFPSSD:</b> Vulnerability Response and Disclosure  <b>SCTPC:</b> MONITOR1  <b>SP80053:</b> SA-10, SR-3, SR-4  <b>SP800161:</b> SA-10, SR-3, SR-4  <b>SP800181:</b> K0009, K0038, K0040, K0070, K0161, K0362; S0078</p>

**Task:** An individual action (or actions) needed to accomplish a practice

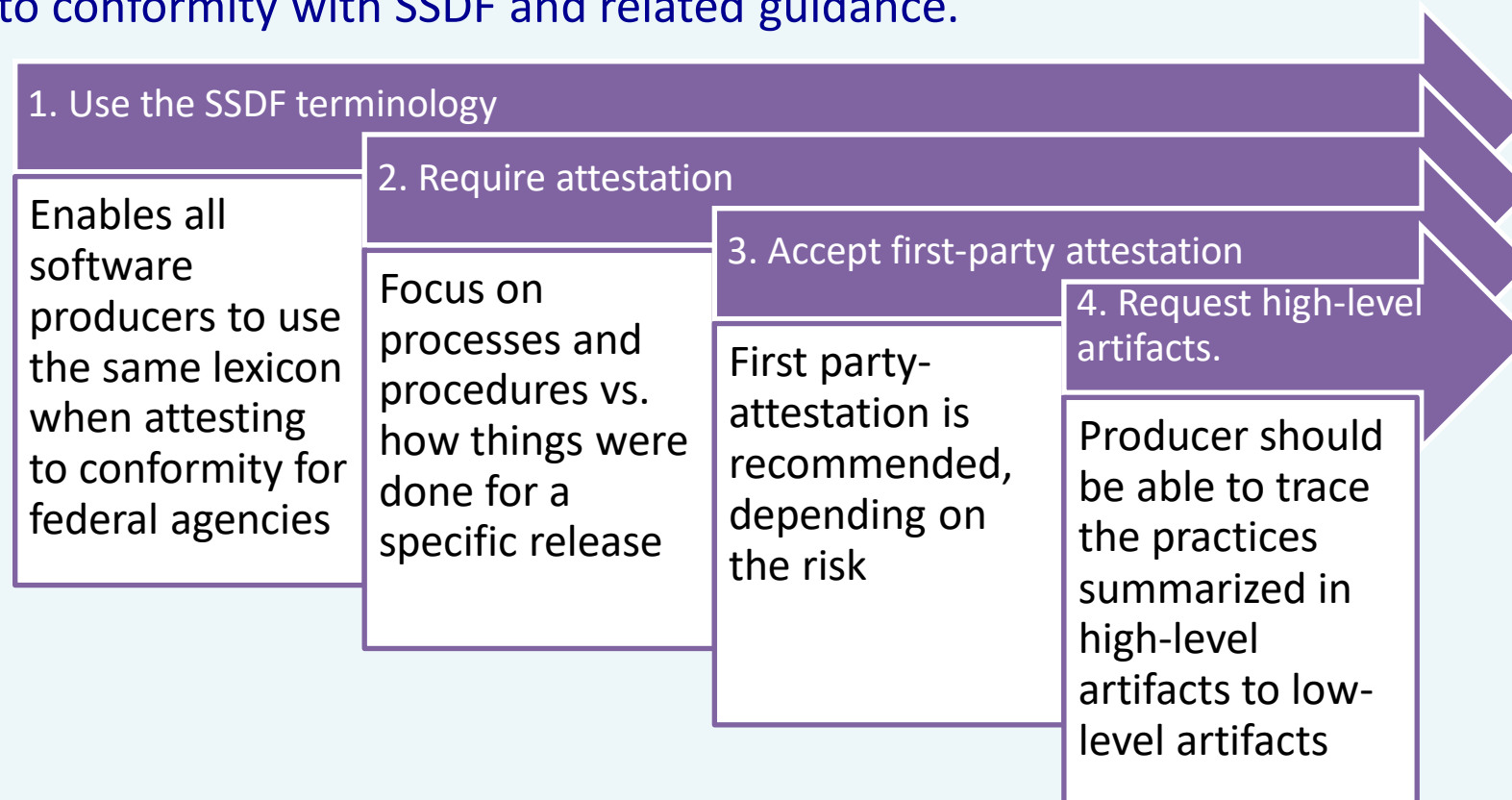
**Implementation Example:** An example of a type of tool, process, or other method that could be used to implement this task

**Reference:** An established secure development practice document and its mappings to this task



# Secure Software Attestation Guidance

- The EO directs NIST to issue guidance identifying practices that enhance the security of the software supply chain for producers and purchasers and then directs OMB to require federal agencies to comply with NIST guidelines with respect to software procured after the date of the order. NIST has guidance for attesting to conformity with SSDF and related guidance.



# SP 800-161 Revision 1, Appendix F

## EO 14028 Sections 4(c)/(d) Response

### Guidance for Software Supply Chain Security

Software supply chain security concepts are a critical sub-discipline within C-SCRM

Available online to allow for update to guidance.



#### EO through the lens of 800-161

EO Critical Software & Measures

Software Verification

SSDF & Attestations

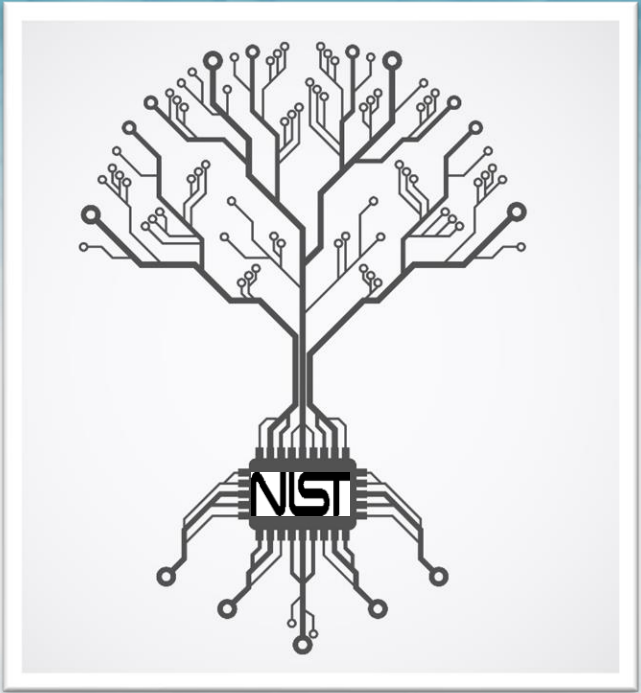
#### Emerging Concepts

Software Bill of Materials (SBOM)

Enhanced Vendor Risk Assessments

Open Source Software Controls

Vulnerability Management



**Email: [scrm-nist@nist.gov](mailto:scrm-nist@nist.gov)**

**Visit: <http://scrm.nist.gov>**



# CRYPTOGRAPHIC TECHNOLOGIES:

## *Quantum-Resistant Algorithms and Code Signing*

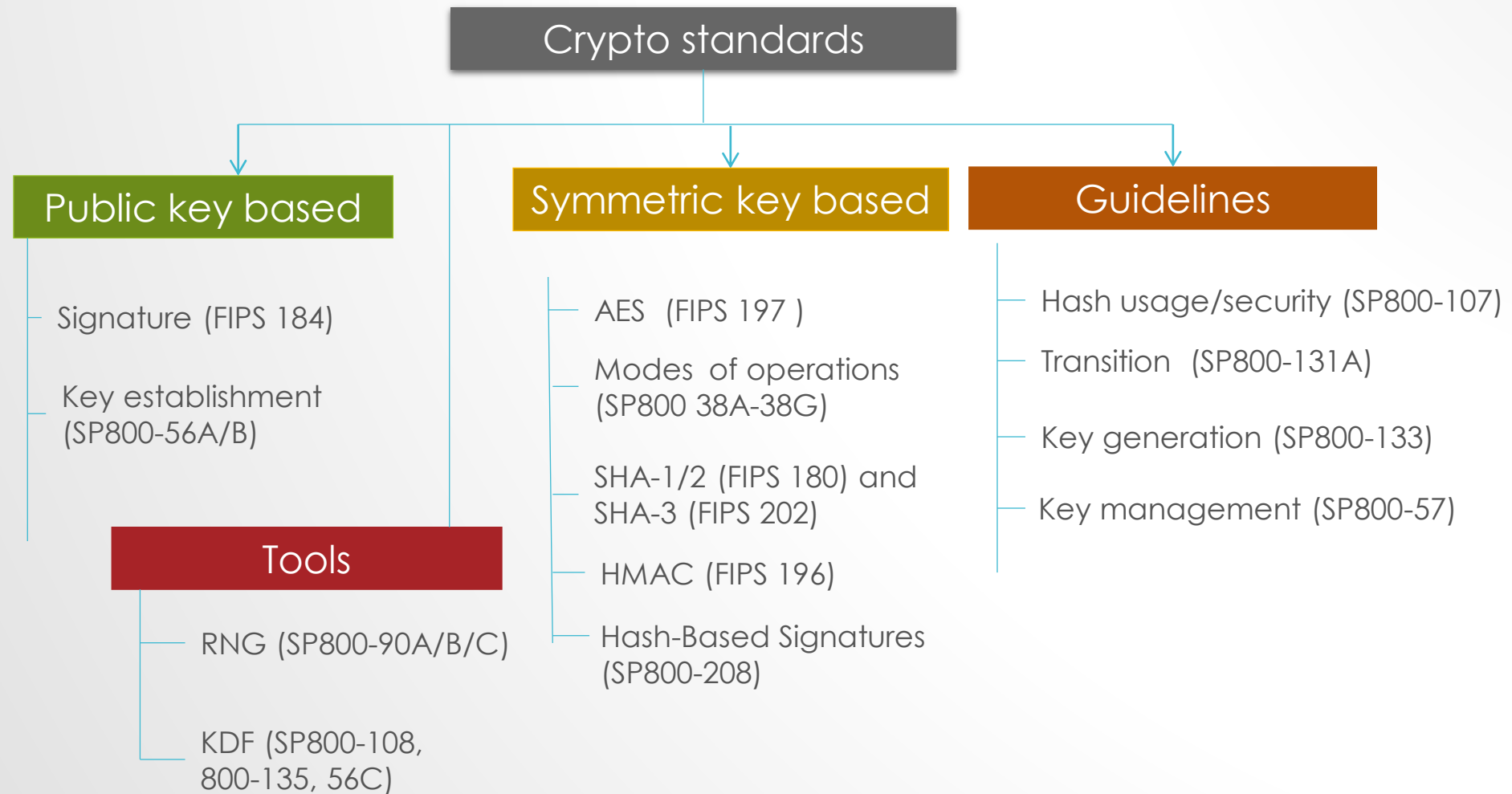
Andrew Regenscheid  
Cryptographic Technology Group

Murugiah Souppaya  
Computer Security Division



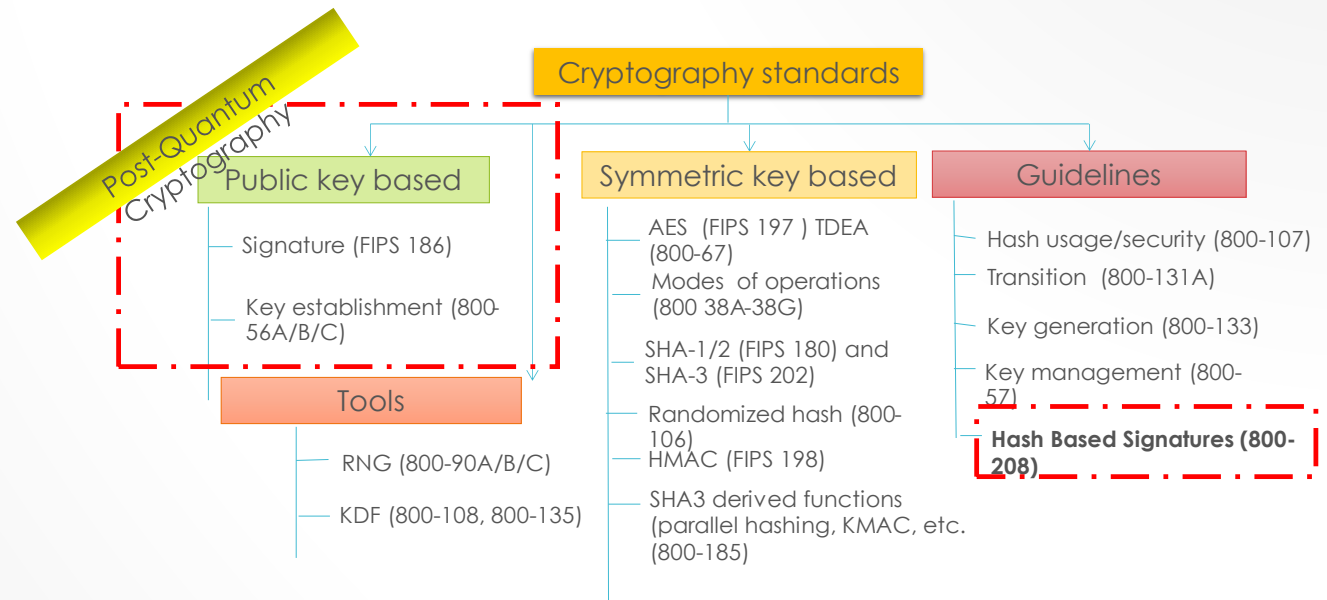


# CRYPTOGRAPHY STANDARDS



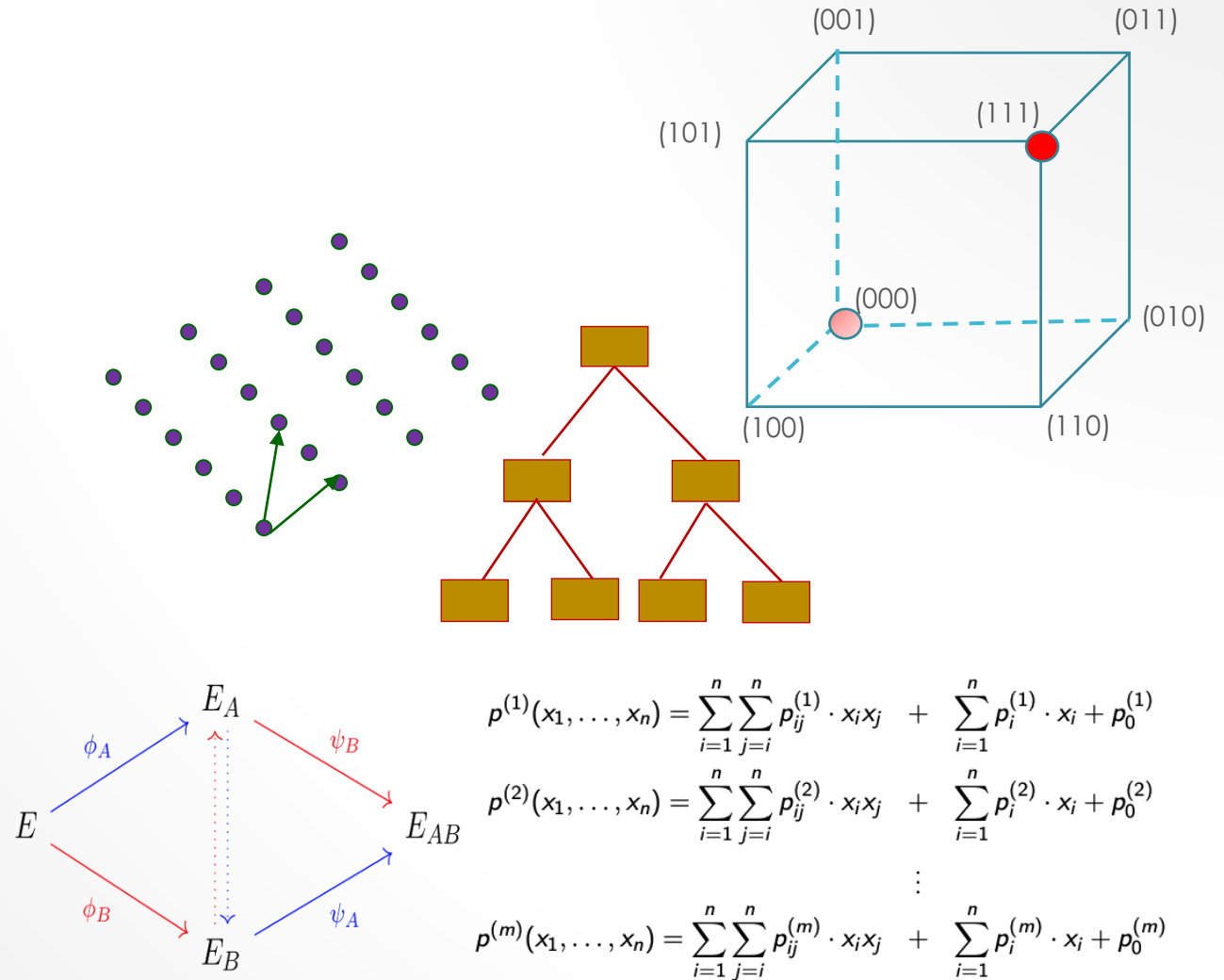
# CRYPTOGRAPHY STANDARDS

- **Shor's Algorithm**– Efficiently (polynomial-time) solve problems underpinning current public key cryptosystems
  - *Factorization*– RSA
  - *Discrete Logarithms*– ECDSA, Diffie-Hellman
- The well-deployed key establishment and digital signature algorithms will need to be replaced to prepare for quantum era
- Quantum computing also impacted security strength of symmetric key based cryptography algorithms – manageable by increasing key size



# POST-QUANTUM CRYPTOGRAPHY

- PQC has been a very active research area in the past decade
- Some actively researched PQC categories include
  - Lattice-based
  - Code-based
  - Multivariate
  - Hash/Symmetric key -based signatures
  - Isogeny-based schemes





# SELECTED PQC ALGORITHMS

Key Encapsulation	Digital Signatures
<i>Lattice-Based:</i> <ul style="list-style-type: none"><li>• CRYSTALS-Kyber</li></ul>	<i>Lattice-Based</i> <ul style="list-style-type: none"><li>• CRYSTALS-Dilithium</li><li>• Falcon</li></ul> <i>Hash-Based</i> <ul style="list-style-type: none"><li>• SPHINCS+</li></ul>

## 4<sup>th</sup> round KEMs

- Classic McEliece
- BIKE
- HQC
- SIKE

## Onramp signatures

New call for additional signatures—preferably for signatures based on non-lattice problems.

**Due: June 1, 2023**

- **National Security Memorandum on Promoting United States Leadership in Quantum Computing While Mitigating Risks to Vulnerable Cryptographic Systems**
  - *“Mitigating the Risks to Encryption. ... To mitigate this risk, the United States must prioritize the timely and equitable transition of cryptographic systems to quantum-resistant cryptography, with the goal of mitigating as much of the quantum risk as is feasible by 2035.”*
- NIST will provide transition guidelines to PQC standards
- NIST National Center of Cybersecurity Excellence [Migration to Post-Quantum Cryptography Project](#)

## National Cybersecurity Center of Excellence (NCCoE)

Accelerate adoption of secure technologies: collaborate with innovators to provide real-world, standards-based cybersecurity capabilities that address business needs



**DEFINE**



**ASSEMBLE**



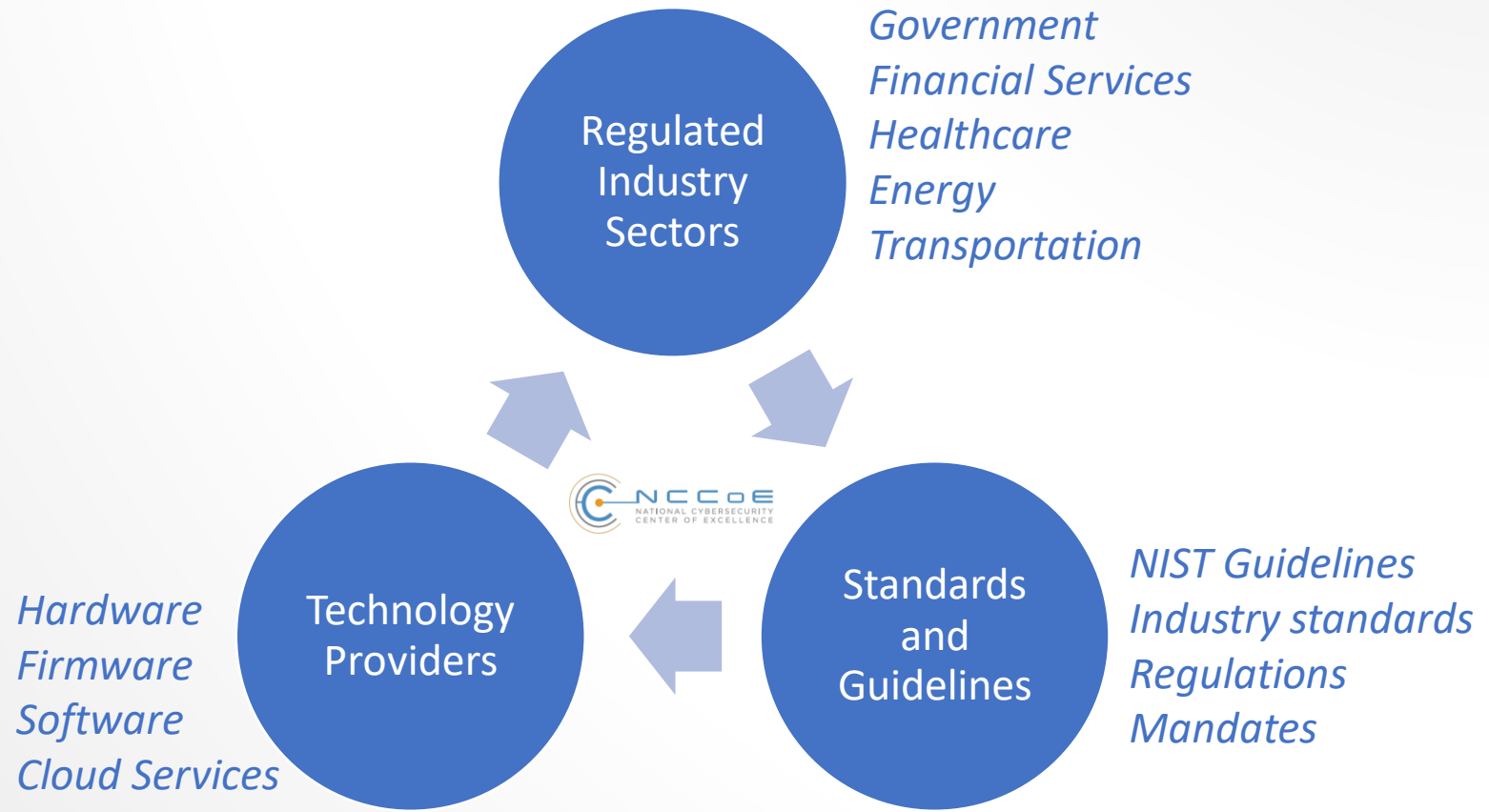
**BUILD**



**ADVOCATE**

Practice Guide SP 1800

## Engagement Model



# NCCOE– MIGRATION TO PQC PROJECT



- Complement NIST PQC standardization effort
- Tackle challenges with adoption, implementation, and deployment of PQC
- Engage with the community including industry collaborators and across government to bring awareness to the issues involved in migrating to post-quantum algorithms
- Coordinate with standard developing organization and government and industry sectors community to develop guidance to accelerate the migration
- Leverage automated tools to discover use of quantum vulnerable cryptography within an organization in hardware, firmware, software, protocols, and services and use a risk-based approach to prioritize their replacement
- Perform interoperability and performance tests across different technology and protocols to include TLS, QUIC, code signing, public key certificates, hardware security modules, etc.

**NIST** National Institute of Standards and Technology U.S. Department of Commerce  
**NCCOE** NATIONAL CYBERSECURITY CENTER OF EXCELLENCE

## MIGRATION TO POST-QUANTUM CRYPTOGRAPHY

The National Cybersecurity Center of Excellence (NCCOE) is collaborating with stakeholders in the public and private sectors to bring awareness to the challenges involved in migrating from the current set of public-key cryptographic algorithms to quantum-resistant algorithms. This fact sheet provides an overview of the Migration to Post-Quantum Cryptography project, including background, goal, challenges, and potential benefits.

**BACKGROUND**  
The advent of quantum computing technology will render many of the current cryptographic algorithms ineffective, especially public-key cryptography, which is widely used to protect digital information. Most algorithms on which we depend are used worldwide in components of many different communications, processing, and storage systems. Once access to practical quantum computers becomes available, all public-key algorithms and associated protocols will be vulnerable to adversaries. It is essential to begin planning for the replacement of hardware, software, and services that use public-key algorithms now so that information is protected from future attacks.

**GOAL**  
The initial scope of this project will include engaging industry to demonstrate the use of automated discovery tools to identify instances of quantum-vulnerable public-key algorithm use, where they are used in dependent systems, and for what purposes. Once the public-key cryptography components and associated assets in the enterprise are identified, the next project element is prioritizing those applications that need to be considered first in migration planning. Finally, the project will describe systematic approaches for migrating from vulnerable algorithms to quantum-resistant algorithms across different types of organizations, assets, and supporting technologies.

**CHALLENGES**

- Organizations are often unaware of the breadth and scope of application and function dependencies on public-key cryptography.
- Many, or most, of the cryptographic products, protocols, and services on which we depend will need to be replaced or significantly altered when post-quantum replacements become available.
- Information systems are not typically designed to encourage supporting rapid adaptations of new cryptographic primitives and algorithms without making significant changes to the system's infrastructure—requiring intense manual effort.
- The migration to post-quantum cryptography will likely create many operational challenges for organizations. The new algorithms may not have the same performance or reliability characteristics as legacy algorithms due to differences in key size, signature size, error handling properties, number of execution steps required to perform the algorithm, key establishment process complexity, etc. A truly significant challenge will be to maintain connectivity and interoperability among organizations and organizational elements during the transition from quantum-vulnerable algorithms to quantum-resistant algorithms.

**BENEFITS**  
The potential business benefits of the solution explored by this project include:

- helping organizations identify where, and how, public-key algorithms are being used on their information systems
- mitigating enterprise risk by providing tools, guidelines, and practices that can be used by organizations in planning for replacement/updating hardware, software, and services that use PQC-vulnerable public key algorithms
- protecting the confidentiality and integrity of sensitive enterprise data
- supporting developers of products that use PQC-vulnerable public key cryptographic algorithms to help them understand protocols and constraints that may affect use of their products




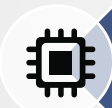



**DOWNLOAD PROJECT DESCRIPTION**  
This fact sheet provides a high-level overview of the project. To learn more, visit the project page: <https://www.nccoe.nist.gov/crypto-agility-considerations-migrating-post-quantum-cryptographic-algorithms>

**HOW TO PARTICIPATE**  
As a private-public partnership, we are always seeking insights from businesses, the public, and technology vendors. If you have questions about this project or would like to join the project's Community of Interest, please email [applied-crypto-pqc@nist.gov](mailto:applied-crypto-pqc@nist.gov)

<https://www.nccoe.nist.gov/crypto-agility-considerations-migrating-post-quantum-cryptographic-algorithms>



# MUCH WORK REMAINS

-  Operations
-  Infrastructure Modernization
-  PQC Adoption in Software/Systems
-  Hardware Acceleration/Support
-  Implementation in Cryptographic Libraries
-  Protocol/Application Standards
-   $\mathbb{Z}_q[X]$  Algorithm Standards

# CODE SIGNING SYSTEMS

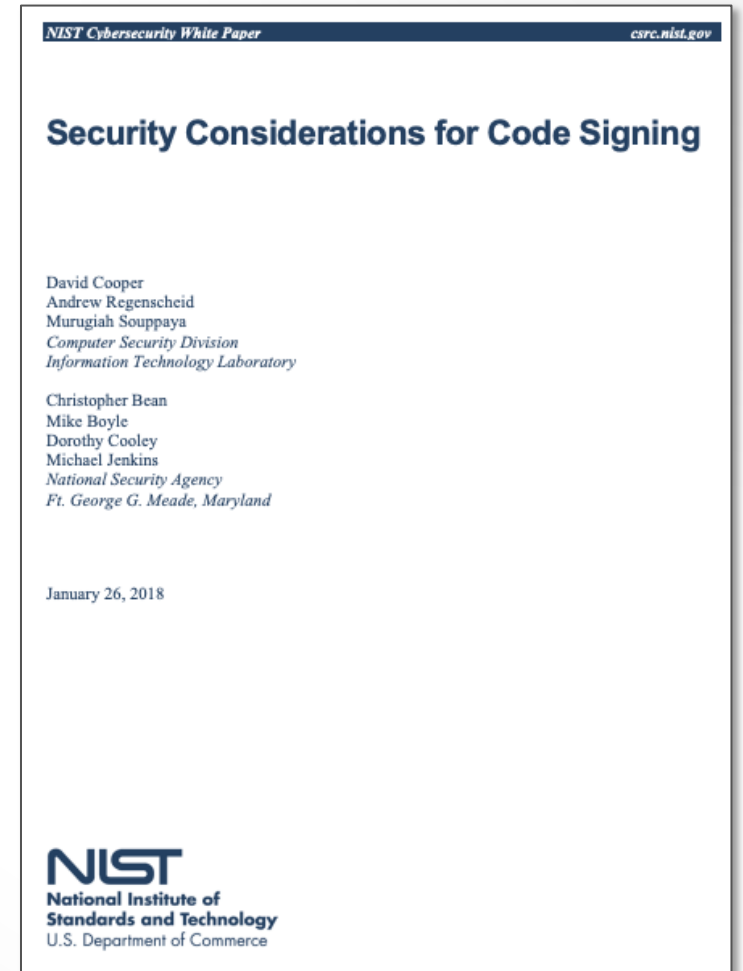


## NIST Whitepaper: *Security Considerations for Code Signing*

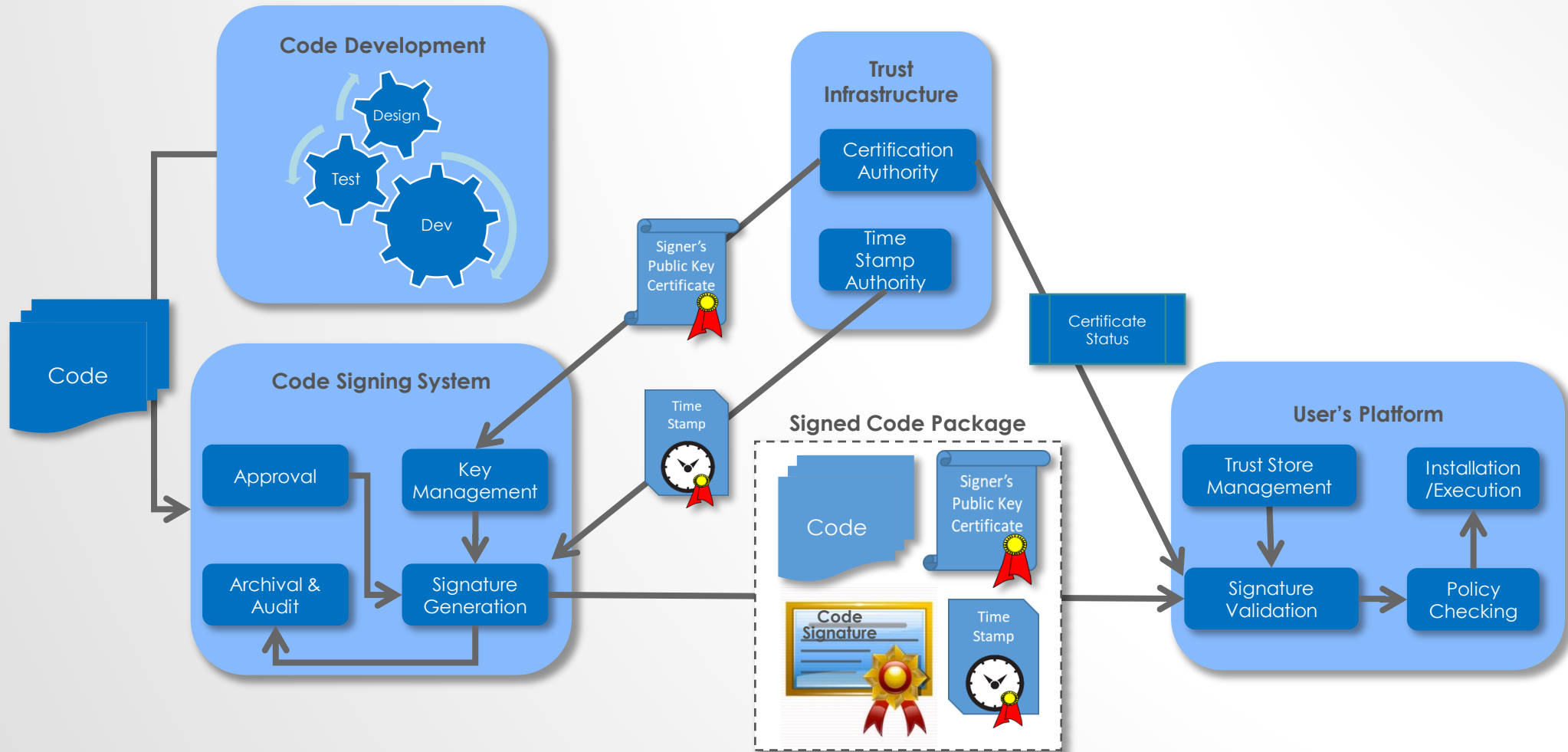
### Topics:

- Code signing overview
- Architectures and use cases
- Description of roles
- Major Threats
- Recommended security practices

<https://doi.org/10.6028/NIST.CSWP.01262018>



# CODE SIGNING



# CODE SIGNING RECOMMENDATIONS

- Identify and authenticate trusted users
- Separate roles and require two-party control
- Establish policies and procedures for reviewing, vetting and approving code
- Isolate and protect the Code Signing System
- Separate development, testing, and production infrastructures
- Utilize auditing and periodically review logs
- Develop revocation/recovery mechanisms for cases of key compromise or unauthorized signing

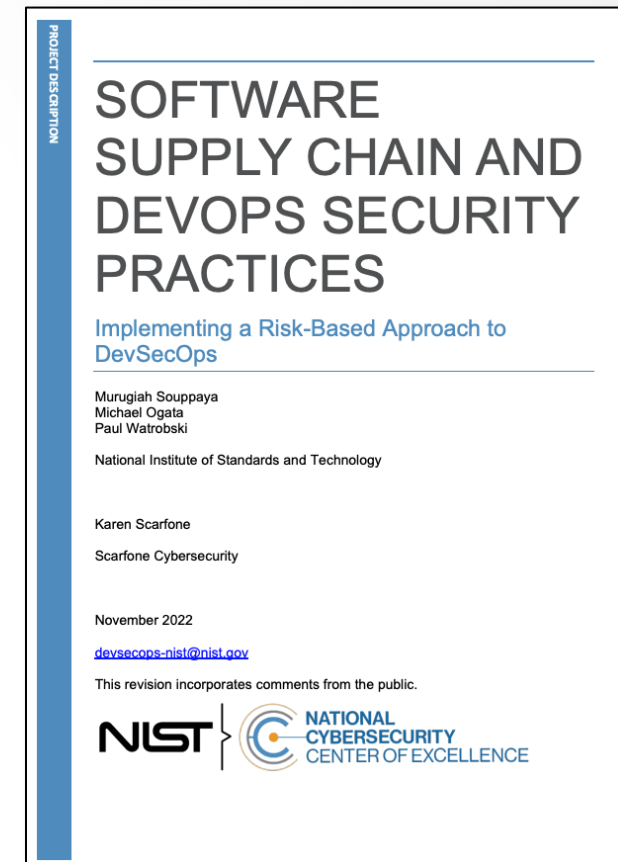




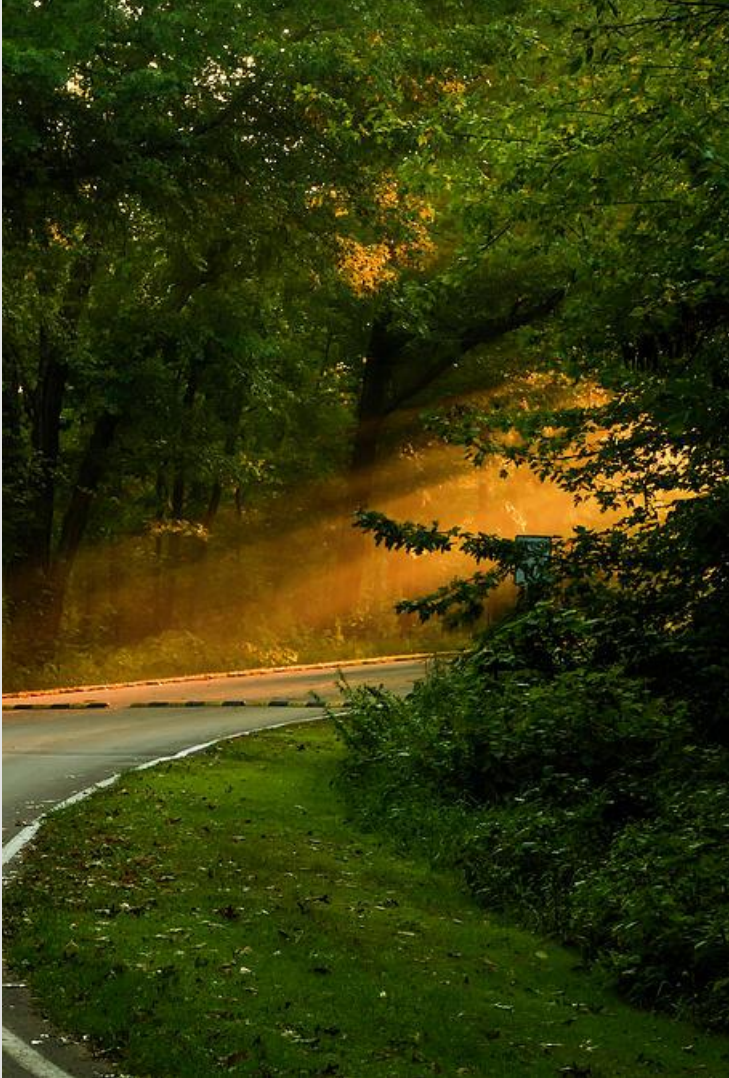
# NCCoE— DevSecOps Project



- Develop an applied risk-based approach and recommendations for secure DevOps and software supply chain practices consistent with the Secure Software Development Framework (SSDF), Cybersecurity Supply Chain Risk Management (C-SCRM), and other NIST, government, and industry guidance
- Apply these DevSecOps practices in proof-of-concept use case scenarios that will each be specific to a technology, programming language, and industry sector to produce practical and actionable guidelines that meaningfully integrate security practices into development methodologies
- Integrate automated security tools into the DevOps pipeline (development, integration, testing, build, and distribution)



<https://www.nccoe.nist.gov/projects/software-supply-chain-and-devops-security-practices>



## WRAP UP

### Post-Quantum Cryptography

- Prepare for future migration to quantum-resistant cryptography
- Identify current algorithms/schemes used
- Assess suitability of emerging standards

### Code Signing Systems

- Tailor guidelines for automotive use cases
- Software supply chain – code development through software updates

# PQC STANDARDIZATION PROJECT



## 2010-2015

NIST PQC project team builds  
First PQC conference

## 2016

Determined criteria and requirements, published [NISTIR 8105](#)  
Announced call for proposals

## 2017

Received 82 submissions  
Announced 69 1<sup>st</sup> round candidates

## 2018

Held the 1<sup>st</sup> NIST PQC standardization Conference

## 2019

Announced 26 2<sup>nd</sup> round candidates, [NISTIR 8240](#)  
Held the 2<sup>nd</sup> NIST PQC Standardization Conference

## 2020

Announced 3<sup>rd</sup> round 7 finalists and 8 alternate candidates. [NISTIR 8309](#)

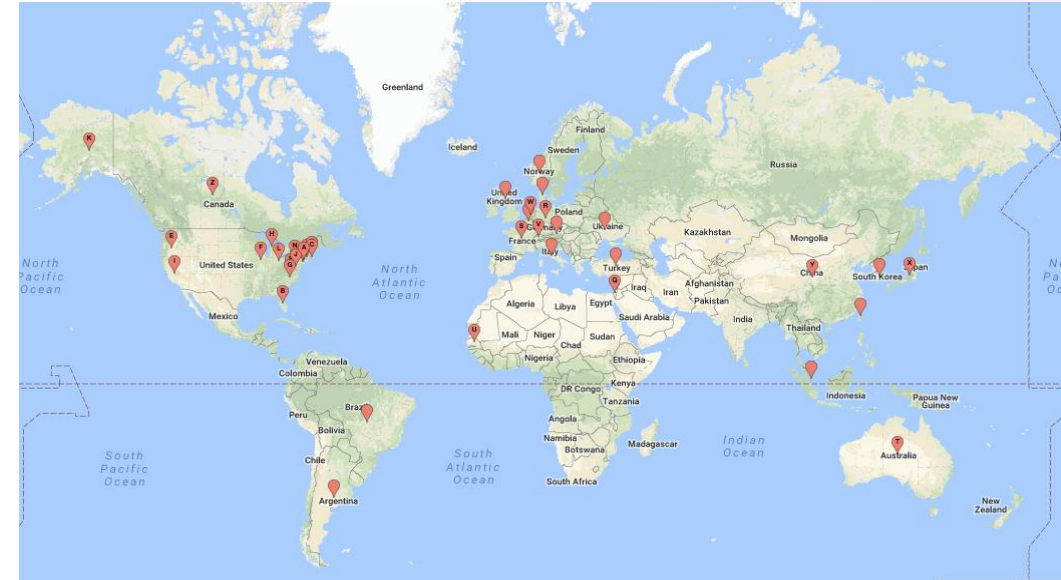
## 2021

Hold the 3<sup>rd</sup> NIST PQC Standardization Conference



**2022** Make 3<sup>rd</sup> round selection and draft standards

**2023** Release draft standards and call for public comments



# FIRMWARE UPDATES

## NIST SP 800-193, *Platform Firmware Resiliency Guidelines* & NIST SP 800-147, *BIOS Protection Guidelines*



### Protection

- *Firmware* updates are authenticated using digital signatures
- *Critical data* only updated through authorized channels and checked for validity



### Detection

- Verify integrity of *firmware* during boot
- Validate *critical data* via inspection before use (where possible), or detect signs of boot failures (e.g., watch dog timers)



### Recovery

- Capability to restore code/data through automated or manual means
- Firmware recovery images verified through digital signatures (like an update)
- Capability to backup known-good copies of critical data



# NIST AI project

Elham Tabassi



Adobe Acrobat  
Document



# A Taxonomy of Attacks and Mitigations

Apostol Vassilev

Computer Security Division

02/07/2023

## Adversarial ML



## Machine Learning is Risky

The [NIST ALRMF](#) identifies many different sources of risk:

- > Inherent: e.g., unwanted bias, errors in the data, implementation flaws in the model, cybersecurity flaws in the platform on which the ML models is deployed.
- > Adversarial: deliberate actions by motivated experienced adversaries aiming to disrupt/evade/compromise the operation of the model or its output.



Image credit: Pavel Vinnik, Shutterstock, Portswigger LTD.



# Machine Learning ATTACK TAXONOMY

## Three main attacker goals/objectives:

- Integrity violation
- Availability breakdown
- Privacy Compromise

## Goals require different attack surfaces/capabilities to exploit

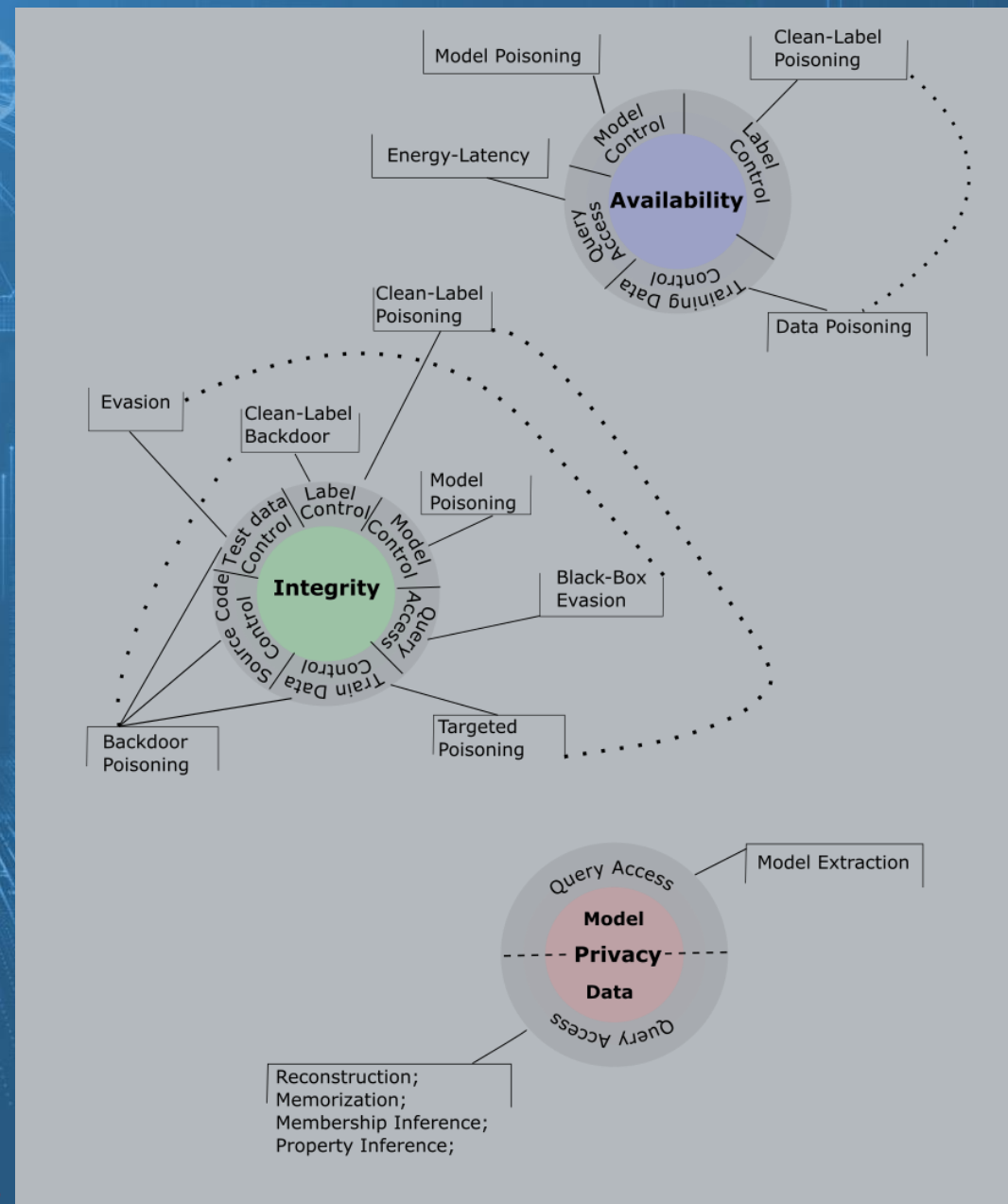
- train data control,
- test data control,
- label control,
- source code control,
- model control,
- query access,
- etc.

## Multitude of attacks

- each specialized for particular targets and attack surface

## ML models can be attacked at all stages of their lifecycle

- from design to learning to deployment and use



# Autonomous Vehicle Physically-realizable attacks

Specifically designed perturbations of objects in the vision of the car that can evade vision classifiers in various physical environments

Human Eye Invisibile/Neglectible markings on road cause the vehicle the veer off into the opposite traffic lane

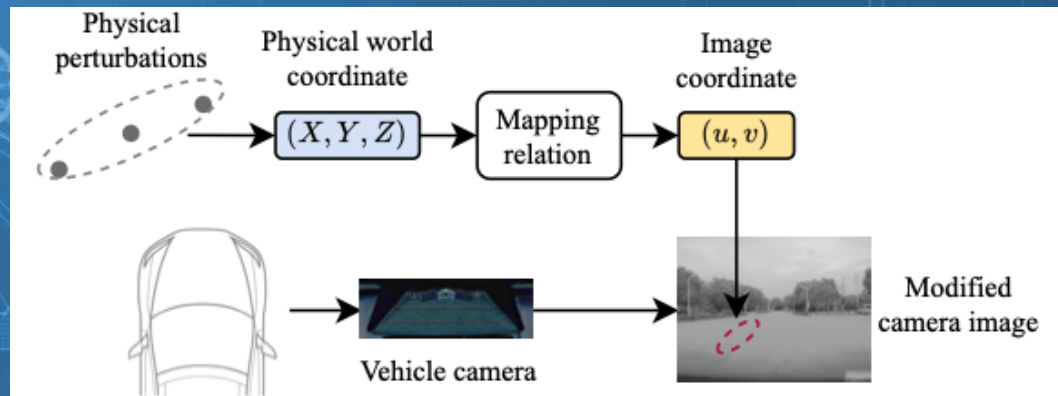
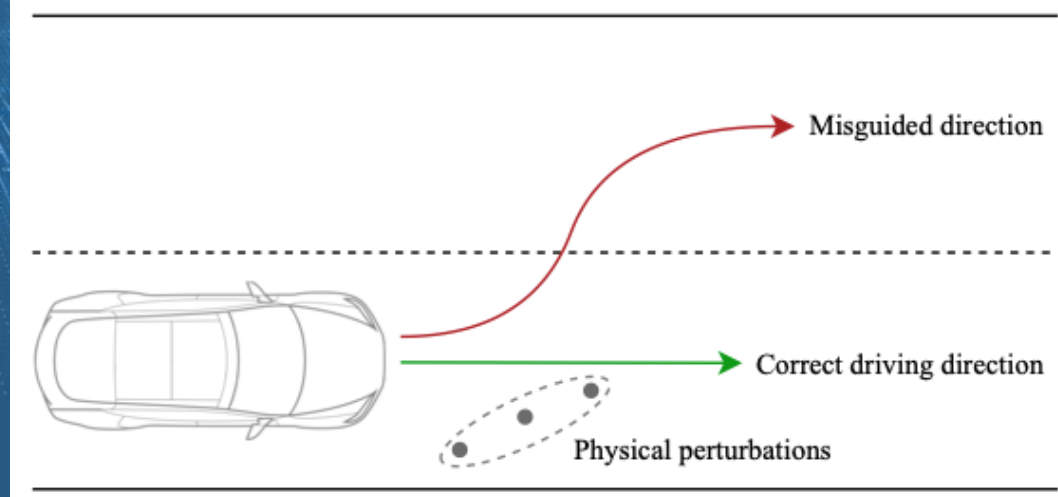


Figure 4: Mapping the coordinate of  $(X, Y, Z)$  on markings in physical world to the coordinate of  $(u, v)$  on perturbations in digital world.

Images credit: Pengfei Jing1, Qiyi Tang, Yuefeng Du, Lei Xue, Xiapu Luo, Ting Wang, Sen Nie, Shi Wu, "[Too Good to Be Safe: Tricking Lane Detection in Autonomous Driving with Crafted Perturbations](#)", USENIX 2021.





# Potential Mitigations

Cognitive task automation!

**1**  
cognitive intelligence

No information-theoretic guarantees for mitigations!



## Adversarial Training

### The most robust approach

- Due to Goodfellow et al. in 2015
- Substantially improved by Madry et al. in 2018
- but costly, computationally & otherwise
- It may come at the cost of one accident at a time



## Randomized smoothing

### Provable $L_2$ robustness

- robust smooth classifier based on most-likely predictions under Gaussian noise perturbation



## Formal Verification

### Good potential

#### Ongoing concerns

- Scalability
- Costs
- Restrictions on supported operations
- Reliance on assumptions that can be circumvented in practice



# Machine Learning INSIDER ATTACKS

## Backdoor poisoning:

- *Train data control*
- *Test data control*
- *Source code control*

## TROJANS

Some computationally undetectable



Shafi Goldwasser, Michael P. Kim, Vinod Vaikuntanathan and Or Zamir. Planting Undetectable Backdoors in Machine Learning Models, [arXiv](#), 2022





# Adversarial Machine Learning A TAXONOMY OF ATTACKS AND MITIGATIONS

Coming soon to the NIST AI Resource Center

- *Joint effort with Prof. Alina Oprea*
- *Broad coverage, beyond automotive*
- *Replaces the old draft published in October 2019.*

NIST AI  
100-2

## Adversarial Machine Learning *A Taxonomy and Terminology of Attacks and Mitigations*

Alina Oprea  
*Northeastern University*

Apostol Vassilev  
*Computer Security Division  
Information Technology Laboratory*

This publication is available free of charge from:  
<https://doi.org/10.6028/NIST.AI.100-2>

February 2023



U.S. Department of Commerce  
*Gina M. Raimondo, Secretary*

National Institute of Standards and Technology  
*Laurie E. Locascio, NIST Director and Under Secretary of Commerce for Standards and Technology*



# CONTACT US



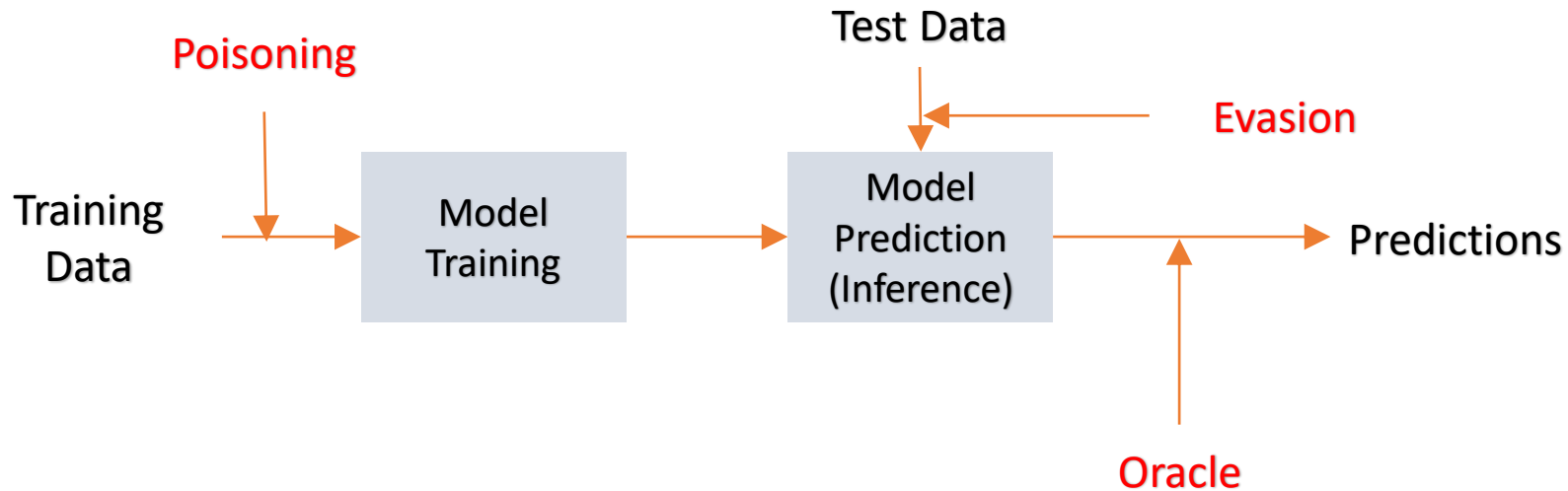
[ai-aml-ir@nist.gov](mailto:ai-aml-ir@nist.gov)



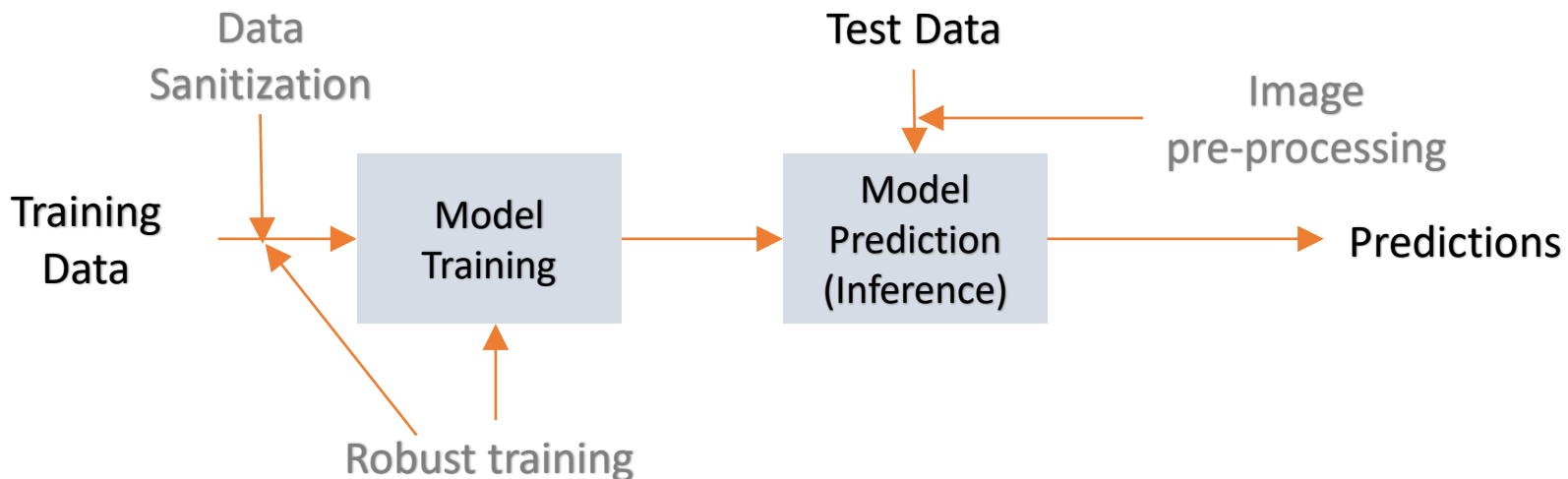
# Dioptra

Test Platform for Machine Learning Systems

# Attack and Mitigation Interfaces in the Model Lifecycle



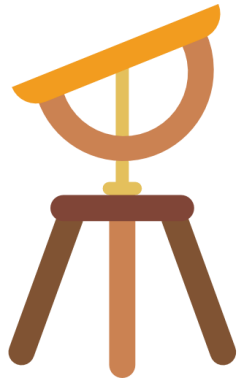
**Sample of attack interfaces**



**Sample of mitigation interfaces**



# Scenario testing, Parameter Sweeping, Evaluation



## Dioptra

Image: Flaticon.com/Smashicons

- Shallow Net
- AlexNet
- LeNet
- ResNet50
- VGG16
- ...

Training  
Architecture



- Patch augmentation
- Poison Frogs
- Adversarial training
- ...

Data  
Augmentation



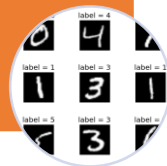
- Spatial smoothing
- Defensive distillation
- ...

Inference pre-  
processing



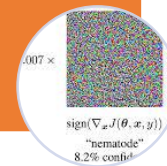
- MNIST
- Fruits360
- ImageNet
- ...

Dataset



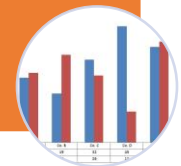
- Fast Gradient Method
- Pixel Threshold
- Patch
- Membership Inference
- ...

Attack on  
trained model

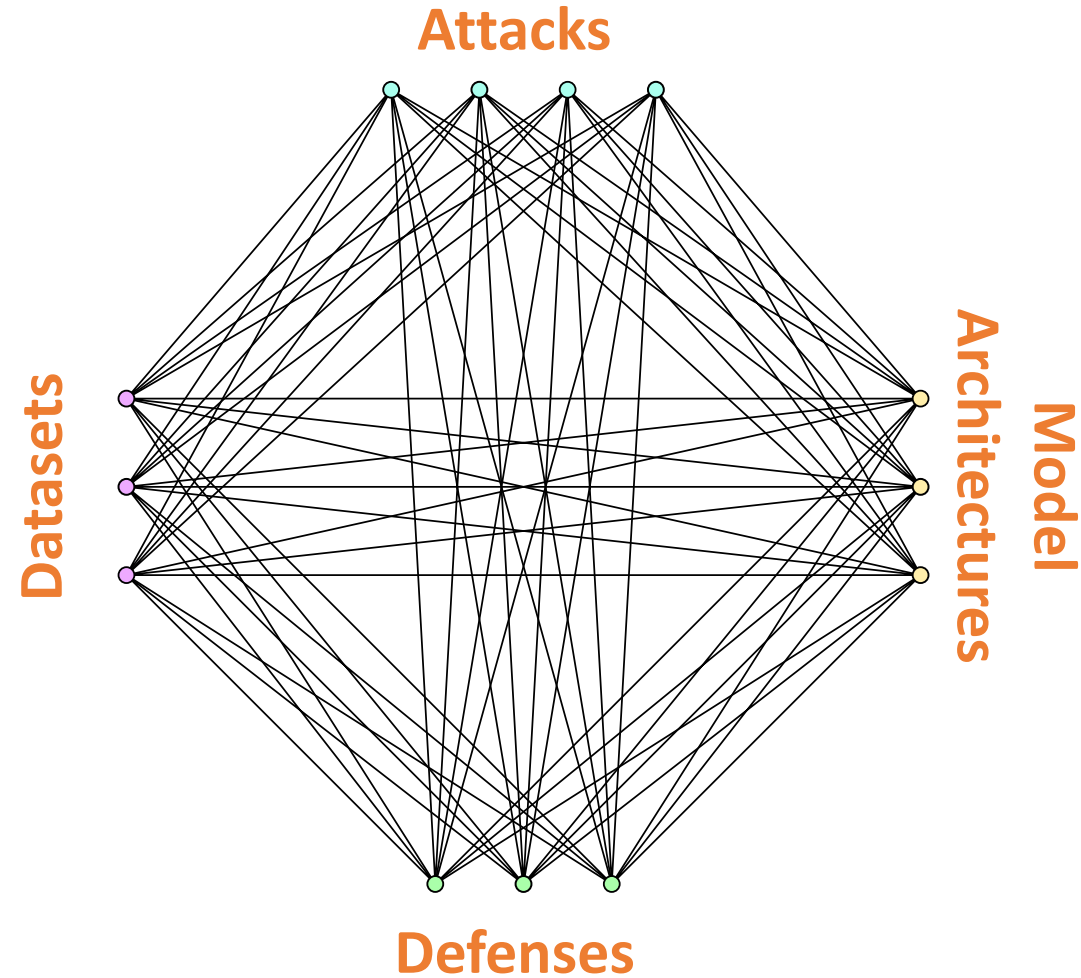


- Clean accuracy
- Adversarial accuracy
- Robustness radius
- ...

Metric



# Use Case Exploration



# DIOPTRA IN A NUTSHELL



- Tool/application/testbed for creating, tracking and running machine learning experiments (jobs)
- Modular and extensible at both the architectural (microservices) and software (plugins) level



Flask

REST API



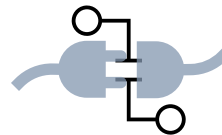
Software  
Development  
Kit (SDK)



Examples / Demos



Docker  
Images

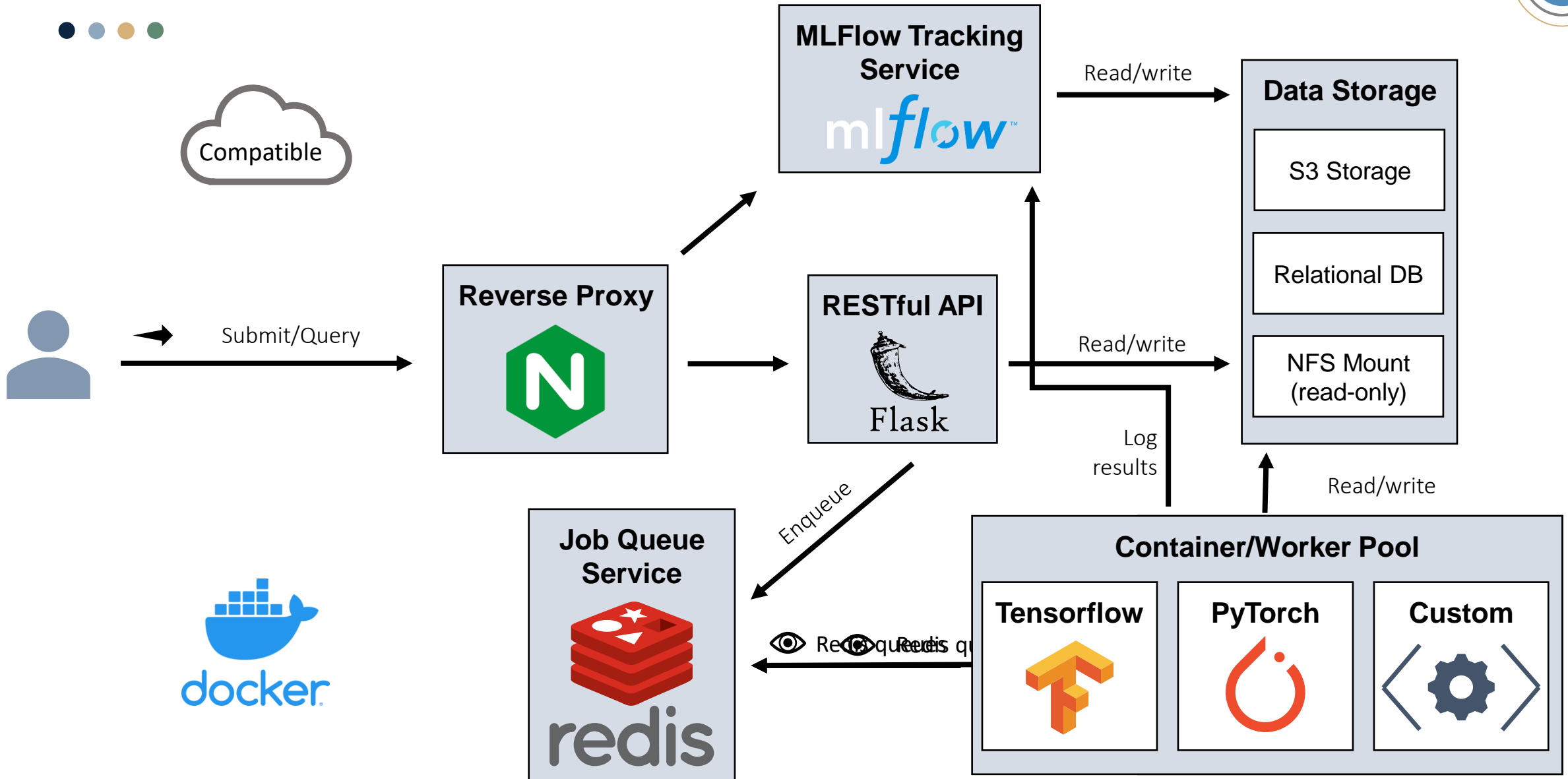


Built-in  
Task Plugins

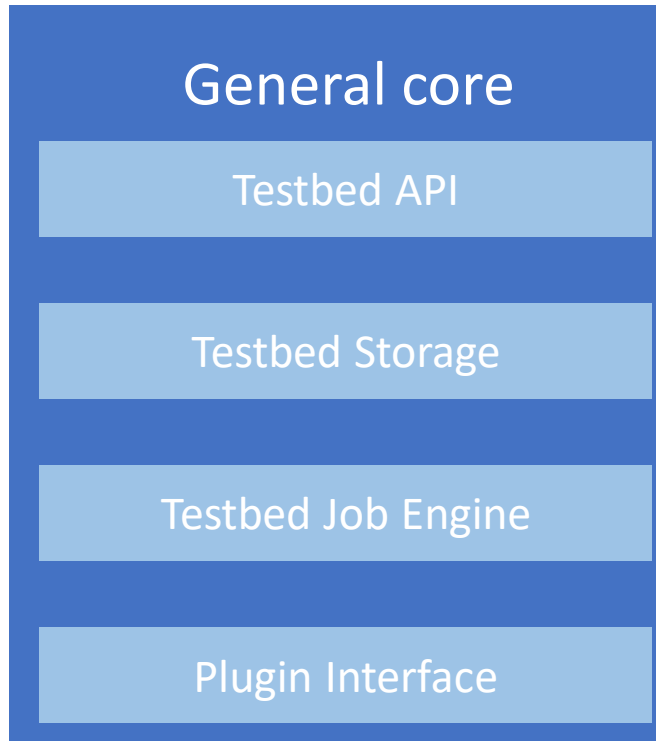


Documentation

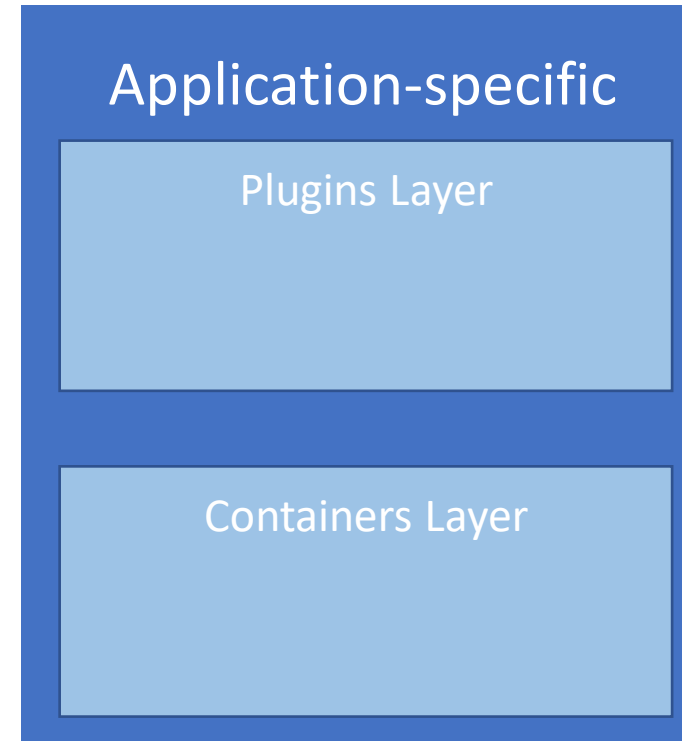
# MICROSERVICES ARCHITECTURE ENABLES FLEXIBLE DEPLOYMENT



# A MODULAR DESIGN AT THE ARCHITECTURAL AND SOFTWARE LEVEL

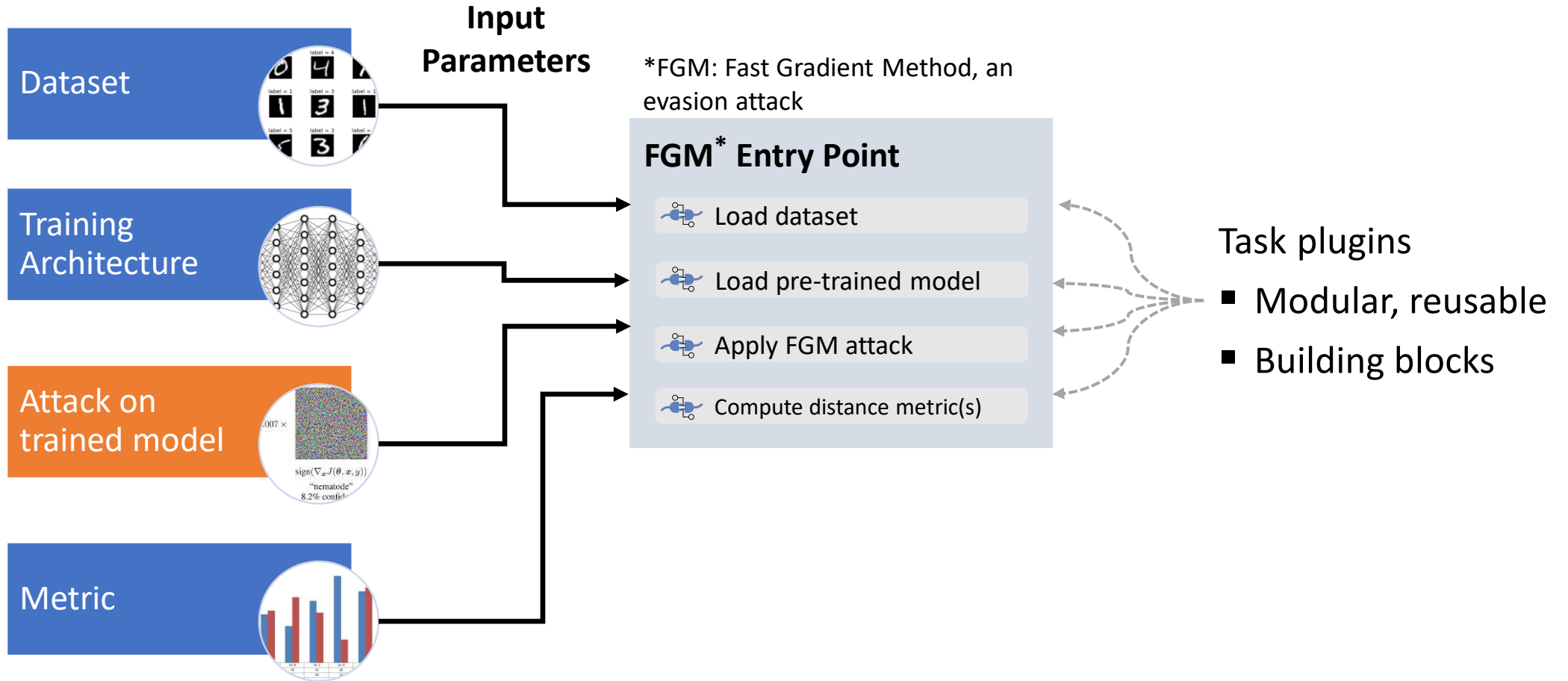


Flexible, able to be repurposed to meet needs in other projects



Specific use cases are implemented as plugins and customized containers

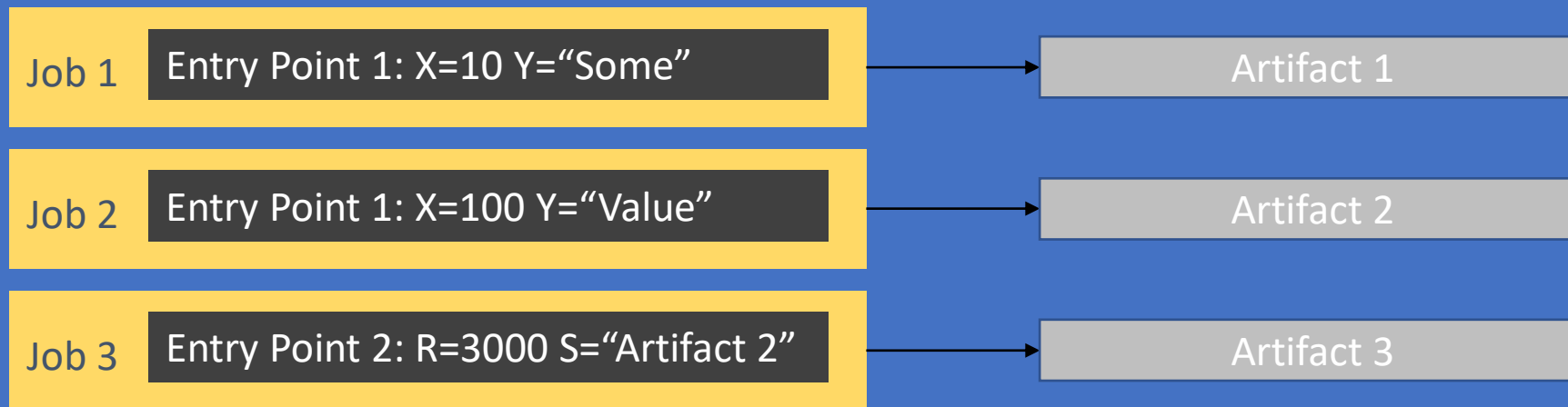
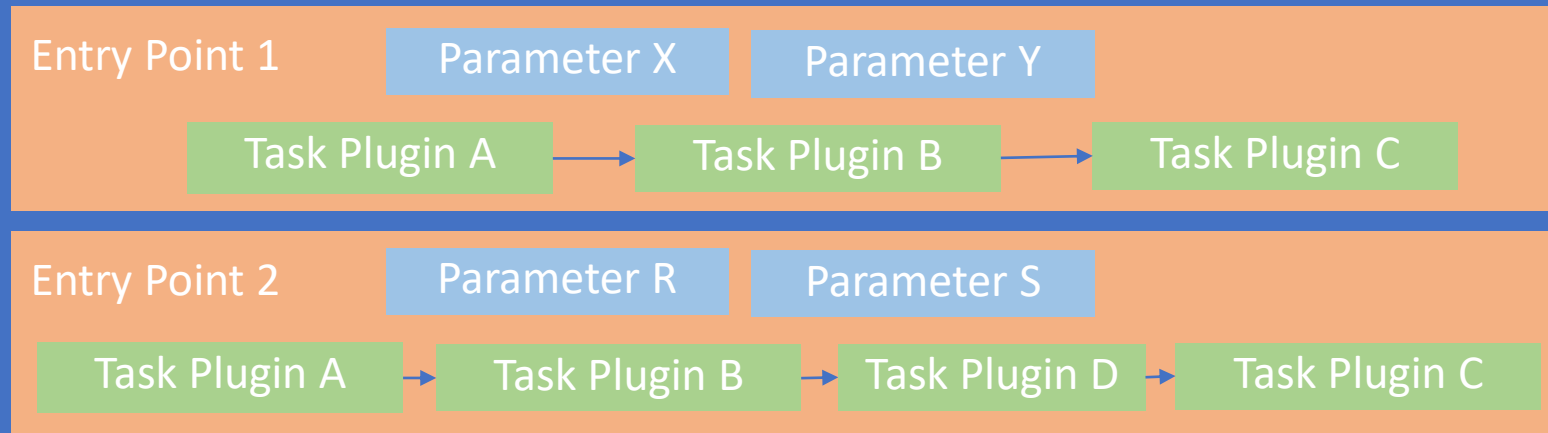




Entry Point = Script + Parameters

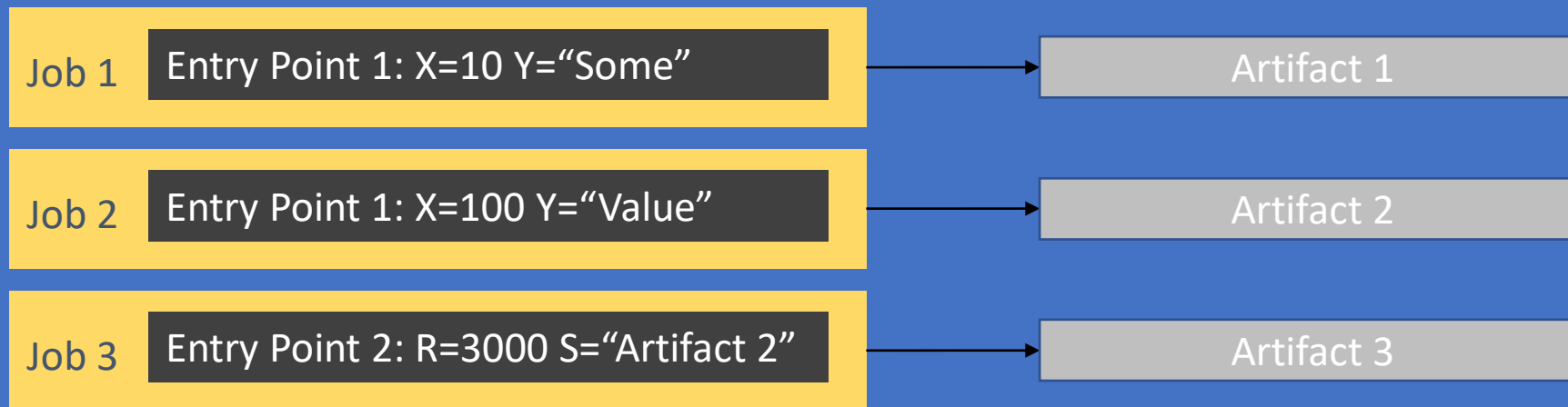
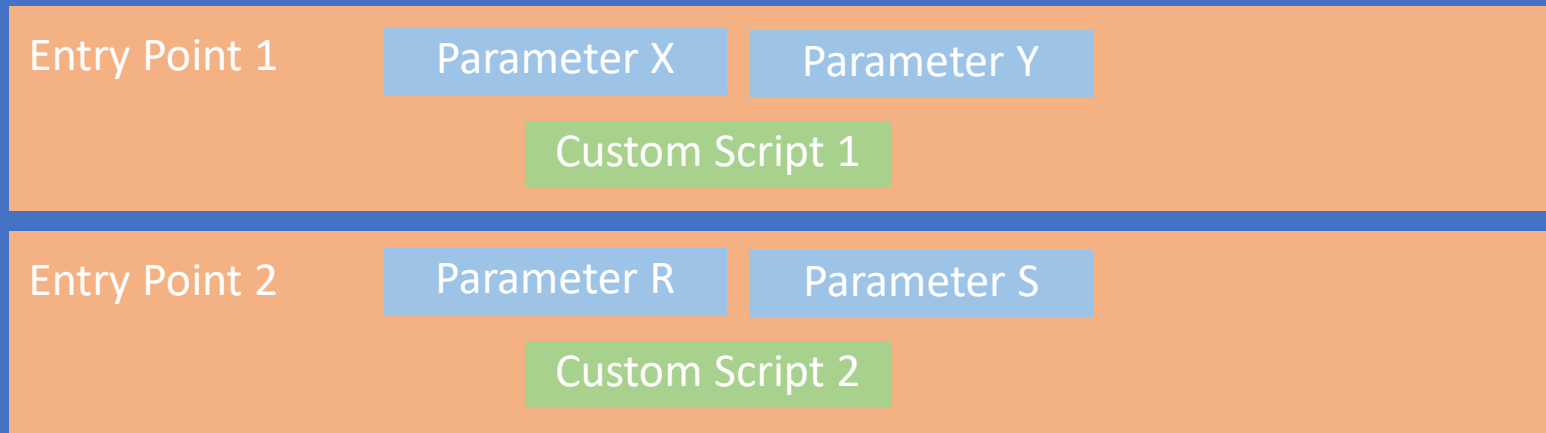
# Anatomy of an Experiment

## Experiment



# Anatomy of an Experiment

## Experiment



# Repository:

<https://github.com/usnistgov/dioptra>

Questions: [dioptra@nist.gov](mailto:dioptra@nist.gov)

# Electric Vehicle (EV) Fast Charging Vehicle (XFC) Cybersecurity Framework Profile



**Background & Purpose:** The EV XFC infrastructure ecosystem relies on multiple connected subsystems including eXtreme Fast Charging, Electric Vehicle, XFC Cloud or Third-party Operator, and XFC and Utility-Building Networks. The U.S. Department of Energy's (DOE) Vehicle Technologies Office (VTO) and Office of Cybersecurity, Energy Security, and Emergency Response (CESER) have funded a collaborative project through the National Institute of Standards and Technology's (NIST) NCCoE to establish Cybersecurity Framework Profile for EV XFC infrastructure. The primary stakeholders initiating the effort include DOE, NIST, and the Electric Power Research Institute (EPRI). This effort will provide users with a national, risk-based approach to managing cybersecurity activities for EV XFC systems.

## Next Steps:

- Host EV XFC project kickoff on Thursday, February 16<sup>th</sup> from 2pm-3:30pm ET
  - Event link: <https://www.nccoe.nist.gov/get-involved/attend-events/nccoe-learning-series-electric-vehicle-ev-extreme-fast-charging-xfc>
- Begin meetings with the community to develop Cybersecurity Framework Profile on Thursday, February 23<sup>rd</sup> from 3pm-4pm ET
  - Invite will be sent to those on the community of interest email soon

## How to participate and engage with us:

Join our community of interest by emailing us at [Evxfc-nccoe@nist.gov](mailto:Evxfc-nccoe@nist.gov)

## Website page:

<https://www.nccoe.nist.gov/projects/cybersecurity-framework-profile-electric-vehicle-extreme-fast-charging-infrastructure>