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National Institute of Standards and Technology
U.S. Department of Commerce

Draft NIST Special Publication 800-140D

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INFORMATION SECURITY

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October 2019

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Abstract

NIST Special Publication (SP) 800-140D replaces the approved sensitive parameter generation and establishment methods requirements of ISO/IEC 19790 Annex D. As a validation authority, the Cryptographic Module Validation Program (CMVP) may supersede this Annex in its entirety. This document supersedes ISO/IEC 19790 Annex D and ISO/IEC 24759 paragraph 6.16.

Keywords

Cryptographic Module Validation Program; CMVP; FIPS 140 testing; FIPS 140-3; ISO/IEC 19790; ISO/IEC 2759; Sensitive Parameter Establishment Methods; Sensitive Parameter Generation; testing requirement; vendor evidence; vendor documentation.

Audience

This document is focused toward the vendors, testing labs, and CMVP for the purpose of addressing issues in cryptographic module testing.

111 **Table of Contents**

125

1 Scope

This document specifies the Cryptographic Module Validation Program (CMVP) modifications of the methods to be used by a Cryptographic and Security Testing Laboratory (CSTL) to demonstrate conformance. This document also specifies the modification of methods for evidence that a vendor or testing laboratory provides to demonstrate conformity. The approved sensitive security parameter generation and establishment methods specified in this document supersede those specified in ISO/IEC 19790 Annex D and ISO/IEC 24759 paragraph 6.16.

2 Normative references

This section identifies additional references to the normative references cited in ISO/IEC 19790 and ISO/IEC 24759. For dated references (e.g., ISO/IEC 19790:2012/Cor.1:*2015*(E)), only the edition cited applies. For undated references (e.g., ISO/IEC 19790), the latest edition of the referenced document (including any amendments) applies.

National Institute of Standards and Technology (2019) *Security Requirements for Cryptographic Modules*. (U.S. Department of Commerce, Washington, DC), Federal Information Processing Standards Publication (FIPS) 140-3. https://doi.org/10.6028/NIST.FIPS.140-3

3 Terms and definitions

The following terms and definitions supersede or are in addition to ISO/IEC 19790 and ISO/IEC 24759.

None at this time

4 Symbols and abbreviated terms

The following symbols and abbreviated terms supersede or are in addition to ISO/IEC 19790 and ISO/IEC 24759 throughout this document:

CCCS	Canadian Centre for Cyber Security
CMVP	Cryptographic Module Validation Program
CSD	Computer Security Division
CSTL	Cryptographic and Security Testing Laboratory
FIPS	Federal Information Processing Standard
FISMA	Federal Information Security Management/Modernization Act

NIST	National Institute of Standards and Technology
SP 800-XXX	NIST Special Publication 800 series document
TE	Test Evidence
VE	Vendor Evidence

5 Document organization

5.1 General

Section 6 of this document replaces the approved sensitive security parameter generation and establishment methods requirements of ISO/IEC 19790 Annex D and ISO/IEC 24759 paragraph 6.16.

5.2 Modifications

Modifications will follow a similar format to that used in ISO/IEC 24759:2014(E). For additions to test requirements, new Test Evidence (TEs) or Vendor Evidence (VEs) will be listed by increasing the "sequence_number." Modifications can include a combination of additions using underline and deletions using ~~strikethrough~~. If no changes are required, the paragraph will indicate "No change."

6 CMVP-approved sensitive parameter generation and establishment requirements

6.1 Purpose

This document identifies CMVP-approved sensitive security parameter generation and establishment methods. It precludes the use of all other sensitive security parameter generation and establishment methods.

6.2 Sensitive security parameter generation and establishment methods

6.2.1 Transitions

Barker EB, Roginsky AL (2019) *Transitioning the Use of Cryptographic Algorithms and Key Lengths*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-131A, Rev. 2. https://doi.org/10.6028/NIST.SP.800-131Ar2

- Sections relevant to this Annex: 1, 5, 6, 7, and 8.

6.2.2 Key Establishment Techniques

1. Key establishment techniques allowed in a FIPS-Approved mode of operation with appropriate restrictions are listed in FIPS 140-2 Implementation Guidance Section D.2.

2. National Institute of Standards and Technology (2013) Digital Signature Standard (DSS). (U.S. Department of Commerce, Washington, DC), Federal Information Processing Standards Publication (FIPS) 186-4. https://doi.org/10.6028/NIST.FIPS.186-4

   - DSA, RSA, and ECDSA.

Note. For the purposes of the key establishment techniques, the Digital Signature Standard is only used to define the domain parameters and the (private, public) key-pair generation.

3. Barker EB, Chen L, Roginsky AL, Vassilev A, Davis R (2018) *Recommendation for Pair-Wise Key-Establishment Schemes Using Discrete Logarithm Cryptography*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-56A, Rev. 3. https://doi.org/10.6028/NIST.SP.800-56Ar3

4. Barker EB, Chen L, Roginsky AL, Smid ME (2013) *Recommendation for Pair-Wise Key-Establishment Schemes Using Discrete Logarithm Cryptography*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-56A, Rev. 2. https://doi.org/10.6028/NIST.SP.800-56Ar2

5. Barker EB, Johnson D, Smid ME (2007) *Recommendation for Pair-Wise Key-Establishment Schemes Using Discrete Logarithm Cryptography*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-56A, Rev. 2. https://doi.org/10.6028/NIST.SP.800-56Ar

   - The FIPS 140-2 IG D1-rev2 provides the rationale for including two different revisions of SP 800-56A in this Annex.

6. Barker EB, Chen L, Roginsky AL, Vassilev A, Davis R, Simon S (2019) *Recommendation for Pair-Wise Key-Establishment Using Integer Factorization Cryptography*. (National Institute of Standards and Technology, Gaithersburg, MD),

NIST Special Publication (SP) 800-56B, Rev. 2. https://doi.org/10.6028/NIST.SP.800-56Br2

7. Barker EB, Chen L, Moody D (2014) *Recommendation for Pair-Wise Key-Establishment Schemes Using Integer Factorization Cryptography*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-56B, Rev. 1. https://doi.org/10.6028/NIST.SP.800-56Br1

8. Chen L (2009) *Recommendation for Key Derivation Using Pseudorandom Functions (Revised)*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-108, Revised. https://doi.org/10.6028/NIST.SP.800-108

9. Sönmez Turan M, Barker EB, Burr WE, Chen L (2010) *Recommendation for Password-Based Key Derivation: Part 1: Storage Applications*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-132. https://doi.org/10.6028/NIST.SP.800-132

10. Dang QH (2011) *Recommendation for Existing Application-Specific Key Derivation Functions*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-135, Rev. 1. https://doi.org/10.6028/NIST.SP.800-135r1

11. Barker EB, Chen L, Davis R (2018) *Recommendation for Key-Derivation Methods in Key-Establishment Schemes*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-56C, Rev. 1. https://doi.org/10.6028/NIST.SP.800-56Cr1

12. Chen L (2011) *Recommendation for Key-Derivation through Extraction-then-Expansion*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-56C. https://doi.org/10.6028/NIST.SP.800-56C

13. Dworkin MJ (2012) *Recommendation for Block Cipher Modes of Operation: Methods for Key Wrapping*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-38F. https://doi.org/10.6028/NIST.SP.800-38F

14. Barker EB, Roginsky AL (2019) *Recommendation for Cryptographic Key Generation*. (National Institute of Standards and Technology, Gaithersburg, MD), NIST Special Publication (SP) 800-133, Rev. 1. https://doi.org/10.6028/NIST.SP.800-133r1

Document Revisions

Date	Change