## SHAvite 3: Secure, Efficient, and Flexible Hash Function Proposal

#### Orr Dunkelman

Département d'Informatique École Normale Supérieure

France Telecom Chaire

#### Joint work with Eli Biham



### Outline

- 1 Specification and Design Rationale
  - SHAvite-3
  - SHAvite-3<sub>256</sub> Producing Digests of up to 256 Bits
  - The E<sup>256</sup> Block Cipher
  - SHAvite-3<sub>512</sub> Producing Digests of 257 to 512 Bits
  - The E<sup>512</sup> Block Cipher
  - Why SHAvite-3?
- 2 Security Analysis
  - The Security of the Block Ciphers
  - The Security as a Hash Function
  - Theoretical Notions of Security
- 3 Performance Results and Analysis
  - Software Implementation
  - Hardware Implementation
- 4 The SHAvite-3-MAC
  - Definition of the SHAvite-3-MAC
  - Comparing SHAvite-3-MAC with HMAC

## Outline

- 1 Specification and Design Rationale
  - SHAvite-3
  - SHAvite-3<sub>256</sub> Producing Digests of up to 256 Bits
  - The E<sup>256</sup> Block Cipher
  - SHAvite-3<sub>512</sub> Producing Digests of 257 to 512 Bits
  - The E<sup>512</sup> Block Cipher
  - Why SHAvite-3?

#### Security Analysis

- The Security of the Block Ciphers
- The Security as a Hash Function
- Theoretical Notions of Security
- 3 Performance Results and Analysis
  - Software Implementation
  - Hardware Implementation
- 4 The SHAvite-3-MAC
  - Definition of the SHAvite-3-MAC
  - Comparing SHAvite-3-MAC with HMAC

#### SHAvite 3 (SHAvite Shalosh)

Performance

MAC

Specification

- A SHA-3 candidate designed to be secure, efficient, and suitable for all environments.
- SHAvite-3<sub>256</sub> is used for digests up to 256 bits, and SHAvite-3<sub>512</sub> is used for digests of 257 to 512 bits.
- ► The compression functions are iterated using HAIFA.
- Supports salts (nonces/randomized hashing), variable digest length, while maintaining full security.
- The compression function is designed using known and understood components: Feistel structure, AES-round, and LFSRs.

Based on the  $C_{256}$  compression function,

#### SHAvite 3256

Based on the  $C_{256}$  compression function,

 which is a Davies-Meyer transformation of the block cipher E<sup>256</sup>,

#### SHAvite 3256

Based on the  $C_{256}$  compression function,

- which is a Davies-Meyer transformation of the block cipher E<sup>256</sup>,
  - which is a 12-round Feistel block cipher,

Based on the  $C_{256}$  compression function,

- which is a Davies-Meyer transformation of the block cipher E<sup>256</sup>,
  - which is a 12-round Feistel block cipher,
    - where each round function is composed of three AES rounds.
    - The message expansion combines both AES rounds and LFSRs.

#### Advanced Encryption Standard

- AES was selected at the end of a similar process to the SHA-3 process by NIST in 2000.
- The selected algorithm, Rijndael, was selected from 15 submissions, of which 5 became known the AES finalists.
- Thoroughly analyzed in many cryptographic settings, and so far withstood all cryptanalytic attempts.
- Best known attack: 7/10 rounds for 128-bit keys, 8/12 rounds for 192-bit, and 8/14 rounds for 256-bit keys (in the related-key model, the results are 7/9/10 rounds, respectively).

## <sup>56</sup> the Underlying Block Cipher

MAC

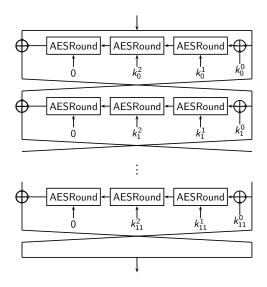
Performance

Security

Specification

- Accepts a 256-bit plaintext (chaining value).
- Accepts a key (message block, bit counter, and a salt) of 832 bits in total.
- The round function is composed of 3 rounds of AES (with an AddRoundKey operation before the first round, last AddRoundKey operation omitted).
- ► The message expansion generates 36 128-bit subkeys (12 rounds of E<sup>256</sup>, each uses 3 round of AES).

## *E*<sup>256</sup> the Underlying Block Cipher (cont.)



#### The Message Expansion ( $E^{256}$ Key Schedule)

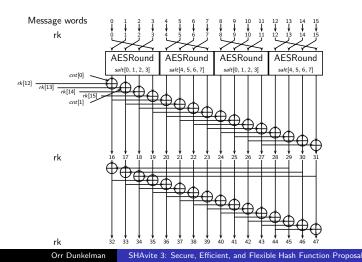
- Accepts 832-bit key: 512-bit block, 256-bit salt, 64-bit counter.
- Not all bits are treated equally.

Performance

Specification

A combination of an LFSR (for diffusion), and AES rounds (for maximal "confusion" and nonlinearity).

# The Message Expansion ( $E^{256}$ Key Schedule) (cont.)



# The Message Expansion ( $E^{256}$ Key Schedule) (cont.)

MAC

Performance

Specification

- The key (message block, counter, and salt) is expanded into 144 32-bit words.
- ► The first 16 32-bit words are the message words.
- The following process is repeated four times:
  - **1** 16 words are generated by applying AES round (where the salt is XORed before the round) and some XORs.
  - **2** 16 words are generated using an LFSR operation.
- The counter words are mixed into 8 of the 144 words, to ensure that the counter affects the encryption process.

## Final Touches

Specification

#### SHAvite 3<sub>256</sub>

In order to hash the message *M* into an *m*-bit digest, for *m* ≤ 256, compute *IV<sub>m</sub>* which is

$$h_0 = IV_m = C_{256}(MIV_{256}, m, 0, 0),$$

- ► Let |M| be the length of M before padding, measured in bits. Pad the message M according to the padding scheme of HAIFA:
  - **1** Pad a single bit of 1.

Performance

- 2 Pad as many 0 bits as needed such that the length of the padded message (with the 1 bit and the 0's) is congruent modulo 512 to 432.
- **3** Pad |M| encoded in 64 bits.

4 Pad *m* encoded in 16 bits.

▶ Divide the padded message pad(M) into 512-bit blocks, pad(M) = M<sub>1</sub>||M<sub>2</sub>||...||M<sub>1</sub>,

## Final Touches SHAvite 3256

- ► Set  $\#bits \leftarrow 0$ .
- ▶ Set  $h_0 \leftarrow IV_m$ .
- For  $i = 1, ..., \lfloor |M| / 512 \rfloor$ :
  - Set  $\#bits \leftarrow \#bits + 512$ .
  - Compute  $h_i = C_{256}(h_{i-1}, M_i, \#bits, salt)$ .
- ► If  $|M| = 0 \mod 512$ , compute  $h_l = C_{256}(h_{l-1}, M_l, 0, salt)$ , else
  - If |*M*| mod 512 ≤ 431, compute *h<sub>l</sub>* = *C*<sub>256</sub>(*h<sub>l</sub>*−1, *M<sub>l</sub>*, |*M*|, *salt*), else
  - Compute  $h_{l-1} = C_{256}(h_{l-2}, M_{l-1}, |M|, salt)$ , and then compute  $h_l = C_{256}(h_{l-1}, M_l, 0, salt)$ .
- ► Output truncate<sub>m</sub>(h<sub>l</sub>), where truncate<sub>m</sub>(x) outputs the m leftmost bits of x, i.e., x[0]||x[1]|....

#### Based on the $C_{512}$ compression function,

Based on the  $C_{512}$  compression function,

 which is a Davies-Meyer transformation of the block cipher E<sup>512</sup>,

Based on the  $C_{512}$  compression function,

- which is a Davies-Meyer transformation of the block cipher E<sup>512</sup>,
  - which is a 14-round Generalized Feistel block cipher,

Based on the  $C_{512}$  compression function,

- which is a Davies-Meyer transformation of the block cipher E<sup>512</sup>,
  - which is a 14-round Generalized Feistel block cipher,
    - where each round function is composed of four AES rounds.

## *E*<sup>512</sup> the Underlying Block Cipher

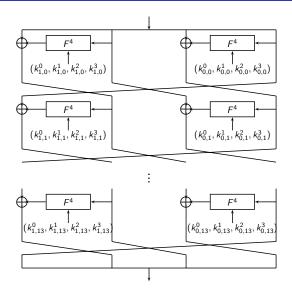
Performance

Security

Specification

- Accepts a 512-bit plaintext (chaining value), and 1664-bit key (message block, counter, and salt).
- > The block cipher has a Generalized Feistel structure.
- ▶ The plaintext is divided into four words of 128 bits each.
- In each of the 14 rounds, two words enter (separately) the round function.
- After XORing the output of the round function with the two remaining words, the words are rotated.

## $E^{512}$ the Underlying Block Cipher (cont.)



#### How to Pronounce SHAvite 3

#### SHA-vite SHA-losh

#### ► SHA + vite — fast secure hash algorithm.

- ► SHA + vite fast secure hash algorithm.
- shavit means "comet" in Hebrew

- ► SHA + vite fast secure hash algorithm.
- shavit means "comet" in Hebrew
- A follower of Shiva, god of destruction, is called Shavite.

- ► SHA + vite fast secure hash algorithm.
- shavit means "comet" in Hebrew
- A follower of Shiva, god of destruction, is called Shavite.
- ▶ shalosh means 3 in Hebrew.

#### Outline

- 1 Specification and Design Rationale
  - SHAvite-3
  - SHAvite-3<sub>256</sub> Producing Digests of up to 256 Bits
  - The *E*<sup>256</sup> Block Cipher
  - SHAvite-3<sub>512</sub> Producing Digests of 257 to 512 Bits
  - The *E*<sup>512</sup> Block Cipher
  - Why SHAvite-3?

#### 2 Security Analysis

- The Security of the Block Ciphers
- The Security as a Hash Function
- Theoretical Notions of Security
- 3 Performance Results and Analysis
  - Software Implementation
  - Hardware Implementation
- 4 The SHAvite-3-MAC
  - Definition of the SHAvite-3-MAC
  - Comparing SHAvite-3-MAC with HMAC

Theory

## Is the Block Cipher E<sup>256</sup> Secure?

#### Of course!

## Is the Block Cipher E<sup>256</sup> Secure?

- Of course!
- The maximal expected differential probability of three round AES is at most 2<sup>-49</sup>.
- ► Analysis reveals that there are no 2-round iterative characteristics, 3-round iterative characteristics of probability higher than 2<sup>-98</sup>, ..., 9-round characteristics with probability higher than 2<sup>-294</sup>.
- Similar results hold for linear cryptanalysis/boomerang attacks.
- Longest known impossible differential is of 5 rounds.
- ► Longest known SQUARE is of 3 rounds.
- Slide/Related-key attacks counter protects against these.
- Algebraic attacks: equations reach full degree after 4 rounds.

## Is the Block Cipher E<sup>512</sup> Secure?

- The maximal expected differential probability of four round AES is at most 2<sup>-113</sup>.
- Analysis reveals that there are no 2-round iterative characteristics, 3-round iterative characteristics of probability higher than 2<sup>-113</sup>, ..., 9-round characteristics with probability higher than 2<sup>-678</sup>.
- Similar results hold for linear cryptanalysis/boomerang attacks.
- Longest known impossible differential is of 9 rounds.
- ► Longest known SQUARE is of 3 rounds.
- Slide/Related-key attacks counter protects against these.
- Algebraic attacks: equations reach full degree after 4 rounds.

#### Extending the Block Cipher Security Results

First Attempt

Computing the maximal expected differential probability of related-key attacks.

#### Extending the Block Cipher Security Results

#### First Attempt

## Computing the maximal expected differential probability of related-key attacks.

#### First Attempt Fails

No good methodology for that.

#### Extending the Block Cipher Security Results

#### First Attempt

Computing the maximal expected differential probability of related-key attacks.

#### First Attempt Fails

No good methodology for that.

#### First Attempt Fails (2)

The attacker controls the keys, he can make sure some differential transitions do happen.

2nd Attempt

#### Extending the Security Results

#### What to do

Consider differentials through the message expansion.

- For a fixed salt, the message expansion can be treated as a block cipher.
- Compute the probability of differentials of it.
- Count how many active S-boxes there are in the message expansion.
- Assume that the attacker can use message modification to increase the probability of the differential (fixing 8 bits of the message/salt/counter can "eliminate" the cost of one active S-box).
- Results: No good differentials. The message expansion makes sure there are no high probability differentials through the message expansion.

## A 3rd Attempt (TBD)

- Collision-producing differentials need to go both through the message expansion and the block cipher.
- Each probabilistic event in any of the two should "cost":
  - 1 Each active byte that enters the actual compression data-path costs at least 8 bits of control.
  - 2 Each transition of difference column through the MDS matrix in the message expansion — costs control according to the hamming weights.
  - 3 Each XOR in the message expansion that cancels a difference costs control.
- Too large of a search space, but gives a very strong upper bound.

#### Theoretical Notions of Security

#### ► HAIFA offers a prefix-free encoding:

- If the compression function is a random oracle the hash function is indifferentiable from random oracle (up to the birthday bound).
- Maintaining the salt secret leads to an efficient and secure PRF (MAC).
- ► If the compression function is a random oracle, the second preimage resistance can be proved to be O(2<sup>n</sup>).

Hardware

## Outline

- **1** Specification and Design Rationale
  - SHAvite-3
  - SHAvite-3<sub>256</sub> Producing Digests of up to 256 Bits
  - The *E*<sup>256</sup> Block Cipher
  - SHAvite-3<sub>512</sub> Producing Digests of 257 to 512 Bits
  - The *E*<sup>512</sup> Block Cipher
  - Why SHAvite-3?
  - Security Analysis
    - The Security of the Block Ciphers
    - The Security as a Hash Function
    - Theoretical Notions of Security
- 3 Performance Results and Analysis
  - Software Implementation
  - Hardware Implementation
- 4 The SHAvite-3-MAC
  - Definition of the SHAvite-3-MAC
  - Comparing SHAvite-3-MAC with HMAC

#### Software Implementation

| Hash Function                             | 32 Bit |
|---|--------|
| MD5                                       | 7.4    |
| SHA-1                                     | 9.8    |
| SHA-256                                   | 28.8   |
| SHA-512                                   | 77.8   |
| SHAvite- $3_{256}$ (measured)             | 35.3   |
| SHAvite-3 <sub>256</sub> (conjectured)    | 26.6   |
| SHAvite-3 <sub>256</sub> (with AES inst.) |        |
| SHAvite- $3_{512}$ (measured)             | 55.0   |
| SHAvite-3 <sub>512</sub> (conjectured)    | 35.3   |
| SHAvite-3 <sub>512</sub> (with AES inst.) |        |

Expect 1–1.5 cycles per byte improvement if no salts are used.

Hardware

#### Hardware Implementation (Estimations)

 We looked at four hardware optimizations for AES: FPGA/ASIC, fastest/smallest.

| Digest Size | Technology | Size        | Throughput |
|-------------|------------|-------------|------------|
| 256         | ASIC       | 10.3 Kgates | 7.6 Mbps   |
|             |            |             | 604.4 Mbps |
|             |            | 510 Slices  | 1.7 Mbps   |
|             |            |             | 872.3 Mbps |
| 512         | ASIC       | 18.5 Kgates | 4.7 Mbps   |
|             |            |             | 907.7 Mbps |
|             |            | 895 Slices  | 1.0 Mbps   |
|             |            |             | 1.12 Gbps  |

These are estimates based on AES implementations from 2005. Expect real figures to be much better.

#### Outline

- **1** Specification and Design Rationale
  - SHAvite-3
  - SHAvite-3<sub>256</sub> Producing Digests of up to 256 Bits
  - The *E*<sup>256</sup> Block Cipher
  - SHAvite-3<sub>512</sub> Producing Digests of 257 to 512 Bits
  - The *E*<sup>512</sup> Block Cipher
  - Why SHAvite-3?
  - Security Analysis
    - The Security of the Block Ciphers
    - The Security as a Hash Function
    - Theoretical Notions of Security
- 3 Performance Results and Analysis
  - Software Implementation
  - Hardware Implementation
- 4 The SHAvite-3-MAC
  - Definition of the SHAvite-3-MAC
  - Comparing SHAvite-3-MAC with HMAC

#### The SHAvite 3 MAC

▶ With HAIFA, one can define

HAIFA-MAC<sub>k</sub><sup>C</sup>(
$$M$$
) = HAIFA<sub>k</sub><sup>C</sup>( $M$ ).

 As HAIFA is PRF-preserving, then the above MAC is secure.

#### The SHAvite 3 MAC

With HAIFA, one can define

HAIFA-MAC<sup>$$C$$</sup> <sub>$k$</sub> ( $M$ ) = HAIFA <sup>$C$</sup>  <sub>$k$</sub> ( $M$ ).

- As HAIFA is PRF-preserving, then the above MAC is secure.
- ► SHAvite-3 is secure, and thus we define

SHAvite-3-MAC<sub>k</sub>(M) = SHAvite-3<sub>k</sub>(M).

Of course, the user needs to keep the key secret!

#### Comparison with HMAC

- More efficient most of the time, one compression function less than HMAC.
- ▶ More efficient one less initialization than HMAC.
- Better foundations for the security analysis.

Number of compression function calls:

| Construction  | 0 Bytes | 1500 Bytes | n Bytes                    |
|---------------|---------|------------|----------------------------|
| SHA-256       | 1       | 24         | $\lceil (n+8)/64 \rceil$   |
| HMAC-SHA-256  | 2       | 25         | $1+\lceil (n+8)/64 \rceil$ |
| SHAvite-3     | 1       | 24         | $\lceil (n+10)/64 \rceil$  |
| SHAvite-3-MAC | 1       | 24         | $\lceil (n+10)/64 \rceil$  |



## Thank you for your attention!

http://www.cs.technion.ac.il/~orrd/SHAvite-3/