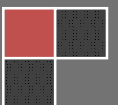


2013

# Security Policy

## Tahir Pak Crypto Library

This document provides a non-proprietary FIPS140-2 Security Policy for the Tahir Pak Crypto Library (TPCL). (Software Version 2.1.1)



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|         |            |
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## 1. Introduction

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### 1.1 Purpose and Scope

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This document is the FIPS 140-2 non-proprietary security policy for the **Tahir Pak Crypto Library (TPCL)** to meet FIPS 140-2 for Security level 2 requirements software module.

This Security Policy details the secure operation of the TPCL version 2.1.1 developed by **ACES Pvt. Ltd.** as required in Federal Information Processing Standards Publication 140-2 as published by the National Institute of Standards and Technology (NIST) of the United States Department of Commerce.

### 1.2 Audience

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This document is required as a part of the FIPS 140-2 validation process. It describes the TPCL version 2.1.1 module in relation to FIPS 140-2 requirements. The companion document "**TPCL Documentation**" and "**TPCL User Guidance**" describes how to configure and operate with the cryptographic module (CM) in the FIPS 140-2 approved mode. It is a technical reference for developers using and installing the cryptographic module.

### 1.3 References

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[1] FIPS 140-2 Implementation Guidance,

<http://csrc.nist.gov/groups/STM/cmvp/standards.html>

[2] FIPS 140-2 Derived Test Requirements,

<http://csrc.nist.gov/groups/STM/cmvp/standards.html>

[3] FIPS 197 Advanced Encryption Standard,

<http://csrc.nist.gov/publications/PubsFIPS.html>

[4] SP 800-90A Recommendation for Random Number Generation Using Deterministic Random Bit Generators (Revised)

<http://csrc.nist.gov/publications/PubsFIPS.html>

[5] FIPS 198 the Keyed-Hash Message Authentication Code (HMAC)

<http://csrc.nist.gov/publications/PubsFIPS.html>

[6] FIPS 180-4 Secure Hash Standard (SHS)

<http://csrc.nist.gov/publications/PubsFIPS.html>

[7] FIPS 186-3 Digital Signature Standard (DSS)

<http://csrc.nist.gov/publications/PubsFIPS.html>

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**1.3.1 FIPS 140-2 Documents**

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|               |   |
|---------------|---|
| [FIPS1402]    | FIPS140-2 PUB FIPS 140-2 Security Requirements for cryptographic modules (and annexes)  |
| [FIPS1402DTR] | Derived Test Requirements for FIPS PUB 140-2, Security Requirements for Cryptographic Modules                                   |
| [SP800-131A]  | Special Publication 800-131A. Transitions: Recommendation for Transitioning the Use of Cryptographic Algorithms and Key Lengths |



## 2. Cryptographic Module Specification

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### 2.1 Overview

The Tahir Pak Crypto Library (TPCL) is software based cryptographic module, designed to achieve conformance as per FIPS 140-2 standard (security level 2). Purpose of this module is to provide FIPS Approved cryptographic functions to consuming applications via an Application Programming Interface (API).

TPCL is installed on a General Purpose computer (multi chip standalone device) with Red Hat Enterprise Linux (RHEL) 5.3 operating system which is evaluated on EAL4 of CC and PP. TPCL library is programmed in C language and the source code is compiled using "GCC 4.1.2". TPCL is a shared library. The name of the executable files associated with the module are: **libtpcl.so** and **libgmp.so**.

| Security Component                        | Security level |
|---|----------------|
| Cryptographic Module Specification        | 02             |
| Cryptographic Module Ports and Interfaces | 02             |
| Roles, Services and Authentication        | 03             |
| Finite State Model                        | 02             |
| Physical Security                         | N/A            |
| Operational Environment                   | 02             |
| Cryptographic Key Management              | 02             |
| EMI/EMC                                   | 03             |
| Self Tests                                | 02             |

|                             |     |
|-----------------------------|-----|
| Design Assurance            | 02  |
| Mitigation of Other Attacks | N/A |

Table 1: Security Levels

## 2.2 Module Specification

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### 2.2.1 Cryptographic Boundary

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The cryptographic functions are the software part of TPCL which are as follows:

- i. Advanced Encryption Standard, AES (128, 192, 256) use electronic codebook (ECB) and Cipher Block Chaining (CBC) modes
- ii. Secure Hash Algorithm, SHA (224, 256, 384, 512)
- iii. Hash-based Message Authentication Code, HMAC (224,256,384,512)
- iv. Counter-Deterministic Random Bit Generator, CTR-DRBG with AES using derivation function
- v. Digital signature algorithm, DSA (Generate public & private keys )
- vi. Key Generation Module
- vii. Authentication Module
- viii. User Management module

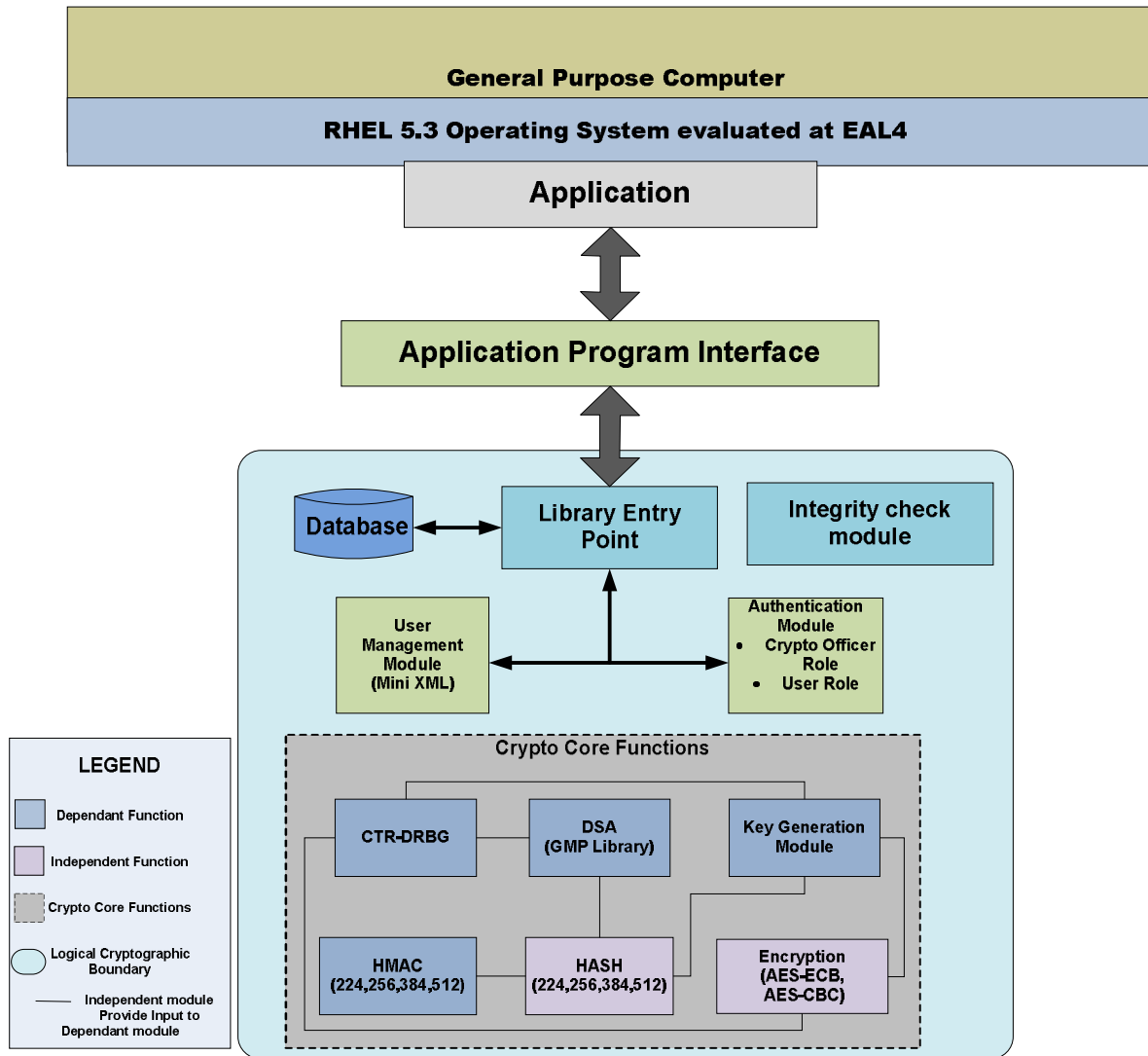


Figure 1: Software Block Diagram of TPCL

### 2.2.2 Tested Platforms

The TPCL has been tested on the following platforms:

| Module/ Implementation               | Manufacturer | Model   | O/S & Version |
|--------------------------------------|--------------|---------|---------------|
| DELL power edge 11 generation server | DELL systems | T110 ii | RHEL 5.3      |

Table 2: Tested Platforms

### 2.2.3 Approved Cryptographic Algorithms

After passing power-up self tests upon initializing the TPCL module, by default, module operates only in FIPS approved mode of operation. In Approved mode the module supports the following Approved functions:

| Algorithm Type                  | Algorithm   | Standard   | Use   | Certificate # |
|---------------------------------|---|------------|---|---------------|
| <b>Symmetric Key</b>            | AES -ECB (128,192,256)<br>AES -CBC (128,192,256)  | FIPS-197   | Data encryption and decryption  | 2341          |
| <b>Hashing</b>                  | SHA (224, 256, 384, 512)                          | FIPS 180-4 | Message Digest  | 2018          |
| <b>Keyed- Hash</b>              | HMAC (224,256,384,512)                            | FIPS 198-1 | MAC Calculations  | 1450          |
| <b>Asymmetric key</b>           | DSA (mod 2048 and 3072)                           | FIPS 186-3 | P,Q, G generation/Validation, Key pair generation and Digital signatures calculations /verification | 733           |
| <b>Deterministic Random Bit</b> | CTR-DRBG with AES (256) using derivation function | SP 800-90A | Random bit generation   | 291           |

|                  |  |            |                            |     |
|------------------|--|------------|----------------------------|-----|
| Generator (DRBG) |  |            |                            |     |
| Key Generation   | CTR-DRBG with AES (256) and Hash (512) | SP 800-133 | To generate symmetric keys | N/A |

Table 3: Approved Security Functions

**Note: Validation of each cryptographic algorithm must be obtained from SP 800-131A.**

**2.2.4 Non-Approved Cryptographic Algorithms**

NDRNG: /dev/random. It is used to seed the CTR-DRBG

**2.2.5 List of Critical Security Parameters and Other Security Related Information**

The following table summarizes the critical information whose disclosure or modification will compromise the security of TPCL.

| S. No | CSP/Keys                     | CSP (Yes/No) | Algorithm/ Modes                     | Generation / input  | Output  | Storage                    | Usage                 | Zeroization  |
|-------|------------------------------|--------------|--------------------------------------|---|---|----------------------------|-----------------------|--|
| 1.    | Symmetric Keys (128,192,256) | Yes          | AES (ECB,CBC) Key generation method. | Generated externally or internally/ input is in plaintext | Output to the consuming application during key generation | Stored in RAM in plaintext | Encryption/decryption | Erasing from RAM soon after <b>tpcl_key_zeroize()</b> API call from consuming application in case of AES and after generation and output |

|    |  |     |  |   |  |  |  |  |
|----|--|-----|--|---|--|--|--|--|
|    |  |     |  |   |  |  |  | to consuming application in case of key generation.  |
| 2. | <p><b>a).</b> Domain parameters( p, q, g{,first seed, pseed, qseed}) modulus L = 2048, N = 224<br/>L = 3072, N = 256<br/><b>b).</b> 2048 and 3072 bits modulus size key pair</p> | Yes | <p>DSA domain parameter generation: SHA-256<br/>Generation of P and Q through (Provable Primes P and Q),<br/>Generation of G through (Canonical generation of G),<br/>Key pair(generation),<br/>sign(generation/ verification)</p> | Generated itself by the module/ input in plaintext            | Domain parameters and Private/ Public key pair are output to the consuming application | p, q and g, Public and private key in RAM in plaintext | <p><b>a)</b> Key pair generation<br/><b>b)</b> Signature generation and verification<br/><b>c)</b> P,Q,G generation/ Validation.</p> | p, q and g, Public / private keys erasing from the RAM after using them in required functions. |
| 3. | Per- message secret number (224, 256) for each (2048,3072) mod respectively.   | Yes | DSA  | Generated itself by the module using extra random bits method | Never outputs from the module  | Stored in RAM in plaintext                             | Used for digital signing process.  | Erasing from the RAM after using for generation of signatures.                                 |
| 4. | Entropy input (3 * requested security strength bits) (security strengths supported are 112, 128 and 256 )  | Yes | SP800-90 CTR-DRBG (AES-256)  | Generated from the Linux OS through entropy input call        | Never outputs from the module  | Stored in RAM in plaintext                             | Random bit generation  | Erasing from the RAM after using for instantiation of DRBG.                                    |
| 5. | Seed (384 bits)  | Yes | SP800-90 CTR-DRBG (AES-256)  | Generated internally by                                       | Never outputs  | Stored in RAM  | Random bit generation  | Erasing from the RAM after updating the  |

|     |   |     |  |  |   |                                 |  |  |
|-----|---|-----|--|--|---|---------------------------------|--|--|
|     |   |     |  | the module.  | from the module   | in plaintext                    |  | internal state of DRBG.  |
| 6.  | Internal state. key (256 bits)  | Yes | SP800-90 CTR-DRBG (AES-256)  | Generated itself by the module                         | Never outputs from the module                             | Stored in RAM in plaintext      | Random bit generation                      | Erasing from the RAM by calling the un instantiate function.   |
| 7.  | Internal state. V (128 bits)  | Yes | SP800-90 CTR-DRBG (AES-256)  | Generated itself by the module                         | Never outputs from the module                             | Stored in RAM in plaintext      | Random bit generation                      | Erasing from the RAM by calling the un instantiate function.   |
| 8.  | HMAC-224 key (112~448 bits)<br>HMAC~256 key (128~512 bits)<br>HMAC~384 key (192~768 bits)<br>HMAC-512 key (256~1024 bits) | Yes | HMAC -224<br>HMAC -256<br>HMAC -384<br>HMAC -512<br>Key generation method. | Generated externally or internally/ input in plaintext | Output to the consuming application during key generation | Stored in RAM in plaintext      | Message authentication                     | Erasing from RAM after using them in case of all HMACs and after generation and output to consuming application in case of key generation. |
| 9.  | Global key(symmetrical) (64~1024 bits)  | Yes | Key generation method  | Generated internally/ never inputs to the module.      | Output to the consuming application during key generation | Stored in RAM in plaintext      | Used by consuming application as per need. | Erasing from the RAM after generation and output to the consuming application.   |
| 10. | Software Integrity key (256 bits)   | No  | HMAC -512  | Hardcoded into the module                              | Never outputs from the module                             | Hard Drive in plaintext         | Software integrity testing in Self-test    | Uninstalling the module  |
| 11. | User password (8-10 characters)   | Yes | Authentication module  | Input in plaintext                                     | Never outputs from the module                             | A hash of each user password is | User authentication                        | -When the user is deleted from database.<br>- Uninstalling the module  |

|  |  |  |  |  |  |                                   |  |  |
|--|--|--|--|--|--|-----------------------------------|--|--|
|  |  |  |  |  |  | stored<br>in the<br>databas<br>e. |  |  |
|--|--|--|--|--|--|-----------------------------------|--|--|

Table 4: Critical Security Information

Note: The strength provided by the entropy source is up to 160 bits. SHA-1 is the limiting factor in /dev/random. Therefore, the maximum strength of any key generated by TPCL is 160 bits.



### 3. Cryptographic Module Ports and Interfaces

The TPCL has four logical interfaces which can be categorized into the following FIPS 140-2 defined interfaces:

- i. **Data Input Interface:** All data (except control data entered via the control input interface) enters via the defined "data input" interface, which is processed by the cryptographic module. plaintext, cipher text, keys and CSP's, message length, authentication data, initialization vector, personalization string, additional input, requested random data, key size, key type parameters enter through the data input interface.
- ii. **Data Output Interface:** All data (except status data output via the status output interface) exits via the "data output" interface, which is output from the cryptographic module. All data output via the data output interface is inhibited when an error state exists and during self-tests. Upon successful execution of TPCL, plaintext, cipher text, public/ private key pair, message digest, MAC, Random bits and random key parameters is displayed through the data output interface.
- iii. **Control Input Interface:** All input commands and control data is entered via the "control input" interface. Mechanism of digest (SHA 224, 256, 384, 512), Mechanism of MAC (HMAC 224,256,384,512), Encryption/ Decryption modes (ECB, CBC) and Prediction Resistance
- iv. **Status Output Interface:** All output signals including error indicators or status messages displayed via the "standard output" interface are used to indicate the status of a cryptographic module. For example: BOOL\_TRUE showing successful return TPCL\_ERR\_INTEG\_FAILED, TPCL\_AES\_SELF\_TEST\_FAILURE, etc.

| FIPS Interface | Ports  |
|----------------|--|
| Data Input     | Function input arguments   |
| Data Output    | Output parameter of API Function call  |
| Control Input  | All API function calls, API Parameters   |
| Status Output  | Status information used to indicate the status of the TPCL module i.e. error messages and return codes |
| Power Input    | Through power supply of GPC.   |

Table 5: Ports and Interfaces

## 4. Access Control Policy

### 4.1. Roles

TPCL supports three types of authorized roles:

- **Crypto Officer Role:** There is only one crypto officer in the module that is created by default. Therefore it is not possible to create more users with this role.
- **User Role:** There can be multiple users against this role.
- **Other Role:** Role that do not require authentication.

Following table elaborates the capabilities of each role in detail.

| Role | Services (See list below)  |
|------|--|
| User | Performs: <ul style="list-style-type: none"> <li>• Module initialization</li> <li>• Run Self test</li> <li>• Login authentication</li> <li>• Change his/her password</li> <li>• Logout mechanism</li> <li>• Encryption/ Decryption</li> <li>• Hashing</li> <li>• Signing and MAC function</li> </ul> |

|                       |  |
|-----------------------|--|
|                       | <ul style="list-style-type: none"> <li>• Generate keys</li> <li>• Generate requested number of random bits</li> <li>• Generate domain parameters, public/private keys and DSA signature</li> <li>• Module finalization</li> <li>• Show status</li> <li>• DRBG generate function health test interval set</li> <li>• Error Display</li> </ul>   |
| <b>Crypto Officer</b> | <p>Performs:</p> <ul style="list-style-type: none"> <li>• Module initialization</li> <li>• Run Self test</li> <li>• Login authentication</li> <li>• Account management</li> <li>• Logout mechanism</li> <li>• Encryption/ Decryption</li> <li>• Hashing</li> <li>• Signing and MAC function</li> <li>• Generate keys</li> <li>• Generate requested number of random bits</li> <li>• Generate domain parameters, public/private keys and DSA signature</li> <li>• Module finalization</li> <li>• Show status</li> <li>• Error Display</li> <li>• DRBG generate function health test interval set</li> </ul> |
| <b>Other</b>          | <p>Performs public services:</p> <ul style="list-style-type: none"> <li>• Module initialization</li> <li>• Show status</li> <li>• Hashing</li> <li>• Module finalization</li> </ul>  |

|  |   |
|--|---|
|  | <ul style="list-style-type: none"><li>• Error Display</li></ul> |
|--|---|

Table 6: Roles of TPCL

- **Maintenance Role:** There is no provision for a maintenance role in the TPCL.

The crypto officer is in charge of the installation of the module and is also in charge of reviewing the audit logs in order to detect problems in the operation of the module.

## 4.2. Services

---

Services refer to all the operations or functions that are performed by the cryptographic module. Service inputs consist of data and control inputs to the cryptographic module. Similarly service outputs consist of data and status output from the cryptographic module. Each service input results in a service output.

The table: 7 describe all services in terms of their respective API functions, associated role and its description.

### 4.2.1 Show Status

---

`tpcl_show_status` function shows the current status of TPCL whether it is in error state or in operational state.

### 4.2.2 Perform Self-Tests

---

Power-up self-tests are automatically carried out whenever TPCL library is loaded by consuming application. However, on demand self tests can be initiated by calling *tpcl\_selftest* utility as described in section 9.

### 4.2.3 Bypass capability

---

The TPCL has no bypass capability.

| S.No | Service Name          | Authorized Role |                |       | Access to CSPs                      | API Function Name | Function Description   |
|------|-----------------------|-----------------|----------------|-------|-------------------------------------|-------------------|--|
|      |                       | User            | Crypto-officer | Other |                                     |                   |  |
| 1.   | Module Initialization | Yes             | Yes            | Yes   | No                                  | tpcl_initialize   | Initializes the TPCL module (tpcl_initialize is called directly when the module is loaded into memory)                                 |
| 2.   | Run Self Test         | Yes             | Yes            | No    | No                                  | tpcl_selftest     | Runs the on-demand power-up self-tests functions related to each sub-module (with authentication)                                      |
| 3.   | Login Authentication  | Yes             | Yes            | No    | Read access to user password        | tpcl_login        | Performs authentication of users & updating their status from only accessing public services to now accessing private services as well |
| 4.   | Account Management    | No              | Yes            | No    | -Read/write access to user password | tpcl_useradd      | Creates users of the TPCL library  |
|      |                       | No              | Yes            | No    | -Read/write access to user password | tpcl_userdel      | Deletes users of the TPCL library  |
|      |                       | Yes             | Yes            | No    | -Read/write access to user password | tpcl_changepwd    | Changes password of users or crypto-officer  |
|      |                       | No              | Yes            | No    | No                                  | Tpcl_list_users   | List down all available users.   |
| 5.   | Logout Authentication | Yes             | Yes            | No    | No                                  | tpcl_logout       | Logs out user from being an authorized one who could access private services to the one who can only access                            |

|    |  |     |     |     |  |   |  |
|----|--|-----|-----|-----|--|---|--|
|    |  |     |     |     |  |   | public services now. Whenever the authenticated user logs out, the credentials of user are zeroized from the memory.   |
| 6. | Database Reset                                 | No  | Yes | No  | No   | tpcl_reset_db   | Reset the database to factory settings   |
| 7. | Encryption Service                             | Yes | Yes | No  | Read/write access to AES symmetric key                                     | -tpcl_key_init<br>-tpcl_encrypt<br>-tpcl_key_zeroize                  | Provides AES encryption service to the user  |
| 8. | Decryption Service                             | Yes | Yes | No  | Read/write access to AES symmetric key                                     | -tpcl_key_init<br>-tpcl_decrypt<br>-tpcl_key_zeroize                  | Provides AES decryption service to the user.   |
| 9. | Hashing Service                                | Yes | Yes | Yes | No   | -tpcl_sha<br>-tpcl_sha_init<br>-tpcl_sha_update<br>_tpcl_sha_finalize | Calculates message digest by calling hashing algorithm SHA with the following combinations: <b>TPCL_SHA512, TPCL_SHA384, TPCL_SHA256, TPCL_SHA224</b>                              |
| 10 | Signing & MAC Function (HMAC 224,256,384,512 ) | Yes | Yes | No  | Read/write access to HMAC key  | tpcl_hmac   | Facilitates user by calculating the MAC of a message using keyed-hash algorithm SHA with the following combinations: <b>TPCL_HMAC512, TPCL_HMAC384, TPCL_HMAC256, TPCL_HMAC224</b> |
| 11 | Key Generation                                 | Yes | Yes | No  | -Read/Write access to CTR-DRBG entropy input, seed, internal state.key and | tpcl_keygen   | This function generates key, either to be used in any of the internal modules i.e. HMAC and AES or for global use, but the maximum length of global key can be 1024                |

|    |                                  |     |     |    |  |                           |  |
|----|----------------------------------|-----|-----|----|--|---------------------------|--|
|    |                                  |     |     |    | internal state.V<br>-Write access to global key  |                           |  |
| 12 | Random Number Generation         | Yes | Yes | No | -Read/Write access to CTR-DRBG entropy input, seed, internal state.key and internal state.V  | tpcl_instantiate_rand     | Creates an instance of a state handle  |
|    |                                  | Yes | Yes | No |  | tpcl_uninstantiate_rand   | Clears the state handle  |
|    |                                  | Yes | Yes | No |  | tpcl_generate_rand        | Generates requested number of random bits  |
| 13 | DSA domain parameters generation | Yes | Yes | No | -Read/Write access to CTR-DRBG entropy input, seed, internal state.key and internal state.V<br><br>-Read/write access to DSA domain parameters                             | tpcl_dsa_generateDP       | Generates Domain Parameters to be used by DSA module for generation of unique key-pair |
| 14 | DSA key pair generation          | Yes | Yes | No | -Read/Write access to CTR-DRBG entropy input, seed, internal state.key and internal state.V<br><br>-Read access to DSA domain parameters and write access to DSA key pairs | tpcl_dsa_generate_keypair | Generates public-private key-pair  |

|    |   |     |     |     |  |                             |   |
|----|---|-----|-----|-----|--|-----------------------------|---|
| 15 | DSA signature generation                        | Yes | Yes | No  | - Read access to domain parameters, per message secret number and DSA private key<br>-Read/Write access to CTR-DRBG entropy input, seed, internal state.key and internal state.V | tpcl_dsa_generate_signature | Generates the DSA signature                           |
| 16 | DSA signature verification                      | Yes | Yes | No  | Read access to DSA domain parameters and DSA public key  | tpcl_dsa_verify_signature   | Verifies the signature generated by DSA               |
| 17 | Module Finalization                             | Yes | Yes | Yes | No   | tpcl_finalize               | Performs zeroization of the CSPs used the module      |
| 18 | Error display                                   | Yes | Yes | Yes | No   | tpcl_error_string           | Display the error message associated with error code. |
| 19 | DRBG generate function health test interval set | Yes | Yes | No  | No   | tpcl_set_rand_interval      | Change the default interval to user provided value.   |
| 20 | Show Status                                     | Yes | Yes | Yes | No   | tpcl_show_status            | Shows the status of library at any instant            |

Table 7: Services of TPCL



### 4.3. Operator Authentication Mechanism

The TPCL cryptographic module supports identity based authentication technique. In identity base authentication an operator must explicitly request to assume a role and has to prove his identity (username, password) against this role to gain access to the private services. TPCL supports a password based authentication mechanism to access the above mentioned TPCL User services.

| Role                  | Type of Authentication        | Authentication Data   | Strength   |
|-----------------------|-------------------------------|-----------------------|--|
| <b>Crypto Officer</b> | Password based authentication | Username and Password | Password are required to be at least of 8 characters |
| <b>User</b>           | Password based authentication | Username and password | Password are required to be at least of 8 characters |

Table 8: Authentication

### 4.4. Strength of Authentication Mechanism

The strength of authentication mechanism depends upon the length and complexity of password. Password length must be 8 characters long chosen from 96 human readable ASCII characters (ASCII lower case, upper case, digits and non-alphanumeric characters). This makes the probability of successful random attempts (false acceptance) equal to  $(1/96)^8$  which is less than one in 1,000,000.

The enforcement of time provided by the access mechanism in TPCL is as follows:

For the first failed attempt, the module pauses for two seconds and after that for each failed attempt, pause increases by one second. This leads to maximum of 9 possible attempts in one minute that makes the probability of false acceptance equals to  $(9/96)^8$  in one minute, which is less than one in 100,000.

## 5. Physical Security

The TPCL is software based cryptographic module and thus does not claim any physical security.

## 6. Operational Environment

The TPCL cryptographic module is capable of running and tested in FIPS 140-2 Level 2 mode on the following Common Criteria-evaluated platforms:

- **Red Hat Enterprise Linux 5.3 on Dell power edge T110 ii 11th generation server. (<http://www.niap-ccevs.org/st/vid10338/>)**

The cryptographic module runs in its own operating system threads. This provides it with protection from all other processes, preventing access to all keys, and other CSPs.

### 6.1 Operational Rules

The TPCL will operate in a modifiable operational environment as per FIPS 140-2 definition. The following rules must be adhered to for operating the TPCL in a FIPS 140-2 compliant manner:

- The Operating System authentication mechanism must be enabled in order to prevent unauthorized users from being able to access system services.
- The crypto officer shall install the cryptographic module correctly following the user guidance.
- All host system components that can contain sensitive cryptographic data (main memory, system bus, disk storage) must be located within a secure environment.
- When the operator finishes using the module, he must logout, he must call the service module finalization and he must call **tpcl\_key\_zeroize** function if the AES key was set.
- To use the module, it must be initialized previously.
- The operators of the module must protect their credentials (user and passwords).
- Administrative privileges must not be extended to normal users.
- The applications using this library will be single-threaded.
- The module enters in FIPS approved mode of operation after passing successfully the power-up self-tests.
- The Crypto Officer shall be well-trained and non-hostile.
- The Crypto Officer should install the generated files in a location protected by the host operating system security features.

- The operating system is responsible for multitasking operations so that other processes cannot access the address space of the process containing the Module. The Operating system is responsible avoiding the unauthorized reading, writing, or modification of the address space of the Module.
- The writable memory areas of the Module (data and stack segments) are accessible only by a single application, i.e. only one application has access to that instance of the module. The user application accesses the module services in a separate virtual address space with a separate copy of the executable code.
- The application designer must be sure that the client application is designed correctly and does not corrupt the address space of the Module.
- All Critical Security Parameters are verified as correct and are securely generated, stored, and destroyed.
- Secret or private keys that are input to or output from an application must be input or output in encrypted form using a FIPS Approved algorithm. Note that keys exchanged between the application and the FIPS Module may not be encrypted
- Default password of CO, that comes with the library must be changed after installation immediately.
- Password must be at least 8 characters long.
- Maximum allowable password length is 10 characters.
- Password must contain all human readable ASCII characters (ASCII lower case, upper case, digits and non-alphanumeric characters).
- Passwords must be changed every 3 months.

## 7. Cryptographic Key Management

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### 7.1. Random Number Generation

The TPCL module incorporates “CTR-DRBG” using AES-256 with derivation function as specified in SP800-90A, for generation of cryptographic keys. CTR-DRBG implementation in TPCL supports five internal states. Whenever a user application call API function **tpcl\_instantiate\_rand**, a new instance of CTR-DRBG will be created. If, in case all internal states are occupied, then upon receiving **tpcl\_instantiate\_rand** request, the CTR-DRBG will

return an error TPCL\_ERR\_DRBG\_STATE showing that no internal state is available for this instantiation.

Another Nondeterministic RNG **/dev/random** is used to seed the CTR-DRBG.

## 7.2. Entropy Input

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The “CTR-DRBG AES-256” with derivation function takes entropy input from **/dev/random** utility of Linux Kernel. First of all entropy function ensure whether there is minimum entropy is available in primary entropy pool through **cat /proc/sys/kernel/random/entropy\_avail** command. If minimum entropy bits are not available in primary entropy pool, it will wait until there are minimum entropy bits available. The minimum entropy bits are equal to requested instantiation security strength. After that entropy method primarily takes **min\_length** bits from **/dev/random** if available.

The instantiation of DRBG requires the entropy input and the nonce in a single request call as specified in SP800-90. This necessitates that (**min\_length** = 3\*security strength) random bits are taken against each CTR-DRBG request from entropy source.

Reseeding requires only the entropy input and not the nonce. In this case, (requested security\_strength\*2) random bits are taken from entropy source

The random number generator gathers environmental noise from device drivers and other sources into an entropy pool. The generator also keeps an estimate of the number of bits of noise in the entropy pool. From this entropy pool random numbers are created.

Following figure depicts the structure of Linux Kernel random number generator.

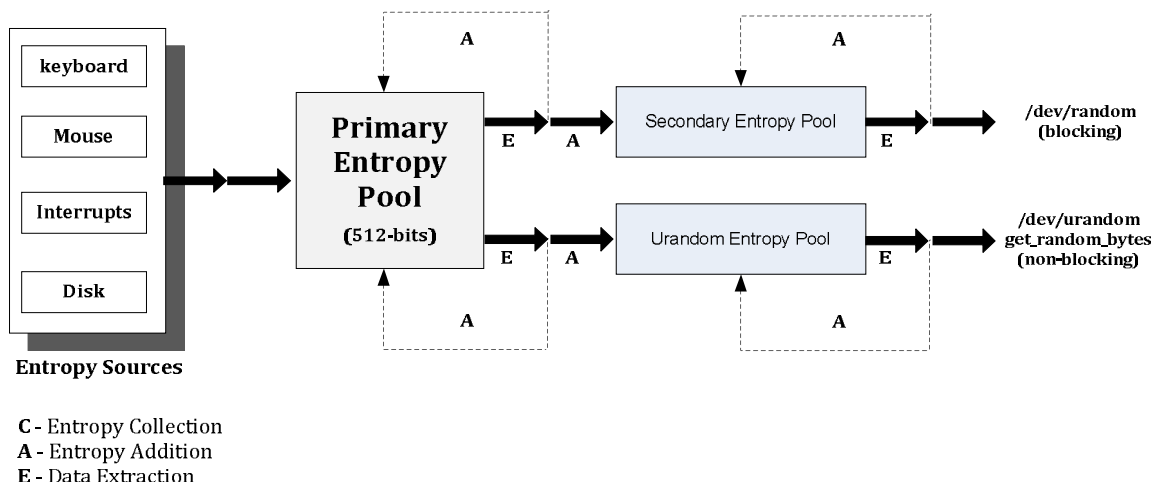


Figure 2: Structure of Linux Random Number Generator

The operating system provider claim about quality of entropy provided by the `/dev/random` can be found in Linux programmer's manual pages through `man urandom` command as shown below.

RANDOM(4) Linux Programmer's Manual RANDOM(4)

#### NAME

`random`, `urandom` - kernel random number source devices

#### DESCRIPTION

The character special files `/dev/random` and `/dev/urandom` (present since Linux 1.3.30) provide an interface to the kernel's random number generator. File `/dev/random` has major device number 1 and minor device number 8. File `/dev/urandom` has major device number 1 and minor device number 9.

The random number generator gathers environmental noise from device drivers and other sources into an entropy pool. The generator also keeps an estimate of the number of bits of noise in the entropy pool. From this entropy pool random numbers are created.

When read, the `/dev/random` device will only return random bytes within the estimated number of bits of noise in the entropy pool. `/dev/random` should be suitable for uses that need very high quality randomness such as one-time pad or key generation. When the entropy pool is empty, reads from `/dev/random` will block until additional environmental noise is gathered.

A read from the `/dev/urandom` device will not block waiting for more entropy. As a result, if there is not sufficient entropy in the entropy pool, the returned values are theoretically vulnerable to a cryptographic attack on the algorithms used by the driver. Knowledge of how to do this is not available in the current non-classified literature, but it is theoretically possible that such an attack may exist. If this is a concern in your application, use `/dev/random` instead.

Figure 3: Entropy of /Dev/random device

**Note:**

For the key generation process, the security strength requested is always 256 bits. Therefore, it is necessary to ensure, checking the `/proc/sys/kernel/random/entropy_avail` command, that the entropy source has 256 bits of strength before taking the entropy bits. However, given that the strength provided by the entropy source is up to 160 bits. (SHA-1 is the limiting factor in `/dev/random`). Therefore, the maximum strength of any key generated by TPCL is 160 bits”

**7.3. Key Generation**

The TPCL incorporates an internal key generation module. The “Key Generation Module” follows the specifications stated in “sp800-133 Recommendations for Cryptographic key generation, section 5.1 (bullet 5) and section 5.2 (section 5.2.2 bullet 2)”. The key sizes supported by the internal key generation module are as listed below:

| Algorithm/Key type     | Key Size (in bits)  |
|------------------------|---|
| AES                    | 128, 192, 256   |
| HMAC (224,256,384,512) | (112~448), (128~512), (192~768), (256~1024) in multiple of 8. |
| Global Key             | $64 \leq \text{Key size} \leq 1024$ in multiple of 8.         |

Table 9: Symmetric key generation

The purpose of Global key is to facilitate application developer, if they want to use implementations other than TPCL, but they can acquire key from TPCL key generation module.

Furthermore, the DSA (FIPS 186-3) module facilitates the generation of domain parameters (p, q & g) and the respective public/private key pair. The module returns, on request, either only the domain parameters or the public/private key pair generated from the domain parameters. The key sizes (modulus) supported by the DSA module are as listed below:

- i. 2048 bits
- ii. 3072 bits

The module supports the use of both internally and externally generated keys. The generated keys (internal/external) are input in or output from the module in plain-text form for further processing.

No intermediate key generation values are output from the module.

#### 7.4. Key Entry and Output

Keys are entered into and output from the Module in plaintext form through the C language APIs.

#### 7.5. Key Storage

The TPCL module, as a software library, does not provide any key storage.

#### 7.6. Zeroization Procedure

The variables holding the secret keys or CSPs are zeroized soon after using them.

### 8. Electromagnetic Interference/Electromagnetic Compatibility (EMI/EMC)

The Tahir Pak cryptographic Library (TPCL) is installed on a DELL power edge T110 ii 11th generation Server. The make and model of the machine along with the EMI/EMC specifications is as given below:

| EMI/EMC of Machines     | FCC Rating |
|-------------------------|------------|
| DELL power edge T110 ii | Class B    |

Table 10: EMI/EMC of Machines

### 9. Self -Tests

The power-up self-tests are carried out automatically on module initialization. The module initialization function i.e. **tpcl\_initialize** internally calls the **tpcl\_selftest** function to perform the integrity and known answer tests. Once called, the initialization function does not allow any user intervention.

However when an Operator (Crypto officer or User) performs the power up self test on demand through the API function "**tpcl\_selftest 0**", if the power up self-tests are successful, the module returns to the "private service" state of the Operator, who carried out the call.

Upon successful completion, the following success indicators are showed in the log file (/var/log/message):

- tpcl\_drbg\_health test service run successful.
- tpcl\_initialize: () selftest service run successful.
- tpcl\_initialize: () initialize service run successful.
- tpcl\_initialize: () power-on-self-test service run successful.

Failure is indicated in log file (/var/log/message), as follows:

- when a health-test or KAT error occurs:
  - tpcl\_initialize: () self test failed
  - tpcl\_initialize: () error 1 occurred while running initialize service
- when a integrity error occurs:
  - tpcl\_initialize: () error 1 occurred while running initialize service

When the self-tests (on demand or power-up) are executed. If a failure occurs, the module enters in error state and no API function except **tpcl\_finalize** may be executed.

Additionally, when the on demand self-tests are performed, **tpcl\_selftest** function returns a value (TPCL\_SUCCESS in case the self-tests are successfully passed or error in cases of failure). For the power-up self-tests; no other output is performed given that this API function is internally called automatically.

## 9.1. Power-Up Tests

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The TPCL module performs self-tests automatically when the API function `tpcl_initialize ()` is called or on demand when the API function `tpcl_selftest ()` is called.



Whenever the power-up tests are initiated, the module performs the integrity test and the cryptographic algorithm Known Answer Test (KAT). If any of these tests fails, the module enters the error state. The known answer tests (KATs) are performed for the following listed Algorithms:

| Algorithm   | Tests   |
|---|---|
| <b>AES (128, 192, 256)</b>                                      | AES-ECB KA Encryption<br>AES-ECB KA Decryption<br>AES-CBC KA Encryption<br>AES-CBC KA Decryption  |
| <b>SHA (224, 256, 384, 512)</b>                                 | Short Message Known Answer Hashing<br>Long Message Known Answer Hashing   |
| <b>HMAC (224,256,384,512)</b>                                   | Double Round Known Answer<br>HMAC(224,256,384,512)  |
| <b>DSA signature and verification (2048, 3072 modulus size)</b> | Known Answer Signature for each modulus<br>Known Answer Verification for each modulus   |
| <b>DRBG</b>   | 2 DRBG KAT for Prediction Resistance true for each security strength(16, 24 and 32 bytes)<br>2 DRBG KAT for Prediction Resistance false for each security strength(16, 24 and 32 bytes)<br>DRBG health Testing. |

Table 11: Known Answer Tests

### 9.1.1 Integrity Check

The integrity test of TPCL is performed using HMAC-512. The HMAC-512 value of library is pre-computed using **tpcl\_hmac** function and stored in a finger print file **libtpclfingerprint.so**, which is delivered with the module. The HMAC-512 value of following items is calculated for integrity test:

- i. libtpcl.so
- ii. tpcl.h
- iii. tpcl\_err.h
- iv. libgmp.so

The integrity test is initiated by calling the **tpcl\_initialize** function. The initialization function in turn calls the non-API function, i.e. **tpcl\_integrity\_check**, to check the integrity of the crypto library. Fundamentally, the **tpcl\_integrity\_check** function internally requests the **libtpclfingerprint.so** through the module entry point to get the pre stored HMAC values of library items.

The module compares the HMAC-512 value, generated at runtime (using the **tpcl\_hmac** function), of the library components with the pre-computed value to verify the module integrity. Upon failure, the module enters an error state.

The standard C **strncmp**, **memcmp** and **strcmp** functions are used for comparison.

### 9.3. Conditional Tests

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#### 9.3.1 Pair-wise Consistency Test

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The TPCL performs pair-wise consistency test on each DSA public/private key pair it generates. Once generated, a message is constructed by requesting random data (256-bits) from CTR\_DRBG (residing inside the cryptographic module). The constructed message (256-bits) is signed and verified by the generated private and public keys respectively. The key pair is returned on successful verification, whereas the module enters an error state upon failure.

#### 9.3.2 Continuous Random Number Generator Test for DRBG

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The CTR\_DRBG implemented in the TPCL module and its entropy source are both tested for randomness using the continuous random number generator test. Upon failure, the module enters an error state.

The DRBG generates a minimum of 16 bytes per request. Upon request of random data the DRBG does not output first 16 bytes but these are stored for comparison. Each successive

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16 byte generated block is compared with previous one and stored in output buffer, if both block are not identical.

### 9.3.3 Health Test for DRBG

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Health testing is performed on CTR-DRBG in power up self test and whenever CTR-DRBG is invoked by the consuming application for random data. Health tests are performed on each function of CTR-DRBG. These functions include instantiate, reseed, generate and un instantiate.

## 10. Mitigation of Other Attacks

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Not applicable