

Security Policy

Check Point Crypto Core version 1.3

FIPS 140-2

Level 1 Validation

Document Version 1.9

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1. Introduction

1.1 Purpose

This non-proprietary Cryptographic Module Security Policy for the Check Point Crypto Core Version 1.3, describes how the Check Point Crypto Core Version 1.3 meets the Level 1 security requirements of FIPS 140-2. Validation testing for version 1.2 was performed on Windows 2000 Professional, Windows XP Professional, Windows Mobile 5, and Symbian OS 9, and for version 1.3 on Apple Mac OS X v10.5, Windows Vista Ultimate, and Windows Server 2003 SP 2. The module is also capable on running on any commercially available IBM compatible PC under Windows 98/98SE/Me/NT4/2000/XP/Vista/Server 2003/Server 2008 Operating Systems (OS).

FIPS 140-2 (Federal Information Processing Standards Publication 140-2 Security Requirements for Cryptographic Modules) details the U.S. Government requirements for cryptographic modules. More information about the FIPS 140-2 standard and validation program is available on the NIST website at http://csrc.nist.gov/cryptval/.

1.2 References

This document deals only with operations and capabilities of the Check Point Crypto Core Version 1.3 in the technical terms of a FIPS 140-2 cryptographic module security policy. More information is available on the Check Point Crypto Core Version 1.3 application from the following source:

Refer to: <u>http://www.checkpoint.com</u> for information on Check Point products and services as well as answers to technical or sales related questions.

| 1.3 | Acronym list |
|-----|--------------|
|-----|--------------|

| Acronym | Definition | |
|---------|--|--|
| TDES | Triple Data Encryption Standard | |
| AES | Advanced Encryption Standard | |
| MD5 | Message Digest Algorithm 5 | |
| RSA | Rivest, Shamir, Adleman Private/Public key algorithm | |
| SHA | Secure Hashing Algorithm | |
| PRNG | Pseudo Random Number Generator | |

2. Check Point Crypto Core Version 1.3

2.1 Overview

The Check Point Crypto Core Version 1.3 (hereinafter referenced as the crypto module) provides cryptographic support for a Check Point line of products. The crypto module is used to perform cryptographic operations as well as create, manage and delete cryptographic keys.

The cryptographic services provided by the crypto module includes symmetric and asymmetric key based encryption algorithms, message digest, message authentication code, RSA encryption, signature generation and verification, and pseudo random number generation functions.

The crypto module can be used to provide multiple security functions in Check Point applications. A structured set of APIs can be called to perform these functions. The API set makes the module very flexible, and enables adding crypto functions to new applications without changing the module itself.

Utilizing the crypto module, Check Point applications can create encryption keys, which can then be used to encrypt data. The APIs provide the ability to encrypt both static data (such as hard disk blocks) as well as data streams (such as browser traffic). The crypto module also provides the ability to perform cryptographic MAC operations and Message Digest operations.

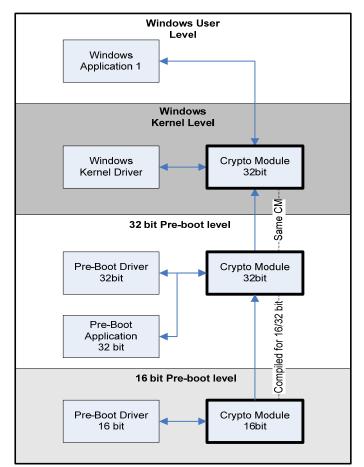


Figure 1 Interaction of Crypto module in different operating system modes on Microsoft Windows (PC) platforms

2.2 Cryptographic Module

The Check Point Crypto Core Version 1.3 is classified as a multi-chip standalone module for FIPS 140-2 purposes. Version 1.2 of the module was tested for FIPS compliance on a GPC running Windows 2000 Professional, Windows XP Professional, Windows Mobile 5, and Symbian OS 9 configured in the single user mode. In addition, FIPS compliance testing was performed on Windows Vista, Windows Server 2003, and Apple Mac OS X 10.5 for version 1.3 of the module. The module is also capable on running on any commercially available IBM compatible PC under Windows 98/98SE/Me/NT4/2000/XP/Vista/Server 2003/Server 2008 Operating Systems (OS).

The Windows Cryptographic Module is packaged in the form of one 32-bit module, used by all 32-bit components in the system and one 16-bit module that operates alongside the 32-bit module in the Pre-Boot environment. In the Windows and Apple Mac OS X environments the 32-bit module will be used both in kernel mode and in user mode. For Windows Mobile 5 and Symbian OS 9 the module is packaged as a 32-bit dynamic link library (dll) specific to the respective platform.

For the Microsoft Windows (PC) module the relationship between the 16-bit and 32-bit modes is shown in Figure 1 (above). The 16-bit mode provides cryptographic functions during 16 bit pre-boot operation while the 32 bit mode provides crypto functions thereafter. In Microsoft Windows Mobile 5 and Symbian OS 9 the module only operates in 32-bit mode.

2.3 Module Ports and Interfaces

The Check Point Crypto Core Version 1.3 is classified as a multi-chip standalone module for FIPS 140-2 purposes. As such, the module's cryptographic boundary includes the following:

- Microsoft Windows; all versions of Windows, (PC) binaries: ccore16.bin and ccore32.bin.
- Apple Mac OS X 10.5, binary: ccore32.bin
- Microsoft Windows Mobile 5 binary: cryptocore.dll
- Symbian OS 9 binary: cryptocore.dll

A PC or mobile device running an operating system and interfacing with the computer, keyboard, mouse screen, floppy drive, CD-ROM drive, speaker, serial ports, parallel ports, and power plug.

The Check Point Crypto Core Version 1.3 provides a logical interface via an Application Programming Interface (API). The API provided by the module is mapped to the FIPS 140-2 logical interfaces: data input, data output, control input, and status output. All of these physical interfaces are separated into the logical interfaces from FIPS as described in the following table:

| FIPS 140-2 Logical Interface | Module Mapping | |
|---------------------------------|--|--|
| Data Input Interface | Parameters passed to the module via the API call | |
| Data Output Interface | Data returned by the module via the API call | |
| Control Input Interface | Control input through the API function calls | |
| Status Output Interface | Information returned via exceptions and calls | |
| Power Interface | Does not provide a separate power or maintenance access interface beyond the power interface provided by the computer itself | |

Table 1 – FIPS 140-2 Logical Interfaces

2.4 Roles, Services and Authentication

The cryptographic module provides Crypto Officer and User roles. All the services exported by the module are common to both the roles except key zeroization. Only the Crypto-officer is allowed to perform key zeroization. Since the module is validated at security level 1, it does not provide an authentication mechanism.

| Exported Services | Supported in 16-bit Mode | Supported in 32-bit Mode | Exported to |
|----------------------------|--------------------------|--------------------------|-------------|
| PT_RV cryptInitSystem | Х | Х | User/CO |
| PT_RV cryptCipherInit | | X | User/CO |
| PT_RV cryptCipherDestroy | | X | CO |
| PT_RV cryptCipherSetParams | Х | X | User/CO |
| PT_RV cryptCipherSetKey | Х | Х | User/CO |
| PT_RV cryptCipherSetIV | Х | Х | User/CO |
| PT_RV cryptCipherGetIV | Х | Х | User/CO |
| PT_RV cryptEncrypt | Х | X | User/CO |
| PT_RV cryptDecrypt | Х | Х | User/CO |
| PT_RV cryptDigestInit | | Х | User/CO |
| PT_RV cryptDigestDestroy | | X | CO |
| PT_RV cryptDigestCopy | | Х | User/CO |
| PT_RV cryptDigestUpdate | | X | User/CO |
| PT_RV cryptDigestFinal | | Х | User/CO |
| PT_RV cryptHmacInit | | Х | User/CO |
| PT_RV cryptHmacDestroy | | X | CO |
| PT_RV cryptHmacCopy | | Х | User/CO |
| PT_RV cryptHmacUpdate | | Х | User/CO |
| PT_RV cryptHmacFinal | | X | User/CO |
| PT_RV cryptPrngInit | | Х | User/CO |
| PT_RV cryptPrngDestroy | | Х | CO |
| PT_RV cryptPrngAddEntropy | | Х | User/CO |
| PT_RV cryptPrngReadBytes | | Х | User/CO |
| PT_RV cryptPkInit | | Х | User/CO |
| PT_RV cryptPkDestroy | | Х | CO |
| PT_RV cryptPkSetKey | | Х | User/CO |
| PT_RV cryptPkGetKey | | Х | User/CO |
| PT_RV cryptPkGenKey | | Х | User/CO |
| PT_RV cryptPkSign | | Х | User/CO |
| PT_RV cryptPkVerify | | Х | User/CO |
| PT_RV cryptPkEncrypt | | Х | User/CO |
| PT_RV cryptPkDecrypt | | Х | User/CO |
| PT_RV cryptGetFunctionList | Х | Х | User/CO |

Table 2 – Exported Functions

2.5 Physical Security

Since the Check Point Crypto Core is implemented solely in software, the physical security section of FIPS 140-2 is not applicable.

2.6 Operational Environment

The Cryptographic module's software components are designed to be installed on the targets listed below as indicated in section 2.2 above.

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2.6.1 Microsoft Windows

The Cryptographic module's software components are designed to be installed on an IBM-compatible PC running Microsoft Windows Operating Systems Win2000/XP/Server 2003/Vista.

2.6.2 Microsoft Windows Mobile

The Cryptographic module's software components are designed to be installed on a mobile device running Microsoft Windows Mobile 5.

2.6.3 Symbian OS

The Cryptographic module's software components are designed to be installed on a mobile device running Symbian OS 9.

2.6.4 Apple Mac OS X

The cryptographic module's software components are designed to be installed on Apple Macintosh computers with Intel x86 CPUs running Apple Mac OS X 10.4 and Apple Mac OS X 10.5.

Each software components of the module will implement an approved message authentication code, used to verify the integrity of software component during the power-up self-test (see section on self-test below). While loaded in the memory, the respective target OS will protect all unauthorized access to the Cryptographic module's address memory and process space.

2.7 Cryptographic Key Management

The Check Point Crypto Core Version 1.3 implements the following algorithms.

The FIPS approved column specifies whether the algorithm is available in the FIPS-mode (non-approved algorithms are not to be used, see section 3 for more information).

| Algorithm Type | Algorithm, Modes and Key length | Supported in 16-bit Mode | Supported in 32-bit Mode | FIPS Approved |
|-----------------|--|-----------------------------|-----------------------------|------------------|
| Symmetric Key | AES - ECB, CBC – 128, 192, 256 | Х | Х | Yes |
| | DES - ECB, CBC – 64 | | Х | No |
| | TDES – ECB, CBC – 168 | Х | Х | Yes |
| | Blowfish ECB, CBC - 56 – 448 | | Х | No |
| | CAST-128, 256 | | Х | No |
| Message Digest | MD5 (128) | | Х | No |
| Nicosage Digest | SHS (160, 256, 384, and 512) | | X | Yes |
| | SHS (224) | | X | No |
| HMAC | SHS (160, 256, 384, and 512) | | Х | Yes |
| Asymmetric Key | RSA (all mod sizes) encrypt/decrypt | | Х | No |
| | RSA (512) PKCS#1 sign/verify | | Х | No |
| | RSA (1024, 2048, 4096) PKCS#1 sign/verify | | Х | Yes |
| PRNG | X9.31 PRNG | | Х | Yes |

Table 3 – Algorithms list

The following table provides a list of keys and key sizes that can be generated and/or used with the module. Keys are generated or inserted as specified in the API listing.

| Key Name | Created | Size(s) in bits | Purpose |
|---------------------|--------------------|---------------------------|------------------------------|
| AES_key | Inserted | 128, 192, 256, | Encryption, Decryption |
| TDES_key | Inserted | 128 (112),192 (168) | Encryption, Decryption |
| | | | Key transport Decryption and |
| RSA_Private_key | Inserted/Generated | 1024, 2048, 4096 mod size | Signing |
| | | | Key transport Encryption and |
| RSA_Public_key | Inserted/Generated | 1024, 2048, 4096 mod size | Verification, |
| HMAC_SHA1_key | Inserted | 160 | HMAC creation |
| HMAC_SHA256_key | Inserted | 256 | HMAC creation |
| HMAC_SHA384_key | Inserted | 384 | HMAC creation |
| HMAC_SHA512_key | Inserted | 512 | HMAC creation |
| TDES_MAC_MIT_key | Hard-coded | 192 (168) | Module Integrity Testing |
| PRNG_key1 (AES Key) | Inserted | 256 | PRNG Generation |

Table 4 – List of Keys

When keys are set for deletion, the key is zeroized by overwriting the keys to ensure it cannot be retrieved.

2.8 Self-Tests

The Check Point Crypto Core Version 1.3 performs several power-up self-tests including known answer tests for the FIPS Approved algorithms listed in the table below.

The crypto module also performs a self-test integrity check using TDES-MAC with a fixed key to verify the integrity of the module.

| Algorithm | Power-up self-test | Conditional self test |
|------------------|--------------------|-----------------------|
| AES KAT | Yes | N/A |
| TDES KAT | Yes | N/A |
| SHA-1 KAT | Yes | N/A |
| SHA-256 KAT | Yes | N/A |
| SHA-384 KAT | Yes | N/A |
| SHA-512 KAT | Yes | N/A |
| HMAC-SHA-1 KAT | Yes | N/A |
| HMAC-SHA-256 KAT | Yes | N/A |
| HMAC-SHA-384 KAT | Yes | N/A |
| HMAC-SHA-512 KAT | Yes | N/A |
| RSA | Yes | Yes |
| PRNG | Yes | Yes |

Table 5 – List of Self tests

The crypto module performs two conditional tests: continuous tests on the PRNG each time it is used to generate random data, and a pair-wise consistency test each time the module generates RSA key pairs.

2.9 Design Assurance

Check Point maintains versioning for all source code and associated documentation through CVS versioning handling system.

2.10 Mitigation of Other Attacks

The Check Point Crypto Core Version 1.3 does not employ security mechanisms to mitigate specific attacks.

3. Operation of the Check Point Crypto Core Version 1.3

The Check Point Crypto Core Version 1.3 contains both FIPS-approved and non-FIPS-approved algorithms. In FIPS mode only Approved algorithms must be used.

To exemplify what we mean by FIPS mode vs. non-FIPS mode we provide the following example: If TDES is being used to encrypt plaintext data, then the module is operating in FIPS-mode, but if the Blowfish algorithm was being used, it would not be in FIPS-mode.

While RSA encryption and decryption is not an approved FIPS algorithm it may be used in a FIPS approved mode as part of a key transport mechanism; however, when transporting keys, the operator must use an RSA keypair with a minimum modulus size of 1024-bitsto comply with CMVP requirements.

The Check Point Crypto Core Version 1.3 is designed for installation and use on a computer configured in single user mode, and is not designed for use on systems where multiple, concurrent users are active.

In order to maximize the entropy provided to the approved PRNG the operator must ensure that the seed and the seed key have different values.