

DRAFT

NIST Special Publication 800-38C

DRAFT  
Recommendation for  
Block Cipher Modes of Operation:  
The CCM Mode  
For Authentication and Confidentiality

Morris Dworkin

SEPTEMBER, 2003

DRAFT

## Abstract

This Recommendation defines a mode of operation, called CCM, for a symmetric key block cipher algorithm. CCM may be used to provide cryptographic protection for sensitive, but unclassified, computer data. In particular, CCM may be used to provide assurance of the confidentiality and the authenticity of the data by combining the techniques of the Counter (CTR) mode and the Cipher Block Chaining-Message Authentication Code (CBC-MAC) algorithm.

**KEY WORDS:** Authentication; block cipher; confidentiality; cryptography; encryption; Federal Information Processing Standard; information security; mode of operation.

Table of Contents

**1 PURPOSE.....4**

**2 AUTHORITY.....4**

**3 INTRODUCTION .....4**

**4 DEFINITIONS, ABBREVIATIONS, AND SYMBOLS .....5**

4.1 DEFINITIONS AND ABBREVIATIONS..... 5

4.2 SYMBOLS..... 7

4.2.1 *Variables*..... 7

4.2.2 *Operations and Functions*..... 8

**5 PRELIMINARIES .....8**

5.1 THE UNDERLYING BLOCK CIPHER ALGORITHM ..... 8

5.2 CRYPTOGRAPHIC PRIMITIVES..... 9

5.3 THE DATA ELEMENTS..... 9

5.4 INPUT FORMATTING..... 10

5.5 EXAMPLES OF OPERATIONS AND FUNCTIONS..... 10

**6 CCM SPECIFICATION .....11**

6.1 THE GENERATION-ENCRYPTION PROCESS ..... 11

6.2 THE DECRYPTION-VERIFICATION PROCESS ..... 12

**APPENDIX A: EXAMPLE OF A FORMATTING AND COUNTER GENERATION FUNCTION.....14**

A.1 LENGTH REQUIREMENTS..... 14

A.2 SPECIFICATION OF THE FORMATTING AND COUNTER GENERATION FUNCTIONS..... 14

A.2.1 *The Formatting of the Control Information and the Nonce*.....14

A.2.2 *The Formatting of the Associated Data*.....15

A.2.3 *The Formatting of the Payload*.....16

A.2.4 *The Counter Generation Function*.....16

**APPENDIX B: THE LENGTH OF THE AUTHENTICATION TAG .....17**

**APPENDIX C: EXAMPLE VECTORS .....18**

**APPENDIX D: REFERENCES .....22**

Table of Figures

Table 1: The Formatting of the Flags Octet in  $B_0$  ..... 15

Table 2: The Formatting of  $B_0$  ..... 15

Table 3: The Formatting of  $Ctr_i$  ..... 16

Table 4: The Formatting of the Flags Field in  $Ctr_i$  ..... 16

## 1 Purpose

This publication is the third Part in a series of Recommendations regarding modes of operation of symmetric key block cipher algorithms.

## 2 Authority

This document has been developed by the National Institute of Standards and Technology (NIST) in furtherance of its statutory responsibilities under the Federal Information Security Management Act (FISMA) of 2002, Public Law 107-347.

NIST is responsible for developing standards and guidelines, including minimum requirements, for providing adequate information security for all agency operations and assets, but such standards and guidelines shall not apply to national security systems. This guideline is consistent with the requirements of the Office of Management and Budget (OMB) Circular A-130, Section 8b(3), Securing Agency Information Systems, as analyzed in A-130, Appendix IV: Analysis of Key Sections. Supplemental information is provided A-130, Appendix III.

This guideline has been prepared for use by federal agencies. It may be used by nongovernmental organizations on a voluntary basis and is not subject to copyright. (Attribution would be appreciated by NIST.)

Nothing in this document should be taken to contradict standards and guidelines made mandatory and binding on federal agencies by the Secretary of Commerce under statutory authority. Nor should these guidelines be interpreted as altering or superseding the existing authorities of the Secretary of Commerce, Director of the OMB, or any other federal official.

Conformance testing for implementations of the mode of operation that is specified in this Part of the Recommendation will be conducted within the framework of the Cryptographic Module Validation Program (CMVP), a joint effort of NIST and the Communications Security Establishment of the Government of Canada. An implementation of a mode of operation must adhere to the requirements in this Recommendation in order to be validated under the CMVP. The requirements of this Recommendation are indicated by the word “shall.”

## 3 Introduction

This Recommendation specifies an algorithm, Counter with Cipher Block Chaining-Message Authentication Code [1], abbreviated CCM, that can provide assurance of the confidentiality and authenticity of data. CCM is based on an approved symmetric key block cipher algorithm whose block size is 128 bits, such as the AES algorithm currently specified in FIPS Pub. 197 [2]; thus, CCM shall not be used with the Triple Data Encryption Algorithm [3], whose block size is 64 bits. CCM can be considered a mode of operation of the block cipher algorithm. As with other modes of operation, a single key to the block cipher must be established beforehand among the

parties to the data; thus, CCM should be implemented within a well-designed key management structure. The security properties of CCM depend, at a minimum, on the secrecy of the key.

CCM is intended for use in a packet environment, i.e., when all of the data is available in storage before CCM is applied; CCM is not designed to support partial processing or stream processing. The input to CCM includes three elements: 1) data that will be both authenticated and encrypted, called the payload; 2) associated data, e.g., a header, that will be authenticated but not encrypted; and 3) a unique value, called a nonce, that is assigned to the payload and the associated data. The total number of octets that the key may protect is limited to  $2^{64}$ .

CCM consists of two pairs of related processes: generation-encryption, and decryption-verification, which combine two cryptographic primitives: counter mode encryption, and cipher block chaining-based authentication. Only the forward cipher function of the block cipher algorithm is used within these primitives; i.e., the inverse cipher function is not used.

In generation-encryption, cipher block chaining is applied to the payload, the associated data, and the nonce to generate a message authentication code (MAC); then, counter mode encryption is applied to the MAC and the payload to transform them into an unreadable form, called the ciphertext. Thus, CCM generation-encryption expands the size of the payload by the size of the MAC. In decryption-verification, counter mode decryption is applied to the ciphertext to recover the MAC and the payload; then, cipher block chaining is applied to the decrypted payload, the received associated data, and the received nonce to verify the correctness of the decrypted MAC. Successful verification provides assurance that the payload and the associated data originated from a source with access to the key.

A MAC provides stronger assurance of data integrity than a checksum or an error detecting code. The verification of a (non-cryptographic) checksum or an error detecting code is designed to detect only accidental modifications of the data, while the verification of a MAC, as occurs in CCM, is designed to detect intentional, unauthorized modifications of the data, as well as accidental modifications.

This specification of CCM is intended to be compatible with the use of CCM within the draft IEEE 802.11i standard.

## 4 Definitions, Abbreviations, and Symbols

### 4.1 Definitions and Abbreviations

Approved	FIPS approved or NIST recommended: an algorithm or technique that is either 1) specified in a FIPS or NIST Recommendation, or 2) adopted in a FIPS or NIST Recommendation.
Authenticity	The property that data originated from its purported source.

DRAFT

Bit	A binary digit: 0 or 1.
Bit Length	The number of bits in a bit string.
Bit String	An ordered sequence of bits.
Block	A bit string whose length is the block size of the block cipher algorithm.
Block Cipher Algorithm	A family of functions and their inverses that is parameterized by cryptographic keys; the functions map bit strings of a fixed length to bit strings of the same length.
Block Size	The number of bits in an input (or output) block of the block cipher.
Cryptographic Key	A parameter used in the block cipher algorithm that determines the forward cipher function.
Data Integrity	The property that data has not been altered by an unauthorized entity.
Exclusive-OR	The bitwise addition, modulo 2, of two bit strings of equal length.
FIPS	Federal Information Processing Standard.
Forward Cipher Function	One of the two functions of the block cipher algorithm that is determined by the choice of a cryptographic key.
Least Significant Bit(s)	The right-most bit(s) of a bit string.
Message Authentication Code (MAC)	A cryptographic checksum on data that is designed to reveal both accidental errors and intentional modifications of the data.
Mode of Operation (Mode)	An algorithm for the cryptographic transformation of data that features a symmetric key block cipher algorithm.
Most Significant Bit(s)	The left-most bit(s) of a bit string.
Nonce	A value that is used only once within a specified context.
Octet	A string of eight bits.
Octet Length	The number of octets in an octet string.
Octet String	An ordered sequence of octets.

## 4.2 Symbols

### 4.2.1 Variables

$a$	The octet length of the associated data.
$m$	The number of blocks in the formatted input.
$n$	The octet length of the nonce.
$q$	The octet length of the binary representation of the octet length of the payload.
$t$	The octet length of the authentication tag.
$A_{len}$	The bit length of the associated data.
$C_{len}$	The bit length of the ciphertext.
$K_{len}$	The bit length of the key.
$N_{len}$	The bit length of the nonce.
$P_{len}$	The bit length of the payload.
$T_{len}$	The bit length of the authentication tag.
$A$	The associated data.
$B_i$	The $i$ th block of the formatted input.
$C$	The ciphertext.
$Ctr_i$	The $i$ th counter block
$K$	The block cipher key.
$N$	The nonce.
$P$	The payload.
$Q$	A bit string representation of the octet length of $P$ .
$T$	The authentication tag that is generated as an internal variable in CCM.

### 4.2.2 Operations and Functions

$0x$	The prefix to a bit string that is represented in hexadecimal characters.
$[x]_m$	The binary representation of the non-negative integer $x$ , in $m$ bits, where $x < 2^m$ .
$\lceil x \rceil$	The least integer that is not less than $x$ .
$X \parallel Y$	The concatenation of two bit strings $X$ and $Y$ .
$X \oplus Y$	The bitwise exclusive-OR of two bit strings $X$ and $Y$ of the same length.
$\text{CIPH}_K(X)$	The output of the forward cipher function of the block cipher algorithm under the key $K$ applied to the data block $X$ .
$\text{LSB}_s(X)$	The bit string consisting of the $s$ right-most bits of the bit string $X$ .
$\text{MSB}_s(X)$	The bit string consisting of the $s$ left-most bits of the bit string $X$ .

## 5 Preliminaries

The selection of a block cipher algorithm and secret key are discussed in Section 5.1. The two cryptographic primitives that CCM requires for its operation are discussed in Section 5.2. The data elements of CCM are discussed in Section 5.3. The formatting of valid data elements is discussed in Section 5.4.

### 5.1 The Underlying Block Cipher Algorithm

The CCM algorithm depends on the choice of an underlying symmetric key block cipher algorithm; the CCM algorithm is thus a mode of operation (mode, for short) of the symmetric key block cipher. The underlying block cipher algorithm shall be approved, and a secret key for the block cipher algorithm shall be generated uniformly at random, or close to uniformly at random, i.e., so that each possible key is (nearly) equally likely to be generated. Moreover, the key should be established for the parties to the information by an approved key establishment method. The key shall be kept secret and shall not be used for any other purpose. Key establishment and key management are outside the scope of this Recommendation.

For any given key, the underlying block cipher algorithm of the mode consists of two functions that are inverses of each other. As part of the choice of the block cipher algorithm, one of the two functions of the block cipher algorithm is designated as the forward cipher function\*. The inverse of this process is called the inverse cipher function. The CCM mode does not require the inverse cipher function.

---

\* For the AES algorithm, the forward cipher is explicitly identified in [2].

The forward cipher function is a function on bit strings of a fixed bit length; the strings are called blocks, and their length is called the block size. For CCM, the block size of the block cipher algorithm shall be 128 bits; currently, the AES algorithm is the only approved block cipher algorithm with this block size.

The CCM key, denoted  $K$ , is the block cipher key; the bit length of  $K$ , denoted  $Klen$ , for the AES algorithm is 128, 192, or 256. Under a key  $K$ , the forward cipher function is denoted  $CIPH_K$ .

## 5.2 *Cryptographic Primitives*

The CCM specification essentially combines two cryptographic mechanisms that are based on the forward cipher function. One mechanism is the Counter (CTR) mode for confidentiality, which is specified for general use in the first Part of this Recommendation [5]. The CTR mode requires the generation of a sufficiently long sequence of blocks called the counter blocks. The counter blocks must be distinct within a single invocation and across all other invocations of the CTR mode under any given key, but they need not be secret. This requirement on the counter blocks extends to the CCM mode. See [5] for further discussion of the generation of counter blocks; see Section 5.4 below for an additional requirement on the counter blocks in CCM.

The other cryptographic mechanism within CCM is an adaptation of the cipher block chaining (CBC) technique from [5] to provide assurance of data origin authentication. Specifically, the CBC technique with an initialization vector of zero is applied to the data to be authenticated; the final block of the resulting CBC output, possibly truncated, serves as a message authentication code (MAC) of the data. The algorithm for generating a MAC in this fashion is commonly called CBC-MAC. This Recommendation does not approve CBC-MAC as an authentication mode outside of the context of the CCM specification; however, a variation of CBC-MAC is proposed for general use in the second Part of this Recommendation [6].

The same key,  $K$ , is used for both the CTR and CBC-MAC mechanisms within CCM.

## 5.3 *The Data Elements*

The data that CCM protects consists of a message, i.e., a bit string, called the payload, denoted  $P$ , of bit length denoted  $Plen$ , and a bit string, called the associated data, denoted  $A$ . The associated data is optional, i.e.,  $A$  may be the empty string\*. CCM provides assurance of the confidentiality of  $P$  and assurance of the authenticity of the origin (and, hence, of the integrity) of both  $A$  and  $P$ ; confidentiality is not provided for  $A$ .

A bit string called the nonce, denoted  $N$ , is assigned to each input pair, i.e., the payload and its associated data. The nonce shall be non-repeating in the sense that any two input pairs that are protected by distinct invocations of CCM during the lifetime of the key shall be assigned distinct nonces. In effect, the nonce determines an invocation of CCM.

The MAC that is generated within CCM is an internal variable, denoted  $T$ ; the bit length of  $T$ ,

---

\*The payload may also be empty, in which case the specification degenerates to an authentication mode on the associated data.

denoted  $Tlen$ , is a parameter of the mode. The requirements on  $Tlen$  are discussed in Appendix B.

#### 5.4 Input Formatting

The CCM data elements  $N$ ,  $P$ , and  $A$  must be formatted into a non-empty sequence of complete data blocks, denoted  $B_0, B_1, \dots, B_r$  for some non-negative integer  $r$ , in accordance with a function called the formatting function (in [7], the formatting function is called the encoding function,  $\beta$ ). The value of  $r$  depends on the formatting function and the input elements. For any given key, the following three properties shall hold for the formatting function:

1. The first block,  $B_0$ , uniquely determines the nonce  $N$ .
2. If  $(N, P, A)$  and  $(N', P', A')$  are distinct sets of data whose formatting is  $B_0, B_1, \dots, B_r$  and  $B'_0, B'_1, \dots, B'_s$ , then  $B_i$  is distinct from  $B'_i$  for some index  $i$  such that  $i=r$  and  $i=s$ .<sup>\*</sup>
3. The first block,  $B_0$ , is distinct from any counter blocks that are used across all invocations of CCM under the key.

The third property implies that the formatting function and the counter generation function should not be selected or constructed independently of each other.

The formatting function may impose restrictions on the contents and the bit lengths of the input data  $N$ ,  $P$ , and  $A$ , as well the parameter  $Tlen$ . For example, the bit lengths may be restricted to multiples of eight, and to a given range of values. Values that satisfy the application-specific restrictions are called valid.

An example of a formatting function and counter generation function that together satisfy these requirements is given in Appendix A. The CCM specification in this Recommendation with the formatting function and counter generation function in Appendix A is designed to be compatible with the draft 802.11i standard.

#### 5.5 Examples of Operations and Functions

Given a positive integer  $m$  and a non-negative integer  $x$  that is less than  $2^m$ , the binary representation of  $x$  in  $m$  bits is denoted  $[x]_m$ . For example, for the (base 10) integer 45, the binary representation (base 2) is 101101, so  $[45]_8 = 00101101$ .

The concatenation operation on bit strings is denoted  $\parallel$ ; for example,  $001 \parallel 10111 = 00110111$ .

Given bit strings of equal length, the exclusive-OR operation, denoted  $\oplus$ , specifies the addition, modulo 2, of the bits in each bit position, i.e., without carries. For example,  $10011 \oplus 10101 = 00110$ .

The functions  $LSB_s$  and  $MSB_s$  return the  $s$  least significant (i.e., right-most) bits and the  $s$  most

---

<sup>\*</sup> In practice, the repetition of the nonce for distinct invocations of the mode should be precluded by the uniqueness requirement on the nonce, but the security model in [7] takes into account that an adversary may repeat a nonce in attempts at forgery.

significant (i.e., left-most) bits of their arguments, respectively. For example,  $LSB_3(111011010) = 010$ , and  $MSB_4(111011010) = 1110$ .

## 6 CCM Specification

The two CCM processes are called generation-encryption and decryption-verification. The prerequisites, inputs, outputs, steps, and summaries for the execution of the two CCM processes are specified in Sections 6.1 and 6.2 below. The prerequisites are inputs that are typically fixed across many invocations of CCM.

There is some flexibility in the order of the steps of the two processes. For example, the generation of the counter blocks can occur at any time before they are used; in fact, the counter blocks may be generated in advance and be considered as inputs to the processes.

### 6.1 The Generation-Encryption Process

The following is a specification of the generation-encryption process of CCM:

*Prerequisites:*

block cipher algorithm;  
key  $K$ ;  
counter generation function;  
formatting function;  
authentication tag length  $Tlen$ .

*Input:*

valid nonce  $N$ ;  
valid payload  $P$ ;  
valid associated data  $A$ ;

*Output:*

CCM ciphertext  $C$ .

*Steps:*

1. Apply the formatting function to  $(N, A, P)$  to produce the blocks  $B_0, B_1, \dots, B_r$ .
2. Set  $Y_0 = \text{CIPH}_K(B_0)$ .
3. For  $i = 1$  to  $r$ , do  $Y_i = \text{CIPH}_K(B_i \oplus Y_{i-1})$ .
4. Set  $T = \text{MSB}_{Tlen}(Y_r)$ .
5. Apply the counter generation function to generate the counter blocks  $Ctr_0, Ctr_1, \dots, Ctr_m$ , where  $m = \lceil Plen/128 \rceil$ .
6. For  $j=0$  to  $m$ , do  $S_j = \text{CIPH}_K(Ctr_j)$ .
7. Set  $S = S_1 \parallel S_2 \parallel \dots \parallel S_m$ .
8. Return  $C = (P \oplus \text{MSB}_{Plen}(S)) \parallel (T \oplus \text{MSB}_{Tlen}(S_0))$ .

The input data to the generation-encryption process is a valid nonce, a valid payload string, and a valid associated data string, which are formatted according to the formatting function. The CBC-

MAC mechanism is applied to the formatted data to generate an authentication tag, whose length is an input parameter. Counter mode encryption, which requires a sufficiently long sequence of counter blocks as input, is applied to the payload string and separately to the authentication tag. The resulting data, called the CCM ciphertext, denoted  $C$ , is the output of the generation-encryption process.

## 6.2 The Decryption-Verification Process

### *Prerequisites:*

block cipher algorithm;  
key  $K$ ;  
counter generation function;  
formatting function;  
valid authentication tag length  $Tlen$ .

### *Input:*

nonce  $N$ ;  
associated data  $A$ ;  
ciphertext  $C$ ;

### *Output:*

either the payload  $P$  or INVALID.

### *Steps:*

1. If  $Clen \leq Tlen$ , then return INVALID.
2. Apply the counter generation function to generate the counter blocks  $Ctr_0, Ctr_1, \dots, Ctr_m$ , where  $m = \lceil (Clen - Tlen) / 128 \rceil$ .
3. For  $j=0$  to  $m$ , do  $S_j = CIPH_K(Ctr_j)$ .
4. Set  $S = S_1 \parallel S_2 \parallel \dots \parallel S_m$ .
5. Set  $P = MSB_{Clen-Tlen}(C) \oplus MSB_{Clen-Tlen}(S)$ .
6. Set  $T = LSB_{Tlen}(C) \oplus MSB_{Tlen}(S_0)$ .
7. If  $N$ ,  $A$ , or  $P$  is not valid, then return INVALID, else apply the formatting function to  $(N, A, P)$  to produce the blocks  $B_0, B_1, \dots, B_r$ .
8. Set  $Y_0 = CIPH_K(B_0)$ .
9. For  $i = 1$  to  $r$ , do  $Y_i = CIPH_K(B_i \oplus Y_{i-1})$ .
10. If  $T \neq MSB_{Tlen}(Y_r)$ , then return INVALID, else return  $P$ .

The input to the decryption-verification process is the CCM ciphertext, the associated data, and the nonce that was used in the encryption-generation process that produced the CCM ciphertext. Counter mode decryption is applied to the ciphertext  $C$  of length  $Clen$  to produce the purported MAC tag and payload. If the nonce, associated data, and payload are valid, as discussed in Section 5.4, then these strings are formatted into blocks according to the formatting function, and the CBC-MAC mechanism is applied to verify the MAC tag. If verification succeeds, the decryption-verification process returns the payload as output; otherwise, only the error message "INVALID" is returned.

## DRAFT

When INVALID is returned, the decrypted payload  $P$  and the authentication tag  $T$  shall not be revealed.

## Appendix A: Example of a Formatting and Counter Generation Function

In this appendix, a formatting function and a counter generation function are specified that together satisfy the requirements of Section 5.4. With these functions, this specification of CCM is equivalent to the specification of CCM in the draft IEEE 802.11i standard.

The requirements that this particular formatting function imposes on the lengths of the variables in CCM are given in A.1; the formatting is specified in A.2; the counter generation function is specified in A.3.

### A.1 Length Requirements

The bit length of each input string, i.e.,  $N$ ,  $A$ , and  $P$ , shall be a multiple of 8 bits, i.e., each input string shall be an octet string. The octet lengths of these strings are denoted  $n$ ,  $a$ , and  $p$ ; thus,  $n$ ,  $a$  and  $p$  are integers. Similarly, the parameter  $t$  denotes the octet length of  $T$ . The octet length of  $P$  (i.e., the integer  $p$ ) is represented within the first block of the formatted data as an octet string denoted  $Q$ . The octet length of  $Q$ , denoted  $q$ , is a parameter of the formatting function. Thus,  $Q$  is equivalent to  $[p]_{8q}$ , the binary representation of  $p$  in  $q$  octets. For example, if  $q = 3$ , and  $P$  is a string that consists of 4096 bits, i.e.,  $p = 512$ , then  $Q$  is the string 00000000 00000010 00000000.

The formatting in this appendix imposes the following length conditions:

- $t$  is an element of  $\{4, 6, 8, 10, 12, 14, 16\}$ ;
- $q$  is an element of  $\{2, 3, 4, 5, 6, 7, \text{ or } 8\}$ ;
- $n+q=15$ ;
- $a < 2^{64}$ .

The parameter  $q$  determines the maximum length of the payload: by definition,  $p < 2^{8q}$ , so  $P$  consists of fewer than  $2^{8q}$  octets, i.e., fewer than  $2^{8q-4}$  128 bit blocks. The third condition implies that a choice for  $q$  determines the value of  $n$ , namely,  $n=15-q$ . (Equivalently, the nonce length,  $n$ , may be considered as the parameter of the formatting function that determines the value of  $q$ .) The value of  $n$ , in turn, determines the maximum number of distinct nonces, namely,  $2^{8n}$ . Thus, the third condition amounts to a tradeoff between the maximum number of invocations of CCM under a given key and the maximum payload length for those invocations.

### A.2 Specification of the Formatting and Counter Generation Functions

The formatting of the input data ( $N$ ,  $A$ ,  $P$ ) into a sequence of blocks  $B_0, B_1, \dots, B_r$  is presented in the following three sections: in A.2.1, the formatting of the nonce and control information such as length indicators is specified; in A.2.2, the formatting of  $A$  is specified; in A.2.3, the formatting of  $P$  is specified. In A.2.4, the formatting of the counter blocks  $CTR_i$  is specified.

#### A.2.1 The Formatting of the Control Information and the Nonce

The leading octet of the first block of the formatting contains four flags for control information: two single bits, called *Reserved* and *Adata*, and two strings of three bits, to encode the values  $t$  and  $q$ . The encoding of  $t$  is  $[(t-2)/2]_3$ , and the encoding of  $q$  is  $[q-1]_3$ . Thus, for example, if the tag length is 8 octets, then  $t$  is encoded as 011. Note that the encoding 000 in both cases does not correspond to a permitted value of  $t$  or  $q$ . The *Reserved* bit is reserved to enable future extensions of the formatting; it is set to '0'. The *Adata* bit is '0' if  $a=0$  and '1' if  $a>0$ . The ordering of the flags within the octet is given in Table 1.

Table 1: The Formatting of the Flags Octet in  $B_0$

Bit number	7	6	5	4	3	2	1	0
Contents	<i>Reserved</i>	<i>Adata</i>	$[(t-2)/2]_3$			$[q-1]_3$		

The remaining fifteen octets of the first block of the formatting are devoted to the nonce and the binary representation of the message length in  $q$  octets, as given in Table 2.

Table 2: The Formatting of  $B_0$

Octet number	0	1 ... 15- $q$	16- $q$ ... 15
Contents	Flags	$N$	$Q$

For example, if  $B_0$  is

```
01101110 00010011 11010100 10100011 01011101 01110001 10100101 00000000
00000000 00000000 00000000 00000000 00000000 00000000 01000100 00000001:
```

- The associated data will not be empty (because  $Adata=1$ ).
- The tag will consist of 12 octets (because  $[(t-2)/2]_3=101$ ).
- The octet length of  $Q$  is 7 (because  $[q-1]_3=110$ ), so  $Q$  is 00000000 00000000 00000000 00000000 00000000 01000100 00000001.
- The payload will consist of 17,409 octets (because  $Q=[17409]_{56}$ ).
- The octet length of  $N$  is 8 (because  $n=15-q$  and  $q=7$ ), so  $N=00010011 11010100 10100011 01011101 01110001 10100101 00000000 00000000$ .

### A.2.2 The Formatting of the Associated Data

If  $a=0$ , as indicated by the *Adata* field in the first octet of  $B_0$ , then there are no blocks devoted to the associated data in the formatted data. If  $a>0$ , then  $a$  is encoded as described below, and the encoding of  $a$  is concatenated with the associated data  $A$ , followed by the minimum number of '0' bits, possibly none, such that the resulting string can be partitioned into 16-octet blocks. These blocks are denoted in the formatted data as  $B_1, B_2, \dots B_u$  for some positive integer  $u$  that depends on  $a$ .

The value  $a$  is encoded according to the following three cases:

- If  $0 < a < 2^{16}-2^8$  then  $a$  is encoded as  $[a]_{16}$ , i.e., two octets.

- If  $2^{16} \cdot 2^8 \leq a < 2^{32}$  then  $a$  is encoded as  $0\text{xff} \parallel 0\text{xfe} \parallel [a]_{32}$ , i.e., six octets.
- If  $2^{32} \leq a < 2^{64}$  then  $a$  is encoded as  $0\text{xff} \parallel 0\text{xff} \parallel [a]_{64}$ , i.e., ten octets.

For example, if  $a=2^{16}$ , the encoding of  $a$  is 11111111 11111110 00000000 00000001 00000000 00000000.

The formatting of distinct sets of associated data will not overlap, because for distinct values of  $a$ , the leading bits of the encodings of  $a$  are distinct: in the first case, the first octet will not be  $0\text{xff}$  as it will for the second and third cases; the second and third cases can be distinguished by the second octet. Encodings that are not specified in these three cases are reserved, e.g., when the first two octets are  $0\text{x}0000$ ,  $0\text{xff}00$ ,  $0\text{xff}01$ , etc.

### A.2.3 The Formatting of the Payload

The associated data blocks, if any, are followed in the sequence of formatted blocks by the payload blocks. The payload is concatenated with the minimum number of ‘0’ bits, possibly none, such that the result can be partitioned into 16-octet blocks. These blocks are denoted in the formatted data as  $B_{u+1}, B_{u+2} \dots B_r$ , where  $r=u+\lceil p/16 \rceil$ .

### A.2.4 The Counter Generation Function

The counter blocks  $Ctr_i$  are formatted as in Table 3 below.

Table 3: The Formatting of  $Ctr_i$

Octet number:	0	1 ... 15- $q$	16- $q$ ... 15
Contents:	Flags	$N$	$[i]_{8q}$

Within each block  $Ctr_i$ , the Flags field is formatted as in Table 4 below.

Table 4: The Formatting of the Flags Field in  $Ctr_i$

Bit number	7	6	5	4	3	2	1	0
Contents	Reserved	Reserved	0	0	0	$[q-1]_3$		

The *Reserved* bits are reserved for future expansions and shall be set to 0. Bits 3, 4, and 5 are also set to 0, to ensure that all the counter blocks are distinct from  $B_0$  (as specified in A.2.1 above). Bits 0, 1, and 2 contain the same encoding of  $q$  as in  $B_0$ .

## Appendix B: The Length of the Authentication Tag

The CCM mode provides assurance that the the payload and the associated data is authentic, i.e., that they originated from the purported source, which has access to the key. However, the assurance is not absolute. In particular, if an unauthorized party, i.e., one without access to the key, presents an inauthentic input set to the decryption-verification process, there is a small probability that a payload that corresponds to the input ciphertext, will be returned, rather than INVALID. Such an event is akin to a forgery, although the unauthorized party would not necessarily be able to control any of the bits of the resulting payload. If multiple inauthentic input sets are presented to the decryption-verification process, the probability increases that, eventually, decryption-verification will succeed for one of the input sets.

The bit length  $Tlen$  of the internal authentication tag  $T$  determines the internal protection that the CCM mode provides against such an event. The probability that the decryption-verification process does not return INVALID on a single, arbitrary input triple is expected to be no greater than  $2^{-Tlen}$ ; therefore, larger values of  $Tlen$  offer greater protection against the presentation of multiple inauthentic input sets. The performance tradeoff is that the CCM ciphertext is  $Tlen$  bits longer than the payload, so larger values of  $Tlen$  require more bandwidth/storage.

In order to promote interoperability, the default recommendation for  $Tlen$  is 96; however, depending on the value of the data to be protected, any value of  $Tlen$  from 32 to 128 may be used, although values smaller than 64 are not recommended for general use.

The decision to use a value of  $Tlen$  smaller than 64 requires a risk-benefit analysis of at least three factors: 1) the relevant attack models, 2) the application environment, and 3) the value and longevity of the data to be protected. In particular, a value of  $Tlen$  smaller than 64 shall only be used if the controlling protocol or application environment sufficiently restricts the number of times that the decryption-verification process can return INVALID under a given key. For example, the short duration of a session, or, more generally, the low bandwidth of the communication channel may preclude many repeated trials.

Ideally, for larger values of  $Tlen$ , the controlling protocol or the application environment should also limit the number of inauthentic input sets that may be presented to the decryption-verification process to a number commensurate with the value of the protected data.

## Appendix C: Example Vectors

In this appendix, four examples are provided for the encryption-generation process of CCM with the formatting and counter generation functions that are specified in Appendix A. The underlying block cipher algorithm is the the AES algorithm [2] under a key of 128 bits. The authentication tag, the nonces, the associated data strings, and the payload strings have different lengths in each example, including a very long, repetitive string of associated data in Example 4. The bit strings are represented in hexadecimal notation.

From each example, a corresponding example of the decryption-verification process of CCM is straightforward to construct.

### C.1 Example 1

In the following example,  $Klen = 128$ ,  $Tlen=32$ ,  $Nlen = 56$ ,  $Alen = 64$ , and  $Plen = 32$ .

```

K:          40414243 44454647 48494a4b 4c4d4e4f
N:          10111213 141516
A:          00010203 04050607
P:          20212223

B:          4f101112 13141516 00000000 00000004
           00080001 02030405 06070000 00000000
           20212223 00000000 00000000 00000000

T:          6084341b

Ctr0:      07101112 13141516 00000000 00000000
S0:       2d281146 10676c26 32bad748 559a679a

Ctr1:      07101112 13141516 00000000 00000001
S1:       51432378 e474b339 71318484 103cddfbb

C:          7162015b 4dac255d

```

### C.2 Example 2

In the following example,  $Klen = 128$ ,  $Tlen=48$ ,  $Nlen = 64$ ,  $Alen = 128$ , and  $Plen = 128$ .

```

K:          40414243 44454647 48494a4b 4c4d4e4f
N:          10111213 14151617
A:          00010203 04050607 08090a0b 0c0d0e0f
P:          20212223 24252627 28292a2b 2c2d2e2f

```

DRAFT

B: 56101112 13141516 17000000 00000010  
00100001 02030405 06070809 0a0b0c0d  
0e0f0000 00000000 00000000 00000000  
20212223 24252627 28292a2b 2c2d2e2f

T: 7f479ffc a464

*Ctr*<sub>0</sub>: 06101112 13141516 17000000 00000000  
*S*<sub>0</sub>: 6081d043 08a97dcc 20cdcc60 bf947b78

*Ctr*<sub>1</sub>: 06101112 13141516 17000000 00000001  
*S*<sub>1</sub>: f280d2c3 75cf7945 20335db9 2b107712

C: d2a1f0e0 51ea5f62 081a7792 073d593d  
1fc64fbf accd

**C.3 Example 3**

In the following example, *Klen* = 128, *Tlen*=64, *Nlen* = 96, *Alen* = 160, and *Plen* = 192.

K: 40414243 44454647 48494a4b 4c4d4e4f  
N: 10111213 14151617 18191a1b  
A: 00010203 04050607 08090a0b 0c0d0e0f  
10111213  
P: 20212223 24252627 28292a2b 2c2d2e2f  
30313233 34353637

B: 5a101112 13141516 1718191a 1b000018  
00140001 02030405 06070809 0a0b0c0d  
0e0f1011 12130000 00000000 00000000  
20212223 24252627 28292a2b 2c2d2e2f  
30313233 34353637 00000000 00000000

T: 67c99240 c7d51048

*Ctr*<sub>0</sub>: 02101112 13141516 1718191a 1b000000  
*S*<sub>0</sub>: 2f8a00bb 06658919 c3a040a6 eaed1a7f

*Ctr*<sub>1</sub>: 02101112 13141516 1718191a 1b000001  
*S*<sub>1</sub>: c393238a d1923c5d b335c0c7 e1bac924

*Ctr*<sub>2</sub>: 02101112 13141516 1718191a 1b000002  
*S*<sub>2</sub>: 514798ea 9077bc92 6c22ebef 2ac732dc

C: e3b201a9 f5b71a7a 9b1ceaec cd97e70b

6176aad9 a4428aa5 484392fb c1b09951

#### C.4 Example 4

In the following example,  $Klen = 128$ ,  $Tlen = 112$ ,  $Nlen = 104$ ,  $Alen = 524288$ , and  $Plen = 256$ . The associated data string is too large to comfortably present in its entirety; therefore, the given string of the first sixteen blocks of the associated data string is concatenated with itself repeatedly to form a string of 524288 bits. Similarly, only the beginning and the end of the resulting formatted data string  $B$  are presented.

```

K:          40414243 44454647 48494a4b 4c4d4e4f
N:          10111213 14151617 18191a1b 1c
A:          00010203 04050607 08090a0b 0c0d0e0f
           10111213 14151617 18191a1b 1c1d1e1f
           20212223 24252627 28292a2b 2c2d2e2f
           30313233 34353637 38393a3b 3c3d3e3f
           40414243 44454647 48494a4b 4c4d4e4f
           50515253 54555657 58595a5b 5c5d5e5f
           60616263 64656667 68696a6b 6c6d6e6f
           70717273 74757677 78797a7b 7c7d7e7f
           80818283 84858687 88898a8b 8c8d8e8f
           90919293 94959697 98999a9b 9c9d9e9f
           a0a1a2a3 a4a5a6a7 a8a9aaab acadaaef
           b0b1b2b3 b4b5b6b7 b8b9babb bcbdbebf
           c0c1c2c3 c4c5c6c7 c8c9cacb cccdcecf
           d0d1d2d3 d4d5d6d7 d8d9dadb dcdddedf
           e0e1e2e3 e4e5e6e7 e8e9eaeb ecedeeef
           f0f1f2f3 f4f5f6f7 f8f9fafb fcfdfeff
           ...
P:          20212223 24252627 28292a2b 2c2d2e2f
           30313233 34353637 38393a3b 3c3d3e3f

B:          71101112 13141516 1718191a 1b1c0020
           fffe0001 00000001 02030405 06070809
           0a0b0c0d 0e0f1011 12131415 16171819
           1a1b1c1d 1e1f2021 22232425 26272829
           ...
           dadbdcd d dedfe0e1 e2e3e4e5 e6e7e8e9
           eaebece d eeef0f1 f2f3f4f5 f6f7f8f9
           fafbfcfd feff0000 00000000 00000000

T:          f4dd5d0e e4046172 25ffe34f ce91

```

DRAFT

<i>Ctr</i> <sub>0</sub> :	01101112	13141516	1718191a	1b1c0000
<i>S</i> <sub>0</sub> :	407136e2	77ec38fc	5af24ef3	24ca1178
<i>Ctr</i> <sub>1</sub> :	01101112	13141516	1718191a	1b1c0001
<i>S</i> <sub>1</sub> :	49b07f8e	3aa1e010	4241e8bd	5260854e
<i>Ctr</i> <sub>2</sub> :	01101112	13141516	1718191a	1b1c0002
<i>S</i> <sub>2</sub> :	6ad1cf2c	9af17af3	bcbbbf12	7a01f14d
<i>C</i> :	69915dad	1e84c637	6a68c296	7e4dab61
	5ae0fd1f	aec44cc4	84828529	463ccf72
	b4ac6bec	93e8598e	7f0dadbc	ea5b

## Appendix D: References

- [1] D. Whiting, R. Housley, N. Ferguson, “Counter with CBC-MAC (CCM).” Available at <http://csrc.nist.gov/modes/proposedmodes/>.
- [2] FIPS Publication 197, “Advanced Encryption Standard (AES).” U.S. DoC/NIST, November 26, 2001.
- [3] FIPS Publication 46-3, “Data Encryption Standard (DES).” U.S. DoC/NIST, October 25, 1999.
- [4] FIPS Publication 198, “The Keyed-Hash Message Authentication Code (HMAC).” U.S. DoC/NIST, March 6, 2002.
- [5] NIST Special Publication 800-38A, “Recommendation for Block Cipher Modes of Operation.” U.S. DoC/NIST, December 2001.
- [6] Draft NIST Special Publication 800-38B, “Recommendation for Block Cipher Modes of Operation: the CMAC Authentication Mode.” U.S. DoC/NIST, July, 2003.
- [7] J. Jonsson, “On the Security of CTR + CBC-MAC.” Available at <http://csrc.nist.gov/modes/proposedmodes/>.
- [8] A. Menezes, P. van Oorschot, and S. Vanstone, “Handbook of Applied Cryptography.” CRC Press, New York, 1997.