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Hydra PC FIPS File Encryption Module Security Policy

Revision Document No. 07

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SPYRUS, Inc. info@spyrus.com> <http://www.spyrus.com>

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1 Introduction

This Security Policy specifies the security rules under which the Hydra PC FIPS File Encryption Module operates. Included in these rules are those derived from the security requirements of FIPS 140-2 and additionally, those imposed by SPYRUS, Inc. These rules, in total, define the interrelationship between the modules:

- 1. Operators,
- 2. Services, and
- 3. Critical Security Parameters (CSPs).



Figure 1: Hydra PC FIPS File Encryption Module (P/N 880070104F) (Top View)



Figure 2: Hydra PC FIPS File Encryption Module (P/N 880070104F) (Bottom View)

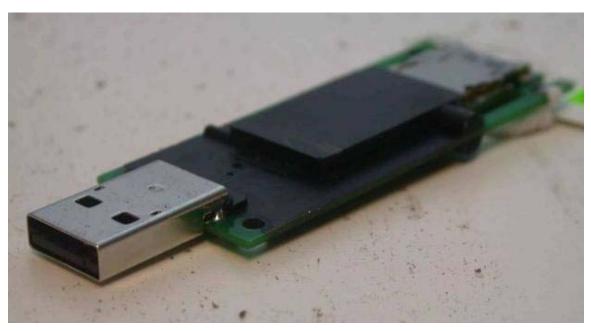


Figure 3: Hydra PC FIPS File Encryption Module (P/N 880070105F) (Top View)

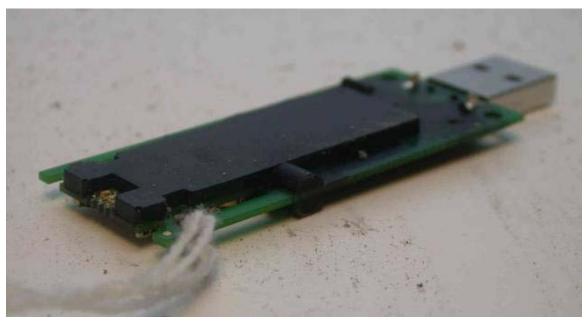


Figure 4: Hydra PC FIPS File Encryption Module (P/N 880070105F) (Bottom View)



Figure 5: Hydra PC FIPS File Encryption Module without frame extension on PCB (P/N 880070105F) (Top and Bottom Views)

1.1 Overview

The Hydra PC FIPS File Encryption Module enables security critical capabilities such as user authentication, message privacy and integrity, authentication, and secure storage in rugged, tamper-evident hardware. The Hydra PC FIPS File Encryption Module communicates with a host computer via the USB interface.

The Hydra PC FIPS File Encryption Module is a strong encryption solution providing FIPS-validated NSA Suite B encryption algorithms. Hydra PC protects data for government, large enterprises, small organizations, and home users. Key features include:

- Encryption technology uses the Suite B algorithms approved by the U.S. government for protecting both Unclassified and Classified data
- Encrypted file storage on removable miniSD / miniSDHC / microSD / microSDHC flash cards or PC hard drive for unlimited capacity.
- Strong protection against intruder attack.
- Exclusive feature restricts use to only the PCs that you designate. Even with the user's PIN, Hydra PC will not work on an unauthorized PC.

Access protection is as important as encryption strength. Data encrypted with Hydra PC cannot be decrypted until the authorized user gains access to the device.

1.2 Implementation

The Hydra PC FIPS File Encryption Module is implemented as a multi-chip standalone module as defined by FIPS 140-2. The FIPS 140-2 module identification data for the Hydra PC FIPS File Encryption Module is shown in the table below:

Hardware P/N	Hardware Version	Firmware Version
880070103F	01.00.01	01.02.12
880070104F	01.00.01	01.02.13
880070105F	01.00.02	01.02.13

The Hydra PC FIPS File Encryption Module is available with a USB interface compliant to the <u>Universal Serial Bus Specification</u>, Revision 2.0, dated 23 September 1998. All Interfaces have been tested and are compliant with FIPS 140-2.

1.3 Cryptographic Boundary

For part numbers, 80070103F and 880070104F, the Cryptographic Boundary of the Hydra PC FIPS File Encryption Module is defined to be the physical perimeter of the printed circuit board. Please see Figure 3 for a schematic view with the PCB, epoxy coating and interfaces shown.

The following non-security relevant hardware components of the Hydra PC FIPS File Encryption Module are excluded from the cryptographic boundary:

- USB connector
- SD Memory connector
- Two LEDs
- Three capacitors near the edge of the epoxy-protected area on the PCB
- Secure PIN entry and fingerprint scanner interface (disabled)

For part number 880070105F, the Cryptographic Boundary of the Hydra PC FIPS File Encryption Module is defined to be the surface of the epoxy potting.

1.4 Ports and Interfaces

See Figures 6, 7, and Tables 1-1, 1-2 for the ports and interfaces of the module.

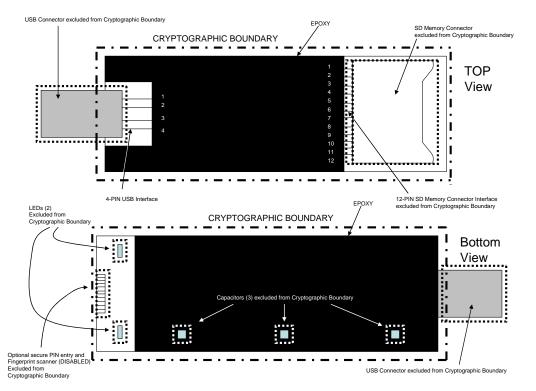
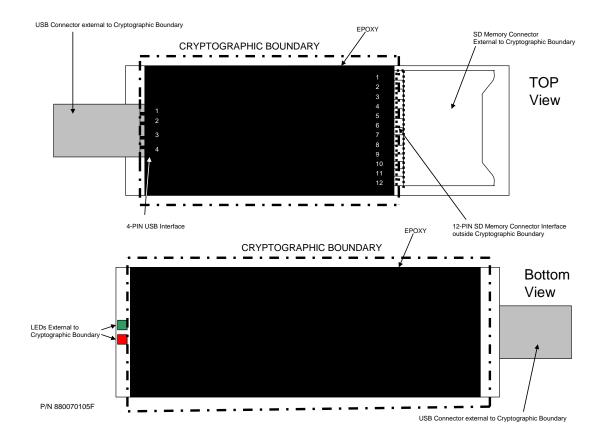


Figure 6: Ports and Interfaces of Hydra PC FIPS File Encryption Module (P/N 880070103F, 880070104F) (Top and Bottom Views)



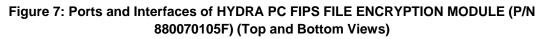


Table 1-1:	USB	Interface
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PIN	Category
1	Power
2	Data Input, Data Output, Control Input, Status Output
3	Data Input, Data Output, Control Input, Status Output
4	Power

Table 1-2: SD Interface

PIN	Category	
1	Data Input/Data Output	
2 Data Input/Data Output		
3	Data Input	
4	Power	

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PIN	Category
5	Not connected
6	Not connected
7	Power
8	Data Input,
9	Power
10	Data Input/Data Output
11	Data Input/Data Output
12	Power

1.5 Approved Mode of Operation

The Hydra PC FIPS File Encryption Module has one mode of operation, the Approved mode of operation. All commands that use FIPS 140-2 Approved security functions (e.g. algorithms) are defined to be in the "Approved mode of operation."

The Hydra PC FIPS File Encryption Module supports the FIPS 140-2 algorithms in the tables below.

Table 1-3: Approved Algorithms supported by Hydra PC FIPS File Encryption Module

Encryption & Decryption
AES Certificates #858, #846, #850
Digital Signatures
ECDSA Certificates #97, #96
Hash
SHA-224, SHA-256, SHA-384, SHA-512 Certificates #852, #837
RNG
FIPS 186-2 RNG Certificate #486
HASH_DRBG (SP 800-90) Certificate #3

Table 1-4: Non-Approved Algorithms allowed for use in Hydra PC FIPS File Encryption Module

AES Key Wrapping per the NIST AES Key Wrapping Specification	
AES-256 Certificate #846	
Key Transport / Key Agreement	
EC-Diffie-Hellman per SP 800-56A (key establishment methodology provides 128 bits of encryption strength)	
RNG	
Hardware RNG for seeding Approved RNG	

2 FIPS 140-2 Security Levels

The Hydra PC FIPS File Encryption Module cryptographic module complies with the requirements for FIPS 140-2 validation to the levels defined in Table 2.1. The FIPS 140-2 overall rating of the Hydra PC FIPS File Encryption Module is Level 3

FIPS 140-2 Category	Level
1. Cryptographic Module Specification	3
2. Cryptographic Module Ports and Interfaces	3
3. Roles, Services, and Authentication	3
4. Finite State Model	3
5. Physical Security	3
6. Operational Environment	N/A
7. Cryptographic Key Management	3
8. EMI/EMC	3
9. Self-tests	3
10. Design Assurance	3
11. Mitigation of Other Attacks N/A	

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Security Rules 3

The Hydra PC FIPS File Encryption Module enforces the following security rules. These rules are separated into two categories: 1) those imposed by FIPS 140-2, and 2) those imposed by SPYRUS.

FIPS 140-2 Imposed Security Rules 3.1

Policy	Rule Statement
Authentication Feedback	The Hydra PC FIPS File Encryption Module shall obscure feedback of authentication data to an operator during authentication (e.g., no visible display of characters result when entering a password).
Authentication Mechanism	The Hydra PC FIPS File Encryption Module shall enforce Identity-Based authentication.
Authentication Strength (1)	The Hydra PC FIPS File Encryption Module shall ensure that feedback provided to an operator during an attempted authentication shall not weaken the strength of the authentication mechanism
Authentication Strength (2)	The Hydra PC FIPS File Encryption Module shall satisfy the requirement for a single–attempt false acceptance rate of no more than one in 1,000,000 authentications
Authentication Strength (3)	The Hydra PC FIPS File Encryption Module shall satisfy the requirement for a false acceptance rate of no more than one in 100,000 for multiple authentication attempts during a one minute interval
Configuration Management	The Hydra PC FIPS File Encryption Module shall be under a configuration management system and each configuration item shall be assigned a unique identification number.
CSP Protection	The Hydra PC FIPS File Encryption Module shall protect all CSPs from unauthorized disclosure, modification, and substitution.
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Table 3-1: FIPS 140-2 Policies and Rule Statements

Policy	Rule Statement
Emissions Security	The Hydra PC FIPS File Encryption Module shall conform to the EMI/EMC requirements specified in FCC Part 15, Subpart B, Class B.
Error State (1)	The Hydra PC FIPS File Encryption Module shall inhibit all data output via the data output interface whenever an error state exists and during self-tests.
Error State (2)	The Hydra PC FIPS File Encryption Module shall not perform any cryptographic functions while in an Error State.
Guidance Documentation	The Hydra PC FIPS File Encryption Module documentation shall provide Administrator and User Guidance per FIPS 140-2, Section 4.10.4.
Hardware Quality	The Hydra PC FIPS File Encryption Module shall contain production quality ICs with standard passivation.
Interfaces (1)	The Hydra PC FIPS File Encryption Module interfaces shall be logically distinct from each other.
Interfaces (2)	 The Hydra PC FIPS File Encryption Module shall support the following five (5) interfaces: data input (PIN 2, 3 – USB interface and PIN 1, 2, 3, 8,10,11 – SD Interface) data output (PIN 2,3 – USB interface and PIN 1, 2,10,11– SD Interface) control input (PIN 2, 3 – USB interface and No Pins – SD Interface) status output (PIN 2, 3 – USB interface and No Pins – SD Interface) power interface (PIN 1, 4 – USB interface and PIN 4, 7, 9,12 – SD Interface)
Key Association	The Hydra PC FIPS File Encryption Module shall provide that: a key entered into, stored within, or output from the Hydra PC FIPS File Encryption Module is associated with the correct entity to which

Policy	Rule Statement
	the key is assigned.
Logical Separation	 The Hydra PC FIPS File Encryption Module shall logically disconnect the output data path from the circuitry and processes performing the following key functions: key generation, key zeroization
Mode of Operation	The Hydra PC FIPS File Encryption Module services shall indicate that the module is in an approved mode of operation with a standard success return code and the output of the Get Capabilities command.
Public Key Protection	The Hydra PC FIPS File Encryption Module shall protect public keys against unauthorized modification and substitution.
Re-authentication	The Hydra PC FIPS File Encryption Module shall re- authenticate an identity when it is powered-up after being powered-off.
RNG Strength	The Hydra PC FIPS File Encryption Module shall use a 'seed input' into the deterministic random number generator of sufficient length that ensures at least the same amount of operations are required to determine the value of the generated key.
Secure Development (1)	The Hydra PC FIPS File Encryption Module source code shall be annotated.
Secure Development (2)	The Hydra PC FIPS File Encryption Module software shall be implemented using a high-level language except that limited use of a low-level language is used to enhance the performance of the module.
Secure Distribution	The Hydra PC FIPS File Encryption Module documentation shall include procedures for maintaining security while distributing and delivering the module.
Self-tests (1)	The power-up tests shall not require operator intervention in order to run.

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Self-tests (2) The Hydra PC FIPS File Encryption Module shall perform the self-tests listed in Section 7. Self-tests (3) The Hydra PC FIPS File Encryption Module shall enter an Error State and output an error indicator via the status interface whenever self-test is failed. Services The Hydra PC FIPS File Encryption Module shall provide the following services: (see Reference Table 4.2). Software Integrity The Hydra PC FIPS File Encryption Module shall apply a 16-bit EDC software integrity check to all firmware components. Status Output The Hydra PC FIPS File Encryption Module shall apply a 16-bit EDC software integrity check to all firmware components. Status Output The Hydra PC FIPS File Encryption Module shall apply a 16-bit EDC software integrity check to all firmware components. Status Output The Hydra PC FIPS File Encryption Module shall apply a 16-bit EDC software integrity check to all firmware components. Strength of Key The Hydra PC FIPS File Encryption Module shall provide an indication via the "status output" interface if all of the power-up tests are passed successfully. Unauthorized The Hydra PC FIPS File Encryption Module shall protect the following keys from unauthorized disclosure, modification and substitution: secret keys private keys. private keys. Zeroization (1) The Hydra PC FIPS File Encryption Module shall provide a zeroization mechanism that can be performed either procedurally by the operator or automatically by the	Policy	Rule Statement
perform the self-tests listed in Section 7.Self-tests (3)The Hydra PC FIPS File Encryption Module shall enter an Error State and output an error indicator via the status interface whenever self-test is failed.ServicesThe Hydra PC FIPS File Encryption Module shall provide the following services: (see Reference Table 4.2).Software IntegrityThe Hydra PC FIPS File Encryption Module shall apply a 16-bit EDC software integrity check to all firmware components.Status OutputThe Hydra PC FIPS File Encryption Module shall provide an indication via the "status output" interface if all of the power-up tests are passed successfully.Strength of Key EstablishmentThe Hydra PC FIPS File Encryption Module shall use a key establishment methodology that ensures at least the same amount of operations are required to determine the value of the transported/agreed upon key.Unauthorized DisclosureThe Hydra PC FIPS File Encryption Module shall protect the following keys from unauthorized disclosure, modification and substitution: • secret keys • private keys.Zeroization (1)The Hydra PC FIPS File Encryption Module shall provide a zeroization mechanism that can be performed either procedurally by the operator or automatically by the Hydra PC FIPS File Encryption Module interface software on the connected host platform.		
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apply a 16-bit EDC software integrity check to all firmware components.Status OutputThe Hydra PC FIPS File Encryption Module shall provide an indication via the "status output" interface if all of the power-up tests are passed successfully.Strength of Key EstablishmentThe Hydra PC FIPS File Encryption Module shall use a key establishment methodology that ensures at least the same amount of operations are required to determine the value of the transported/agreed upon key.Unauthorized DisclosureThe Hydra PC FIPS File Encryption Module shall protect the following keys from unauthorized disclosure, modification and substitution: • secret keys • private keys.Zeroization (1)The Hydra PC FIPS File Encryption Module shall provide a zeroization mechanism that can be performed either procedurally by the operator or automatically by the Hydra PC FIPS File Encryption Module interface software on the connected host platform.	Services	provide the following services:
Strength of Key EstablishmentThe Hydra PC FIPS File Encryption Module shall use a key establishment methodology that ensures at least the same amount of operations are required to determine the value of the transported/agreed upon key.Unauthorized DisclosureThe Hydra PC FIPS File Encryption Module shall protect the following keys from unauthorized disclosure, modification and substitution: • secret keys • private keys.Zeroization (1)The Hydra PC FIPS File Encryption Module shall provide a zeroization mechanism that can be performed either procedurally by the operator or automatically by the Hydra PC FIPS File Encryption Module interface software on the connected host platform.	Software Integrity	apply a 16-bit EDC software integrity check to all
Establishmenta key establishment methodology that ensures at least the same amount of operations are required to determine the value of the transported/agreed upon key.Unauthorized DisclosureThe Hydra PC FIPS File Encryption Module shall protect the following keys from unauthorized disclosure, modification and substitution: • secret keys • private keys.Zeroization (1)The Hydra PC FIPS File Encryption Module shall provide a zeroization mechanism that can be performed either procedurally by the operator or automatically by the Hydra PC FIPS File Encryption Module interface software on the connected host platform.	Status Output	provide an indication via the "status output" interface
Disclosureprotect the following keys from unauthorized disclosure, modification and substitution: • secret keys • private keys.Zeroization (1)The Hydra PC FIPS File Encryption Module shall provide a zeroization mechanism that can be performed either procedurally by the operator or automatically by the Hydra PC FIPS File Encryption Module interface software on the connected host platform.		a key establishment methodology that ensures at least the same amount of operations are required to determine the value of the transported/agreed upon
provide a zeroization mechanism that can be performed either procedurally by the operator <i>or</i> automatically by the Hydra PC FIPS File Encryption Module interface software on the connected host platform.		protect the following keys from unauthorized disclosure, modification and substitution: secret keys
Zeroization (2) The Hydra PC FIPS File Encryption Module shall	Zeroization (1)	provide a zeroization mechanism that can be performed either procedurally by the operator <i>or</i> automatically by the Hydra PC FIPS File Encryption Module interface software on the connected host
provide the capability to zeroize all plaintext	Zeroization (2)	5

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Policy	Rule Statement
	cryptographic keys and other unprotected critical security parameters within the Hydra PC FIPS File Encryption Module.

3.2 SPRYUS Imposed Security Rules

Policy	Rule Statement
Single User Session	The Hydra PC FIPS File Encryption Module shall not support multiple concurrent operators.
No Maintenance Interface	The Hydra PC FIPS File Encryption Module shall not provide a maintenance role/interface.
No Bypass Mode	The Hydra PC FIPS File Encryption Module shall not support a bypass mode.
Health-Tests	The Hydra PC FIPS File Encryption Module shall conduct extensive health tests, including verification of the correctness of the AES and ECC key establishment functions, before and after every series of file encryption operations.

Table 3-2: SPYRUS Imposed Policies and Rule Statements

3.3 Identification and Authentication Policy

The table below describes the type of authentication and the authentication data to be used by operators, by role. For a description of the roles, see section 4.1.

Role	Type of Authentication	Authentication Data
Administrator (Crypto-Officer)	Manual Login	SSO PIN (min 7 to 128 characters) + Host Authentication Code (min 14 to 262 characters)
User	Manual Login	USER PIN (min 7 to 128 characters) + Host Authentication Code (min

Table 3-3: Identification and Authentication Roles and Data

Role	Type of Authentication	Authentication Data
		14 to 262 characters)

4 Roles and Services

4.1 Roles

The Hydra PC FIPS File Encryption Module supports two roles, Administrator and User, and enforces the separation of these roles by restricting the services available to each one. Each role is associated with a single user identity, namely the user in sole knowledge of the PIN associated with the role.

Role	Responsibilities
Administrator	The Administrator is responsible for initializing the Hydra PC FIPS File Encryption Module. Before issuing a Hydra PC FIPS File Encryption Module to an end user, the Administrator initializes the Hydra PC FIPS File Encryption Module with private keying material. The Administrator cannot use critical security parameters loaded on the module. The Hydra PC FIPS File Encryption Module validates the Administrator identity before accepting any initialization commands. The Administrator is also referred to as the Site Security Officer (SSO).
User	The User role is available after the Hydra PC FIPS File Encryption Module has been initialized. The user can load, generate and use secret keys for encryption services.

4.2 Services

The following table describes the services provided by the Hydra PC FIPS File Encryption Module.

Service	Description
Cancel File Session	Cancel the current file session and
	remain logged on.
Change Secrets	Forces Hydra PC to change the
	authorization factor used for
	authorizing a user and host to
	access the module
Delete Hydra Keys	Delete and zeroize Hydra PC file
	transport and signature keys
End File Decrypt	Validates the completion of decrypt

Table 4-2: Hydra PC FIPS File Encryption Module Services

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Service	Description
	or Verify Session
End File Encrypt	Completes the encrypted file stream
	format.
File Encrypt And Hash	Encrypt (AES-256) and Hash (SHA-
	384) a block of data.
File Hash And Decrypt	(SHA-384) Hash and Decrypt (AES-
	256) a file.
Generate Single User	Generates Single User Transport
	data.
Get Capabilities	Returns the current capabilities of
	the HYDRA PC token including:
	Global Information File, Firmware
	Version, Hardware Version, storage
	size of the mini SD memory
	installed, the product name, and
	module state (Failure/Operational).
	This service provides a response
	that indicates the approved mode of
	operation (see Section 3.1).
Get Public	Get Public Key Data (ECDSA) from
	HYDRA PC.
Get State	Returns the current state information
	from the HYDRA PC token: HYDRA
	state, Mini SD Media status, current
	lock state of the mass storage drive.
Hash File	Hash (SHA-384) a block of data.
Load Secret	Forces Hydra PC to load cached
	authorization factors for operator
L 0#	and host authorization.
Log Off	Performs logoff on Hydra PC.
Log On Make Deady	Performs logon for user
Make Ready	Generates the Hydra keys enabling
	signing of encrypted user files and
	generation of encryption and
	decryption keys for each encrypted
Set Drive Lock	file. Set drive lock command locks and
Set Drive Lock	
	unlocks the miniSD mass storage device.
Signad Firmwara Undata	
Signed Firmware Update	Perform a signed firmware update of
	the Hydra PC Firmware using a

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Service	Description
	signed firmware update block.
Start File Decrypt	Performs the file decrypt and verify
	session or verify only session. The
	command can also just verify
	encrypted file header information
	without starting a session.
Start File Encrypt	Starts a file encrypt session.
	Initializes a file session with the
	requested AES Key Size, AES Block
	Mode and hash mode.
Setup Basic Secure Channel	Generates a non-authenticated
	secure channel using a new
	ephemeral key pair (ECDH P256)
	and a host ephemeral public.
Get User State	Returns the current HYDRA PC
	State, Time remaining (in ms) after
	invalid logon; Size of Data Out
	Block; Number of Attempts
	remaining after invalid logon; and Total critical errors
Initialize	Initializes the Hydra PC by invocation from the Admin Tools
	software.
Zeroize	
	Zeroizes all encryption keys by invocation from the Admin Tools
	software.

5 Identification and Authentication

5.1 Initialization Overview

The initialization procedure is as follows:

- 1. The module is powered (plugged in an available USB port on the host PC), the operator starts the host software used to allow the operator to send the default SSO PIN Phrase set at the factory.
- 2. Next, the operator who has logged in with the default SSO PIN Phrase, loads the USER ID, USER PIN Phrase, Host Authentication Code (HAC) (which identifies the machine from which operators are allowed to send USER PIN Phrase or SSO PIN Phrase) and generates an ECC Public/Private key that is going to be used to establish a SP800-56A key between the host software and Hydra module to provide UserID+User PIN Phrase+HAC or SSO PIN Phrase+HAC confidentiality when entered into the module.
- 3. Next, the operator changes the default SSO PIN Phrase, which completes the initialization procedure. From this point on, the User or SSO must enter the appropriate PIN Phrase from an authorized machine (which sends the HAC) to authenticate.

5.2 Operator Authentication

Operator Authentication is accomplished by 1) HAC transfer from Host to the module following power-on; and 2) PIN entry by the user. After receiving the Host HAC, the Hydra PC FIPS File Encryption Module waits for authentication of the user by entry of a PIN phrase. Once a valid PIN phrase has been accepted the Hydra PC FIPS File Encryption Module is ready for cryptographic commands.

The Hydra PC FIPS File Encryption Module stores the number of logon attempts in non-volatile memory. The count is reset after every successful entry of a User

PIN Phrase by a user and after every successful entry of the SSO PIN Phrase by the SSO.

If an incorrect User PIN or Host Authorization Code is loaded during the authentication process, a delay of 4 seconds is imposed. If a second incorrect attempt occurs, the delay is increased to 8 seconds, etc., doubling for every failed attempt.

If the User fails to logon to the Hydra PC FIPS File Encryption Module in 10 consecutive attempts, the Hydra PC FIPS File Encryption Module will block access to the User's personality directory, and then transitions to a state that is initialized only for the Administrator to perform restorative actions. To restore operation to the Hydra PC FIPS File Encryption Module, the User or will have to reload the initialization parameters and User PIN phrase. If the User fails to logon to the Hydra PC FIPS File Encryption Module in 10 consecutive attempts, the Hydra PC FIPS File Encryption Module in 10 consecutive attempts, the Hydra PC FIPS File Encryption Module will block all of the Private or Secret Components, Key Registers and disallow User access. When the Hydra PC FIPS File Encryption Module is inserted after a zeroize, it will power up and transition to the Zeroized State, where it will only accept the Zeroize Default PIN phrase. After the Zeroize Default PIN phrase has been accepted, the Hydra PC FIPS File Encryption Module transitions to the Uninitialized State and must be reinitialized, as described in section 5.1.

5.3 Generation of Random Numbers

The Random Number Generators are not invoked directly by the User. The Random Number output is generated by the FIPS 186-2 algorithm in the case of static private keys and associated key wrapping keys, or the HASH_DRBG algorithm specified in SP 800-90 in the case of ephemeral keys, nonces, and symmetric keys. These calls are internal and their output is not exported to the user.

5.4 Strength of Authentication

The strength of authentication mechanism is stated in Table 5-1 below.

Authentication Mechanism	Strength of Mechanism
Single PIN-entry attempt / False	The probability that a random PIN-entry
Acceptance Rate	attempt will succeed or a false acceptance
	will occur is 1.66 x 10 ⁻¹⁴ . The requirement
	for a single-attempt / false acceptance rate
	of no more than 1 in 1,000,000 (i.e. less

 Table 5-1: Strength of Authentication

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Authentication Mechanism	Strength of Mechanism
	than a probability of 10^{-6}) is therefore met.
Multiple PIN-entry attempt in one minute	Hydra PC authentication mechanism has a feature that doubles the time of authentication with each successive failed attempt. There is also a maximum bound of 10 successive failed authentication attempts before zeroization occurs. The probability of a successful attack of multiple attempts in a one minute period is 1.66×10^{-13} due to the 10 failed attempt maximum. This is less than one in 100,000 (i.e., 1×10^{-5}), as required.

6 Access Control

6.1 Critical Security Parameters (CSPs)

l able 6	-1: Hydra PC FIPS	File Encrypti	on Module CSPS
Key	Algorithm(s)	Symbolic	Description/Usage
	Standards	Form	
ECDSA Private key	ANSI X9.62	d _{ECDSA}	The ECDSA P-384 Private Key of the
			User employed in Elliptic Curve digital
			signing operations to Sign File Header
			data during file encryption
SMS Key Encrypting	FIPS-197	KEK	An AES-256 key wrap key (AKA a
Кеу			Transport Encryption Key) used for
			wrapping the SMS File Encryption Key
SMS File Encrypting	FIPS-197	FEK	File Encrypting Key (FEK) used to
Кеу			encrypt or decrypt a particular file or
			message.
ECC Ephemeral Private	SP 800-56A	d _{e,U}	A user ECDH ephemeral private key.
Кеу			
Host Secure Session	SP 800-56A	Z	AES-256 (CBC) secure session key. It
Кеу			is used to encrypt the Host
			Authorization code and the SSO or
			User PIN.
RNG Seed Key	FIPS 186-2	XKEY	FIPS 186-2 XKEY variable
Dual_EC_DRBG Seed	SP 800-90	S	SP 800-90 Hash_DRBG s variable
Hash_DRBG Seed	SP 800-90	V	SP 800-90 Hash_DRBG V variable
User PIN	N/A	USER PIN	User authentication using a 7 to 128
			character PIN entered by Host during
			logon.
SSO PIN	N/A	SSO PIN	SSO's authentication using a 7 to 128
			character PIN entered by Host during
			logon.

Table 6-1: Hydra PC FIPS File Encryption Module CSPs

6.2 Public Keys

Table 6-2: Hydra PC FIPS File Encryption Module Public Keys			
Кеу	Algorithm(s) Standards	Description/Usage	
Firmware authentication key	ANSI X9.62	Used for signature verification of a firmware update block. Each block is signed using an ECDSA P384 firmware signature key. A block is loaded only if the signature is valid.	
ECDSA Public key	ANSI X9.62	The ECDSA P-384 Public Key of the User employed in Elliptic Curve digital signing operations to verify File Header data during file decryption	
ECDH Public Key	SP 800-56A	The responder static public key in SP800-56A ECC CDH One-Pass Diffie-Hellman key establishment protocol. Used to set up a secure channel between the module firmware and the host software for transmission of critical security parameters.	
ECC Ephemeral Public Key	SP 800-56A	The initiator ephemeral public key in SP800-56A ECC CDH One-Pass Diffie-Hellman key establishment process.	
ECC Static Public Key	SP 800-56A	An ECDH static Public key.	

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6.3 CSP Access Modes

Table 6-3: Hydra PC FIPS File Encryption Module Access Modes

Access Type	Description		
Generate (G)	"Generate" is defined as the creation of a CSP		
Delete (D)	"Delete" is defined as the zeroization of a CSP		
Use (U)	"Use" is defined as the process in which a CSP is		
	employed. This can be in the form of loading, encryption,		
	decryption, signature verification, or key wrapping.		

6.4 Access Matrix

The following table shows the services (see section 4.2) of the Hydra PC FIPS File Encryption Module, the roles (see section 4.1) capable of performing the service, the CSPs (see section 6.1) that are accessed by the service and the mode of access (see section 6.3) required for each CSP. The following convention is used: if the role column has an 'X', then that role may execute the command.

Service Name	Roles		Access to Critical Security Parameters		
	Unauth- enticated	Admin	User	CSPs	Access Mode
Cancel File Session			Х		
Change Secrets		Х		USER/SSO PIN	D,G
Change Secrets			Х	USER PIN	D,G
Delete Hydra Keys			X	d _{ECDSA} , d _{e,U}	D
End File Decrypt			X	FEK, d _{ECDSA} , d _{e,U} , Z	U,D
End File Encrypt			Х	FEK, d _{ECDSA} , d _{e,U} , Z	U,D
File Encrypt And Hash				FEK, d _{ECDSA} , d _{e,U} ,	U
			Х	V, Z	G,U
File Hash And Decrypt				FEK, d _{ECDSA} , d _{e,U} ,	U
			X	V, Z	G,U
Generate Single User			Х	FEK, KEK	G,U
Get Capabilities	Х				
Get Public	X				
Get State	Х				
Hash File			Х		
Load Secret			Х	USER PIN	U
Log Off			Х		
Log On			x	USER PIN	U
			^	Z, d _{ECDSA}	G
Log On		х		SSO PIN	U
		^		Z, d _{ECDSA}	G
Make Ready			X	Z, d _{ECDSA}	G
Set Drive Lock			Х		
Signed Firmware Update			х		
Start File Decrypt			х	FEK,KEK, d _{e,U} , KEK	G,U D

Table 6	-4: Hydra P	C FIPS File	e Encryp	tion Module	Access Matrix

Service Name	Roles			Access to Critica Paramete	-
	Unauth- enticated	Admin	User	CSPs	Access Mode
Start File Encrypt				FEK,KEK, d _{e,U} ,	G,U
			Х	ν,	U
				KEK	D
Setup Basic Secure			x	Z	G,U
Channel			^		
Get User State			Х		
Initialize			Х	USER PIN, s, V	G
Zeroize	v	Y I		FEK, KEK, Z, d _{ecdsa}	D
	X			d _{e,U}	

7 Self-Tests

The Hydra PC FIPS File Encryption Module executes the following self-tests:

- 1. Power Up Self-Tests:
- ECDSA KAT (includes SP800-90 HASH-DRBG (512) KAT)
- DH KAT (public key check, KDF, modular exponentiation)
- AES KAT1 (Encrypt/Decrypt Certificate #858)
- AES KAT2 (Encrypt/Decrypt Certificate #846)
- AES KAT3 (Encrypt/Decrypt Certificate #850)
- SHA 256 KÀT
- SHA 512 KAT
- FIPS186-2 RNG KAT
- Firmware Integrity Test (SHA-384 hash)
- 2. Conditional Self-Tests
- Continuous RNG Test (FIPS186-2 RNG)
- Continuous RNG Test (SP800-90 RNG)
- Continuous RNG Test (Hardware RNG)
- ECDSA Pairwise consistency test
- EC-DH Pairwise consistency test
- Firmware load test (ECDSA signature verification)

Self-tests can be initiated by the operator of the module by executing a power-off to the module immediately followed by a power-on operation. If the module fails any of the self-tests, transition to the Failure state is immediate.

8 Mitigation of Other Attacks

No claims of mitigation of other attacks listed in Section 4.11 of FIPS 140-2 by the Hydra PC FIPS File Encryption Module are made or implied in this document.

SPYRUS, Inc.

Acronyms

References

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